

Approximating Context-Free Grammars for Parsing and Verification

Sylvain Schmitz

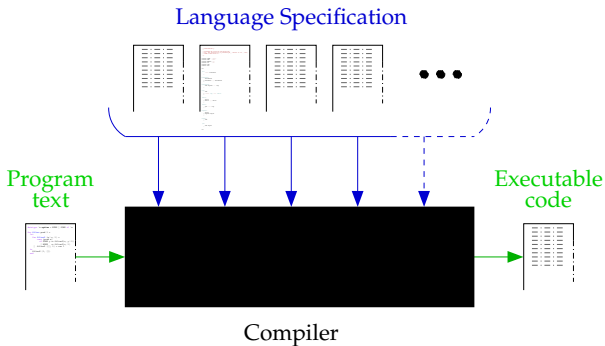
LORIA, INRIA Nancy - Grand Est

October 18, 2007



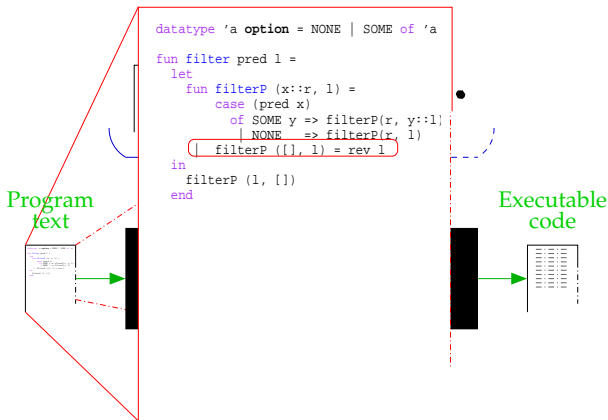
Standard ML

Milner et al. [1997]



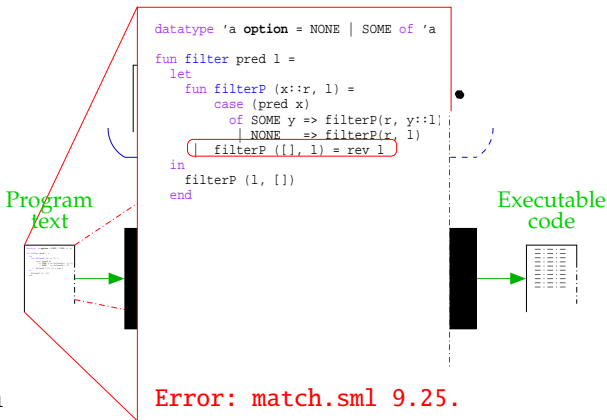
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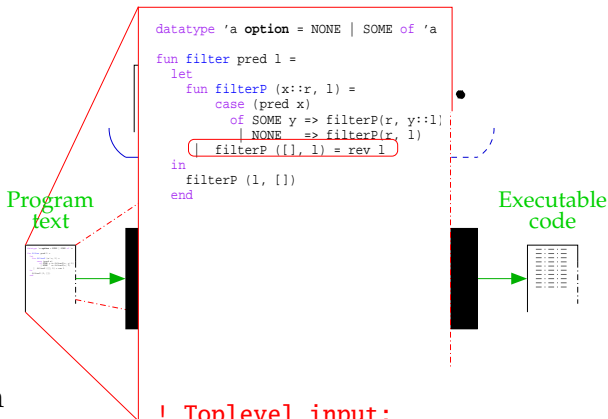
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- ▶ MLton
- ▶ Moscow ML
- ▶ Poly/ML
- ▶ SML/NJ

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! Toplevel input:

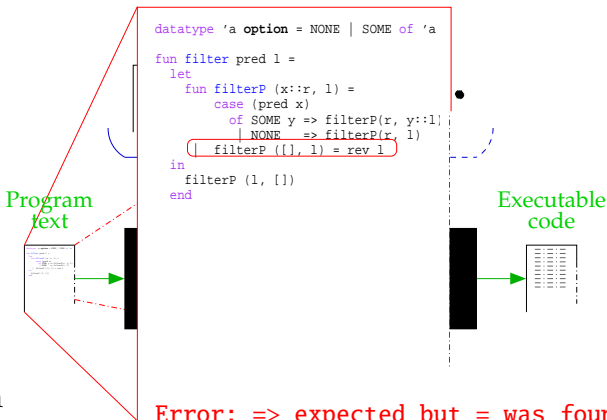
```
!      | filterP ([], l) = rev l
```

!

! Syntax error.

Standard ML

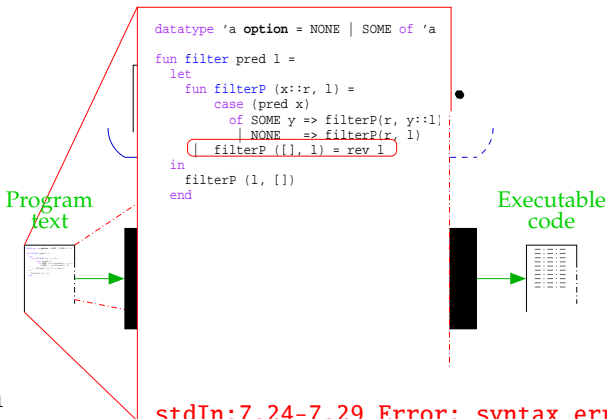
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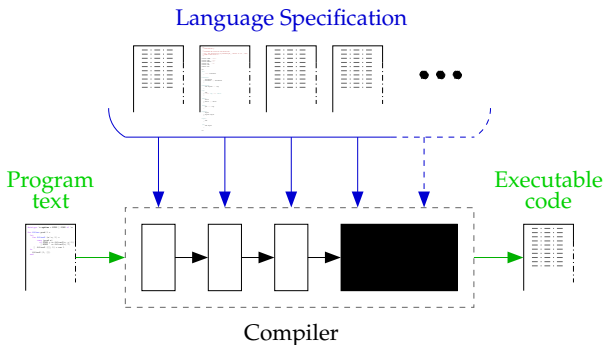
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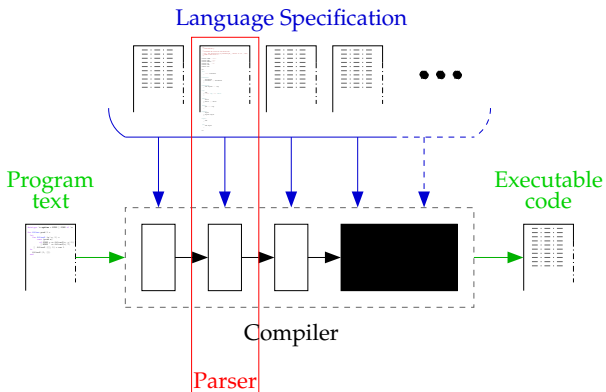


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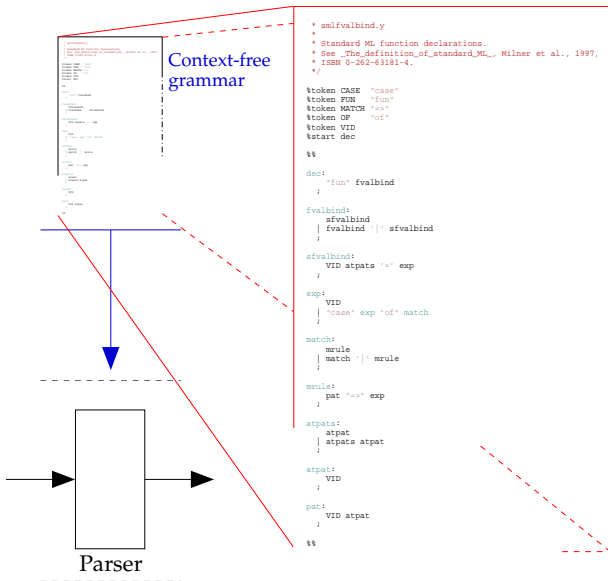
Parsers



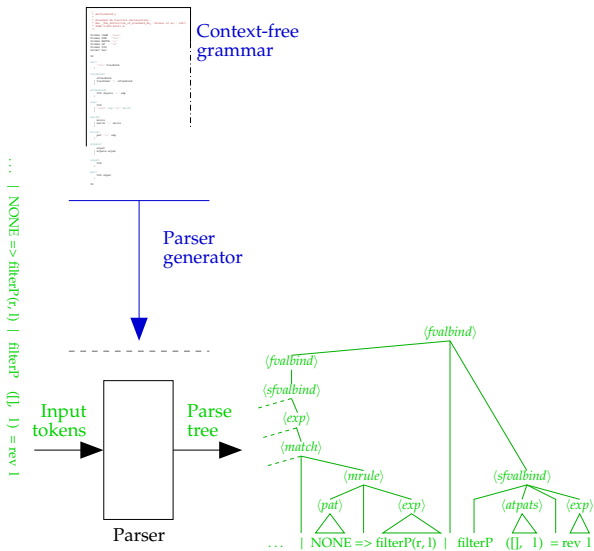
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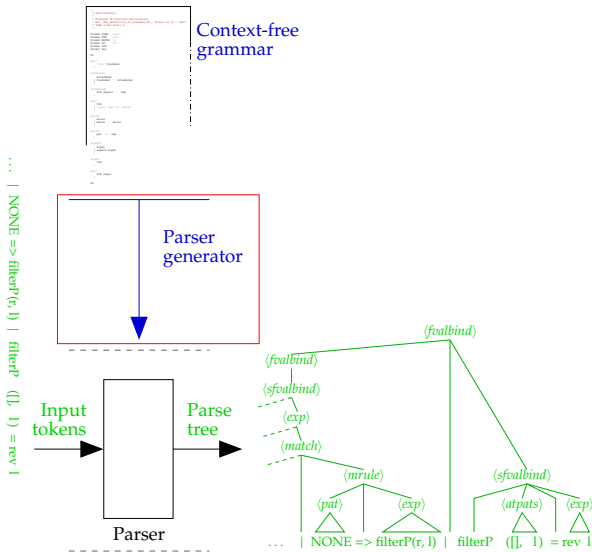
Parsers



Parsers



Parsers



LALR(1) Parser Generator

- ▶ GNU Bison

```
state 20
```

```
6 exp: "case" exp "of" match .
```

```
8 match: match . '|' mrule
```

```
'|' shift, and go to state 24
```

```
'|' [reduce using rule 6 (exp)]
```

- ▶ Restricted grammar class

LALR(1) Parser Generator

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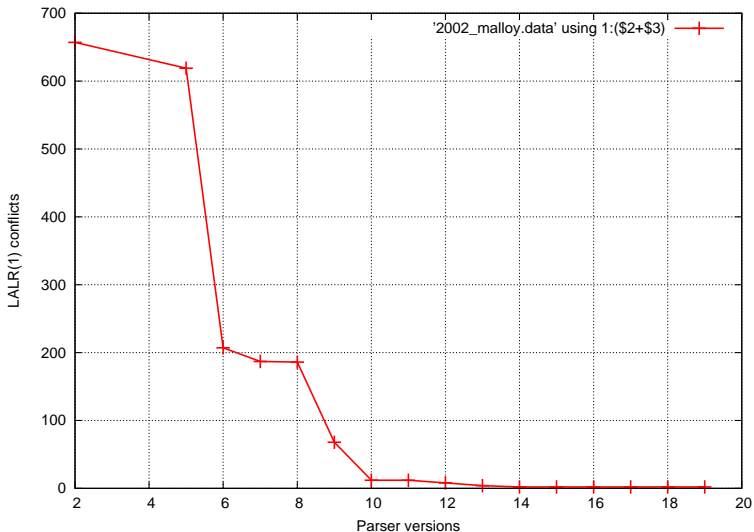
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CFG

LALR(1)

Dealing with Conflicts

An Objective Measure [Malloy et al., 2002] on a C# Grammar



Dealing with Conflicts

A Subjective Measure



Dealing with Conflicts

A Subjective Measure



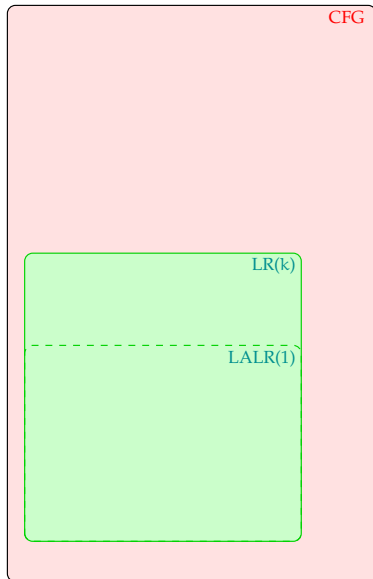
Dealing with Conflicts

A Subjective Measure



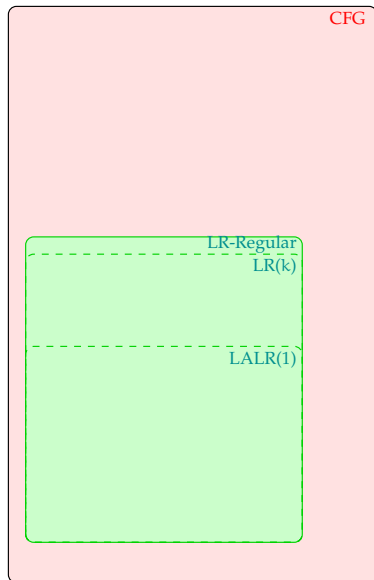
State of the Art

- ▶ LR(k) [Knuth, 1965]
- ▶ LR-Regular [Čulik and Cohen, 1973]
- ▶ Generalized LR [Tomita, 1986]
 - ▶ Unambiguous CFGs [Cantor, 1962, Chomsky and Schützenberger, 1963]
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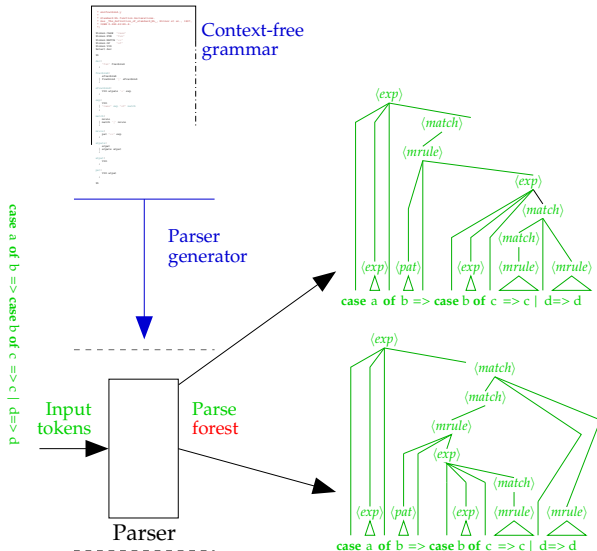
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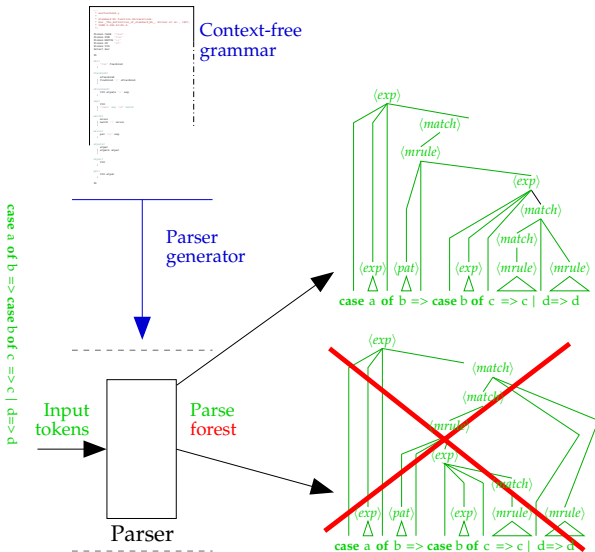
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Ambiguity

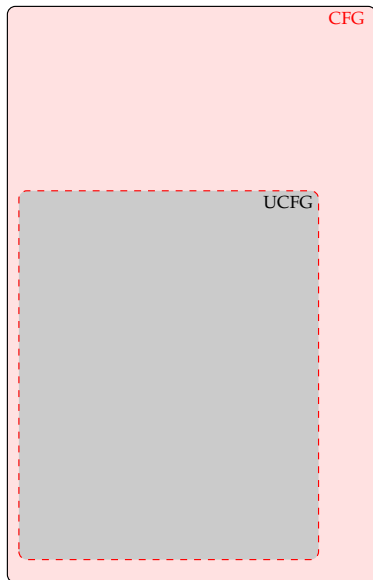


Ambiguity



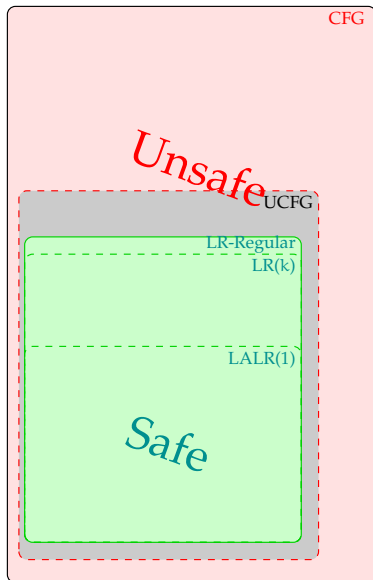
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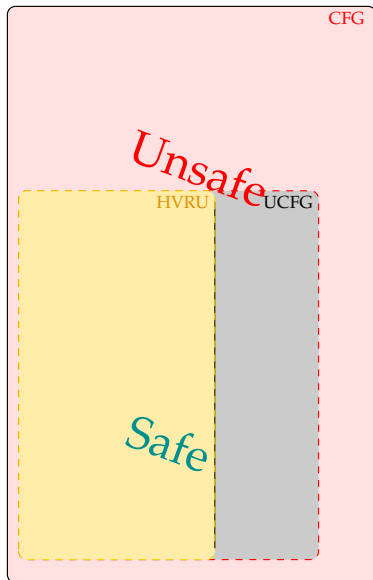
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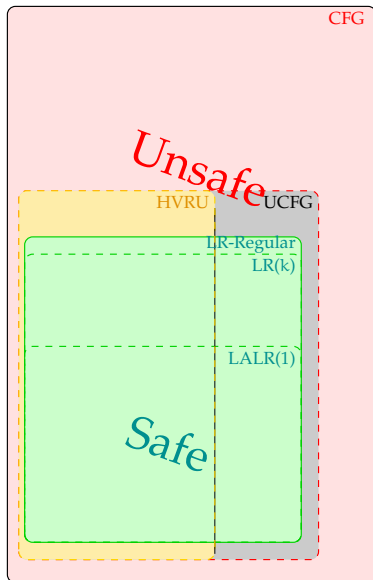
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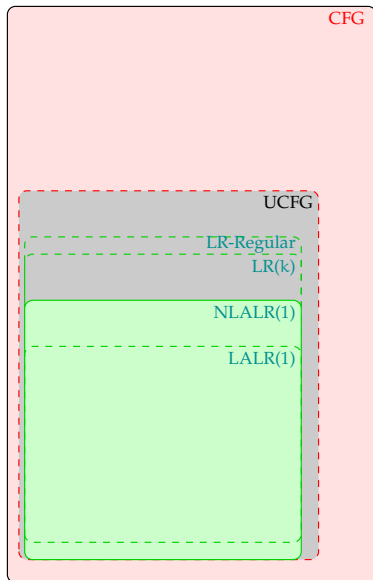


Contributions

- ▶ Noncanonical parsing methods [Szymanski and Williams, 1976, Tai, 1979]
 - ▶ Noncanonical LALR(1)
 - ▶ Shift-Resolve
- ▶ Noncanonical unambiguity test
- ▶ Framework for grammar approximations

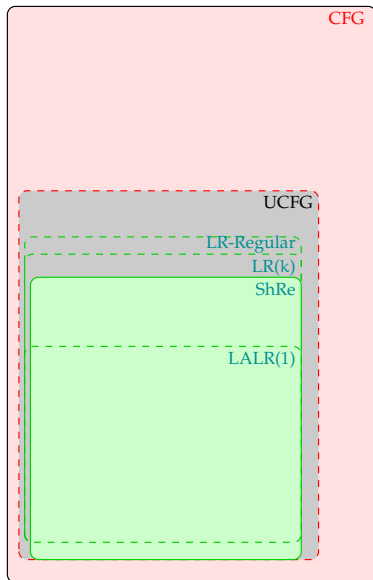
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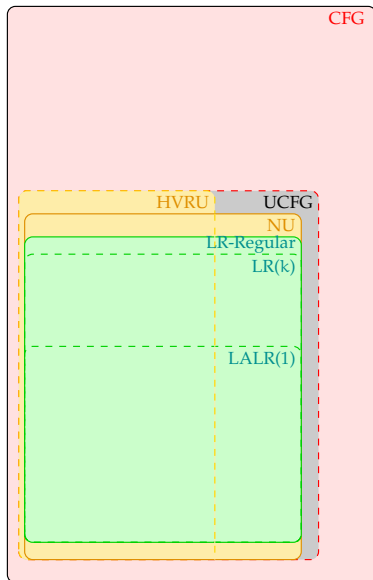
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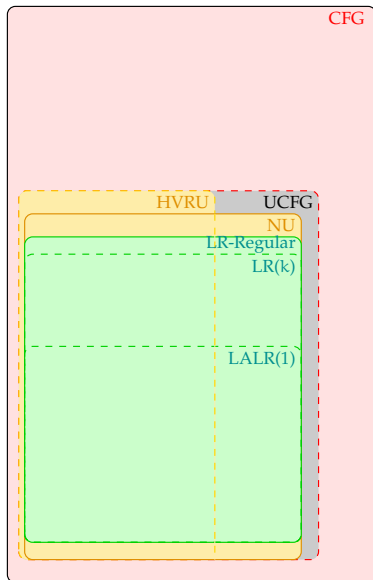
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Bracketed Grammars

$$\mathcal{G} = \langle N, T, P, S \rangle, V = N \cup T$$

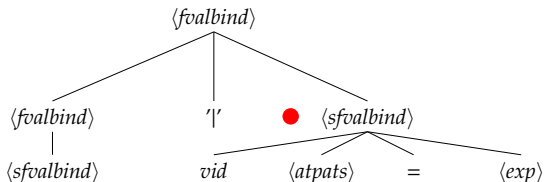
| | | |
|-----------------------------|--------------------|---------------------------------------------------------------------|
| $\langle dec \rangle$ | $\xrightarrow{1}$ | fun $\langle fvalbind \rangle$ |
| $\langle fvalbind \rangle$ | $\xrightarrow{2}$ | $\langle sfoalbind \rangle$ |
| $\langle fvalbind \rangle$ | $\xrightarrow{3}$ | $\langle fvalbind \rangle \text{' '} \langle sfoalbind \rangle$ |
| $\langle sfoalbind \rangle$ | $\xrightarrow{4}$ | <i>vid</i> $\langle atpats \rangle = \langle exp \rangle$ |
| $\langle exp \rangle$ | $\xrightarrow{5}$ | case $\langle exp \rangle$ of $\langle match \rangle$ |
| $\langle match \rangle$ | $\xrightarrow{6}$ | $\langle mrule \rangle$ |
| $\langle match \rangle$ | $\xrightarrow{7}$ | $\langle match \rangle \text{' '} \langle mrule \rangle$ |
| $\langle mrule \rangle$ | $\xrightarrow{8}$ | $\langle pat \rangle \Rightarrow \langle exp \rangle$ |
| $\langle atpats \rangle$ | $\xrightarrow{9}$ | $\langle atpat \rangle$ |
| $\langle atpats \rangle$ | $\xrightarrow{10}$ | $\langle atpats \rangle \langle atpat \rangle$ |
| $\langle pat \rangle$ | $\xrightarrow{11}$ | <i>vid</i> $\langle atpat \rangle$ |
| $\langle atpat \rangle$ | $\xrightarrow{12}$ | <i>vid</i> |

Bracketed Grammars

$$\mathcal{G}_b = \langle N, T_b, P_b, S \rangle, V_b = N \cup T_b$$

| | | |
|-----------------------------|--------------------|-------------------------------------------------------------------------------|
| $\langle dec \rangle$ | $\xrightarrow{1}$ | $d_1 \text{ fun } \langle foalbind \rangle r_1$ |
| $\langle foalbind \rangle$ | $\xrightarrow{2}$ | $d_2 \langle sfoalbind \rangle r_2$ |
| $\langle foalbind \rangle$ | $\xrightarrow{3}$ | $d_3 \langle foalbind \rangle ' ' \langle sfoalbind \rangle r_3$ |
| $\langle sfoalbind \rangle$ | $\xrightarrow{4}$ | $d_4 \text{ vid } \langle atpats \rangle = \langle exp \rangle r_4$ |
| $\langle exp \rangle$ | $\xrightarrow{5}$ | $d_5 \text{ case } \langle exp \rangle \text{ of } \langle match \rangle r_5$ |
| $\langle match \rangle$ | $\xrightarrow{6}$ | $d_6 \langle mrule \rangle r_6$ |
| $\langle match \rangle$ | $\xrightarrow{7}$ | $d_7 \langle match \rangle ' ' \langle mrule \rangle r_7$ |
| $\langle mrule \rangle$ | $\xrightarrow{8}$ | $d_8 \langle pat \rangle \Rightarrow \langle exp \rangle r_8$ |
| $\langle atpats \rangle$ | $\xrightarrow{9}$ | $d_9 \langle atpat \rangle r_9$ |
| $\langle atpats \rangle$ | $\xrightarrow{10}$ | $d_{10} \langle atpats \rangle \langle atpat \rangle r_{10}$ |
| $\langle pat \rangle$ | $\xrightarrow{11}$ | $d_{11} \text{ vid } \langle atpat \rangle r_{11}$ |
| $\langle atpat \rangle$ | $\xrightarrow{12}$ | $d_{12} \text{ vid } r_{12}$ |

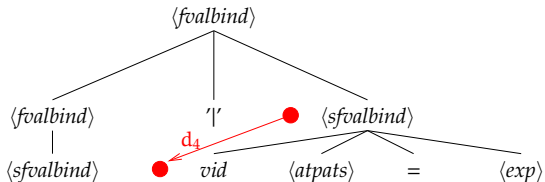
Positions



$d_3 \ d_2 \ \langle s\text{fvalbind} \rangle \ r_2 \ \text{'|'} \cdot d_4 \ \text{vid} \ \langle \text{atpats} \rangle \ = \ \langle \text{exp} \rangle \ r_4 \ r_3$

Position Graph Γ

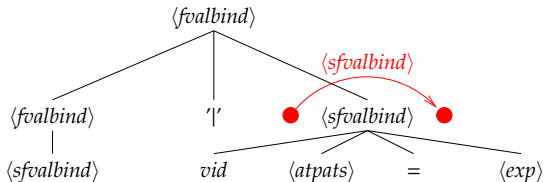
Left-to-right Walks in Trees



$$d_3 \ d_2 \ \langle sfvalbind \rangle \ r_2 \ \text{'|'} \ d_4 \cdot \text{vid} \ \langle atpats \rangle \ = \ \langle exp \rangle \ r_4 \ r_3$$

Position Graph Γ

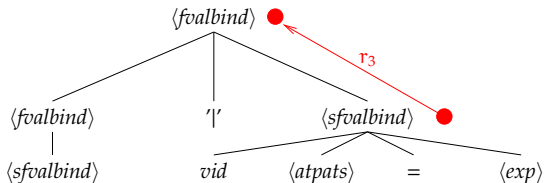
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$$d_3 \ d_2 \ \langle sfvalbind \rangle \ r_2 \ \text{'|'} \ d_4 \ vid \ \langle atpats \rangle \ = \ \langle exp \rangle \ r_4 \bullet \ r_3$$

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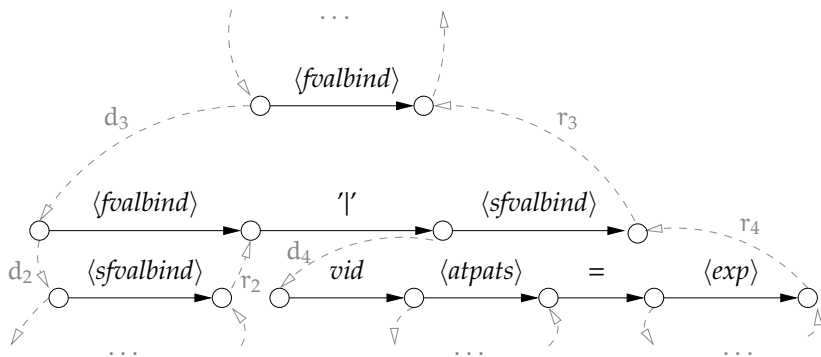
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$$d_3 \ d_2 \ \langle sfvalbind \rangle \ r_2 \ \text{'|'} \ d_4 \ vid \ \langle atpats \rangle \ = \ \langle exp \rangle \ r_4 \ r_3 \bullet$$

Position Graph Γ

Left-to-right Walks in Trees



Position Automaton Γ/\equiv

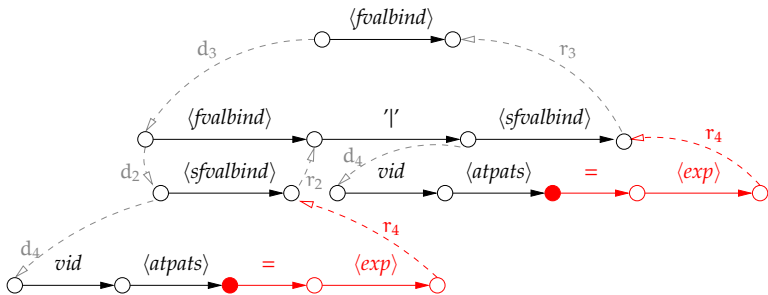
Definition

Γ/\equiv is the quotient of Γ by an equivalence relation \equiv between positions.

Theorem (Language over-approximation)

$$\mathcal{L}(\mathcal{G}_b) \subseteq \mathcal{L}(\Gamma/\equiv) \cap T_b^*$$

Example: item_0 Equivalence

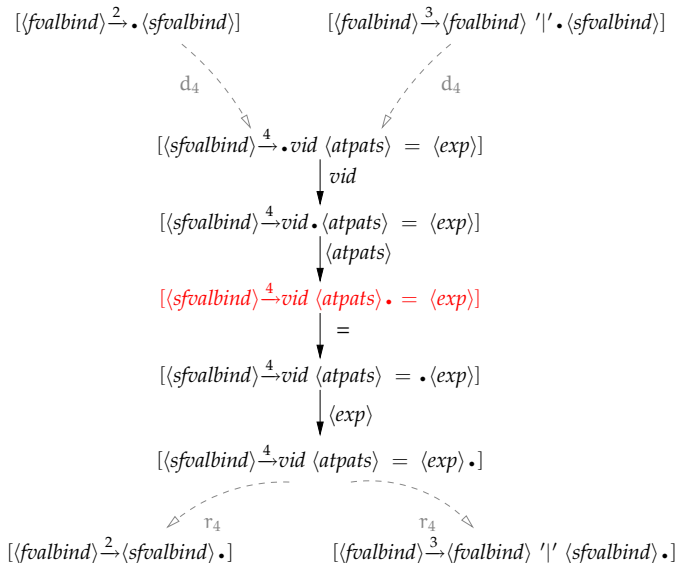


- ▶ equivalence class

$$[\langle sfvalbind \rangle \xrightarrow{4} vid \langle atpats \rangle \bullet = \langle exp \rangle]$$

- ▶ LR(0) items
- ▶ Γ/item_0 : nondeterministic LR(0) automaton

Example: item_0 Equivalence



Summary

- ▶ general framework for approximations
- ▶ applications:
 - ▶ parser construction
 - ▶ ambiguity detection
 - ▶ XML validation [Segoufin and Vianu, 2002]?
 - ▶ symbolic supertagging [Boullier, 2003]?

Summary

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- ▶ applications:
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 - ▶ symbolic supertagging [Boullier, 2003]?

Shift-Resolve Parsing

- ▶ noncanonical
- ▶ $k = 1$ **reduced** lookahead symbol
- ▶ **resolve** = reduce + pushback: emulates a bounded reduced lookahead **without any preset bound**

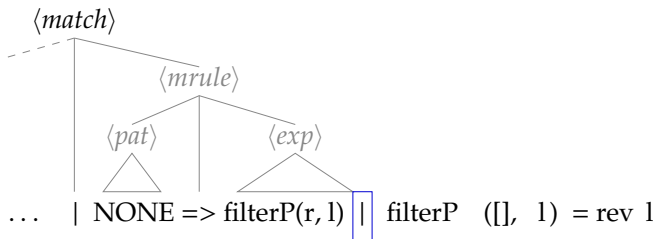
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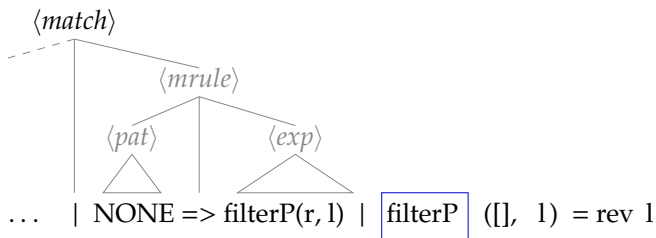
Shift-Resolve Parse

... | NONE => filterP(r, l) | filterP ([], l) = rev l

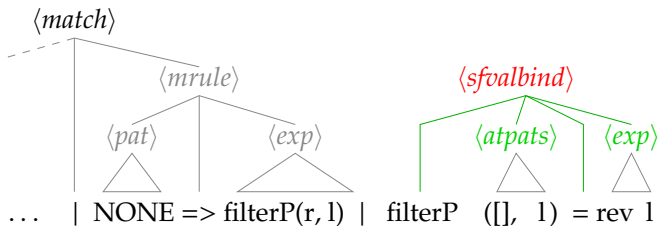
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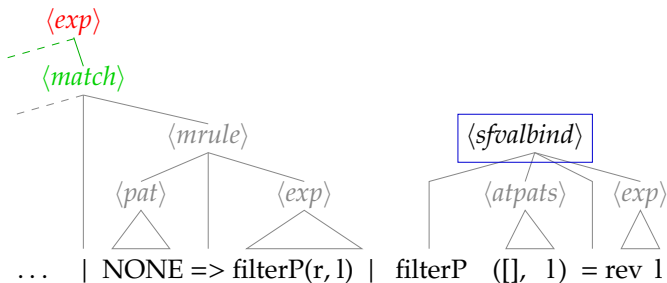
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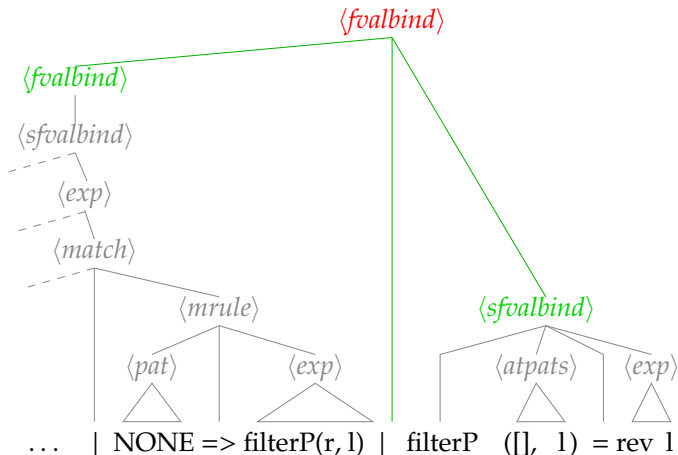
Shift-Resolve Parse



Shift-Resolve Parse



Shift-Resolve Parse



Generating the Parser

1. position automaton
2. determinization by subset construction

Subset Construction

Principle

- ▶ d_i transitions denote traditional item closures
- ▶ r_i transitions denote a phrase that should be reduced
- ▶ other transitions denote shifts
- ▶ items in the construction hold
 1. a **state** of the position automaton
 2. a **parsing action**
 3. a **pushback length**

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 1. a **state** of the position automaton
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Subset Construction

Example

$\langle exp \rangle \rightarrow \mathbf{case} \langle exp \rangle \mathbf{of} \langle match \rangle ., 0, 0$

$\langle match \rangle \rightarrow \langle match \rangle . ' | ' \langle mrule \rangle, 0, 0$

Subset Construction

Example

r_5

 $\langle exp \rangle \rightarrow \mathbf{case} \langle exp \rangle \mathbf{of} \langle match \rangle ., 0, 0$

 $\langle match \rangle \rightarrow \langle match \rangle . '|' \langle mrule \rangle, 0, 0$

 $\langle sfvalbind \rangle \rightarrow vid \langle atpats \rangle = \langle exp \rangle ., \mathbf{5}, \mathbf{0}$

Subset Construction

Example

$\langle exp \rangle \rightarrow \text{case } \langle exp \rangle \text{ of } \langle match \rangle ., 0, 0$
 $\langle match \rangle \rightarrow \langle match \rangle . ' |' \langle mrule \rangle, 0, 0$
 $\langle sfoalbind \rangle \rightarrow vid \langle atpats \rangle = \langle exp \rangle ., 5, 0$
 $\langle ffoalbind \rangle \rightarrow \langle ffoalbind \rangle ' |' \langle sfoalbind \rangle ., 5, 0$
 $\langle ffoalbind \rangle \rightarrow \langle sfoalbind \rangle ., 5, 0$

r_4

Subset Construction

Example

$\langle exp \rangle \rightarrow \mathbf{case} \langle exp \rangle \mathbf{of} \langle match \rangle ., 0, 0$

$\langle match \rangle \rightarrow \langle match \rangle . \text{'|'} \langle mrule \rangle, 0, 0$

$\langle sfoalbind \rangle \rightarrow \mathit{vid} \langle atpats \rangle = \langle exp \rangle ., 5, 0$

$\langle ffoalbind \rangle \rightarrow \langle ffoalbind \rangle \text{'|'} \langle sfoalbind \rangle ., 5, 0$

$\langle ffoalbind \rangle \rightarrow \langle sfoalbind \rangle ., 5, 0$

$\langle ffoalbind \rangle \rightarrow \langle ffoalbind \rangle . \text{'|'} \langle sfoalbind \rangle, 5, 0$

$\langle dec \rangle \rightarrow \mathbf{fun} \langle ffoalbind \rangle ., 5, 0$

$S' \rightarrow \langle dec \rangle . \$, 5, 0$



Subset Construction

Example

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Subset Construction

Example

$\langle exp \rangle \rightarrow \mathbf{case} \langle exp \rangle \mathbf{of} \langle match \rangle ., 0, 0$
 $\langle match \rangle \rightarrow \langle match \rangle . \mathbf{'\prime} \langle mrule \rangle, 0, 0$
 $\langle sfoalbind \rangle \rightarrow \mathbf{vid} \langle atpats \rangle = \langle exp \rangle ., 5, 0$
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 $S' \rightarrow \langle dec \rangle . \$, 5, 0$



$\langle fvalbind \rangle \rightarrow \langle fvalbind \rangle \mathbf{'\prime} . \langle sfoalbind \rangle, 5, 1$
 $\langle match \rangle \rightarrow \langle match \rangle \mathbf{'\prime} . \langle mrule \rangle, 0, 0$

Subset Construction

Example

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 $\langle fvalbind \rangle \rightarrow \langle fvalbind \rangle \text{'|'} \langle sfoalbind \rangle ., 5, 0$
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$\downarrow \text{'|'}$

$\langle fvalbind \rangle \rightarrow \langle fvalbind \rangle \text{'|'} . \langle sfoalbind \rangle, 5, 1$
 $\langle match \rangle \rightarrow \langle match \rangle \text{'|'} . \langle mrule \rangle, 0, 0$
 $\langle mrule \rangle \rightarrow . \langle pat \rangle \Rightarrow \langle exp \rangle, 0, 0$

d_8

Subset Construction

Example

$\langle exp \rangle \rightarrow \mathbf{case} \langle exp \rangle \mathbf{of} \langle match \rangle ., 0, 0$
 $\langle match \rangle \rightarrow \langle match \rangle . \text{'|'} \langle mrule \rangle, 0, 0$
 $\langle sfoalbind \rangle \rightarrow \mathbf{vid} \langle atpats \rangle = \langle exp \rangle ., 5, 0$
 $\langle fvalbind \rangle \rightarrow \langle fvalbind \rangle \text{'|'} \langle sfoalbind \rangle ., 5, 0$
 $\langle fvalbind \rangle \rightarrow \langle sfoalbind \rangle ., 5, 0$
 $\langle fvalbind \rangle \rightarrow \langle fvalbind \rangle . \text{'|'} \langle sfoalbind \rangle, 5, 0$
 $\langle dec \rangle \rightarrow \mathbf{fun} \langle fvalbind \rangle ., 5, 0$
 $S' \rightarrow \langle dec \rangle . \$, 5, 0$

↓ '|'

$\langle fvalbind \rangle \rightarrow \langle fvalbind \rangle \text{'|'} . \langle sfoalbind \rangle, 5, 1$
 $\langle match \rangle \rightarrow \langle match \rangle \text{'|'} . \langle mrule \rangle, 0, 0$
 $\langle mrule \rangle \rightarrow . \langle pat \rangle \Rightarrow \langle exp \rangle, 0, 0$
 $\langle pat \rangle \rightarrow . \mathbf{vid} \langle atpat \rangle, 0, 0$
 $\langle sfoalbind \rangle \rightarrow . \mathbf{vid} \langle atpats \rangle = \langle exp \rangle, 0, 0$

Construction Failure

$\langle exp \rangle \rightarrow \mathbf{case} \langle exp \rangle \mathbf{of} \langle match \rangle ., 0, 0$
 $\langle match \rangle \rightarrow \langle match \rangle . \text{'|'} \langle mrule \rangle, 0, 0$
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 $S' \rightarrow \langle dec \rangle . \$, 5, 0$
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r_5

Construction Failure

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Complexity

- ▶ $|\Gamma/\equiv|$: size of the position automaton
- ▶ $|\mathcal{A}|$: size of the parser: $\mathcal{O}(2^{|\Gamma/\equiv|} |P|)$
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Limitations

- incomparable with classical parsing techniques
- + subset construction mendable

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Summary

- ▶ Shift Resolve parsers
 1. Large class of grammars accepted
 2. Unambiguity
 3. Linear time parsing

- ▶ 2-steps construction
 1. Simple
 2. Flexible

Principles

- ▶ a bracketed sentence = a derivation tree
- ▶ ambiguity = more than one tree with the same yield

$d_6 d_8 d_{13} \text{ vid } r_{13} \Rightarrow d_5 \text{ case } d_{14} \text{ vid } r_{14} \text{ of } d_7 d_6 d_8 d_{13} \text{ vid } r_{13} \Rightarrow d_{14} \text{ vid } r_{14} r_8 r_6 \text{ ' | ' } d_8 d_{13} \text{ vid } r_{13} \Rightarrow d_{14} \text{ vid } r_{14} r_8 r_7 r_5 r_8 r_6$
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- ▶ \mathcal{G} is **regular unambiguous** for \equiv of **finite index**, if there does not exist $w_b \neq w'_b$ in $\mathcal{L}(\Gamma/\equiv) \cap T_b^*$ with $h(w_b) = h(w'_b)$
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epsilon: **mae**

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nothing!

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conflict: **mac**

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reduce: **mar**

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conflict: **mac**

NU(\equiv)

- ▶ $ma = mas \cup mae \cup mac \cup mar$
- ▶ \mathcal{G} is **noncanonically unambiguous** if there does not exist a relation $(q_s, q_s) ma^* (q_f, q_f)$ that uses mac at some step
- ▶ Computation in $\mathcal{O}(|\Gamma/\equiv|^2)$ in space

Comparisons

- ▶ Regular Unambiguity $\text{RU}(\equiv)$
- ▶ Bounded-length detection schemes
- ▶ $\text{LR}(k)$ and LR-Regular ($\text{LR}(\Pi)$)
- ▶ Horizontal and vertical ambiguity ($\text{HVRU}(\equiv)$)

Bounded-length detection

[Gorn, 1963, Cheung and Uzgalis, 1995, Schröder, 2001, Jampana, 2005]

- ▶ generate sentences
- ▶ not conservative
- ▶ prefix_m prevents from false positives in sentences of length $< m$
- ▶ need to generate a^{2^n+1} to find \mathcal{G}_4^n ambiguous, but $\mathcal{G}_4^n \notin \text{NU}(\text{item}_0)$

$$S \rightarrow A | B_n a, A \rightarrow A a a | a, B_1 \rightarrow a a, B_2 \rightarrow B_1 B_1, \dots, B_n \rightarrow B_{n-1} B_{n-1} \\ (\mathcal{G}_4^n)$$

LR(k) and LR-Regular

[Knuth, 1965, Hunt III et al., 1975, Čulik and Cohen, 1973, Heilbrunner, 1983]

- ▶ conservative tests
- ▶ define item_Π s.t. $\text{LR}(\Pi) \subset \text{NU}(\text{item}_\Pi)$
- ▶ need a $\text{LR}(2^n)$ test to prove \mathcal{G}_3^n unambiguous, but $\mathcal{G}_3^n \in \text{NU}(\text{item}_0)$

$$S \rightarrow A | B_n, A \rightarrow Aaa | a, B_1 \rightarrow aa, B_2 \rightarrow B_1 B_1, \dots, B_n \rightarrow B_{n-1} B_{n-1} \\ (\mathcal{G}_3^n)$$

Implementation

- ▶ For the whole SML grammar:
 - ▶ conflicts in the LALR(1) parser
`sml.y: conflicts: 223 shift/reduce, 35 reduce/reduce`
 - ▶ Our tool:
`89 potential ambiguities with LR(1) precision detected`

- ▶ For the SML grammar fragment:
 - `2 potential ambiguities with LR(0) precision detected:`
 - `(match -> mrule . , match -> match . '|' mrule)`
 - `(match -> match . '|' mrule , match -> match '|' mrule .)`

- ▶ $NU(item_1)$ correctly identifies 87% of our unambiguous grammars—73% of the non-LALR(1) ones

Summary

- ▶ conservative ambiguity detection
- ▶ provably better than several other techniques
- ▶ also experimentally better

Conclusion

- ▶ Main issues in parser development:
 - ▶ nondeterminism
 - ▶ ambiguity in particular
- ▶ Deterministic parsers for larger classes of grammars
- ▶ Ambiguity detection algorithm

Directions for Future Work

- ▶ Linear time parsing for $\text{NU}(\equiv)$ grammars?
- ▶ Improved implementation
- ▶ Noncanonical languages
- ▶ Regular approximations

Thanks!

Our Issue

Shift/Reduce Conflict

GNU Bison

```
state 20
```

```
6 exp: "case" exp "of" match .
```

```
8 match: match . '|' mrule
```

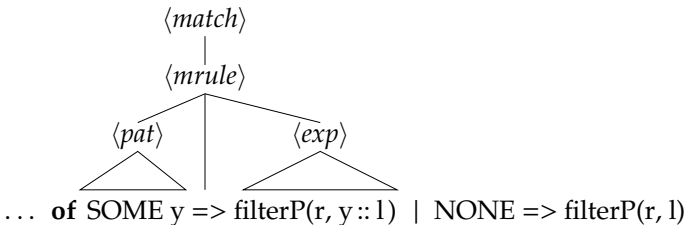
```
'|' shift, and go to state 24
```

```
'|' [reduce using rule 6 (exp)]
```

Our Issue

Shift/Reduce Conflict

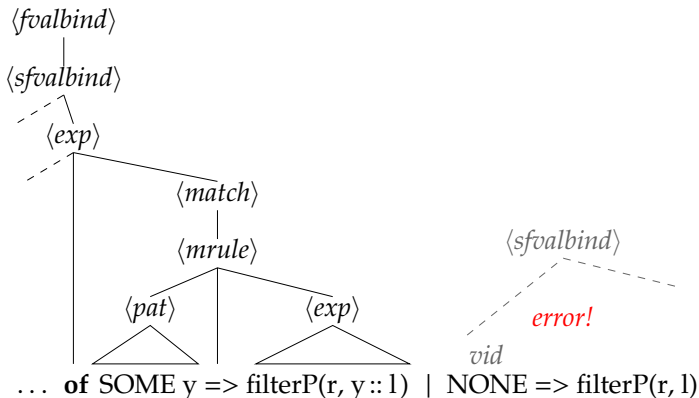
Which action to choose?



Our Issue

Shift/Reduce Conflict

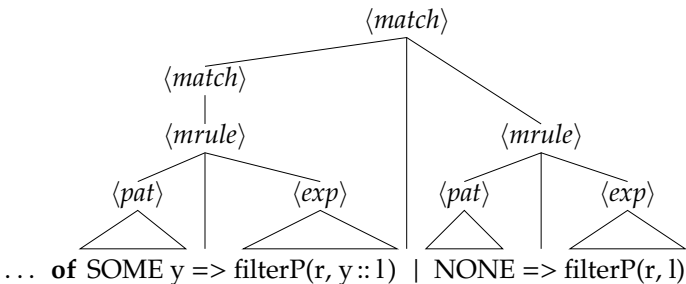
Which action to choose? Reduce?



Our Issue

Shift/Reduce Conflict

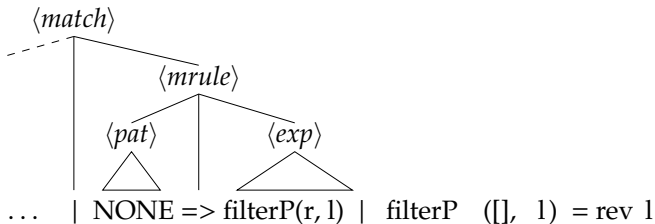
Which action to choose? Shift?



Our Issue

Shift/Reduce Conflict

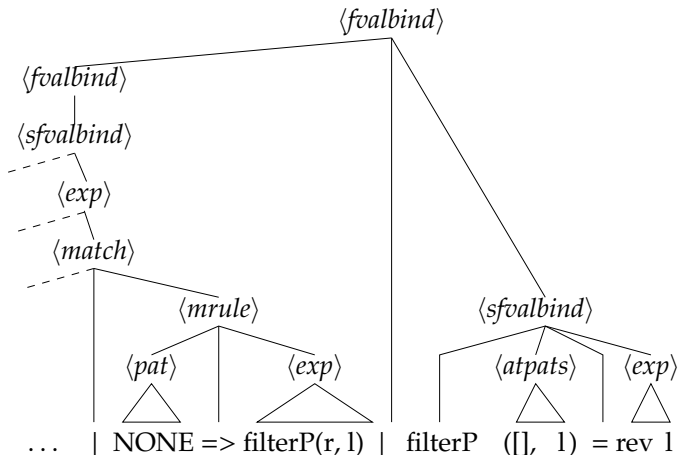
Which action to choose?



Our Issue

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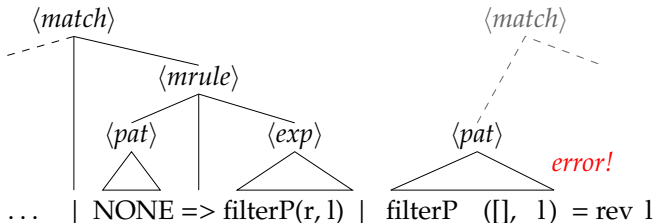
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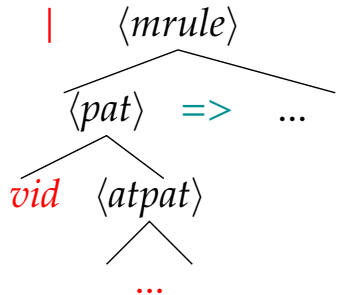
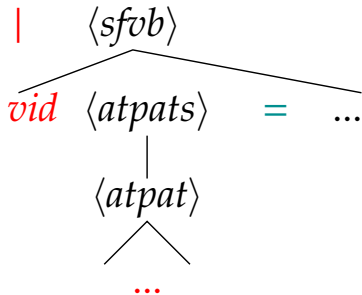
Our Issue

Shift/Reduce Conflict

Which action to choose? Shift?



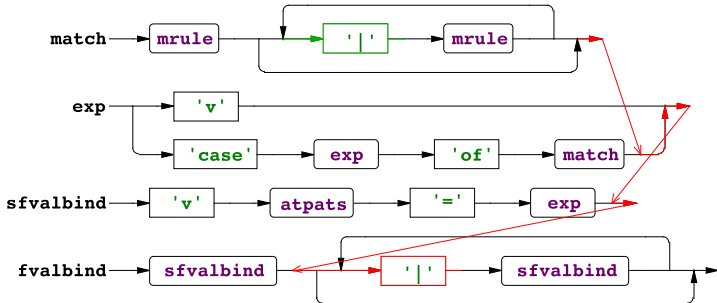
Unbounded Lookahead



Limitations

Ambiguity Report

- ▶ grambiguity [Brabrand et al., 2007]
 - *** horizontal ambiguity at E[plus]: $\text{Exp} \leftrightarrow '+' \text{Exp}$
 - ambiguous string: "x+x+x"
- ▶ ANTLRWorks [Parr, 2007]



Other Limitations

- ▶ memory requirements: a solution could be a NLALR test
- ▶ dynamic disambiguation: inverse problem, some means to deciding equivalence needed

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