

# Pushdown systems

# Example 1

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A small program (where  $n \geq 1$ ):

```
bool g=true;
void main() {
    level1();
    level1();
    assume(g);
}
void leveln() {
    g:=not g;
}
void leveli() {
    leveli+1();
    leveli+1();
}
```

Question: Will  $g$  be true when the program terminates?

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Example 1 has got *finitely* many states.

(The call stack is bounded by  $n$ .)

Can be treated by “inlining” (replace procedure calls by a copy of the callee).

Inlining causes an exponential state-space explosion.

Inlining is inefficient: every copy of each procedure will be investigated separately.

Inlining not applicable for **recursive** procedure calls.

## Example 2: Recursive program

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```

procedure  $p$ ;
 $p_0$ : if ? then
 $p_1$ :     call  $s$ ;
 $p_2$ :     if ? then call  $p$ ; end if;
           else
 $p_3$ :     call  $p$ ;
           end if
 $p_4$ : return
  
```

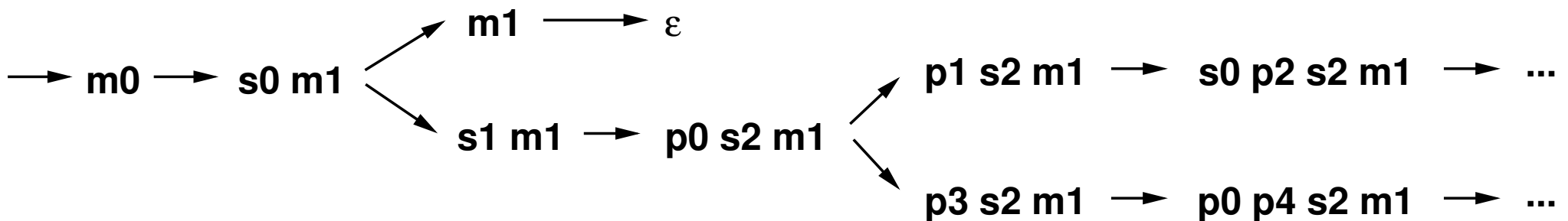
```

procedure  $s$ ;
 $s_0$ : if ? then return; end if;
 $s_1$ : call  $p$ ;
 $s_2$ : return;

procedure  $main$ ;
 $m_0$ : call  $s$ ;
 $m_1$ : return;
  
```

$S = \{p_0, \dots, p_4, s_0, \dots, s_2, m_0, m_1\}^*$ ,

initial state  $m_0$



---

Example 2 has got infinitely many states.

Inlining not applicable!

Cannot be analyzed by naïvely searching all reachable states.

We shall require a *finite* representation of infinitely many states.

## Example 3: Quicksort

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```
void quicksort (int left, int right) {
    int lo,hi,piv;
    if (left >= right) return;
    piv = a[right]; lo = left; hi = right;
    while (lo <= hi) {
        if (a[hi]>piv) {
            hi = hi - 1;
        } else {
            swap a[lo],a[hi];
            lo = lo + 1;
        }
    }
    quicksort(left,hi);
    quicksort(lo,right);
}
```

---

**Question:** Does Example 3 sort correctly? Is termination guaranteed?

The mere structure of Example 3 does not tell us whether there are infinitely many reachable states:

*finitely* many if the program terminates

*infinitely* many if it fails to terminate

Termination can only be checked by directly dealing with infinite state sets.

# A computation model for procedural programs

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## Control flow:

sequential program (no multithreading)

procedures

mutual procedure calls (possibly recursive)

## Data:

global variables (restriction: only **finite memory**)

local variables in each procedure (one copy per call)



# Pushdown systems

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A **pushdown system** (PDS) is a triple  $(P, \Gamma, \Delta)$ , where

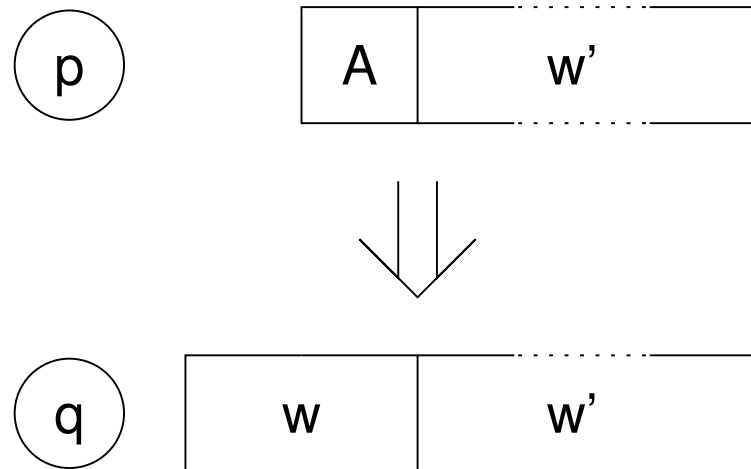
$P$  is a finite set of **control states**;

$\Gamma$  is a finite **stack alphabet**;

$\Delta$  is a finite set of **rules**.

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Rules have the form  $pA \leftrightarrow qw$ , where  $p, q \in P$ ,  $A \in \Gamma$ ,  $w \in \Gamma^*$ .



Like acceptors for context-free language, but without any input!

# Behaviour of a PDS

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Let  $\mathcal{P} = (P, \Gamma, \Delta)$  be a PDS and  $c_0 \in P \times \Gamma^*$ .

With  $\mathcal{P}$  we associate a transition system  $\mathcal{T}_{\mathcal{P}} = (S, \rightarrow, r)$  as follows:

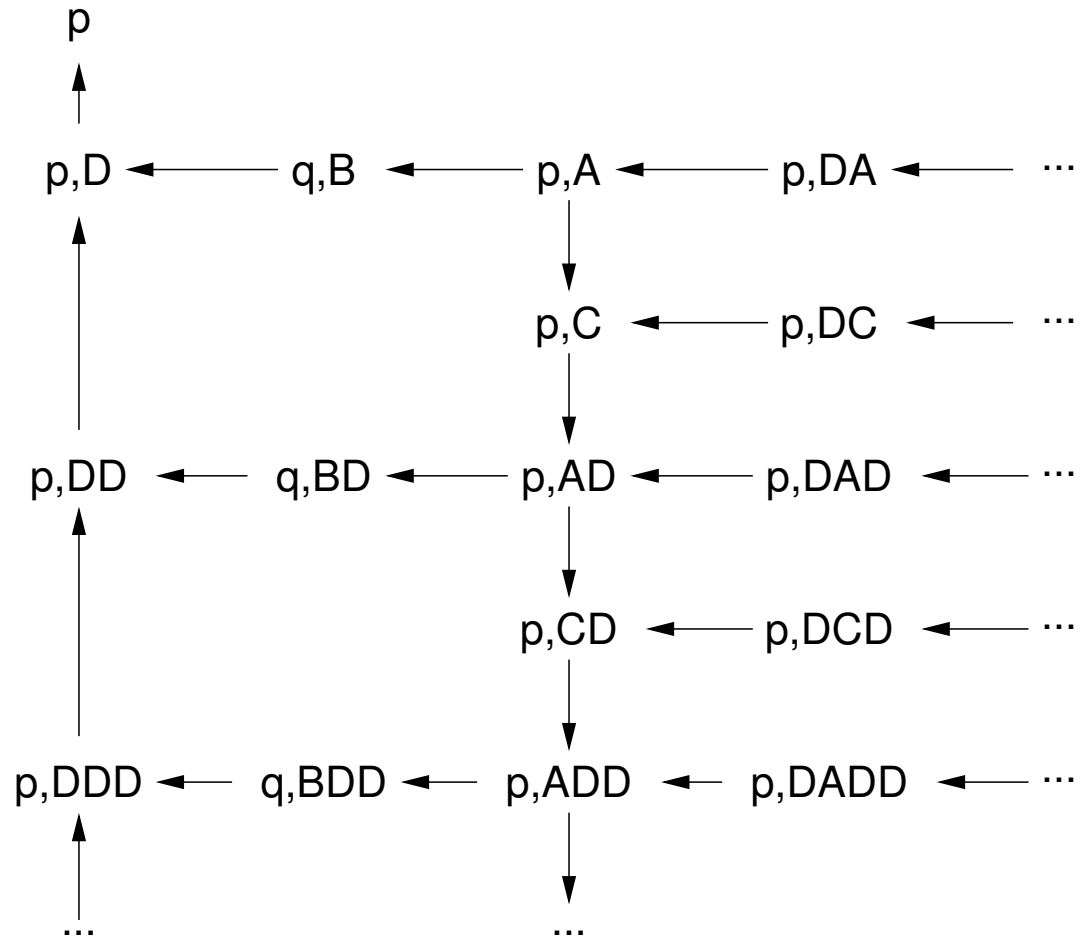
$S = P \times \Gamma^*$  are the states (which we call **configurations**);

we have  $pAw' \rightarrow qww'$  for all  $w' \in \Gamma^*$  iff  $pA \hookrightarrow qw \in \Delta$ ;

$r = c_0$  is the initial configuration.

# Transition system of a PDS

$pA \hookrightarrow qB$   
 $pA \hookrightarrow pC$   
 $qB \hookrightarrow pD$   
 $pC \hookrightarrow pAD$   
 $pD \hookrightarrow p\varepsilon$



# Procedural programs and PDSs

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$P$  may represent the valuations of global variables.

$\Gamma$  may contain tuples of the form (*program counter, local valuations*)

Interpretation of a configuration  $pAw$ :

global values in  $p$ , current procedure with local variables in  $A$

“suspended” procedures in  $w$

Rules:

$pA \hookrightarrow qB \hat{=} \text{statement within a procedure}$

$pA \hookrightarrow qBC \hat{=} \text{procedure call}$

$pA \hookrightarrow q\varepsilon \hat{=} \text{return from a procedure}$

# Reachability in PDS

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Let  $\mathcal{P}$  be a PDS and  $c, c'$  two of its configurations.

**Problem:** Does  $c \rightarrow^* c'$  hold in  $\mathcal{T}_{\mathcal{P}}$ ?

Note:  $\mathcal{T}_{\mathcal{P}}$  has got infinitely many (reachable) states.

Nonetheless, the problem is decidable!

# Finite automata

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To represent (infinite) sets of configurations, we shall employ **finite automata**.

Let  $\mathcal{P} = (P, \Gamma, \Delta)$  be a PDS. We call  $\mathcal{A} = (Q, \Gamma, P, T, F)$  a  $\mathcal{P}$ -automaton.

The alphabet of  $\mathcal{A}$  is the stack alphabet  $\Gamma$ .

The initial states of  $\mathcal{A}$  are the control states  $P$ .

We say that  $\mathcal{A}$  **accepts** the configuration  $pw$  if  $\mathcal{A}$  has got a path labelled by input  $w$  starting at  $p$  and ending at some final state.

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Let  $\mathcal{L}(\mathcal{A})$  be the set of configurations accepted by  $\mathcal{A}$ .

A set  $C$  of configurations is called **regular** iff there is some  $\mathcal{P}$ -automaton  $\mathcal{A}$  with  $\mathcal{L}(\mathcal{A}) = C$ .

An automaton is **normalized** if there are no transitions leading into initial states.

**Remark:** In the following, we shall use the following notation:

$pw \Rightarrow p'w'$  (in the PDS  $\mathcal{P}$ )    and     $p \xrightarrow{w} q$  (in  $\mathcal{P}$ -automata)



# Reachability in PDS

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Let  $pre^*(C) = \{c' \mid \exists c \in C: c' \Rightarrow c\}$  denote the predecessors of  $C$ , and let  $post^*(C) = \{c' \mid \exists c \in C: c \Rightarrow c'\}$  the successors.

The following result is due to Büchi (1964):

Let  $C$  be a regular set and  $\mathcal{A}$  be a normalized  $\mathcal{P}$ -automaton accepting  $C$ .

If  $C$  is regular, then so are  $pre^*(C)$  and  $post^*(C)$ .

Moreover,  $\mathcal{A}$  can be transformed into an automaton accepting  $pre^*(C)$  resp.  $post^*(C)$ .

## The basic idea (for $pre$ )

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**Saturation rule:** Add new transitions to  $\mathcal{A}$  as follows:

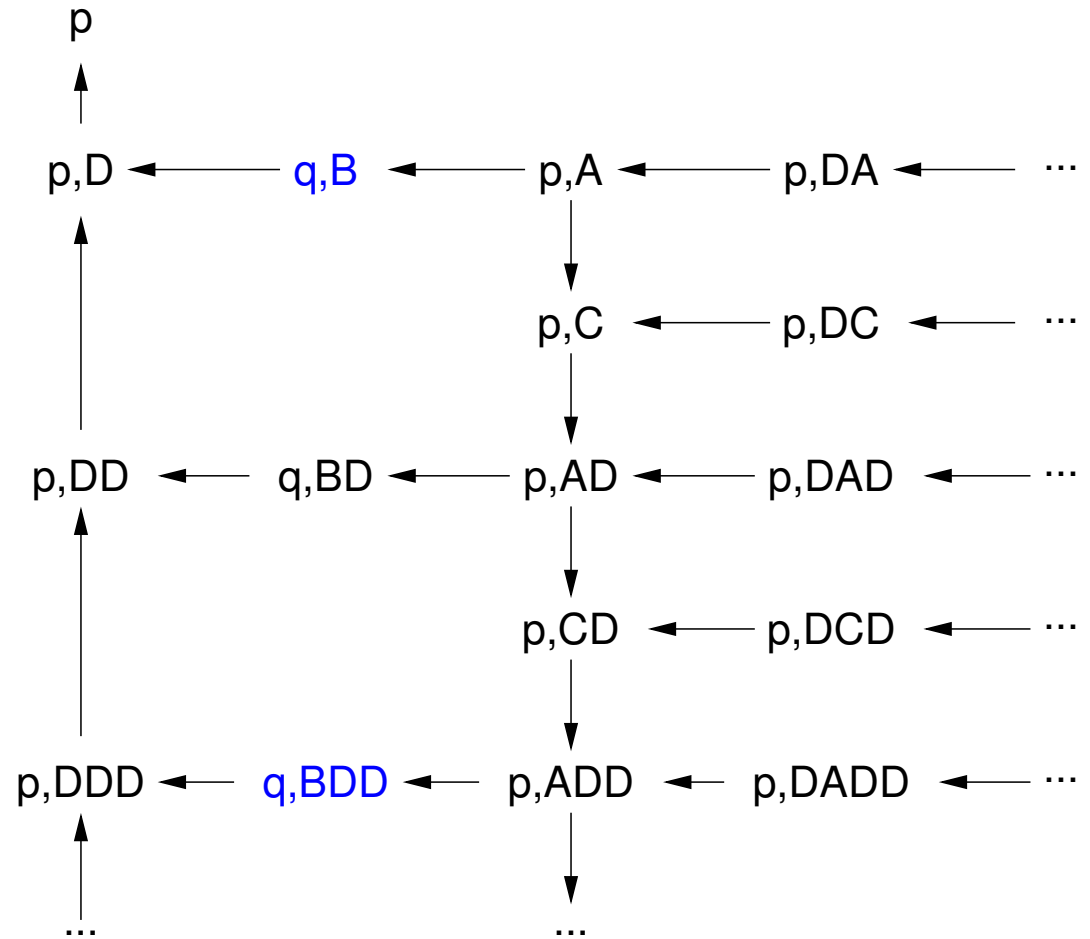
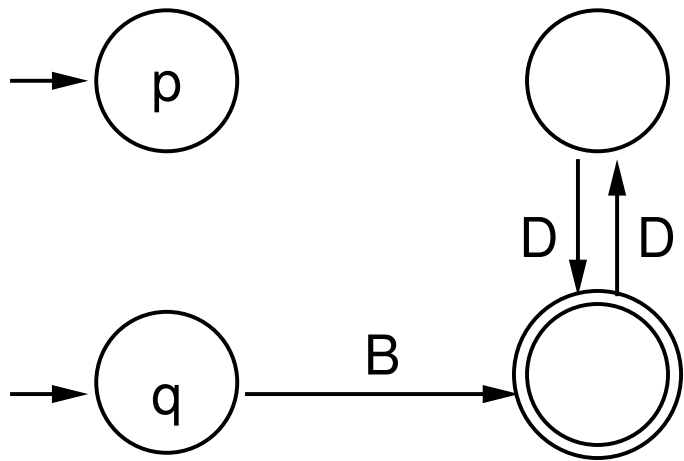
If  $q \xrightarrow{w} r$  currently holds in  $\mathcal{A}$  and  $pA \leftrightarrow qw$  is a rule, then add the transition  $(p, A, r)$  to  $\mathcal{A}$ .

Repeat this until no other transition can be added.

At the end, the resulting automaton accepts  $pre^*(C)$ .

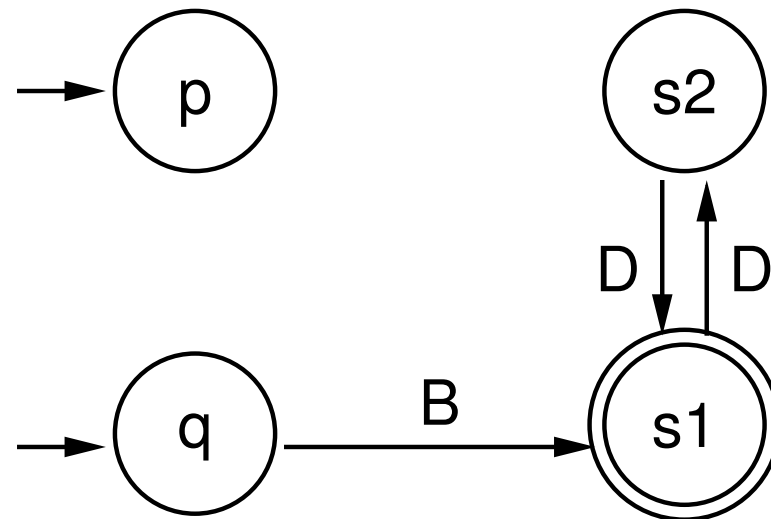
For  $post^*(C)$ : similar procedure.

# Automaton $\mathcal{A}$ for $C$



# Extending $\mathcal{A}$

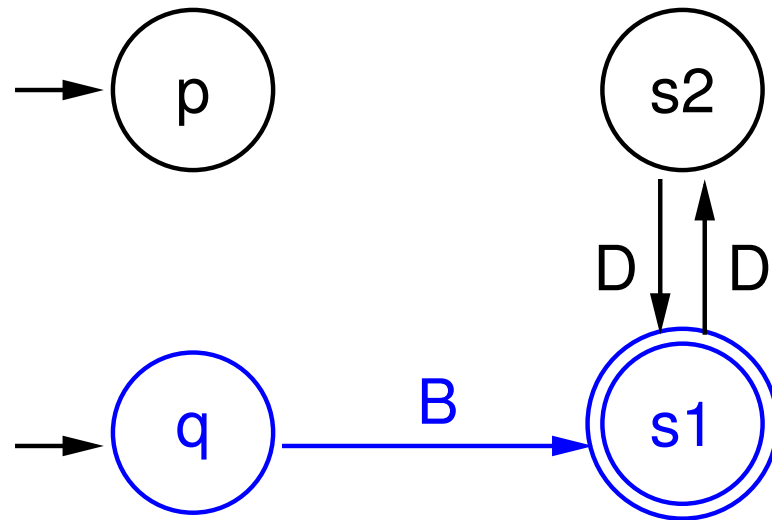
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# Extending $\mathcal{A}$

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If the right-hand side of a rule can be read,

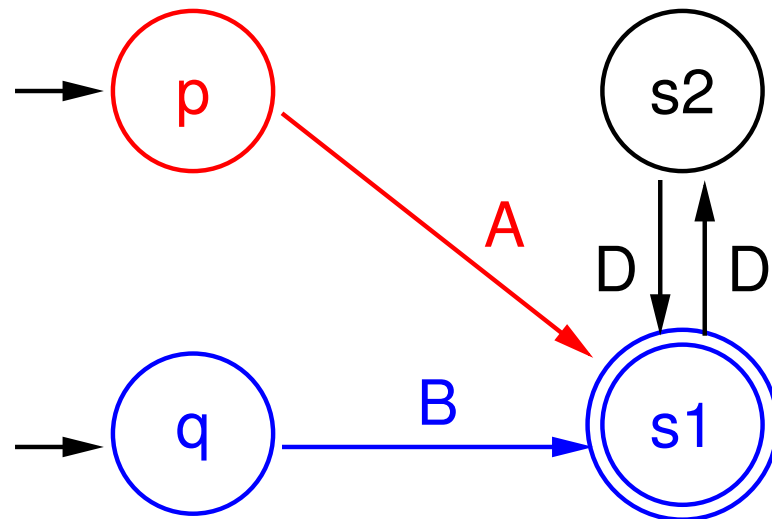


Rule:  $pA \hookrightarrow qB$       Path:  $q \xrightarrow{B} s_1$

# Extending $\mathcal{A}$

---

If the right-hand side of a rule can be read, add the left-hand side.



Rule:  $pA \hookrightarrow qB$

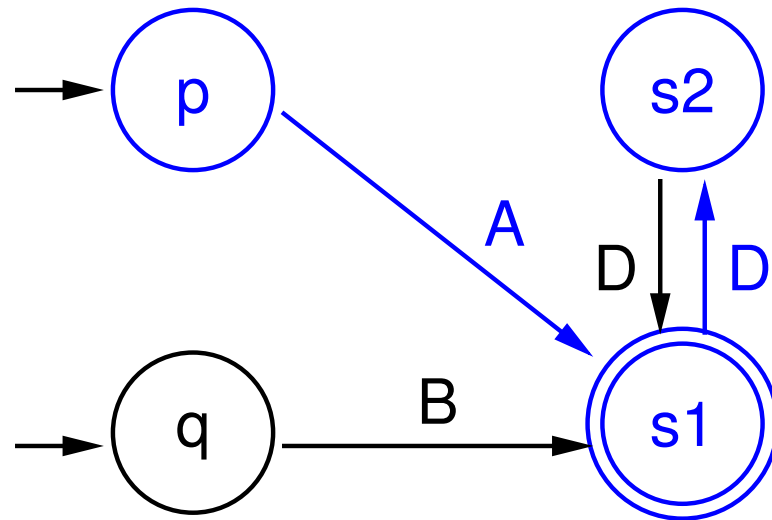
Path:  $q \xrightarrow{B} s_1$

New path:  $p \xrightarrow{A} s_1$

# Extending $\mathcal{A}$

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If the right-hand side of a rule can be read,



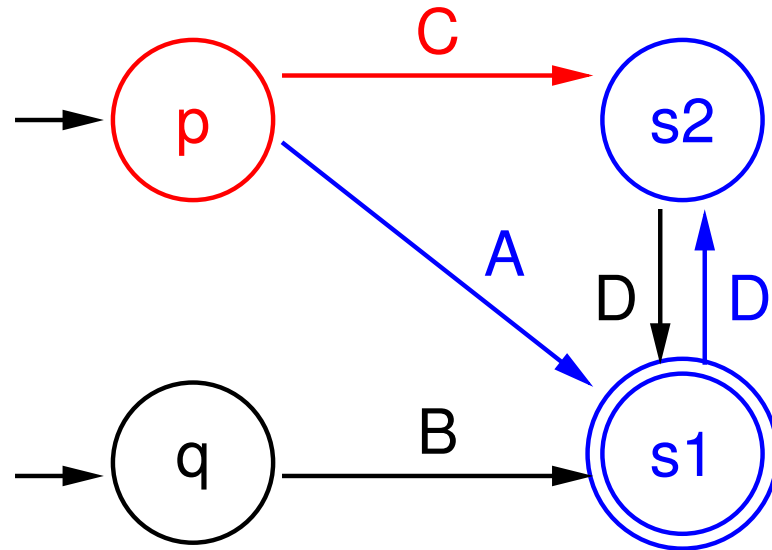
Rule:  $pC \hookrightarrow pAD$

Path:  $p \xrightarrow{A} s_1 \xrightarrow{D} s_2$

# Extending $\mathcal{A}$

---

If the right-hand side of a rule can be read, add the left-hand side.



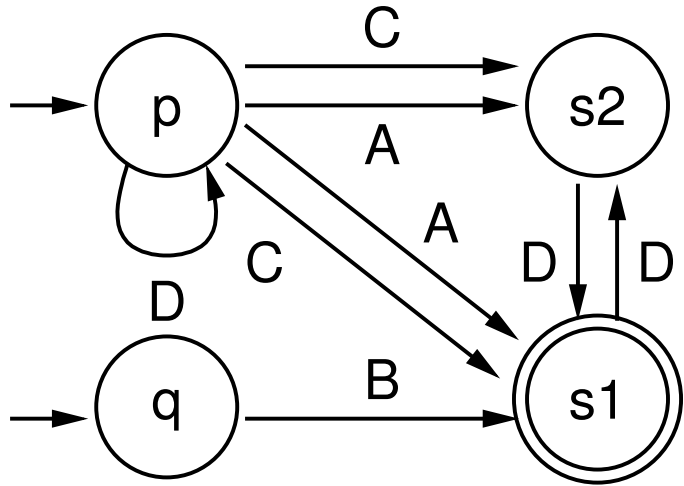
Rule:  $pC \hookrightarrow pAD$

Path:  $p \xrightarrow{A} s_1 \xrightarrow{D} s_2$

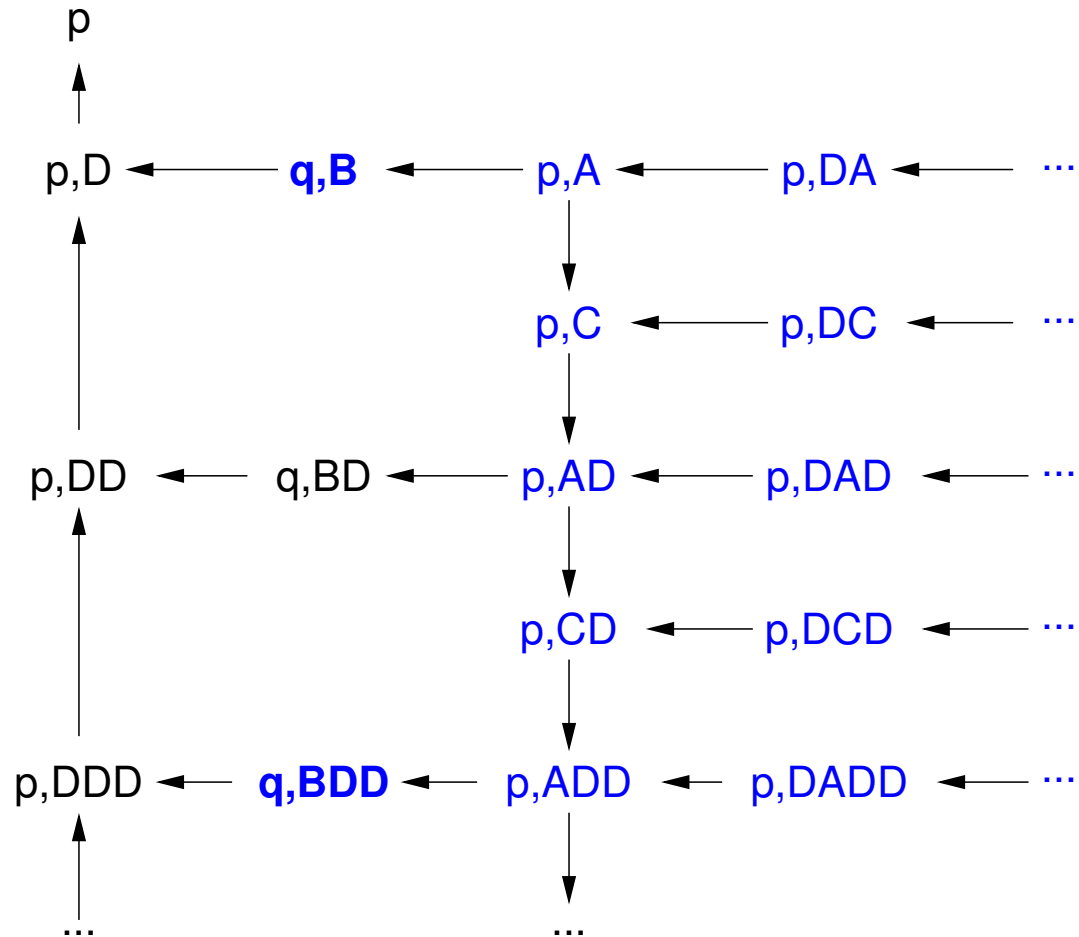
New path:  $p \xrightarrow{C} s_2$



# Final result



Complexity:  
 $\mathcal{O}(|Q|^2 \cdot |\Delta|)$  time.



# Proof of correctness

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We shall show:

Let  $\mathcal{B}$  be the  $\mathcal{P}$ -automaton arising from  $\mathcal{A}$  by applying the saturation rule.  
Then  $\mathcal{L}(\mathcal{B}) = pre^*(C)$ .

Part 1: Termination

The saturation rule can only be applied finitely many times because no states are added and there are only finitely many possible transitions.

Part 2:  $pre^*(C) \subseteq \mathcal{L}(\mathcal{B})$

Let  $c \in pre^*(C)$  and  $c' \in C$  such that  $c'$  is reachable from  $c$  in  $k$  steps. We proceed by induction on  $k$  (simple).

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Part 3:  $\mathcal{L}(\mathcal{B}) \subseteq pre^*(\mathcal{C})$

Let  $\xrightarrow{i}$  denote the transition relation of the automaton after the saturation rule has been applied  $i$  times.

We show the following, more general property: If  $p \xrightarrow{i}^w q$ , then there exist  $p'w'$  with  $p' \xrightarrow{0}^{w'} q$  and  $pw \Rightarrow p'w'$ ; if  $q \in P$ , then additionally  $w' = \varepsilon$ .

Proof by induction over  $i$ : The base case  $i = 0$  is trivial.

Induction step: Let  $t = (p_1, A, q')$  be the transition added in the  $i$ -th application and  $j$  the number of times  $t$  occurs in the path  $p \xrightarrow{i}^w q$ .

Induction over  $j$ : Trivial for  $j = 0$ . So let  $j > 0$ .

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There exist  $p_2, p', u, v, w', w_2$  with the following properties:

- (1)  $p \xrightarrow{i-1}^u p_1 \xrightarrow{i}^A q' \xrightarrow{i}^v q$  (splitting the path  $p \xrightarrow{i}^w q$ )
- (2)  $p_1 A \hookrightarrow p_2 w_2$  (pre-condition for saturation rule)
- (3)  $p_2 \xrightarrow{i-1}^{w_2} q'$  (pre-condition for saturation rule)
- (4)  $pu \Rightarrow p_1 \varepsilon$  (ind.hyp. on  $i$ )
- (5)  $p_2 w_2 v \Rightarrow p' w'$  (ind.hyp. on  $j$ )
- (6)  $p' \xrightarrow{0}^{w'} q$  (ind.hyp. on  $j$ )

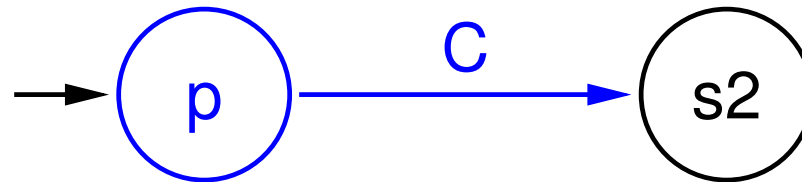
The desired proof follows from (1), (4), (2), and (5).

If  $q \in P$ , then the second part follows from (6) and the fact that  $A$  is normalized.

# Example: $post^*$ (without proof)

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If the *left-hand side* of a rule can be read,



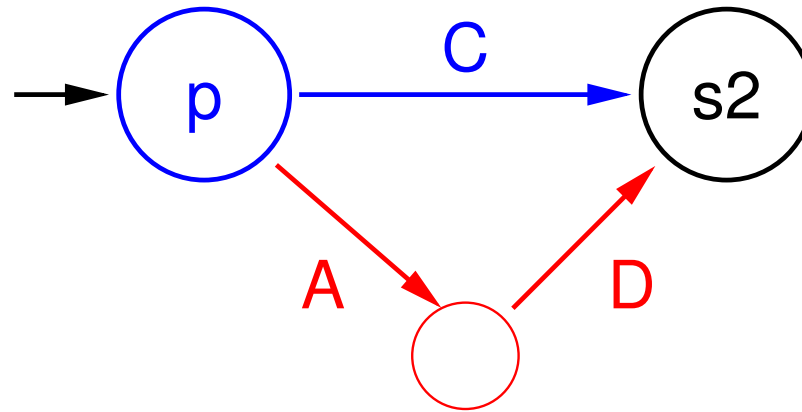
Rule:  $pC \hookrightarrow pAD$

Path:  $p \xrightarrow{C} s_2$

# Example: $post^*$ (without proof)

---

If the *left-hand side* of a rule can be read, add the *right-hand side*.



Rule:  $pC \hookrightarrow pAD$

Path:  $p \xrightarrow{C} s_2$

New Path:  $p \xrightarrow{AD} s_2$

# LTL and Pushdown Systems

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Let  $\mathcal{P} = (P, \Gamma, \Delta)$  be a PDS with initial configuration  $c_0$ , let  $\mathcal{T}_{\mathcal{P}}$  denote the corresponding transition system,  $AP$  a set of atomic propositions, and  $\nu: P \times \Gamma^* \rightarrow 2^{AP}$  a valuation function.

$\mathcal{T}_{\mathcal{P}}$ ,  $AP$ , and  $\nu$  form a Kripke structure  $\mathcal{K}$ ; let  $\phi$  be an LTL formula (over  $AP$ ).

**Problem:** Does  $\mathcal{K} \models \phi$ ?

Undecidable for arbitrary valuation functions!

(could encode undecidable decision problems in  $\nu \dots$ )

However, LTL model checking *is* decidable for certain “reasonable” restrictions of  $\nu$ .

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In the following, we consider “simple” valuation functions satisfying the following restriction:

$$\nu(pAw) = \nu(pA), \text{ for all } p \in P, A \in \Gamma, \text{ and } w \in \Gamma^*.$$

In other words, the “head” of a configuration holds all information about atomic propositions.

LTL model checking is decidable for such “simple” valuations.



# Approach

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Same principle as for finite Kripke structures:

Translate  $\neg\phi$  into a Büchi automaton  $\mathcal{B}$ .

Build the cross product of  $\mathcal{K}$  and  $\mathcal{B}$ .

Test the cross product for emptiness.

Note that the cross product is not a Büchi automaton in this case, but another pushdown system (with a Büchi-style acceptance condition).

# Büchi PDS

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The cross product is a new pushdown system  $\mathcal{Q}$ , as follows:

Let  $\mathcal{P} = (P, \Gamma, \Delta)$  be a PDS,  $p_0 w_0$  the initial configuration, and  $AP, \nu$  as usual.

Let  $\mathcal{B} = (Q, 2^{AP}, q_0, T, F)$  be the Büchi automaton for  $\neg\phi$ .

Construction of  $\mathcal{Q}$ :

$\mathcal{Q} = (P \times Q, \Gamma, \Delta')$ , where

$(p, q)A \hookrightarrow (p', q')w \in \Delta'$  iff

- $pA \hookrightarrow p'w \in \Delta$  and
- $(q, L, q') \in T$  such that  $\nu(pA) = L$ .

Initial configuration:  $(p_0, q_0)w_0$

---

Let  $\rho$  be a run of  $\mathcal{Q}$  with  $\rho(i) = (p_i, q_i)w_i$ .

We call  $\rho$  **accepting** if  $q_i \in F$  for infinitely many values of  $i$ .

The following is easy to see:

$\mathcal{P}$  does *not* satisfy  $\phi$  iff there exists an accepting run in  $\mathcal{Q}$ .

# Characterization of accepting runs

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**Question:** If there an accepting run starting at  $(p_0, q_0)w_0$ ?

In the following, we shall consider the following, more general **global** model-checking problem:

Compute *all* configurations  $c$  such that there exists an accepting run starting at  $c$ .

**Lemma:** There is an accepting run starting at  $c$  iff there exists  $(p, q) \in P \times Q$ ,  $A \in \Gamma$  with the following properties:

(1)  $c \Rightarrow (p, q)Aw$  for some  $w \in \Gamma^*$

(2)  $(p, q)A \Rightarrow (p, q)Aw'$  for some  $w' \in \Gamma^*$ , where

the path from  $(p, q)A$  to  $(p, q)Aw'$  contains at least one step;

the path contains at least one accepting Büchi state.

# Repeating heads

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We call  $(p, q)A$  a **repeating head** if  $(p, q)A$  satisfies properties (1) and (2).

Strategy:

1. Compute all repeating heads.

E.g., check for each pair  $(p, q)A$  whether

$(p, q)A \in pre^*(\{(p, q)Aw \mid w \in \Gamma^*\})$ . Visiting an accepting state can be encoded into the control state. (This is a simple but naïve method, one can do better.)

2. Compute the set  $pre^*(\{(p, q)Aw \mid (p, q)A \text{ is a repeating head, } w \in \Gamma^*\})$