

Partial-order reduction

We shall discuss a method for reducing the problem of **state-space explosion**.

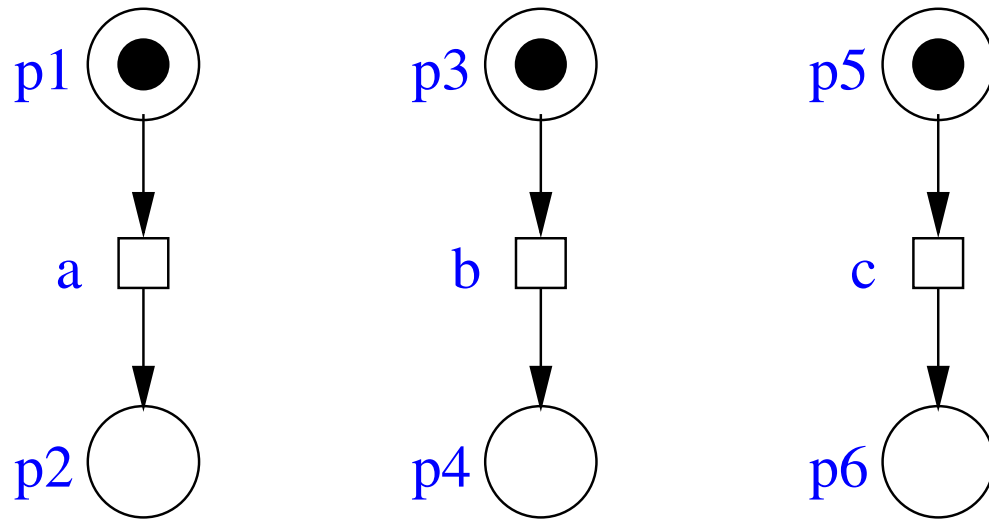
The technique we shall study works well for **concurrent** systems and **linear-time** logic.

Input: a high-level description of a system (e.g., a description or programming language) and an LTL formula ϕ

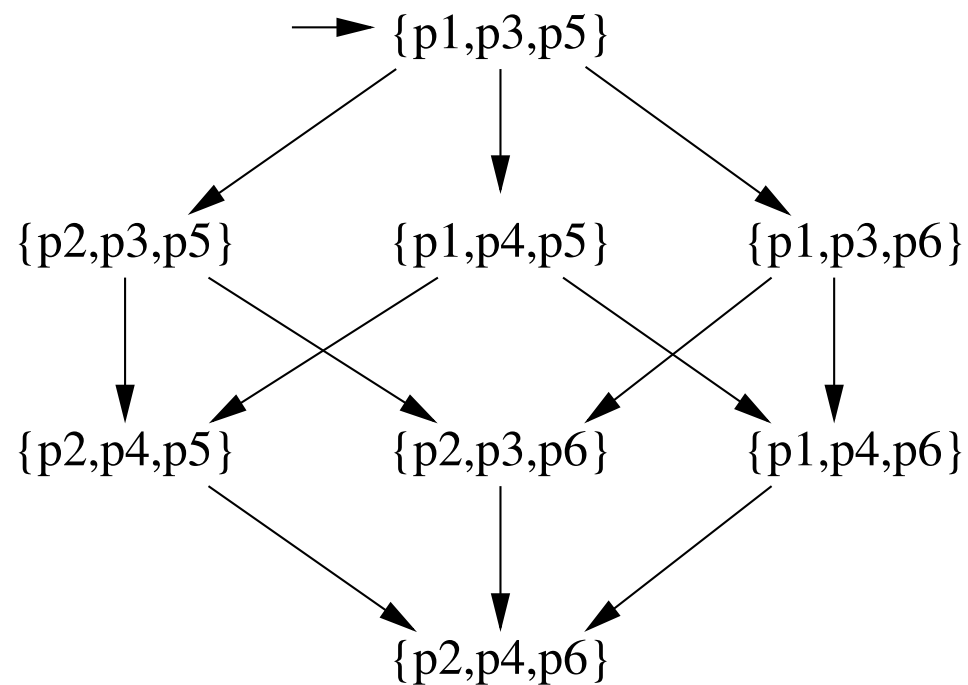
Goal: Determine whether ϕ holds without exploring the entire Kripke structure associated with the system.

Example

Consider three processes in parallel, each of which can make one step.



The reachability graph has got $8 = 2^3$ states and $6 = 3!$ possible paths.



For n components we have 2^n states and $n!$ paths.

Another example: Leader-election protocol

(due to Dolev, Klawe, Rodeh 1982).

The protocol consists of n participants (where n is a parameter). The participants are connected by a ring of unidirectional message channels. Communication is asynchronous, and the channels are reliable. Each participant has a unique ID (e.g., some random number).

Goal: The participants communicate to elect a “leader” (i.e., some distinguished participant).

The Spin tool

Spin is a versatile LTL model-checking tool written by **Gerard Holzmann** at **Bell Labs**.

Received the ACM Software System Award in 2002

URL: <http://spinroot.com>

Book: Holzmann, [The Spin Model Checker](#)

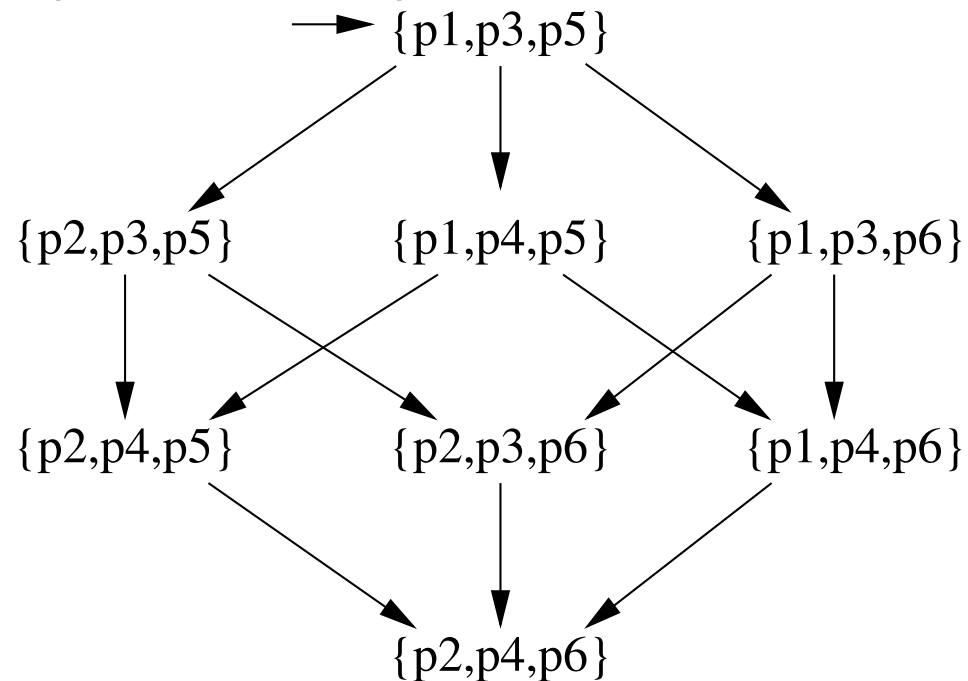
The state space of the leader-election protocol is exponential in n .

Spin, when run on a representation of the protocol **without optimizations**, runs out of memory for fewer than 10 participants. (**Demo**)

We shall look at a method for alleviating this problem.

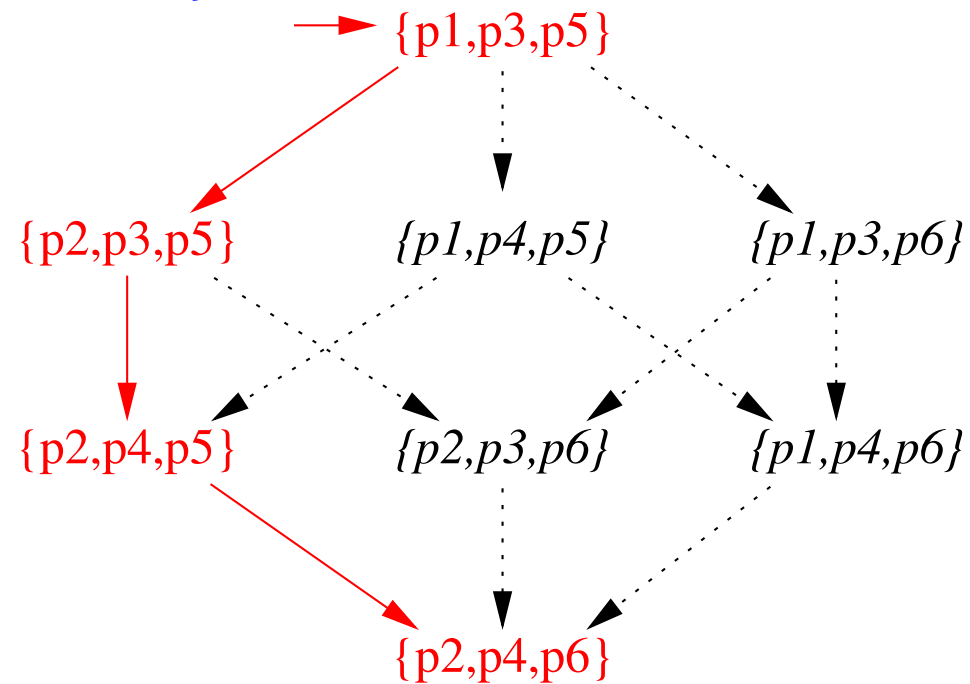
Partial-order reduction

Let us reconsider the previous example.



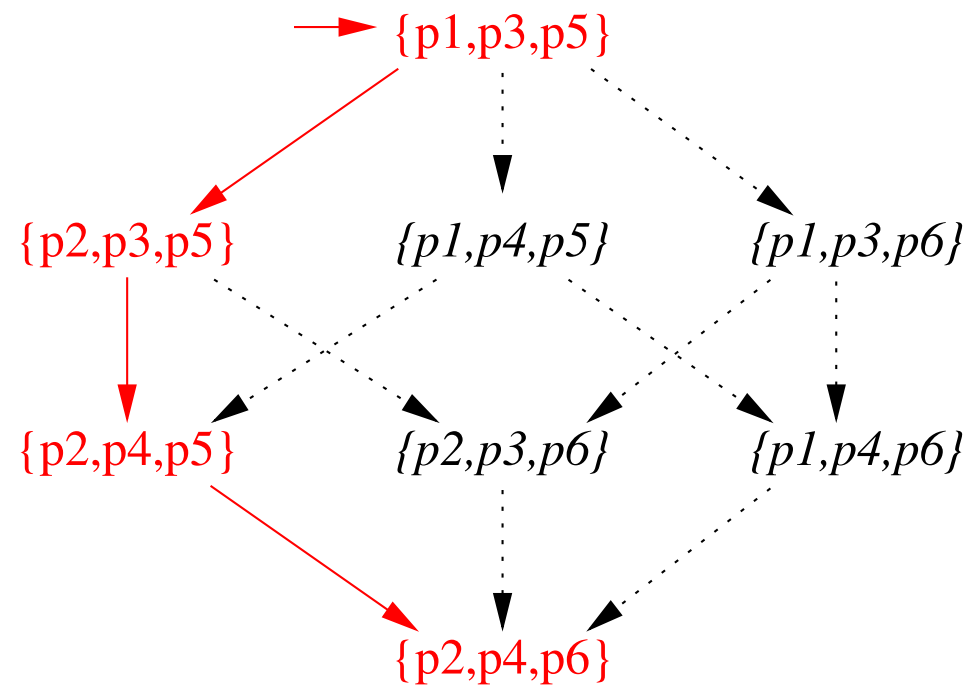
For n components we have 2^n states and $n!$ paths.

All paths lead to $\{p_2, p_4, p_6\}$.



Idea: **reduce** size by considering only one path

Caution: Obviously, this is only possible if the paths are “equivalent”.



I.e., in the eliminated states nothing “interesting” happens.

Partial-order techniques

Partial-order techniques aim to reduce state-space explosion due to concurrency.

One tries to exploit *independences* between transitions, e.g.

Assignments of variables that do not depend upon each other:

$$x := z + 5 \quad || \quad y := w + z$$

Send and receive on FIFO channels that are neither empty nor full.

Idea: avoid exploring all interleavings of independent transitions

correctness depends on whether the property of interest does not distinguish between different such interleavings

may reduce the state space by an exponential factor

Method considered here: ample sets (use for LTL)

On-the-fly Model Checking

Important: It would be pointless to construct \mathcal{K} first and then reduce its size.

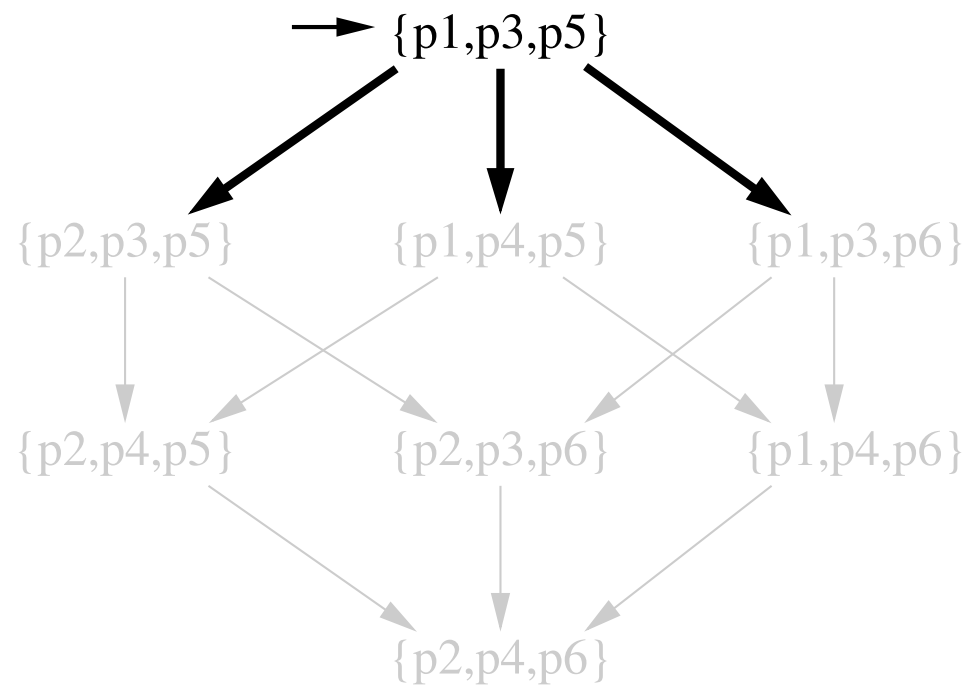
(does not save space, we can analyze \mathcal{K} during construction anyway)

Thus: The reduction must be done “on-the-fly”, i.e. while \mathcal{K} is being constructed (from a compact description such as a Promela model) and during analysis.

⇒ combination with depth-first search

Reduction and DFS

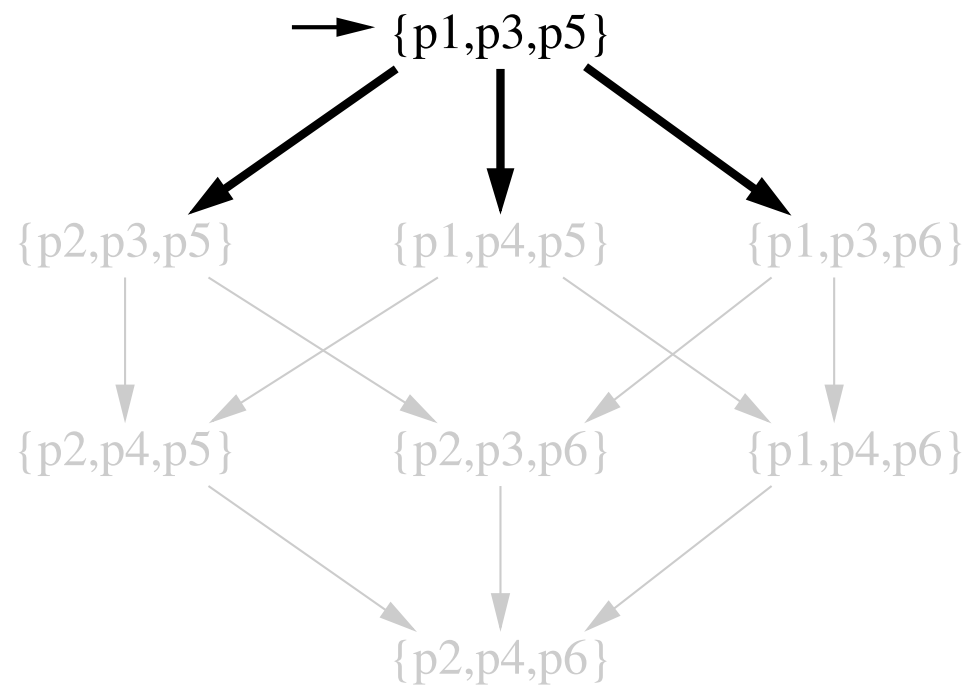
We must decide which paths to explore *at this moment*.



I.e. before having constructed (or “seen”) the rest!

Reduction and DFS

We must decide which paths to explore *at this moment*.



→ only possible with additional information!

Additional information

Transitions labelled with **actions**.

extracted from the underlying description of \mathcal{K} , e.g. the statements of a Promela model etc

Information about **independence** between actions

Do two actions influence each other?

Information about **visibility** of actions

Can an action influence the validity of any atomic proposition?

Labelled Kripke structures

We extend our model with **actions**:

$$\mathcal{K} = (S, A, \rightarrow, r, AP, \nu)$$

S , r , AP , ν as before, A is a set of **actions**, and $\rightarrow \subseteq S \times A \times S$.

We assume forthwith that transitions are **deterministic**, i.e. for each $s \in S$ and $a \in A$ there is at most one $s' \in S$ such that $(s, a, s') \in \rightarrow$.

$en(s) := \{ a \mid \exists s' : (s, a, s') \in \rightarrow \}$ are called the **enabled actions** in s .

Independence

$I \subseteq A \times A$ is called **independence relation** for \mathcal{K} if:

for all $a \in A$ we have $(a, a) \notin I$ (irreflexivity);

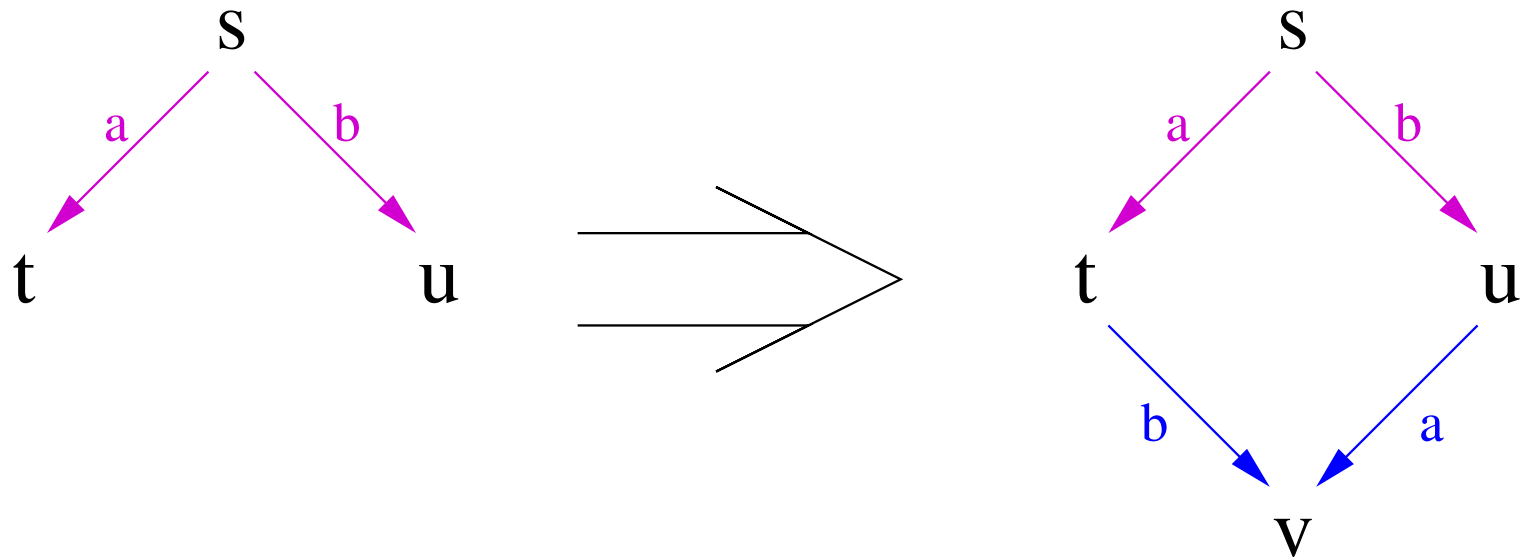
for all $(a, b) \in I$ we have $(b, a) \in I$ (symmetry);

for all $(a, b) \in I$ and all $s \in S$ we have:

if $a, b \in en(s)$, $s \xrightarrow{a} t$, and $s \xrightarrow{b} u$,

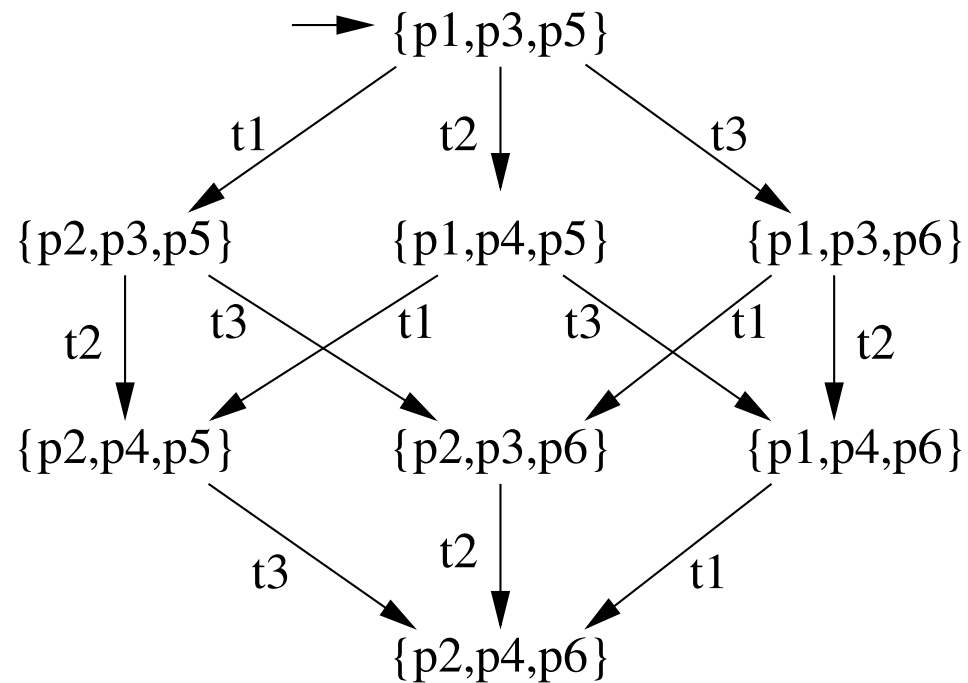
then there exists v such that $a \in en(u)$, $b \in en(t)$, $t \xrightarrow{b} v$ and $u \xrightarrow{a} v$.

Independence



Independence: Example

In the example below all pairs of actions are independent.



Remark: In general, an independence relation may not be transitive!

(In-)Visibility

$U \subseteq A$ is called an **invisibility set**, if all $a \in U$ have the following property:

for all $(s, a, s') \in \rightarrow$ we have: $\nu(s) = \nu(s')$.

I.e., no action in U ever changes the validity of an atomic proposition.

Motivation: Interleavings of visible actions may not be eliminated because they might influence the validity of LTL properties.

Remarks

Sources for I and U : “external” knowledge about the model and the actions possible in it

e.g. addition is commutative, structural information about a Petri net,...

will *not* be obtained from first constructing all of \mathcal{K} !

Every (symmetric) subset of an independence relation remains an independence relation, every subset of an invisibility set remains an invisibility set.

→ conservative approximation possible

But: The bigger I and U are, the more information we have at hand to improve the reduction.

In the following, we assume some fixed independence relation I and invisibility set U .

We call a and b **independent** if $(a, b) \in I$, and **dependent** otherwise.

We call a **invisible** if $a \in U$, and **visible** otherwise.

Preview

We first define a notion of “equivalent” runs.

We then consider some conditions on the reduction guaranteeing that every equivalence class is preserved in the reduced system.

Stuttering equivalence

Definition: Let σ, ρ be infinite sequences over 2^{AP} . We call σ and ρ **stuttering equivalent** iff there are integer sequences

$$0 = i_0 < i_1 < i_2 < \dots \text{ and } 0 = k_0 < k_1 < k_2 < \dots,$$

such that for all $\ell \geq 0$:

$$\begin{aligned} \sigma(i_\ell) &= \sigma(i_\ell + 1) = \dots = \sigma(i_{\ell+1} - 1) = \\ \rho(k_\ell) &= \rho(k_\ell + 1) = \dots = \rho(k_{\ell+1} - 1) \end{aligned}$$

(I.e., σ and ρ can be partitioned into “blocks” of possibly differing sizes, but with the same valuations.)

We extend this notion to Kripke structures:

Let $\mathcal{K}, \mathcal{K}'$ be two Kripke structures with the same set of atomic propositions AP .

\mathcal{K} and \mathcal{K}' are called **stuttering equivalent** iff for every sequence in $[[\mathcal{K}]]$ there exists a stuttering equivalent sequence in $[[\mathcal{K}']]$, and vice versa.

I.e., $[[\mathcal{K}]]$ and $[[\mathcal{K}']]$ contain the same equivalence classes of runs.

Invariance under stuttering

Let ϕ be an LTL formula. We call ϕ **invariant under stuttering** iff for all stuttering-equivalent pairs of sequences σ and ρ :

$$\sigma \in \llbracket \phi \rrbracket \quad \text{iff} \quad \rho \in \llbracket \phi \rrbracket.$$

Put differently: ϕ cannot distinguish stuttering-equivalent sequences. (And neither stuttering-equivalent Kripke structures.)

Theorem: Any LTL formula that does not contain an **X** operator is invariant under stuttering.

Proof: Exercise.

Strategy

We replace \mathcal{K} by a stuttering-equivalent, smaller structure \mathcal{K}' .

Then we check whether $\mathcal{K}' \models \phi$, which is equivalent to $\mathcal{K} \models \phi$ (if ϕ does not contain any \mathbf{X}).

We generate \mathcal{K}' by performing a DFS on \mathcal{K} , and in each step eliminating certain successor states, based on the knowledge about properties of actions that is imparted by I and U .

The method presented here is called the **ample set** method.

Ample sets

For every state s we compute a set $red(s) \subseteq en(s)$; $red(s)$ contains the actions whose corresponding successor states will be explored.

(partially conflicting) goals:

$red(s)$ must be chosen in such a way that stuttering equivalence is guaranteed.

The choice of $red(s)$ should reduce \mathcal{K} strongly.

The computation of $red(s)$ should be efficient.

Conditions for Ample Sets

C0: $red(s) = \emptyset$ iff $en(s) = \emptyset$

C1: Every path of \mathcal{K} starting at a state s satisfies the following: no action that depends on some action in $red(s)$ occurs before an action from $red(s)$.

C2: If $red(s) \neq en(s)$ then all actions in $red(s)$ are invisible.

C3: For all cycles in \mathcal{K}' the following holds: if $a \in en(s)$ for some state s in the cycle, then $a \in red(s')$ for some (possibly other) state s' in the cycle.

Idea

C0 ensures that no additional deadlocks are introduced.

C1 and C2 ensure that every stuttering-equivalence class of runs is preserved.

C3 ensures that enabled actions cannot be omitted forever.

Example

Pseudocode program with two concurrent processes:

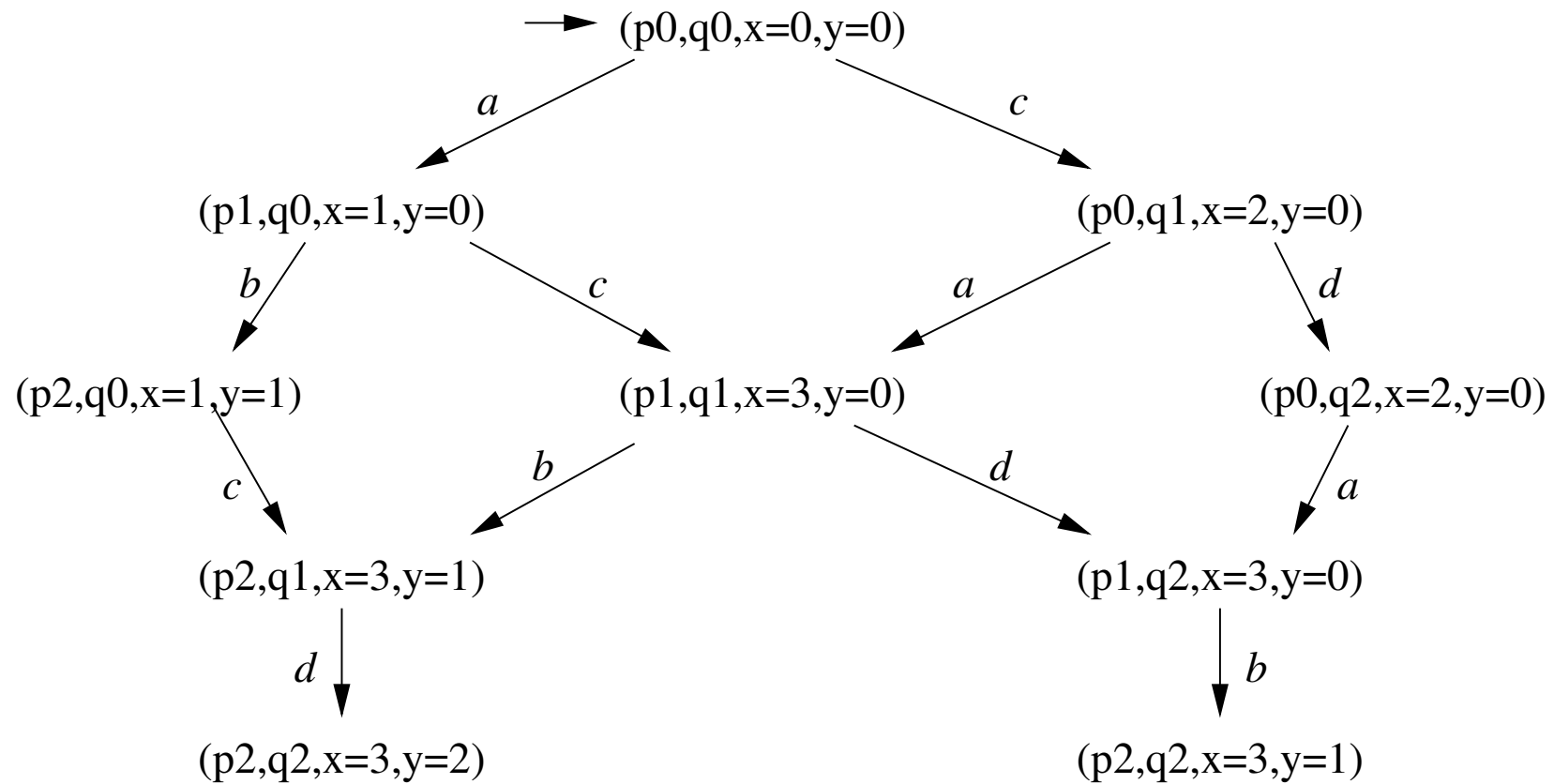
```
int x,y init 0;  
cobegin { P || Q } coend
```

P = p0: $x := x + 1$; (action *a*)
 p1: $y := y + 1$; (action *b*)
 p2: **end**

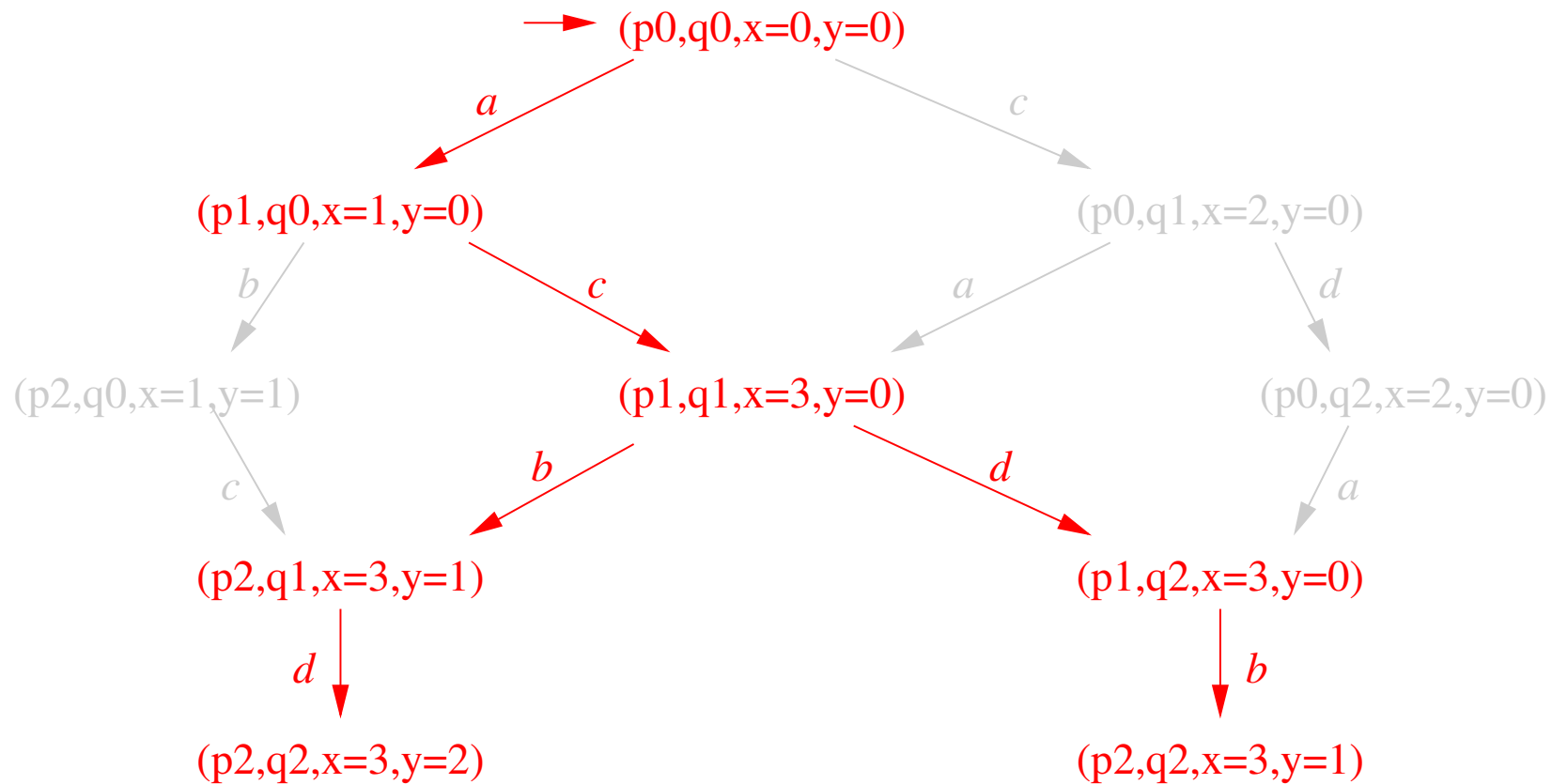
Q = q0: $x := x + 2$; (action *c*)
 q1: $y := y * 2$; (action *d*)
 q2: **end**

b and *d* cannot be independent.

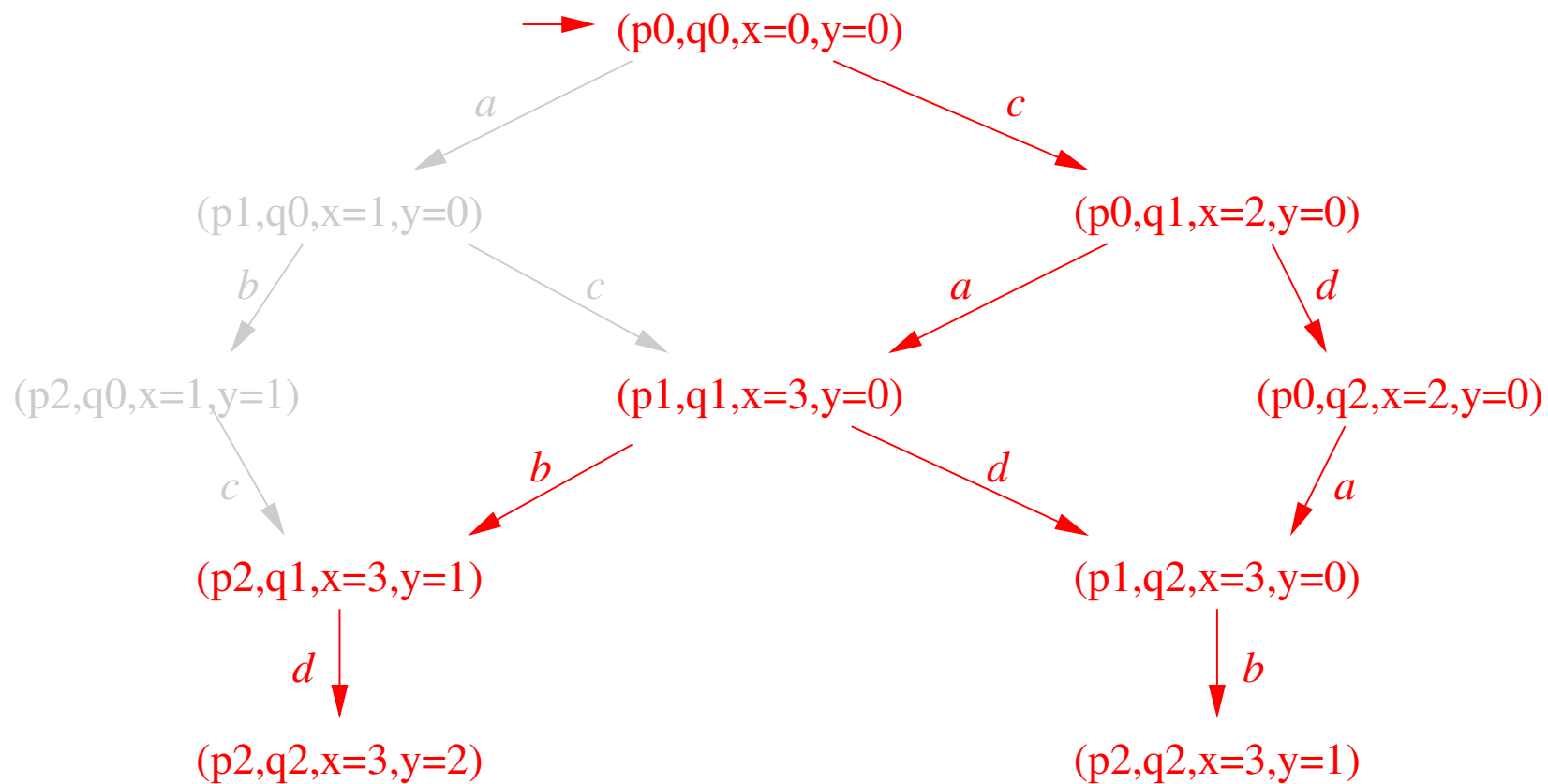
Kripke structure of the example:



Possible reduced structure if all actions are invisible:



Possible reduced structure if a, d are visible:

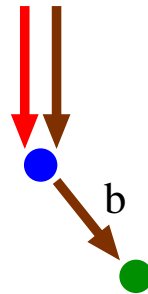


Correctness

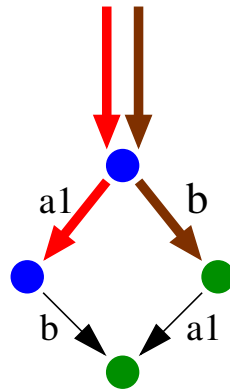
Claim: If *red* satisfies conditions C0 through C3, then \mathcal{K}' is stuttering-equivalent to \mathcal{K} .

Proof (idea): Let σ be an infinite path in \mathcal{K} . We show that in \mathcal{K}' there exists an infinite path τ such that $\nu(\sigma)$ and $\nu(\tau)$ are stuttering-equivalent.

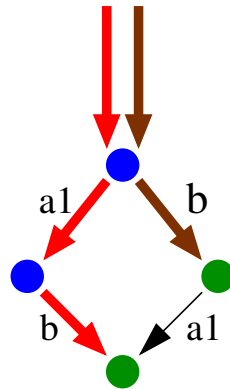
In the following, σ is shown in **brown** and τ in **red**. States known to fulfil the same atomic propositions are drawn in the same colours.



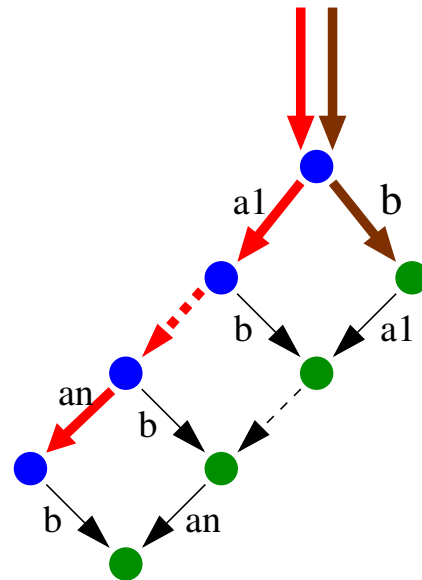
Suppose that the transition labelled with b is the first in σ that is not present in \mathcal{K}' .



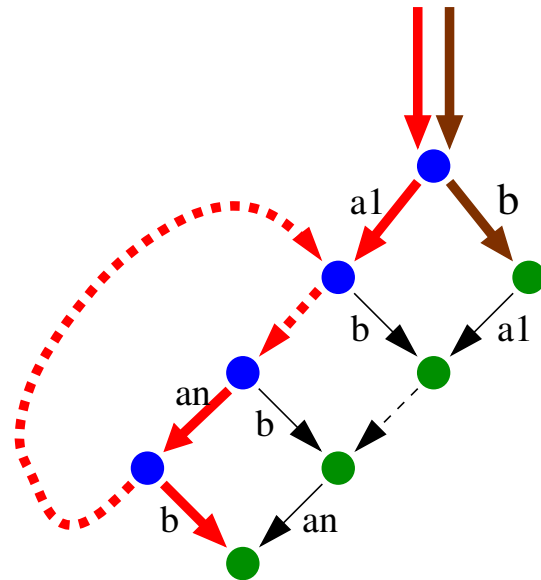
Because of C0 the blue state must have another enabled action, let us call it a_1 .
 a_1 is independent of b (C1) and invisible (C2).



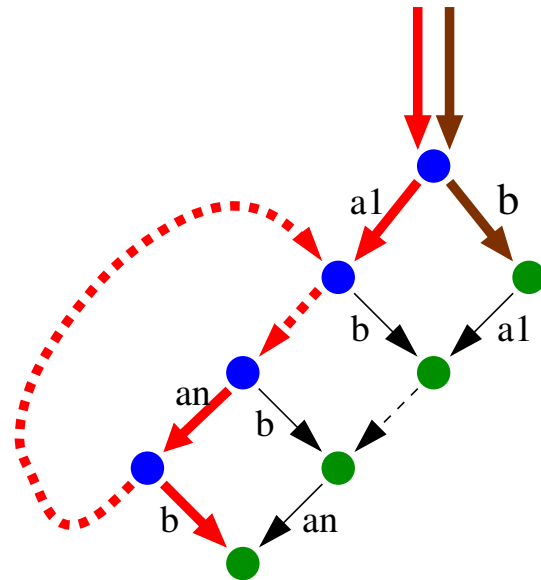
Either the second b -transition is in \mathcal{K}' , then we take τ to be the sequence of red edges...



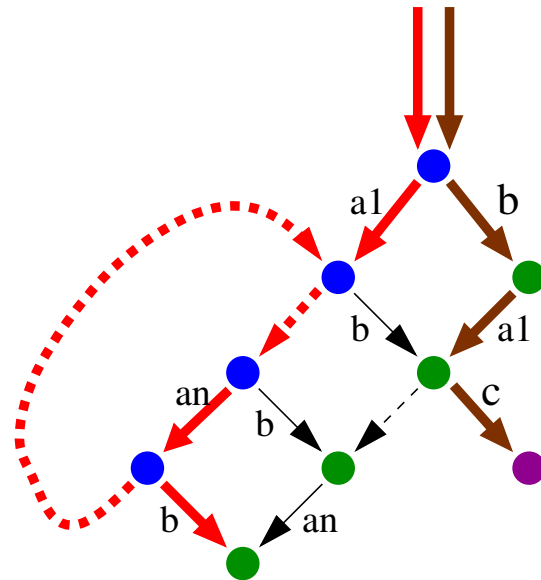
... or b will be “deferred” in favour of a_2, \dots, a_n , all of which are also invisible and independent of b .



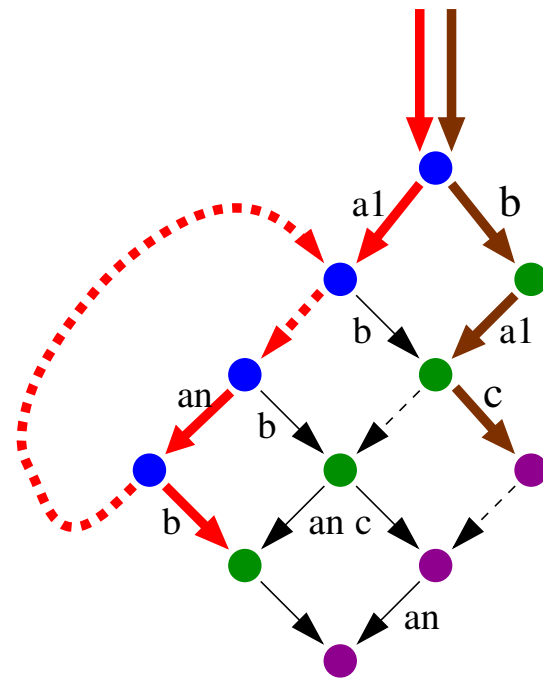
Since \mathcal{K} is finite, this process must either end or create a cycle (in \mathcal{K}'). Because of C3, b must be activated in some state along the cycle.



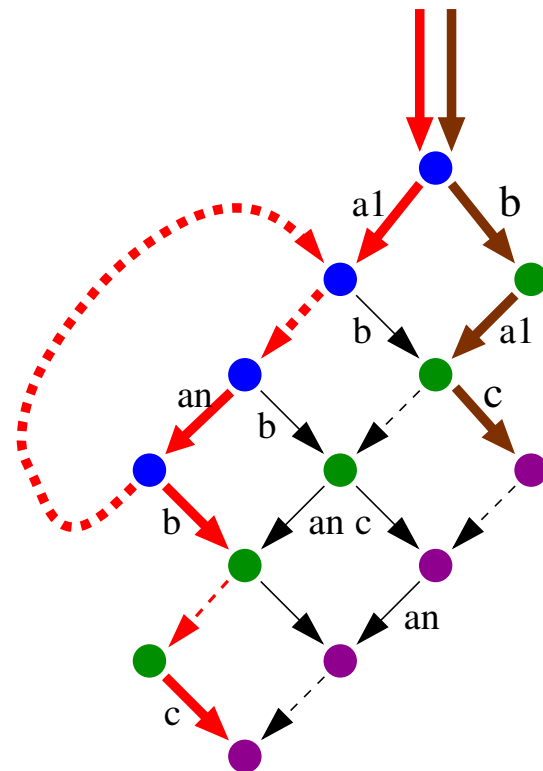
Both σ and τ contain blue states followed by green ones.



σ either continues with a_1, \dots, a_n until the paths “converge”, or it “diverges” again with an action c .



Then, however, c must be independent from a_2, \dots, a_n .



Both the red and the brown path again contain blue, green, and purple states, in that order. The previous arguments can be repeated ad infinitum.

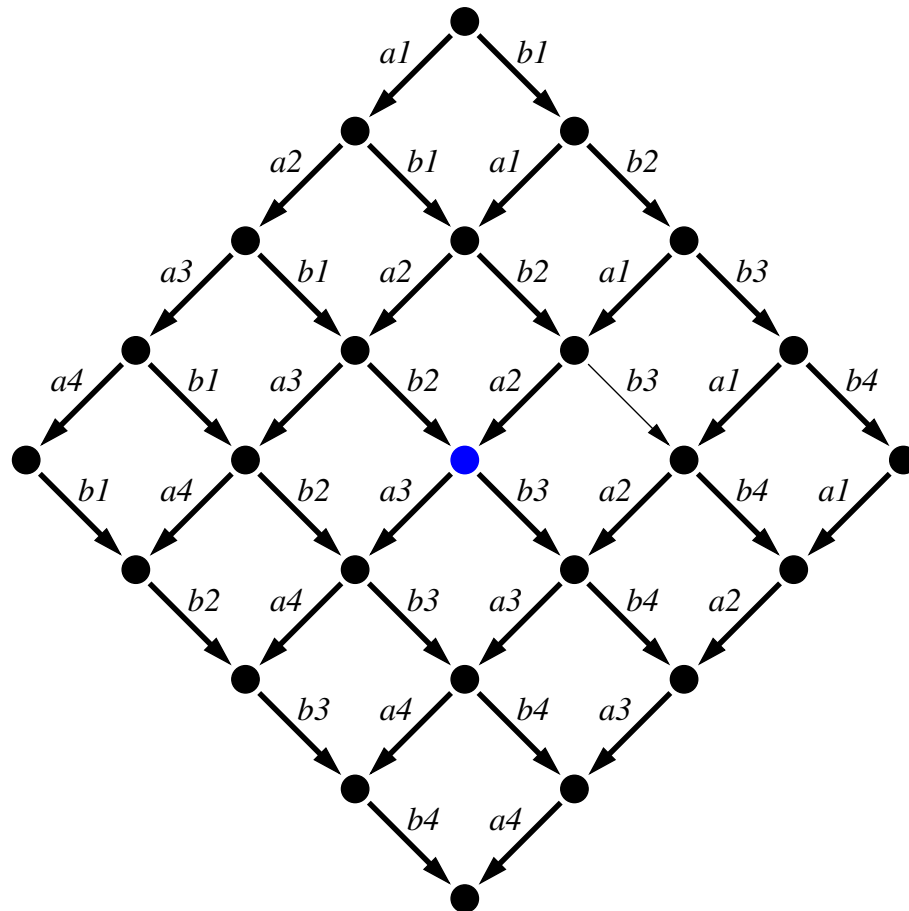
(Non-)Optimality

An ideal reduction would retain only one execution from each stuttering equivalence class.

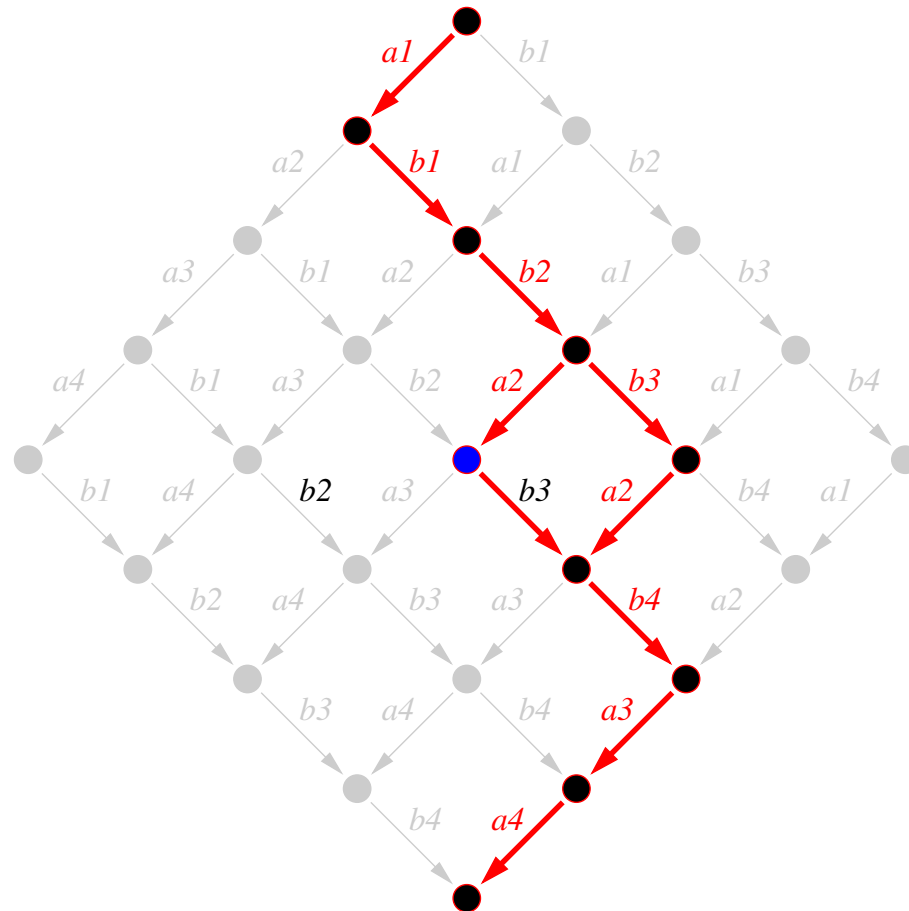
C0–C3 do *not* ensure such an ideal reduction, i.e. the resulting reduced structure is not minimal in general.

Example (see next slide): two parallel processes with four actions each (a_1, \dots, a_4 or b_1, \dots, b_4 , resp.), all independent.

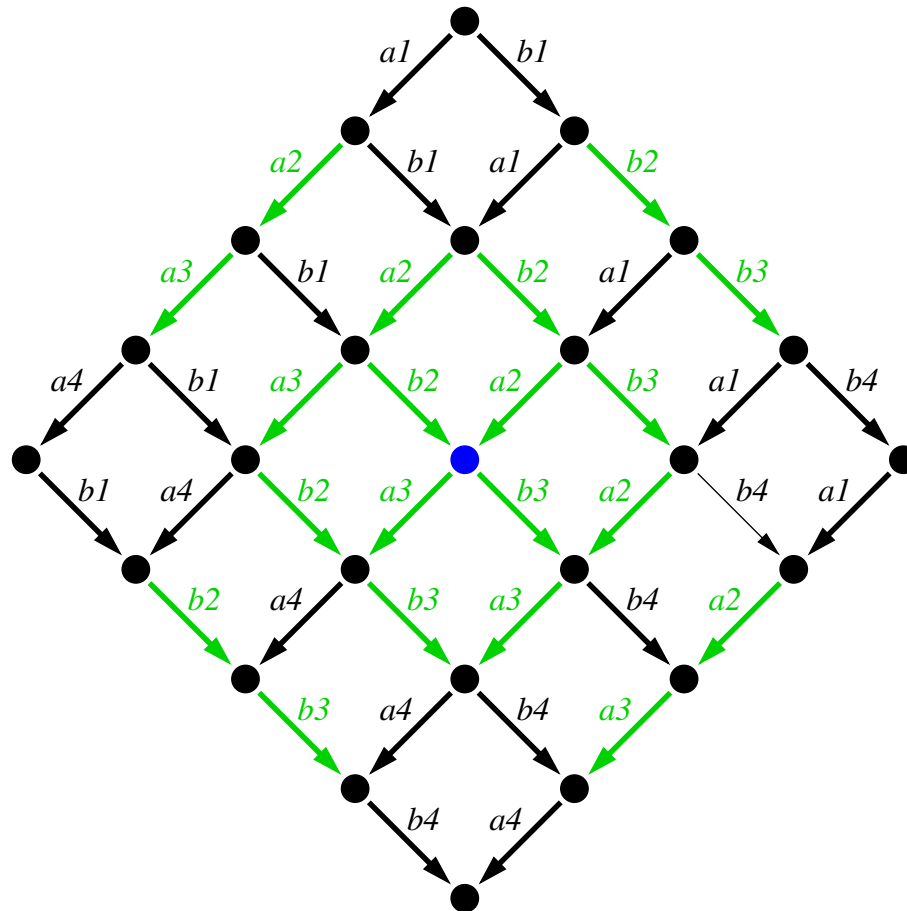
Assume that the valuation of the blue state differs from the others:



Minimal stuttering-equivalent structure:



Visible actions: a_2, a_3, b_2, b_3 (in green):



Smallest structure satisfying C0–C3:

