

XPath Queries in the Real World

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XPATH



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DECIDABLE FRAGMENTS

Problem (Satisfiability)

input φ an XPath query

question \exists data tree $t. t \models \varphi?$

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XPATH

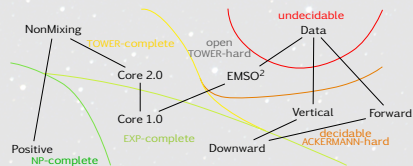
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Problem (Satisfiability)

input φ an XPath query

question \exists data tree $t. t \models \varphi?$

EXPRESSIVENESS/COMPLEXITY



XPATH

XML Path Language (XPath) 3.1

W3C Recommendation 21 March 2017



This version:

<https://www.w3.org/TR/2017/REC-xpath-31-20170321/>

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<https://www.w3.org/TR/2014/WD-xpath-31-20141007/>

<https://www.w3.org/TR/2014/WD-xpath-31-20140424/>

Most recent version of XPath 3:

<https://www.w3.org/TR/xpath-3/>

Most recent version of XPath:

<https://www.w3.org/TR/xpath/>

Most recent Recommendation of XPath:

<https://www.w3.org/TR/2014/REC-xpath-30-20140408/>

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Please check the **errata** for any errors or issues reported since publication.

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Abstract

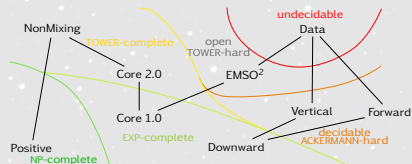
XPath 3.1 is an expression language that allows the processing of values conforming to the data model defined in [XQuery and XPath Data Model (XDM) 3.1]. The name of the language derives from its most distinctive feature, the path expression, which provides a means of hierarchic addressing of the nodes in an XML tree. As well as modeling the tree structure of XML, the data model also includes atomic values, function items, and sequences. This version of XPath supports JSON as well as XML, adding maps and arrays to the data model and supporting them with new expressions in the language and new functions in [XQuery and XPath Functions and Operators 3.1]. These are the most important new features in XPath 3.1:

DECIDABLE FRAGMENTS

Problem (Satisfiability)

input φ an XPath query
 question \exists data tree $t. t \models \varphi$?

EXPRESSIVENESS/COMPLEXITY



OVERVIEW

benchmark

- ▶ open source
- ▶ 21,141 XPath queries

coverage of decidable XPath fragments

- ▶ “vanilla”
- ▶ simple extensions

analysis

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benchmark

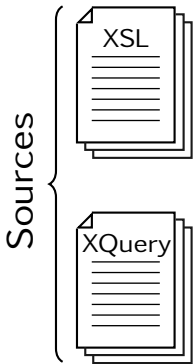
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- ▶ “vanilla”
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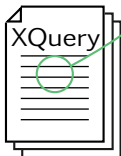
analysis

BENCHMARK CONSTRUCTION



BENCHMARK CONSTRUCTION

Sources



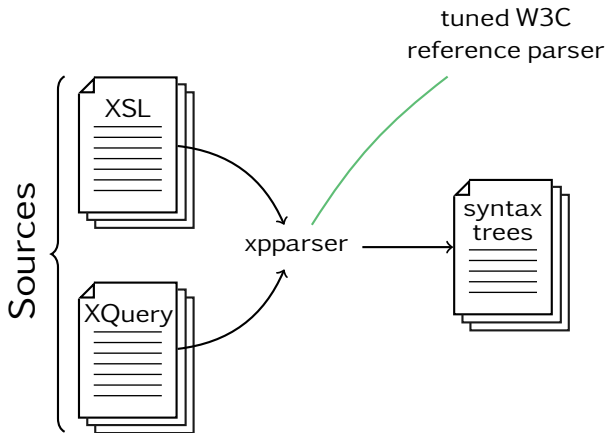
```

data :
  function od-api:metadata($response as item()+ as $) as xs:string {
    $data := $response/json/metadata
    $date := $response||/([fn:name()='http:header']|@name="Date")
    $version := "v0.0.0"
    element {"metadata"} {
      metadata/node(),
      element {"od_api_query"} {$version},
      element {"date"} {$date}
    }

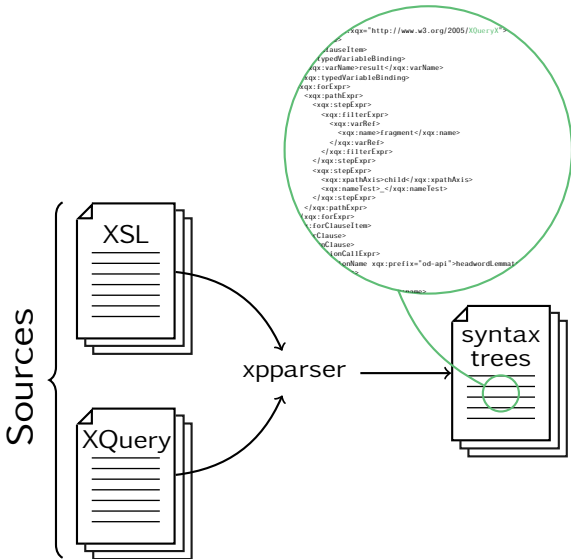
    ## Create elements for optional fragment arrays :)
  declare function od-api:option($fragment as item()+, $function as xs:string) as item()* {
    (: ## General arrays :)
    if ($fragment and $function = "arrayofstrings") then
      od-api:arrayofstrings($fragment)
    else if ($fragment and $function = "CategorizedTextList") then
      element {"notes"} {
        for $note in $fragment/_
        return od-api:CategorizedTextList($note, "note")
      }
    (: Lemmatron arrays :)
    ($fragment and $function = "headwordLemmatron") then
      ("results") {
        for $result in $fragment/_
        return od-api:headwordLemmatron($result)
      }
    ($fragment and $function = "grammaticalIF") then
      element {"grammaticalIF"} {
        for $result in $fragment/_
        return od-api:grammaticalIF($result)
      }
    ()
  }

```

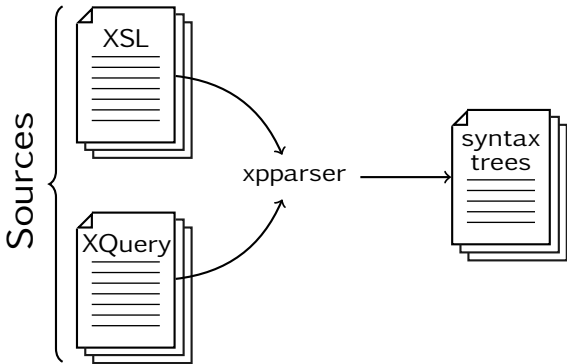
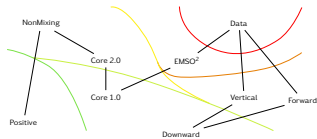
BENCHMARK CONSTRUCTION



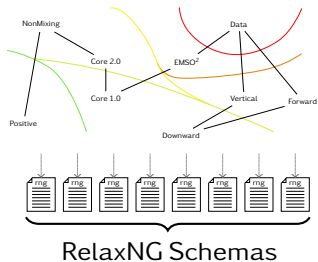
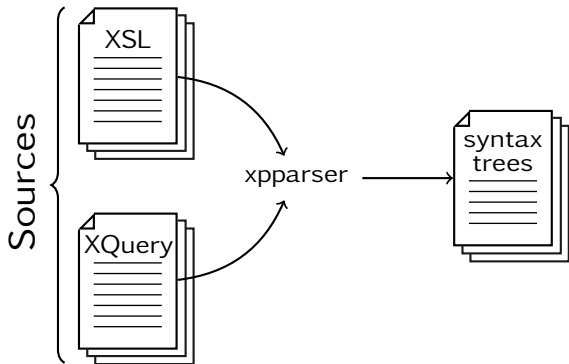
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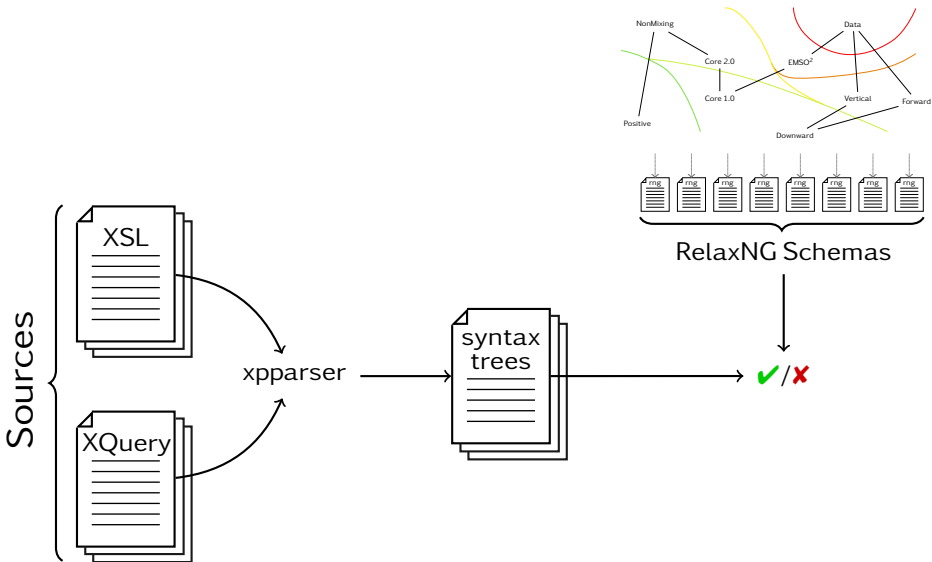
BENCHMARK CONSTRUCTION



BENCHMARK CONSTRUCTION



BENCHMARK CONSTRUCTION



BENCHMARK COMPOSITION

Sources	Queries	Coverage		
		XPath 1.0	XPath 2.0	XPath 3.0
XSLT	14,675	98.4%	100.0%	100.0%
XQuery	6,466	76.1%	87.4%	99.8%
Total	21,141	91.6%	96.1%	99.9%

BENCHMARK: FUNCTIONS

XPath and XQuery Functions and Operators 3.1



W3C Recommendation 21 March 2017

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Editor:

Michael Kay (XSLT WG), Saxonica <<http://www.saxonica.com/>>

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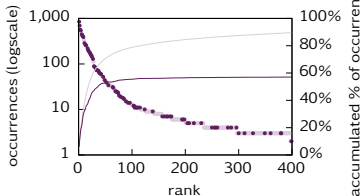
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- ▶ standard functions (dark violet)
 - 57.23% of function calls
 - 76.32% in XSLT
 - 42.93% in XQuery
- ▶ non-standard ones (light violet)
 - typically user-defined

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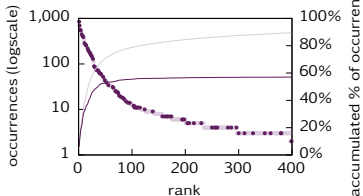
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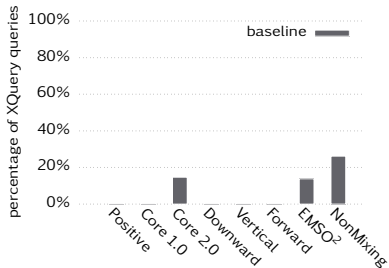
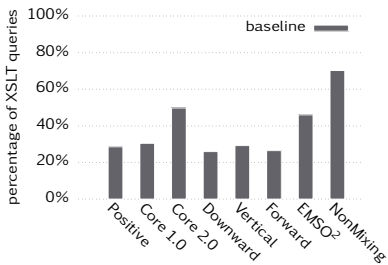


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Total	21,141	91.6%	96.1%	99.9%	77.7%

BASIC COVERAGE



- ▶ poor coverage
- ▶ except for NonMixing fragment
MSO + positive data tests & data joins not mixing = and ≠

EXTENDING FRAGMENTS

A syntactic construct can be

expressed through equivalent requests

encoded through equisatisfiable requests

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A syntactic construct can be

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EXTENDING FRAGMENTS

A syntactic construct can be (polynomially)

expressed through (polynomial time
computable) equivalent requests

encoded through (polynomial time
computable) equisatisfiable requests

defines a **front-end**

EXTENSIONS

$/\pi$ root navigation, e.g.

`//firstterm`

$\$x$ free variables, e.g.

`$module/merge`

$\pi \Delta d$ data tests against constants, e.g.

`refmeta/refmiscinfo[@class = 'version']`

$\pi \Delta \pi$ positive data joins, e.g.

`a/@href = preceding-sibling::li/a/@href`

`last()` one-step positional predicates, e.g.

`tocentry[position() = last()]`

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`last()` one-step **positional predicates**, e.g.

```
tocentry[position() = last()]
```

EXPRESSIBLE EXTENSIONS

Example (**expressible** root navigation)

```
//firstterm
```



```
ancestor-or-self::*[not(parent::*)]//firstterm
```

EXPRESSIBLE EXTENSIONS

Example (**expressible** root navigation)

```
//firstterm
```



```
ancestor-or-self::*[not(parent::*)]//firstterm
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EXPRESSIBLE EXTENSIONS

Example (**expressible** root navigation)

```
//firstterm
```



```
ancestor-or-self::*[not(parent::*)]//firstterm
```

Fact (**non-expressible**)

Root navigation cannot be expressed in DownwardXPath.

ENCODABLE EXTENSIONS

Example (**encodable** free variables)

```
$module/merge
```

```
⋮ extend label set  $\Sigma$  to  $\Sigma \times 2^{\{\text{free vars}\}}$ 
```

```
// . [ora $\in$  $\Sigma$ , $module $\in$ S(a,S)] / self: : * [orS(merge,S)]
```


ENCODABLE EXTENSIONS

Example (**encodable** free variables)

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⋈ extend label set Σ to $\Sigma \times 2^{\{\text{free vars}\}}$

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// . [ora∈Σ, $module∈S(a,S)] / self : : * [orS(merge,S)]
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Proposition (**¬encodable**, c.f. Figueira & Segoufin 2009)

Satisfiability in ForwardXPath extended with root navigation or free variables is undecidable.

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Example (**encodable** free variables)

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$module/merge
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  ⚡ extend label set  $\Sigma$  to  $\Sigma \times 2^{\{\text{free vars}\}}$ 
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// . [ora $\in$  $\Sigma$ , $module $\in$ S(a,S)] / self::* [orS(merge,S)]
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Proposition (**¬encodable**, c.f. Figueira & Segoufin 2009)
Satisfiability in ForwardXPath extended with root navigation or free variables is undecidable.

Proposition (**¬poly. enc.**, c.f. Figueira & Segoufin 2009)
Satisfiability in DownwardXPath extended with [position()=last()] and [position()!≠last()] is ACKERMANN-hard.

EXTENSIONS

	<i>Positive</i>	<i>Core 1.0</i>	<i>Core 2.0</i>	<i>Downward</i>	<i>Vertical</i>	<i>Forward</i>	<i>EMSO²</i>	<i>NonMixing</i>
--	-----------------	-----------------	-----------------	-----------------	-----------------	----------------	-------------------------	------------------

 $/\pi$
 $\$x$
 $\pi \triangle d$ **nat.**
 $\pi \triangle \pi$ **nat.**
nat. nat.
nat.[†]
nat.* nat.* nat.* nat.[†]
 $\text{last}()$

* support limited by available axes

† support limited to non-mixing

EXTENSIONS

	<i>Positive</i>	<i>Core 1.0</i>	<i>Core 2.0</i>	<i>Downward</i>	<i>Vertical</i>	<i>Forward</i>	<i>EMSO²</i>	<i>NonMixing</i>
$/\pi$	expr.	expr.	expr.		expr.		expr.	expr.
$\$x$							nat.	nat.
$\pi \triangle d$	nat.							nat. [†]
$\pi \triangle \pi$	nat.			nat.*	nat.*	nat.*		nat. [†]
last()			expr.					expr.

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EXTENSIONS

	<i>Positive</i>	<i>Core 1.0</i>	<i>Core 2.0</i>	<i>Downward</i>	<i>Vertical</i>	<i>Forward</i>	<i>EMSO²</i>	<i>NonMixing</i>
$/\pi$	expr.	expr.	expr.		expr.		expr.	expr.
$\$x$	enc.	enc.	enc.		enc.		nat.	nat.
$\pi \Delta d$	nat.	enc.	enc.	enc.	enc.	enc.	enc.	nat. [†]
$\pi \Delta \pi$	nat.	enc.	enc.	nat.*	nat.*	nat.*	enc.	nat. [†]
last()		enc.	expr.		enc.*	enc.*		expr.

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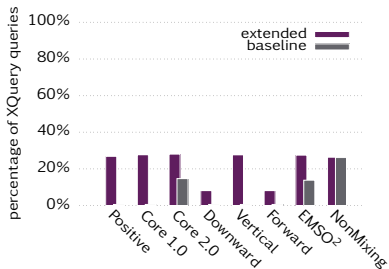
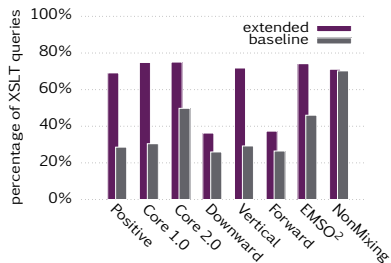
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--	-----------------	-----------------	-----------------	-----------------	-----------------	----------------	-------------------------	------------------

$/\pi$	expr.	expr.	expr.	\neg expr.	expr.	\neg enc.	expr.	expr.
$\$x$	enc.	enc.	enc.	\neg expr.	enc.	\neg enc.	nat.	nat.
$\pi \Delta d$	nat.	enc.	enc.	enc.	enc.	enc.	enc.	nat. [†]
$\pi \Delta \pi$	nat.	enc.	enc.	nat.*	nat.*	nat.*	enc.	nat. [†]
last()		enc.	expr.	\neg p.enc.	enc.*	enc.*		expr.

* support limited by available axes

† support limited to non-mixing

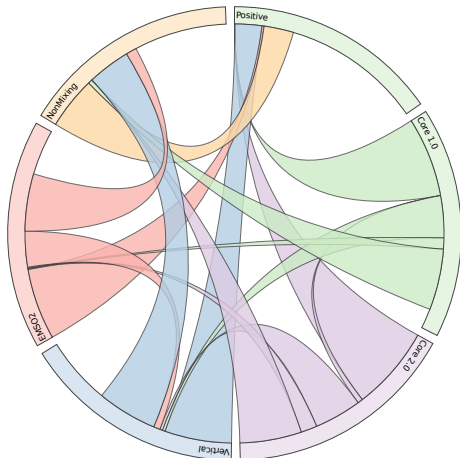
EXTENDED COVERAGE



combined 60.86%

Core 2.0 75.03% of XSLT and 28.08% of XQuery

COMPARISON



Interactive interface

<http://www.lsv.fr/~schmitz/xpparser>

FUNCTION SUPPORT

Coverage with respect to XPath 3.0 std.

combined 78.33%

Core 2.0 82.14% of XSLT and 60.00% of XQuery

Extra support through SMT?

- ▶ interval encoding of trees for Positive fragment
- ▶ support for linear arithmetic and string functions like `concat()`, `contains()`, `string-length()`, etc.
- ▶ 62.75% coverage, 84.77% of XSLT wrt. XPath 3.0 std

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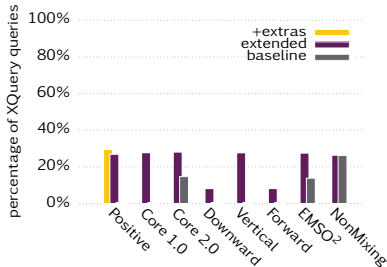
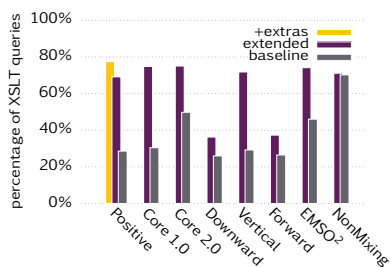
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CONCLUSIONS

benchmark of 21,141 XPath queries



Software Heritage `xpparser: swh:1:dir:081194c82832b17c4d1229313e85753dfb3ec4cd`
`benchmark: swh:1:dir:1ea68cf5bb3f9f3f2fe8c7995f1802ebadf17fb5`

satisfiability

- ▶ importance of front-end
- ▶ XSLT support vs. XQuery support
- ▶ challenge: function support
- ▶ future? SMT techniques

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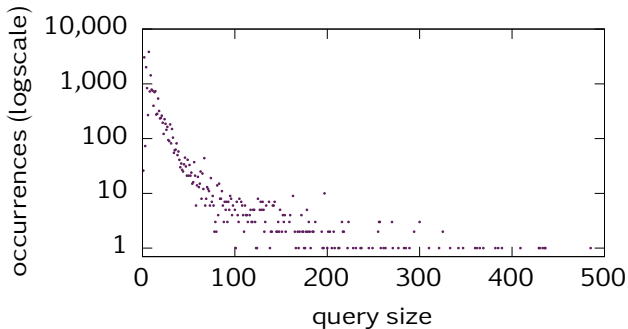
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BENCHMARK: SIZE DISTRIBUTION



SYNTHETIC BENCHMARKS

Sources	Queries	Coverage		
		XPath 1.0	XPath 2.0	XPath 3.0
XPathMark-FT	64	100.0%	100.0%	100.0%
XPathMark-PT	38	100.0%	100.0%	100.0%
XMark	66	92.4%	93.9%	100.0%
Total	168	97.0%	97.6%	100.0%

