Pushdown systems

1

```
A small program (where n \ge 1):
```

```
bool g=true;
void main() {
    level1();
    level1();
    assume(g);
}
void leveln() {
    g:=not g;
}
```

Question: Will g be true when the program terminates?

void level;() {

}

 $level_{i+1}();$

 $level_{i+1}();$

Example 1 has got *finitely* many states. (The call stack is bounded by *n*.)

Can be treated by "inlining" (replace procedure calls by a copy of the callee).

Inlining causes an exponential state-space explosion.

Inlining is inefficient: every copy of each procedure will be investigated separately.

Inlining not applicable for recursive procedure calls.

Example 2: Recursive program

| procedure <i>p</i> ; | | procedure <i>s</i> ; | |
|-----------------------------------|---|--|--|
| <i>p</i> ₀ : if ? then | | <i>s</i> ₀ : if ? then return; end if; | |
| p_1 : | call s; | <i>s</i> ₁ : call <i>p</i> ; | |
| <i>p</i> ₂ : | if ? then call <i>p</i> ; end if; | <i>s</i> ₂ : return ; | |
| else | | | |
| <i>p</i> 3: | call <i>p</i> ; | procedure main; | |
| end if | | <i>m</i> ₀ : call <i>s</i> ; | |
| <i>p</i> ₄∶ return | | <i>m</i> ₁ : return ; | |
| | $s_1, s_0, \ldots, s_2, m_0, m_1\}^*,$ $m_1 \longrightarrow \epsilon$ $s_1 m_1 \longrightarrow p_0 s_2 m_1$ | initial state m_0 $p1 s2 m1 \rightarrow s0 p2 s2 m1 \rightarrow p3 s2 m1 \rightarrow p0 p4 s2 m1 \rightarrow p1 s$ | |

Example 2 has got infinitely many states.

Inlining not applicable!

Cannot be analyzed by naïvely searching all reachable states.

We shall require a *finite* representation of infinitely many states.

Example 3: Quicksort

```
void quicksort (int left, int right) {
   int lo,hi,piv;
   if (left >= right) return;
   piv = a[right]; lo = left; hi = right;
   while (lo <= hi) {
     if (a[hi]>piv) {
      hi = hi - 1;
     } else {
       swap a[lo],a[hi];
       lo = lo + 1;
     }
   }
   quicksort(left,hi);
   quicksort(lo,right);
```

Question: Does Example 3 sort correctly? Is termination guaranteed?

The mere structure of Example 3 does not tell us whether there are infinitely many reachable states:

finitely many if the program terminates

infinitely many if it fails to terminate

Termination can only be checked by directly dealing with infinite state sets.

Control flow:

sequential program (no multithreading)

procedures

mutual procedure calls (possibly recursive)

Data:

global variables (restriction: only finite memory)

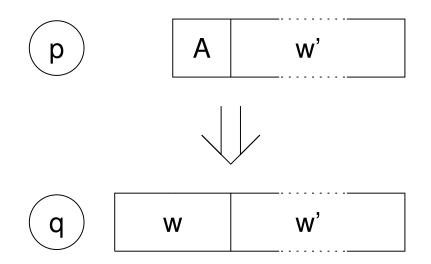
local variables in each procedure (one copy per call)

A pushdown system (PDS) is a triple (P, Γ, Δ) , where

P is a finite set of control states;

 Δ is a finite set of rules.

Rules have the form $pA \hookrightarrow qw$, where $p, q \in P$, $A \in \Gamma$, $w \in \Gamma^*$.



Like acceptors for context-free language, but without any input!

Let $\mathcal{P} = (\mathcal{P}, \Gamma, \Delta)$ be a PDS and $c_0 \in \mathcal{P} \times \Gamma^*$.

With \mathcal{P} we associate a transition system $\mathcal{T}_{\mathcal{P}} = (S, \rightarrow, r)$ as follows:

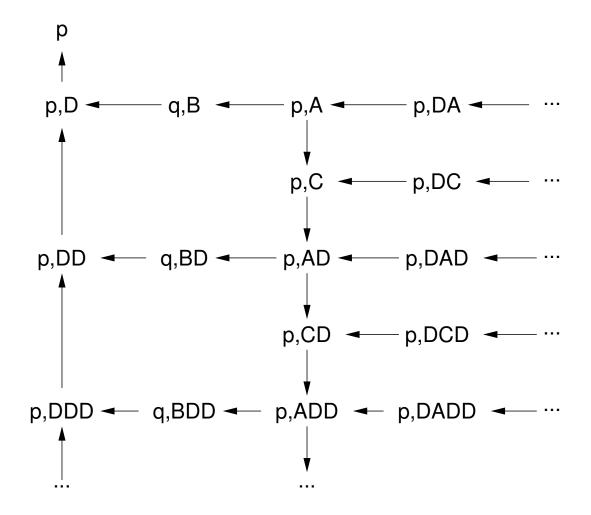
 $S = P \times \Gamma^*$ are the states (which we call configurations);

we have $pAw' \rightarrow qww'$ for all $w' \in \Gamma^*$ iff $pA \hookrightarrow qw \in \Delta$;

 $r = c_0$ is the initial configuration.

Transition system of a PDS

 $pA \hookrightarrow qB$ $pA \hookrightarrow pC$ $qB \hookrightarrow pD$ $pC \hookrightarrow pAD$ $pD \hookrightarrow p\varepsilon$



P may represent the valuations of global variables.

 Γ may contain tuples of the form (*program counter*, *local valuations*) Interpretation of a configuration *pAw*:

global values in p, current procedure with local variables in A

"suspended" procedures in w

Rules:

 $pA \hookrightarrow qB \cong$ statement within a procedure

 $pA \hookrightarrow qBC \cong$ procedure call

 $pA \hookrightarrow q\varepsilon \cong$ return from a procedure

Let \mathcal{P} be a PDS and c, c' two of its configurations.

Problem: Does $c \to^* c'$ hold in $\mathcal{T}_{\mathcal{P}}$?

Note: $\mathcal{T}_{\mathcal{P}}$ has got infinitely many (reachable) states.

Nonetheless, the problem is decidable!

To represent (infinite) sets of configurations, we shall employ finite automata.

Let $\mathcal{P} = (\mathcal{P}, \Gamma, \Delta)$ be a PDS. We call $\mathcal{A} = (\mathcal{Q}, \Gamma, \mathcal{P}, \mathcal{T}, \mathcal{F})$ a \mathcal{P} -automaton.

The alphabet of \mathcal{A} is the stack alphabet Γ .

The initial states of \mathcal{A} are the control states \mathcal{P} .

We say that \mathcal{A} accepts the configuration pw if \mathcal{A} has got a path labelled by input w starting at p and ending at some final state.

Let $\mathcal{L}(\mathcal{A})$ be the set of configurations accepted by \mathcal{A} .

A set *C* of configurations is called regular iff there is some \mathcal{P} -automaton \mathcal{A} with $\mathcal{L}(\mathcal{A}) = C$.

An automaton is normalized if there are no transitions leading into initial states.

Remark: In the following, we shall use the following notation:

 $pw \Rightarrow p'w'$ (in the PDS \mathcal{P}) and $p \stackrel{w}{\rightarrow} q$ (in \mathcal{P} -automata)

Let $pre^*(C) = \{ c' \mid \exists c \in C : c' \Rightarrow c \}$ denote the predecessors of *C*.

The following result is due to Büchi (1964):

Let C be a regular set and A be a *normalized* \mathcal{P} -automaton accepting C.

If C is regular, then so is $pre^*(C)$.

Moreover, \mathcal{A} can be transformed into an automaton accepting $pre^*(C)$.

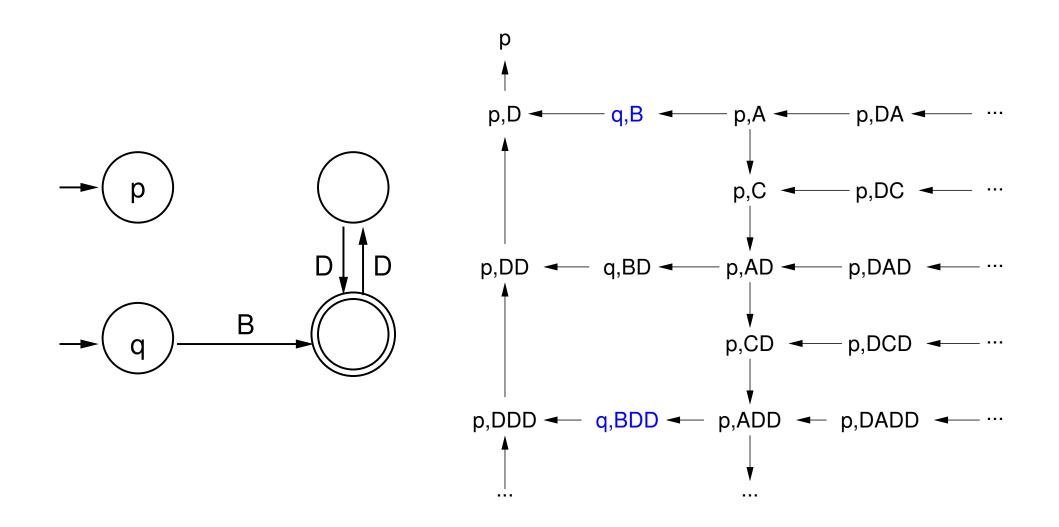
Saturation rule: Add new transitions to \mathcal{A} as follows:

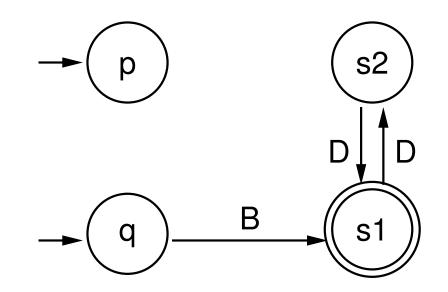
If $q \xrightarrow{w} r$ currently holds in \mathcal{A} and $pA \hookrightarrow qw$ is a rule, then add the transition (p, A, r) to \mathcal{A} .

Repeat this until no other transition can be added.

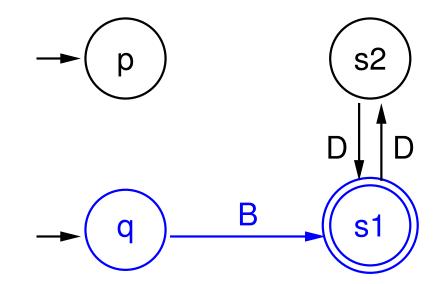
At the end, the resulting automaton accepts $pre^*(C)$.

Automaton \mathcal{A} for C



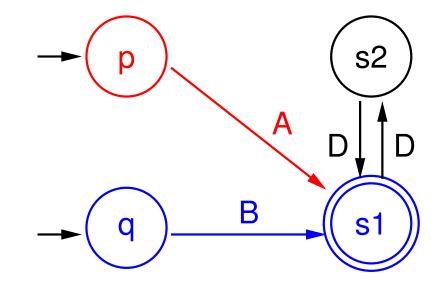


If the right-hand side of a rule can be read,



Rule: $pA \hookrightarrow qB$ Path: $q \xrightarrow{B} s_1$

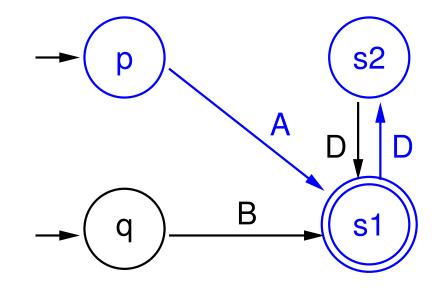
If the right-hand side of a rule can be read, add the left-hand side.



Rule: $pA \hookrightarrow qB$ Path: $q \xrightarrow{B} s_1$ New path: $p \xrightarrow{A} s_1$

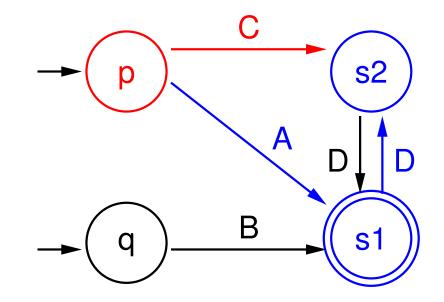
Extending ${\cal A}$

If the right-hand side of a rule can be read,



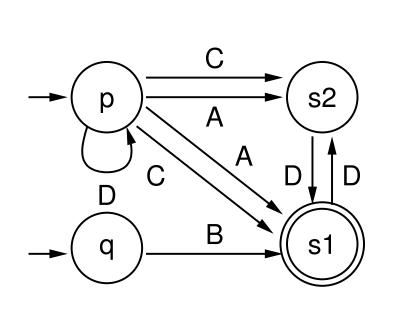
Rule:
$$pC \hookrightarrow pAD$$
 Path: $p \xrightarrow{A} s_1 \xrightarrow{D} s_2$

If the right-hand side of a rule can be read, add the left-hand side.

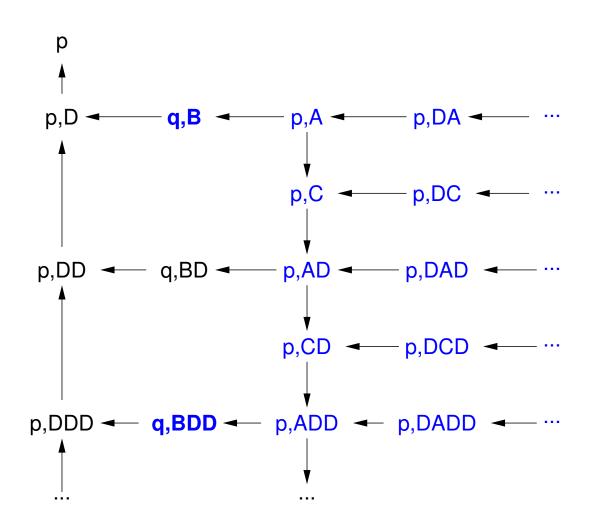


Rule: $pC \hookrightarrow pAD$ Path: $p \xrightarrow{A} s_1 \xrightarrow{D} s_2$ New path: $p \xrightarrow{C} s_2$

Final result



Complexity: $\mathcal{O}(|Q|^2 \cdot |\Delta|)$ time.



We shall show:

Let \mathcal{B} be the \mathcal{P} -automaton arising from \mathcal{A} by applying the saturation rule. Then $\mathcal{L}(\mathcal{B}) = pre^*(\mathcal{C})$.

Part 1: Termination

The saturation rule can only be applied finitely many times because no states are added and there are only finitely many possible transitions.

Part 2: $pre^*(C) \subseteq \mathcal{L}(\mathcal{B})$

Let $c \in pre^*(C)$ and $c' \in C$ such that c' is reachable from c in k steps. We proceed by induction on k (simple).

Part 3: $\mathcal{L}(\mathcal{B}) \subseteq pre^*(\mathcal{C})$

Let \rightarrow_{i} denote the transition relation of the automaton after the saturation rule has been applied *i* times.

We show the following, more general property: If $p \stackrel{w}{\to} q$, then there exist p'w'with $p' \stackrel{w'}{\to} q$ and $pw \Rightarrow p'w'$; if $q \in P$, then additionally $w' = \varepsilon$.

Proof by induction over *i*: The base case i = 0 is trivial.

Induction step: Let $t = (p_1, A, q')$ be the transition added in the *i*-th application and *k* the number of times *t* occurs in the path $p \xrightarrow{W}_i q$.

Induction over k: Trivial for k = 0. So let k > 0.

There exist p_2 , p', u, v, w', w_2 with the following properties:

| (1) $p \stackrel{u}{\xrightarrow[i-1]{\rightarrow}} p_1 \stackrel{A}{\xrightarrow[i]{\rightarrow}} q' \stackrel{v}{\xrightarrow[i]{\rightarrow}} q$ | (splitting the path $p \xrightarrow{w}{i} q$) |
|---|--|
| (2) $p_1 A \hookrightarrow p_2 w_2$ | (pre-condition for saturation rule) |
| (3) $p_2 \stackrel{w_2}{\xrightarrow[i-1]{}} q'$ | (pre-condition for saturation rule) |
| (4) $pu \Rightarrow p_1 \varepsilon$ | (ind.hyp. on <i>i</i>) |
| (5) $p_2 w_2 v \Rightarrow p' w'$ | (ind.hyp. on <i>k</i>) |
| (6) $p' \stackrel{w'}{\to} q$ | (ind.hyp. on <i>k</i>) |

The desired proof follows from (1), (4), (2), and (5). If $q \in P$, then the second part follows from (6) and the fact that \mathcal{A} is normalized.

Let $\mathcal{P} = (P, \Gamma, \Delta)$ be a PDS with initial configuration c_0 , let $\mathcal{T}_{\mathcal{P}}$ denote the corresponding transition system, *AP* a set of atomic propositions, and $\nu \colon P \times \Gamma^* \to 2^{AP}$. a valuation function.

 $\mathcal{T}_{\mathcal{P}}$, *AP*, and ν form a Kripke structure \mathcal{K} ; let ϕ be an LTL formula (over *AP*).

Problem: Does $\mathcal{K} \models \phi$?

Undecidable for arbitrary valuation functions! (could encode undecidable decision problems in ν ...)

However, LTL model checking *is* decidable for certain "reasonable" restrictions of ν .

In the following, we consider "simple" valuation functions satisfying the following restriction:

 $\nu(pAw) = \nu(pA)$, for all $p \in P$, $A \in \Gamma$, and $w \in \Gamma^*$.

In other words, the "head" of a configuration holds all information about atomic propositions.

LTL model checking is decidable for such "simple" valuations.

Same principle as for finite Kripke structures:

Translate $\neg \phi$ into a Büchi automaton \mathcal{B} .

Build the cross product of \mathcal{K} and \mathcal{B} .

Test the cross product for emptiness.

Note that the cross product is not a Büchi automaton in this case, but another pushdown system (with a Büchi-style acceptance condition).

The cross product is a new pushdown system Q, as follows:

Let $\mathcal{P} = (P, \Gamma, \Delta)$ be a PDS, $p_0 w_0$ the initial configuration, and AP, ν as usual.

Let $\mathcal{B} = (Q, 2^{AP}, q_0, T, F)$ be the Büchi automaton for $\neg \phi$.

Construction of Q:

 $Q = (P \times Q, \Gamma, \Delta')$, where

 $(p,q)A \hookrightarrow (p',q')w \in \Delta'$ iff

 $- pA \hookrightarrow p'w \in \Delta$ and

 $-(q, L, q') \in T$ such that $\nu(pA) = L$.

Initial configuration: $(p_0, q_0) w_0$

Let ρ be a run of Q with $\rho(i) = (p_i, q_i) w_i$.

We call ρ accepting if $q_i \in F$ for infinitely many values of *i*.

The following is easy to see:

 \mathcal{P} does not satisfy ϕ iff there exists an accepting run in \mathcal{Q} .

Question: If there an accepting run starting at $(p_0, q_0) w_0$?

In the following, we shall consider the following, more general global model-checking problem:

Compute *all* configurations *c* such that there exists an accepting run starting at *c*.

Lemma: There is an accepting run starting at *c* iff there exists $(p, q) \in P \times Q$, $A \in \Gamma$ with the following properties:

(1) $c \Rightarrow (p, q) Aw$ for some $w \in \Gamma^*$

(2) $(p,q)A \Rightarrow (p,q)Aw'$ for some $w' \in \Gamma^*$, where

the path from (p, q)A to (p, q)Aw' contains at least one step; the path contains at least one accepting Büchi state. We call (p, q)A a repeating head if (p, q)A satisfies properties (1) and (2).

Strategy:

1. Compute all repeating heads (p, q)A.

E.g., check for each head if $(p, q)A \in pre^*(\{(p, q)Aw \mid w \in \Gamma^*\})$.

(Additionally, one needs to check whether an accepting state is visited along the way, which can be encoded into the control state.)

2. Compute the set $pre^*(\{(p,q)Aw \mid (p,q)A \text{ is a repeating head, } w \in \Gamma^*\})$