Pushdown systems

1

```
A small program (where n \ge 1):
```

```
bool g=true;
void main() {
    level1();
    level1();
    assume(g);
}
void leveln() {
    g:=not g;
}
```

Question: Will g be true when the program terminates?

void level;() {

}

 $level_{i+1}();$ 

 $level_{i+1}();$ 

Example 1 has got *finitely* many states. (The call stack is bounded by *n*.)

Can be treated by "inlining" (replace procedure calls by a copy of the callee).

Inlining causes an exponential state-space explosion.

Inlining is inefficient: every copy of each procedure will be investigated separately.

Inlining not applicable for recursive procedure calls.

# Example 2: Recursive program

procedure <i>p</i> ;		procedure <i>s</i> ;	
<i>p</i> <sub>0</sub> : if ? then		<i>s</i> <sub>0</sub> : if ? then return; end if;	
$p_1$ :	call s;	<i>s</i> <sub>1</sub> : <b>call</b> <i>p</i> ;	
<i>p</i> <sub>2</sub> :	if ? then call <i>p</i> ; end if;	<i>s</i> <sub>2</sub> : <b>return</b> ;	
else			
<i>p</i> 3:	call <i>p</i> ;	procedure main;	
end if		<i>m</i> <sub>0</sub> : <b>call</b> <i>s</i> ;	
<i>p</i> ₄∶ <b>return</b>		<i>m</i> <sub>1</sub> : <b>return</b> ;	
	$s_1, s_0, \ldots, s_2, m_0, m_1\}^*,$ $m_1 \longrightarrow \epsilon$ $s_1 m_1 \longrightarrow p_0 s_2 m_1$	initial state $m_0$ $p1 s2 m1 \rightarrow s0 p2 s2 m1 \rightarrow p3 s2 m1 \rightarrow p0 p4 s2 m1 \rightarrow p1 s$	

Example 2 has got infinitely many states.

Inlining not applicable!

Cannot be analyzed by naïvely searching all reachable states.

We shall require a *finite* representation of infinitely many states.

## Example 3: Quicksort

```
void quicksort (int left, int right) {
   int lo,hi,piv;
   if (left >= right) return;
   piv = a[right]; lo = left; hi = right;
   while (lo <= hi) {
     if (a[hi]>piv) {
      hi = hi - 1;
     } else {
       swap a[lo],a[hi];
       lo = lo + 1;
     }
   }
   quicksort(left,hi);
   quicksort(lo,right);
```

Question: Does Example 3 sort correctly? Is termination guaranteed?

The mere structure of Example 3 does not tell us whether there are infinitely many reachable states:

*finitely* many if the program terminates

*infinitely* many if it fails to terminate

Termination can only be checked by directly dealing with infinite state sets.

Control flow:

sequential program (no multithreading)

procedures

mutual procedure calls (possibly recursive)

Data:

global variables (restriction: only finite memory)

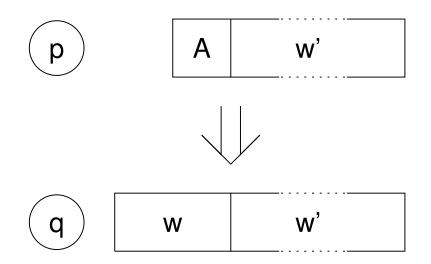
local variables in each procedure (one copy per call)

A pushdown system (PDS) is a triple  $(P, \Gamma, \Delta)$ , where

*P* is a finite set of control states;

 $\Delta$  is a finite set of rules.

Rules have the form  $pA \hookrightarrow qw$ , where  $p, q \in P$ ,  $A \in \Gamma$ ,  $w \in \Gamma^*$ .



Like acceptors for context-free language, but without any input!

Let  $\mathcal{P} = (\mathcal{P}, \Gamma, \Delta)$  be a PDS and  $c_0 \in \mathcal{P} \times \Gamma^*$ .

With  $\mathcal{P}$  we associate a transition system  $\mathcal{T}_{\mathcal{P}} = (S, \rightarrow, r)$  as follows:

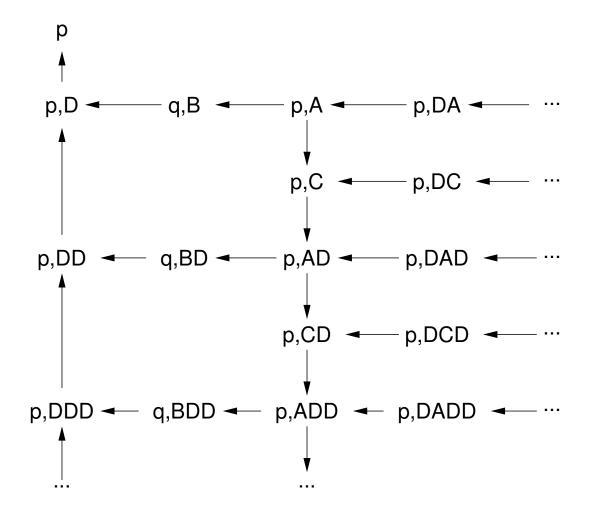
 $S = P \times \Gamma^*$  are the states (which we call configurations);

we have  $pAw' \rightarrow qww'$  for all  $w' \in \Gamma^*$  iff  $pA \hookrightarrow qw \in \Delta$ ;

 $r = c_0$  is the initial configuration.

#### Transition system of a PDS

 $pA \hookrightarrow qB$   $pA \hookrightarrow pC$   $qB \hookrightarrow pD$   $pC \hookrightarrow pAD$   $pD \hookrightarrow p\varepsilon$ 



*P* may represent the valuations of global variables.

 $\Gamma$  may contain tuples of the form (*program counter*, *local valuations*) Interpretation of a configuration *pAw*:

global values in p, current procedure with local variables in A

"suspended" procedures in w

Rules:

 $pA \hookrightarrow qB \cong$  statement within a procedure

 $pA \hookrightarrow qBC \cong$  procedure call

 $pA \hookrightarrow q\varepsilon \cong$  return from a procedure

Let  $\mathcal{P}$  be a PDS and c, c' two of its configurations.

Problem: Does  $c \to^* c'$  hold in  $\mathcal{T}_{\mathcal{P}}$ ?

Note:  $\mathcal{T}_{\mathcal{P}}$  has got infinitely many (reachable) states.

Nonetheless, the problem is decidable!

To represent (infinite) sets of configurations, we shall employ finite automata.

Let  $\mathcal{P} = (\mathcal{P}, \Gamma, \Delta)$  be a PDS. We call  $\mathcal{A} = (\mathcal{Q}, \Gamma, \mathcal{P}, \mathcal{T}, \mathcal{F})$  a  $\mathcal{P}$ -automaton.

The alphabet of  $\mathcal{A}$  is the stack alphabet  $\Gamma$ .

The initial states of  $\mathcal{A}$  are the control states  $\mathcal{P}$ .

We say that  $\mathcal{A}$  accepts the configuration pw if  $\mathcal{A}$  has got a path labelled by input w starting at p and ending at some final state.

Let  $\mathcal{L}(\mathcal{A})$  be the set of configurations accepted by  $\mathcal{A}$ .

A set *C* of configurations is called regular iff there is some  $\mathcal{P}$ -automaton  $\mathcal{A}$  with  $\mathcal{L}(\mathcal{A}) = C$ .

An automaton is normalized if there are no transitions leading into initial states.

Remark: In the following, we shall use the following notation:

 $pw \Rightarrow p'w'$  (in the PDS  $\mathcal{P}$ ) and  $p \stackrel{w}{\rightarrow} q$  (in  $\mathcal{P}$ -automata)

Let  $pre^*(C) = \{ c' \mid \exists c \in C : c' \Rightarrow c \}$  denote the predecessors of *C*.

The following result is due to Büchi (1964):

Let C be a regular set and A be a *normalized*  $\mathcal{P}$ -automaton accepting C.

If C is regular, then so is  $pre^*(C)$ .

Moreover,  $\mathcal{A}$  can be transformed into an automaton accepting  $pre^*(C)$ .

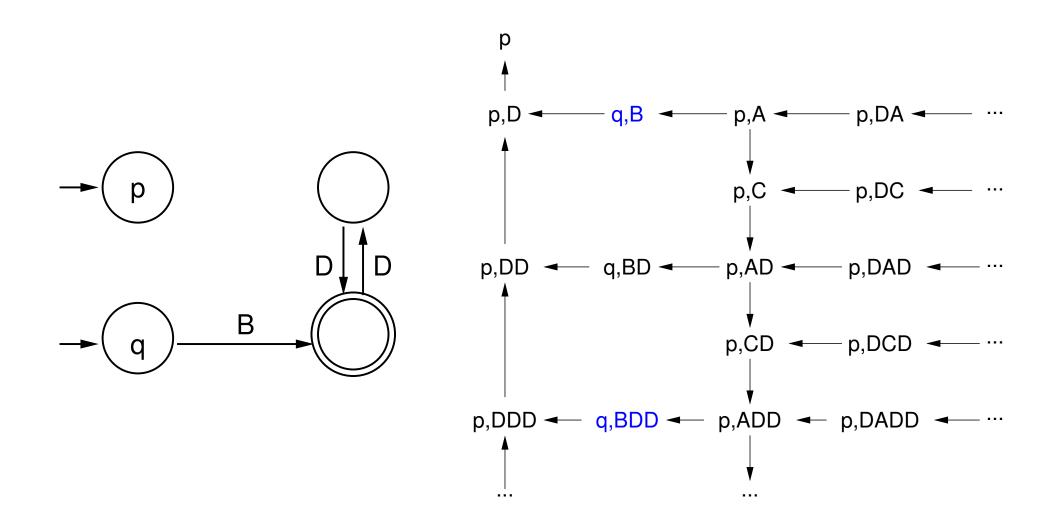
Saturation rule: Add new transitions to  $\mathcal{A}$  as follows:

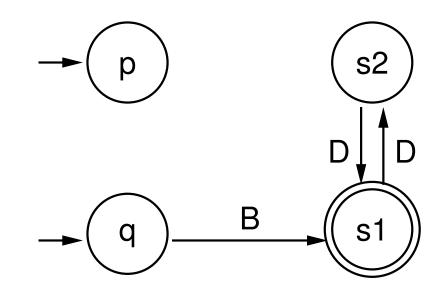
If  $q \xrightarrow{w} r$  currently holds in  $\mathcal{A}$  and  $pA \hookrightarrow qw$  is a rule, then add the transition (p, A, r) to  $\mathcal{A}$ .

Repeat this until no other transition can be added.

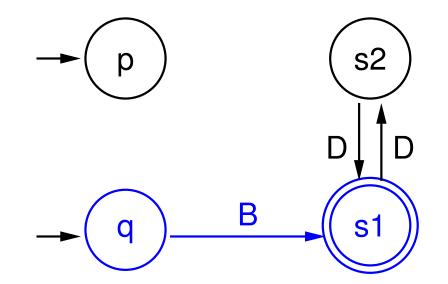
At the end, the resulting automaton accepts  $pre^*(C)$ .

### Automaton $\mathcal{A}$ for C



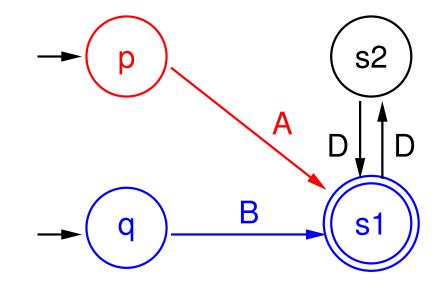


If the right-hand side of a rule can be read,



Rule:  $pA \hookrightarrow qB$  Path:  $q \xrightarrow{B} s_1$ 

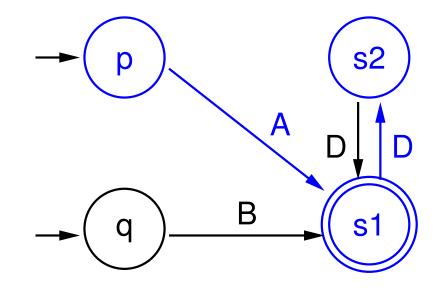
If the right-hand side of a rule can be read, add the left-hand side.



Rule:  $pA \hookrightarrow qB$  Path:  $q \xrightarrow{B} s_1$  New path:  $p \xrightarrow{A} s_1$ 

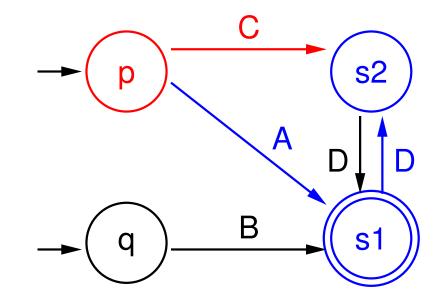
# Extending ${\cal A}$

If the right-hand side of a rule can be read,



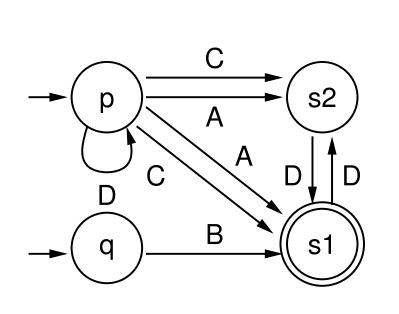
Rule: 
$$pC \hookrightarrow pAD$$
 Path:  $p \xrightarrow{A} s_1 \xrightarrow{D} s_2$ 

If the right-hand side of a rule can be read, add the left-hand side.

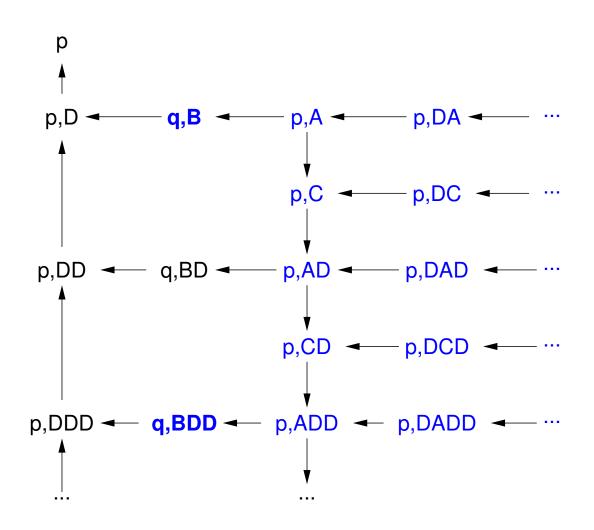


Rule:  $pC \hookrightarrow pAD$  Path:  $p \xrightarrow{A} s_1 \xrightarrow{D} s_2$  New path:  $p \xrightarrow{C} s_2$ 

## **Final result**



Complexity:  $\mathcal{O}(|Q|^2 \cdot |\Delta|)$  time.



We shall show:

Let  $\mathcal{B}$  be the  $\mathcal{P}$ -automaton arising from  $\mathcal{A}$  by applying the saturation rule. Then  $\mathcal{L}(\mathcal{B}) = pre^*(\mathcal{C})$ .

Part 1: Termination

The saturation rule can only be applied finitely many times because no states are added and there are only finitely many possible transitions.

Part 2:  $pre^*(C) \subseteq \mathcal{L}(\mathcal{B})$ 

Let  $c \in pre^*(C)$  and  $c' \in C$  such that c' is reachable from c in k steps. We proceed by induction on k (simple).

#### Part 3: $\mathcal{L}(\mathcal{B}) \subseteq pre^*(\mathcal{C})$

Let  $\rightarrow_{i}$  denote the transition relation of the automaton after the saturation rule has been applied *i* times.

We show the following, more general property: If  $p \stackrel{w}{\to} q$ , then there exist p'w'with  $p' \stackrel{w'}{\to} q$  and  $pw \Rightarrow p'w'$ ; if  $q \in P$ , then additionally  $w' = \varepsilon$ .

Proof by induction over *i*: The base case i = 0 is trivial.

Induction step: Let  $t = (p_1, A, q')$  be the transition added in the *i*-th application and *k* the number of times *t* occurs in the path  $p \xrightarrow{W}_i q$ .

Induction over k: Trivial for k = 0. So let k > 0.

There exist  $p_2$ , p', u, v, w',  $w_2$  with the following properties:

(1) $p \stackrel{u}{\xrightarrow[i-1]{\rightarrow}} p_1 \stackrel{A}{\xrightarrow[i]{\rightarrow}} q' \stackrel{v}{\xrightarrow[i]{\rightarrow}} q$	(splitting the path $p \xrightarrow{w}{i} q$ )
(2) $p_1 A \hookrightarrow p_2 w_2$	(pre-condition for saturation rule)
(3) $p_2 \stackrel{w_2}{\xrightarrow[i-1]{}} q'$	(pre-condition for saturation rule)
(4) $pu \Rightarrow p_1 \varepsilon$	(ind.hyp. on <i>i</i> )
(5) $p_2 w_2 v \Rightarrow p' w'$	(ind.hyp. on <i>k</i> )
(6) $p' \stackrel{w'}{\to} q$	(ind.hyp. on <i>k</i> )

The desired proof follows from (1), (4), (2), and (5). If  $q \in P$ , then the second part follows from (6) and the fact that  $\mathcal{A}$  is normalized.

Let  $\mathcal{P} = (P, \Gamma, \Delta)$  be a PDS with initial configuration  $c_0$ , let  $\mathcal{T}_{\mathcal{P}}$  denote the corresponding transition system, *AP* a set of atomic propositions, and  $\nu \colon P \times \Gamma^* \to 2^{AP}$ . a valuation function.

 $\mathcal{T}_{\mathcal{P}}$ , *AP*, and  $\nu$  form a Kripke structure  $\mathcal{K}$ ; let  $\phi$  be an LTL formula (over *AP*).

Problem: Does  $\mathcal{K} \models \phi$ ?

Undecidable for arbitrary valuation functions! (could encode undecidable decision problems in  $\nu$  ...)

However, LTL model checking *is* decidable for certain "reasonable" restrictions of  $\nu$ .

In the following, we consider "simple" valuation functions satisfying the following restriction:

 $\nu(pAw) = \nu(pA)$ , for all  $p \in P$ ,  $A \in \Gamma$ , and  $w \in \Gamma^*$ .

In other words, the "head" of a configuration holds all information about atomic propositions.

LTL model checking is decidable for such "simple" valuations.

Same principle as for finite Kripke structures:

Translate  $\neg \phi$  into a Büchi automaton  $\mathcal{B}$ .

Build the cross product of  $\mathcal{K}$  and  $\mathcal{B}$ .

Test the cross product for emptiness.

Note that the cross product is not a Büchi automaton in this case, but another pushdown system (with a Büchi-style acceptance condition).

The cross product is a new pushdown system Q, as follows:

Let  $\mathcal{P} = (P, \Gamma, \Delta)$  be a PDS,  $p_0 w_0$  the initial configuration, and  $AP, \nu$  as usual.

Let  $\mathcal{B} = (Q, 2^{AP}, q_0, T, F)$  be the Büchi automaton for  $\neg \phi$ .

Construction of Q:

 $Q = (P \times Q, \Gamma, \Delta')$ , where

 $(p,q)A \hookrightarrow (p',q')w \in \Delta'$  iff

 $- pA \hookrightarrow p'w \in \Delta$  and

 $-(q, L, q') \in T$  such that  $\nu(pA) = L$ .

Initial configuration:  $(p_0, q_0) w_0$ 

Let  $\rho$  be a run of Q with  $\rho(i) = (p_i, q_i) w_i$ .

We call  $\rho$  accepting if  $q_i \in F$  for infinitely many values of *i*.

The following is easy to see:

 $\mathcal{P}$  does not satisfy  $\phi$  iff there exists an accepting run in  $\mathcal{Q}$ .

Question: If there an accepting run starting at  $(p_0, q_0) w_0$ ?

In the following, we shall consider the following, more general global model-checking problem:

Compute *all* configurations *c* such that there exists an accepting run starting at *c*.

Lemma: There is an accepting run starting at *c* iff there exists  $(p, q) \in P \times Q$ ,  $A \in \Gamma$  with the following properties:

(1)  $c \Rightarrow (p, q) Aw$  for some  $w \in \Gamma^*$ 

(2)  $(p,q)A \Rightarrow (p,q)Aw'$  for some  $w' \in \Gamma^*$ , where

the path from (p, q)A to (p, q)Aw' contains at least one step; the path contains at least one accepting Büchi state. We call (p, q)A a repeating head if (p, q)A satisfies properties (1) and (2).

Strategy:

1. Compute all repeating heads (p, q)A.

E.g., check for each head if  $(p, q)A \in pre^*(\{(p, q)Aw \mid w \in \Gamma^*\})$ .

(Additionally, one needs to check whether an accepting state is visited along the way, which can be encoded into the control state.)

2. Compute the set  $pre^*(\{(p,q)Aw \mid (p,q)A \text{ is a repeating head, } w \in \Gamma^*\})$