## TD 3: Model-Checking and Büchi Automata

## 1 CTL Model Checking

Exercise 1 (Fair CTL). We consider strong fairness constraints, which are conjunctions of formulæ of form

$$
\mathrm{GF} \psi_{1} \Rightarrow \mathrm{GF} \psi_{2} .
$$

We want to check whether the following Kripke structure fairly verifies

$$
\varphi=\mathrm{A}_{e} \mathrm{GA}_{e} \mathrm{~F} a
$$

under the fairness requirement $e$ defined by

$$
\begin{aligned}
\psi_{1} & =b \wedge \neg a \\
\psi_{2} & =\mathrm{E}(b \cup(a \wedge \neg b)) \\
e & =\mathrm{GF} \psi_{1} \Rightarrow \mathrm{GF} \psi_{2} .
\end{aligned}
$$



1. Compute $\llbracket \psi_{1} \rrbracket$ and $\llbracket \psi_{2} \rrbracket$.
2. Compute $\llbracket \mathrm{E}_{e} \mathrm{G} T \rrbracket$.
3. Compute $\llbracket \varphi \rrbracket$.

Exercise 2 (Horn Satisfiability). Given a finite total Kripke structure $M=(S, T, I, \mathrm{AP}, \ell)$ and a "smallest fixed-point" CTL formula $\varphi$ over AP, we want to reduce the modelchecking problem $M, s \models \varphi$ with $s \in S$ to a Horn satisfiability instance, where smallest fixed-point CTL formulæ are defined by the syntax:

$$
\varphi::=\mathrm{T}|p| \varphi \wedge \varphi|\varphi \vee \varphi| \operatorname{EX} \varphi|\mathrm{E}(\varphi \mathrm{U} \varphi)| \mathrm{AF} \varphi
$$

1. Reduce the model-checking problem $M, s \vDash \varphi$ where $\varphi$ is a smallest fixed-point CTL formula and $s$ is a state in $S$ to a Horn satisfiability instance.
2. What complexity can you obtain through to this reduction for full CTL modelchecking?

Exercise 3 (Even and Odd Positions). We saw in Exercise 2 of TD 2 that the set $(\{p\} \Sigma)^{\omega}$ is not expressible in $\operatorname{LTL}(\{p\}, \mathrm{X}, \mathrm{U})$ over $(\mathbb{N},<)$. We define two new temporal modalities $\mathrm{U}_{0}$ and $\mathrm{U}_{1}$ to fill this void:

$$
w, i \models \varphi \mathrm{U}_{b} \psi \text { if } \exists k \geq i,(k-i) \equiv b \bmod 2 \text { and } w, k \models \psi \text { and } \forall j . i \leq j<k \rightarrow w, j \models \varphi
$$

for $b=0$ (resp. 1), i.e. restrictions of $U$ to even (resp. odd) choices of positions.

1. Show that $(\{p\} \Sigma)^{\omega}$ can be expressed in $\operatorname{TL}\left(\{p\}, \mathrm{U}_{0}\right)$.
2. Complete the reduction from the previous exercise to handle the new modality $U_{0}$ in CTL model-checking. What complexity can you derive on the model-checking problem for CTL when $\mathrm{U}_{0}$ is allowed?

Exercise 4 (Model Checking a Path). Consider the time flow ( $\mathbb{N},<$ ). We want to verify a model which is an ultimately periodic word $w=u v^{\omega}$ with $u$ in $\Sigma^{*}$ and $v$ in $\Sigma^{+}$, where $\Sigma=2^{\mathrm{AP}}$.

Give an algorithm for checking whether $w, 0 \vDash \varphi$ holds, where $\varphi$ is a $\operatorname{LTL}(\mathrm{AP}, \mathrm{X}, \mathrm{U})$ formula, in time bounded by $O(|u v| \cdot|\varphi|)$.

## 2 Büchi Automata

Recall from the course that a language $L$ of infinite words in $\Sigma^{\omega}$ is recognizable iff there exists a Büchi automaton $\mathcal{B}$ with $L=L(\mathcal{B})$.

Exercise 5 (Generalized Acceptance Condition). A generalized Büchi automaton $\mathcal{B}=$ $\left(Q, \Sigma, I, T,\left(F_{i}\right)_{0 \leq i<n}\right)$ has a finite set of accepting sets $F_{i}$. An infinite run $\sigma$ in $Q^{\omega}$ satisfies this generalized acceptance condition if

$$
\bigwedge_{0 \leq i<n} \operatorname{lnf}(\sigma) \cap F_{i} \neq \emptyset
$$

i.e. if each set $F_{i}$ is visited infinitely often.

Show that for any generalized Büchi automaton, one can construct an equivalent Büchi automaton.

Exercise 6 (Basic Closure Properties of Recognizable Languages). Show that $\operatorname{Rec}\left(\Sigma^{\omega}\right)$ is closed under

1. finite union, and
2. finite intersection.

Exercise 7 (Prophetic Automata). A Büchi automaton $\mathcal{B}=(Q, \Sigma, I, T, F)$ over an alphabet $\Sigma$ is prophetic if any infinite string $w$ in $\Sigma^{\omega}$ has exactly one final (but not necessarily initial) run in $\mathcal{B}$.

1. The residual language $L\left(\mathcal{B}_{q}\right)$ of a state $q$ in $Q$ is the language accepted by $\mathcal{B}_{q}=$ $(Q, \Sigma,\{q\}, T, F)$, i.e. the set of words with a final run in $\mathcal{B}$ that starts with state $q$. Show that $\mathcal{B}$ is prophetic if and only if $\Sigma^{\omega}$ can be partitioned as $\biguplus_{q \in Q} L\left(\mathcal{B}_{q}\right)$.
2. An automaton $\mathcal{B}$ is trim if every $L\left(\mathcal{B}_{q}\right) \neq \emptyset$ for every $q$ in $Q$. It is co-deterministic if, for every state $q^{\prime}$ in $Q$ and $a$ in $\Sigma$, there is at most one state $q$ in $Q$ such that ( $q, a, q^{\prime}$ ) belongs to $T$. It is co-complete if, for every state $q^{\prime}$ in $Q$ and $a$ in $\Sigma$, there is at least one state $q$ in $Q$ such that $\left(q, a, q^{\prime}\right)$ belongs to $T$.
Show that, if $\mathcal{B}$ is trim and prophetic, then $\mathcal{B}$ is co-deterministic and co-complete.
3. Let $\Sigma=\{a, b\}$. Construct a prophetic automaton for the language $(a \Sigma)^{\omega}$.

Exercise 8 (Ultimately Periodic Words). An ultimately periodic word over $\Sigma$ is a word of form $u \cdot v^{\omega}$ with $u$ in $\Sigma^{*}$ and $v$ in $\Sigma^{+}$.

Prove that any nonempty recognizable language in $\operatorname{Rec}\left(\Sigma^{\omega}\right)$ contains an ultimately periodic word.

Exercise 9 (Rational Languages). A rational language $L$ of infinite words over $\Sigma$ is a finite union

$$
L=\bigcup X \cdot Y^{\omega}
$$

where $X$ is in $\operatorname{Rat}\left(\Sigma^{*}\right)$ and $Y$ in $\operatorname{Rat}\left(\Sigma^{+}\right)$. We denote the set of rational languages of infinite words by $\operatorname{Rat}\left(\Sigma^{\omega}\right)$.

Show that $\operatorname{Rec}\left(\Sigma^{\omega}\right)=\operatorname{Rat}\left(\Sigma^{\omega}\right)$.

Exercise 10 (Deterministic Büchi Automata). A Büchi automaton is deterministic if $|I| \leq 1$, and for each state $q$ in $Q$ and symbol $a$ in $\Sigma,\left|\left\{\left(q, a, q^{\prime}\right) \in T \mid q^{\prime} \in Q\right\}\right| \leq 1$.

1. Give a nondeterministic Büchi automaton for the language in $\{a, b\}^{\omega}$ described by the expression $(a+b)^{*} a^{\omega}$.
2. Show that there does not exist any deterministic Büchi automaton for this language.
3. Let $\mathcal{A}=\left(Q, \Sigma, q_{0}, T, F\right)$ be a finite deterministic automaton that recognizes the language of finite words $L \subseteq \Sigma^{*}$. We can also interpret $\mathcal{A}$ as a deterministic Büchi automaton with a language $L^{\prime} \subseteq \Sigma^{\omega}$; our goal here is to relate the languages of finite and infinite words defined by $\mathcal{A}$.

Let the limit of a language $L \subseteq \Sigma^{*}$ be

$$
\vec{L}=\left\{w \in \Sigma^{\omega} \mid w \text { has infinitely many prefixes in } L\right\}
$$

Characterize the language $L^{\prime}$ of infinite words of $\mathcal{A}$ in terms of its language of finite words $L$ and of the limit operation.

Exercise 11 (Closure by Complementation). The purpose of this exercise is to prove that $\operatorname{Rec}\left(\Sigma^{\omega}\right)$ is closed under complement. We consider for this a Büchi automaton $A=(Q, \Sigma, T, I, F)$, and want to prove that its complement language $\overline{L(A)}$ is in $\operatorname{Rec}\left(\Sigma^{\omega}\right)$.

We note $q \xrightarrow{u} q^{\prime}$ for $q, q^{\prime}$ in $Q$ and $u=a_{1} \cdots a_{n}$ in $\Sigma^{*}$ if there exists a sequence of states $q_{0}, \ldots, q_{n}$ such that $q_{0}=q, q_{n}=q^{\prime}$ and for all $0 \leq i<n,\left(q_{i}, a_{i+1}, q_{i+1}\right)$ is in $T$. We note in the same way $q \xrightarrow{u}_{F} q^{\prime}$ if furthermore at least one of the states $q_{0}, \ldots, q_{n}$ belongs to $F$.

We define the congruence $\sim_{A}$ over $\Sigma^{*}$ by

$$
u \sim_{A} v \text { iff } \forall q, q^{\prime} \in Q,\left(q \xrightarrow{u} q^{\prime} \Leftrightarrow q \xrightarrow{v} q^{\prime}\right) \text { and }\left(q \xrightarrow{u}_{F} q^{\prime} \Leftrightarrow q \xrightarrow{v}_{F} q^{\prime}\right) .
$$

1. Show that $\sim_{A}$ has finitely many congruence classes $[u]$, for $u$ in $\Sigma^{*}$.
2. Show that each $[u]$ for $u$ in $\Sigma^{*}$ is in $\operatorname{Rec}\left(\Sigma^{*}\right)$, i.e. is a regular language of finite words.
3. Consider the language $K(L)$ for $L \subseteq \Sigma^{\omega}$

$$
K(L)=\left\{[u][v]^{\omega} \mid u, v \in \Sigma^{*},[u][v]^{\omega} \cap L \neq \emptyset\right\} .
$$

Show that $K(L)$ is in $\operatorname{Rec}\left(\Sigma^{\omega}\right)$ for any $L \subseteq \Sigma^{\omega}$.
4. Show that $K(L(A)) \subseteq L(A)$ and $K(\overline{L(A)}) \subseteq \overline{L(A)}$.
5. Prove that for any infinite word $\sigma$ in $\Sigma^{\omega}$ there exist $u$ and $v$ in $\Sigma^{*}$ such that $\sigma$ belongs to $[u][v]^{\omega}$. The following theorem might come in handy when applied to couples of positions $(i, j)$ inside $\sigma$ :

Theorem 1 (Ramsey, infinite version). Let $X$ be some countably infinite set, $n$ an integer, and $c: X^{(n)} \rightarrow\{1, \ldots, k\}$ a $k$-coloring of the $n$-tuples of $X$. Then there exists some infinite monochromatic subset $M$ of $X$ such that all the $n$-tuples of $M$ have the same image by $c$.
6. Conclude.

