## TD 7: Emptiness Test for Büchi Automata, Partial-Order Reduction

**Exercise 1** (Büchi Emptiness Test). Consider an execution of Algorithm 1 on some Büchi automaton  $\mathcal{B} = (\Sigma, S, s_0, \delta, F)$ .

At each point during the DFS, we define the *search path* as the sequence of visited states for which the DFS call has not yet terminated (in the order in which they are visited), and the *explored graph* of  $\mathcal{B}$  as the subgraph containing all visited states and explored transitions. We call an SCC of the *explored* graph *active* if the search path contains at least one of its states. A state is *active* if it is part of an active SCC in the explored graph (it is not necessary for the state itself to be on the search path). The *active graph* is the subgraph of the explored graph induced by the active states.

For all strongly connected component  $C \subseteq S$  of  $\mathcal{B}$ , we call *root of* C the state of C that is visited first during the DFS, i.e. the node  $r_C$  such that  $r_C.num = \min\{s.num \mid s \in C\}$ at the end of the DFS. We define similarly the root of an SCC in the explored graph.

Algorithm 1 Depth-first-search

1. nr = 0;2.  $hash = \{ \};$ 3. dfs $(s_0)$ ; 4. exit; dfs(s): 1. add s to hash; 2. nr = nr + 1;3. s.num = nr;4. for all  $t \in \operatorname{succ}(s)$  do if t not in hash then 5.6. dfs(t)end if 7. 8. end for

- 1. Show that an inactive SCC in the explored graph is also an SCC of  $\mathcal{B}$ .
- 2. Show that the roots of the SCCs in the active graph are a subsequence  $r_1 \ldots r_m$  of the search path, and that an activated node s is in the active SCC of  $r_i$  if and only if i < m and  $r_i.num \leq s.num < r_{i+1}.num$ , or i = m and  $r_i.num \leq s.num$ .
- 3. Show that Algorithm 2 maintains the following invariants:
  - the stack W contains the sequence  $(r_1, C_1) \dots (r_m, C_m)$  where  $r_1 \dots r_m$  is the sequence of roots of the active graph, and  $C_i$  is the active SCC of  $r_i$ ,

- for all nodes s, s. *active* is *true* if and only if s is active.
- 4. Show that Algorithm 2 returns *true* iff the language of the input Büchi automaton is empty, and that in that case, it terminates as soon as the explored graph contains a counterexample.
- 5. Adapt Algorithm 2 to test emptiness of a generalized Büchi automaton with acceptance sets  $F_1, \ldots, F_n$ .
- 6. Compare with the nested DFS algorithm from the lectures.

Algorithm 2 Emptiness Test

1. nr = 0;2.  $hash = \{ \};$ 3.  $W = \{ \};$ 4. dfs $(s_0)$ ; 5. return true; dfs(s): 1. add *s* to hash; 2. s.active = true;3. nr = nr + 1;4. s.num = nr;5. push  $(s, \{s\})$  onto W; 6. for all  $t \in \operatorname{succ}(s)$  do 7. if t not in hash then dfs(t)8. else if *t.active* then 9. 10.  $D = \{ \};$ repeat 11. pop (u, C) from W; 12.if u is accepting then 13. return false 14. 15.end if merge C into D; 16. until  $u.num \leq t.num;$ 17. push (u, D) onto W; 18. end if 19.20. end for 21. if s is the top root in W then pop (s, C) from W; 22.for all t in C do 23.t.active = false24.25.end for 26. end if

**Exercise 2.** Fix a set of atomic propositions AP, and  $\Sigma = 2^{\text{AP}}$ . Recall that  $\sigma, \rho \in \Sigma^{\omega}$  are stuttering equivalent, written  $\sigma \sim \rho$ , when there exist infinite integer sequences  $0 = i_0 < i_1 < \cdots$  and  $0 = k_0 < k_1 < \cdots$  such that for all  $\ell \ge 0$ ,

$$\sigma(i_{\ell}) = \sigma(i_{\ell}+1) = \dots = \sigma(i_{\ell+1}-1) = \rho(k_{\ell}) = \rho(k_{\ell}+1) = \dots = \rho(k_{\ell+1}-1),$$

where  $\sigma(i) \in \Sigma$  denotes the letter at position *i* in  $\sigma$ .

A language  $L \subseteq \Sigma^{\omega}$  is *stutter-invariant* if for all stuttering equivalent words  $\sigma, \rho \in \Sigma^{\omega}$ , we have  $\sigma \in L$  if and only if  $\rho \in L$ .

1. Show that if  $\varphi$  is an LTL(AP, U) formula, then  $L(\varphi) = \{ \sigma \in \Sigma^{\omega} \mid \sigma, 0 \models \varphi \}$  is stutter-invariant.

A word  $\sigma \in \Sigma^{\omega}$  is stutter-free if, for all  $i \in \mathbb{N}$ , either  $\sigma(i) \neq \sigma(i+1)$ , or  $\sigma(i) = \sigma(j)$  for all  $j \geq i$ .

- 2. Show that for all  $\sigma \in \Sigma^{\omega}$ , there exists a unique  $\sigma' \in \Sigma^{\omega}$  such that  $\sigma'$  is stutter-free and  $\sigma \sim \sigma'$ .
- 3. Given  $a \in \Sigma$ , we write a for the formula  $\bigwedge_{p \in a} p \land \bigwedge_{p \notin a} \neg p$ . That is,  $\sigma, i \models a$  if and only if  $\sigma(i) = a$ .
  - (a) Give a formula  $\psi_{a,a}$  in LTL(AP, U) such that for all *stutter-free* words  $\sigma \in \Sigma^{\omega}$ , we have  $\sigma, 0 \models \psi_{a,a}$  if and only if  $\sigma, 0 \models a \land X a$ .
  - (b) Let  $a, b \in \Sigma$  with  $a \neq b$ . Give a formula  $\psi_{a,b}$  in LTL(AP, U) such that for all stutter-free words  $\sigma \in \Sigma^{\omega}$ , we have  $\sigma, 0 \models \psi_{a,b}$  if and only if  $\sigma, 0 \models a \land X b$ .
- 4. Let  $\varphi$  be any LTL(AP, X, U) formula. Construct by induction on  $\varphi$  an LTL(AP, U) formula  $\tau(\varphi)$  such that for all *stutter-free* words  $\sigma \in \Sigma^{\omega}$ , we have  $\sigma, 0 \models \varphi$  iff  $\sigma, 0 \models \tau(\varphi)$ .
- 5. Let  $\varphi$  be an LTL(AP, X, U) formula such that  $L(\varphi)$  is stutter-invariant. Show that  $L(\varphi) = L(\tau(\varphi))$ .