

Memo on Logics over Finite Trees

Sylvain Schmitz
ENS Paris-Saclay, France

We recall the syntax and semantics of two logics on finite trees: monadic second-order logic (MSO) and propositional dynamic logic (PDL). These are actually special cases of the same logics on finite relational structures, and we present the general framework.

1 Trees as Relational Structures

Relational Structures. We consider finite *relational signatures* $\sigma = ((R_i)_{1 \leq i \leq n})$ where each relation symbol R_i has a fixed arity $r_i > 0$. A σ -*structure* is a tuple $\mathfrak{M} = (|\mathfrak{M}|, (R_i^{\mathfrak{M}})_{1 \leq i \leq n})$ where $|\mathfrak{M}|$ is the domain and each $R_i^{\mathfrak{M}}$ is an ‘interpretation’ of R_i as a relation in $|\mathfrak{M}|^{r_i}$; when the particular structure is clear from the context, we omit the \mathfrak{M} superscripts in interpretation. A structure is finite if $|\mathfrak{M}|$ is finite.

Ranked Trees. Recall that a (finite ordered) ranked tree t over some finite ranked alphabet \mathcal{F} can be seen as a partial function from $\mathbb{N}_{>0}^*$ to \mathcal{F} . Let $k \stackrel{\text{def}}{=} \max_{\mathcal{F}, i \neq \emptyset} i$ be the maximal arity in \mathcal{F} . We consider a finite set of atomic predicates A ; typically $A = \mathcal{F}$, but in some applications one prefers $2^A = \mathcal{F}$. We shall use $A \stackrel{\text{def}}{=} \mathcal{F}$ here.

Ranked trees t in $T(\mathcal{F})$ can be seen as relational structures with domain $\text{Pos}(t)$ over the signature $(\downarrow_1, \dots, \downarrow_k, (P_a)_{a \in A})$: we interpret the relations by

$$\begin{aligned} \downarrow_i &\stackrel{\text{def}}{=} \{(p, pi) \in \text{Pos}(t)^2\} && \text{for all } 1 \leq i \leq k, \\ P_a &\stackrel{\text{def}}{=} \{p \in \text{Pos}(t) \mid t(p) = a\} && \text{for all } a \in A. \end{aligned}$$

Other relational signatures are of course possible, for instance including

$$\begin{aligned} \downarrow &\stackrel{\text{def}}{=} \{(p, pi) \in \text{Pos}(t)^2 \mid i \in \mathbb{N}_{>0}\}, \\ \downarrow^* &\stackrel{\text{def}}{=} \{(p, pp') \in \text{Pos}(t)^2 \mid p' \in \mathbb{N}_{>0}^*\}. \end{aligned}$$

Unranked Trees. An unranked tree t over a finite alphabet Σ can similarly be seen as a relational structure with domain $\text{Pos}(t)$ for the signature $(\downarrow, \rightarrow, (P_a)_{a \in A})$ and $A \stackrel{\text{def}}{=} \Sigma$: we interpret the relations by

$$\begin{aligned} \downarrow &\stackrel{\text{def}}{=} \{(p, pi) \in \text{Pos}(t)^2 \mid i \in \mathbb{N}_{>0}\}, \\ \rightarrow &\stackrel{\text{def}}{=} \{(pi, p(i+1)) \in \text{Pos}(t)^2 \mid i \in \mathbb{N}_{>0}\}, \\ P_a &\stackrel{\text{def}}{=} \{p \in \text{Pos}(t) \mid t(p) = a\} && \text{for all } a \in A. \end{aligned}$$

Again, other relational signature are possible.

2 Monadic Second-Order Logic & Co.

Syntax. Consider a finite signature $\sigma = ((R_i)_{1 \leq i \leq n})$. Let \mathcal{X}_1 and \mathcal{X}_2 be two infinite countable disjoint sets of first-order and second-order variables. The set of MSO(σ) formulæ is defined by the abstract syntax

$$\psi ::= R_i(x_1, \dots, x_{r_i}) \mid x = x' \mid x \in X \mid \neg\psi \mid \psi \wedge \psi \mid \exists x.\psi \mid \exists X.\psi$$

where $1 \leq i \leq n$, $x, x', x_1, \dots \in \mathcal{X}_1$, and $X \in \mathcal{X}_2$. The set of FO(σ) formulæ is defined by removing second-order quantification and $x \in X$ predicates:

$$\psi ::= R_i(x_1, \dots, x_{r_i}) \mid x = x' \mid \neg\psi \mid \psi \wedge \psi \mid \exists x.\psi .$$

Semantics. Given a σ -structure $\mathfrak{M} = (|\mathfrak{M}|, (R_i)_{1 \leq i \leq n})$ and two valuations $\nu_1: \mathcal{X}_1 \rightarrow |\mathfrak{M}|$ and $\nu_2: \mathcal{X}_2 \rightarrow 2^{|\mathfrak{M}|}$, we say that \mathfrak{M} *satisfies* ψ and write $\mathfrak{M} \models_{\nu_1, \nu_2} \psi$ in the following situations:

$\mathfrak{M} \models_{\nu_1, \nu_2} R_i(x_1, \dots, x_{r_i})$	if $(\nu_1(x_1), \dots, \nu_1(x_{r_i})) \in R_i$,
$\mathfrak{M} \models_{\nu_1, \nu_2} x = x'$	if $\nu_1(x) = \nu_1(x')$,
$\mathfrak{M} \models_{\nu_1, \nu_2} x \in X$	if $\nu_1(x) \in \nu_2(X)$,
$\mathfrak{M} \models_{\nu_1, \nu_2} \neg\psi$	if $\mathfrak{M} \not\models_{\nu_1, \nu_2} \psi$,
$\mathfrak{M} \models_{\nu_1, \nu_2} \psi \wedge \psi'$	if $\mathfrak{M} \models_{\nu_1, \nu_2} \psi$ and $\mathfrak{M} \models_{\nu_1, \nu_2} \psi'$,
$\mathfrak{M} \models_{\nu_1, \nu_2} \exists x.\psi$	if $\exists w \in \mathfrak{M} $, $\mathfrak{M} \models_{\nu_1[x \mapsto w], \nu_2} \psi$,
$\mathfrak{M} \models_{\nu_1, \nu_2} \exists X.\psi$	if $\exists S \subseteq \mathfrak{M} $, $\mathfrak{M} \models_{\nu_1, \nu_2[X \mapsto S]} \psi$.

Examples on Unranked Trees. Over finite unranked trees and the signature $(\downarrow, \rightarrow, (P_a)_{a \in A})$, one typically defines the following first-order formulæ:

$\text{root}(x) \stackrel{\text{def}}{=} \neg \exists y (y \downarrow x)$	$\text{leaf}(x) \stackrel{\text{def}}{=} \neg \exists y (x \downarrow y)$
$\text{first}(x) \stackrel{\text{def}}{=} \neg \exists y (y \rightarrow x)$	$\text{last}(x) \stackrel{\text{def}}{=} \neg \exists y (x \rightarrow y)$

and the following MSO formulæ:

$$x \downarrow^* y \stackrel{\text{def}}{=} \forall X. (x \in X \wedge (\forall z \forall z' (z \in X \wedge z \downarrow z' \Rightarrow z' \in X)) \Rightarrow y \in X)$$

$$x \rightarrow^* y \stackrel{\text{def}}{=} \forall X. (x \in X \wedge (\forall z \forall z' (z \in X \wedge z \rightarrow z' \Rightarrow z' \in X)) \Rightarrow y \in X) .$$

Finally, we say that a tree t satisfies ψ if there exist ν_1 and ν_2 such that $t \models_{\nu_1, \nu_2} \psi$, and we define the *language* of ψ as $L(\psi) \stackrel{\text{def}}{=} \{t \in T(\Sigma) \mid \exists \nu_1, \nu_2, t \models_{\nu_1, \nu_2} \psi\}$.

3 Propositional Dynamic Logic

Here we assume that all the relational symbols in $\sigma = ((R_i)_{1 \leq i \leq n}, (P_p)_{p \in A})$ to be either binary for all $(R_i)_{1 \leq i \leq n}$ or unary for all $(P_p)_{p \in A}$. The definitions can actually be extended to higher arities.

Syntax. There are two sorts of PDL formulæ: *node formulæ* hold in particular points of the structure (called ‘worlds’ in the modal logic literature), while *path formulæ* hold between points. We present here a version of PDL with *converse*

$$\varphi ::= \top \mid p \mid \neg\varphi \mid \varphi \wedge \varphi \mid \langle \pi \rangle \varphi, \quad (\text{node formulæ})$$

$$\pi ::= R_i \mid \varphi? \mid \pi^{-1} \mid \pi; \pi \mid \pi + \pi \mid \pi^*, \quad (\text{path formulæ})$$

where p ranges over A and $1 \leq i \leq n$.

Semantics. A node formula φ is satisfied in a world $w \in |\mathfrak{M}|$ of a σ -structure $\mathfrak{M} = (|\mathfrak{M}|, (R_i)_{1 \leq i \leq n}, (P_p)_{p \in A})$, denoted $\mathfrak{M}, w \models \varphi$, in the following situations:

$$\begin{aligned} \mathfrak{M}, w \models \top & \quad \text{always,} \\ \mathfrak{M}, w \models p & \quad \text{if } w \in P_p, \\ \mathfrak{M}, w \models \neg\varphi & \quad \text{if } \mathfrak{M}, w \not\models \varphi, \\ \mathfrak{M}, w \models \varphi \wedge \varphi' & \quad \text{if } \mathfrak{M}, w \models \varphi \text{ and } \mathfrak{M}, w \models \varphi', \\ \mathfrak{M}, w \models \langle \pi \rangle \varphi & \quad \text{if } \exists w' \in |\mathfrak{M}|, \mathfrak{M}, w, w' \models \pi \text{ and } \mathfrak{M}, w' \models \varphi'. \end{aligned}$$

Similarly, a path formula π is satisfied between two worlds w and w' of \mathfrak{M} , denoted $\mathfrak{M}, w, w' \models \pi$, in the following situations:

$$\begin{aligned} \mathfrak{M}, w, w' \models R_i & \quad \text{if } (w, w') \in R_i, \\ \mathfrak{M}, w, w' \models \varphi? & \quad \text{if } w = w' \text{ and } \mathfrak{M}, w \models \varphi, \\ \mathfrak{M}, w, w' \models \pi^{-1} & \quad \text{if } \mathfrak{M}, w', w \models \pi, \\ \mathfrak{M}, w, w' \models \pi; \pi' & \quad \text{if } \exists w'' \in |\mathfrak{M}|, \mathfrak{M}, w, w'' \models \pi \text{ and } \mathfrak{M}, w'', w' \models \pi', \\ \mathfrak{M}, w, w' \models \pi + \pi' & \quad \text{if } \mathfrak{M}, w, w' \models \pi \text{ or } \mathfrak{M}, w, w' \models \pi', \\ \mathfrak{M}, w, w' \models \pi^* & \quad \text{if } \exists n \in \mathbb{N}, \exists w_1 = w, w_2, \dots, w_{n-1}, w_n = w' \in |\mathfrak{M}|, \forall 1 \leq j < n, \mathfrak{M}, w_j, w_{j+1} \models \pi. \end{aligned}$$

Satisfaction Sets. Alternatively, we can define the semantics through *satisfaction sets*:

$$\llbracket \varphi \rrbracket_{\mathfrak{M}} \stackrel{\text{def}}{=} \{w \in |\mathfrak{M}| \mid \mathfrak{M}, w \models \varphi\} \quad \llbracket \pi \rrbracket_{\mathfrak{M}} \stackrel{\text{def}}{=} \{(w, w') \in |\mathfrak{M}|^2 \mid \mathfrak{M}, w, w' \models \pi\}.$$

One obtains for instance

$$\llbracket \langle \pi \rangle \varphi \rrbracket_{\mathfrak{M}} = (\llbracket \pi \rrbracket_{\mathfrak{M}})^{-1}(\llbracket \varphi \rrbracket_{\mathfrak{M}}), \quad \llbracket \pi^* \rrbracket_{\mathfrak{M}} = \llbracket \pi \rrbracket_{\mathfrak{M}}^*.$$

Box Modalities. Finally, let us mention that the dual of the ‘diamond’ $\langle \pi \rangle$ is the ‘box’ $[\pi]\varphi \stackrel{\text{def}}{=} \neg \langle \pi \rangle \neg\varphi$:

$$\mathfrak{M}, w \models [\pi]\varphi \text{ if } \forall w' \in |\mathfrak{M}|, \mathfrak{M}, w, w' \models \pi \text{ implies } \mathfrak{M}, w' \models \varphi.$$

Examples on Unranked Trees. Over finite unranked trees and the signature $(\downarrow, \rightarrow, (P_a)_{a \in A})$, one typically defines the following path formulæ

$$\uparrow \stackrel{\text{def}}{=} \downarrow^{-1} \qquad \leftarrow \stackrel{\text{def}}{=} \rightarrow^{-1}$$

and node formulæ

$$\begin{array}{ll} \text{root} \stackrel{\text{def}}{=} [\uparrow] \perp & \text{leaf} \stackrel{\text{def}}{=} [\downarrow] \perp \\ \text{first} \stackrel{\text{def}}{=} [\leftarrow] \perp & \text{last} \stackrel{\text{def}}{=} [\rightarrow] \perp \end{array}$$

Finally, we say that a tree t *satisfies* φ , denoted $t \models \varphi$, if it satisfies it at the root, i.e. $\varphi, \varepsilon \models \varphi$. The *language* of φ is $L(\varphi) \stackrel{\text{def}}{=} \{t \in T(\Sigma) \mid t \models \varphi\}$ the set of trees that satisfy the formula.