

# Algorithmic Complexity of Well-Quasi-Orders

Sylvain Schmitz

based on joint works with D. Figueira, S. Figueira, J. Leroux, and Ph. Schnoebelen

LSV, ENS Paris-Saclay & CNRS, Université Paris-Saclay

MoVeP 2018

# OUTLINE

well-quasi-orders (wqo):

- ▶ proving algorithm termination

a toolbox for wqo complexity

- ▶ upper bounds
- ▶ lower bounds
- ▶ complexity classes

this talk: focus on one problem

- ▶ reachability in vector addition systems

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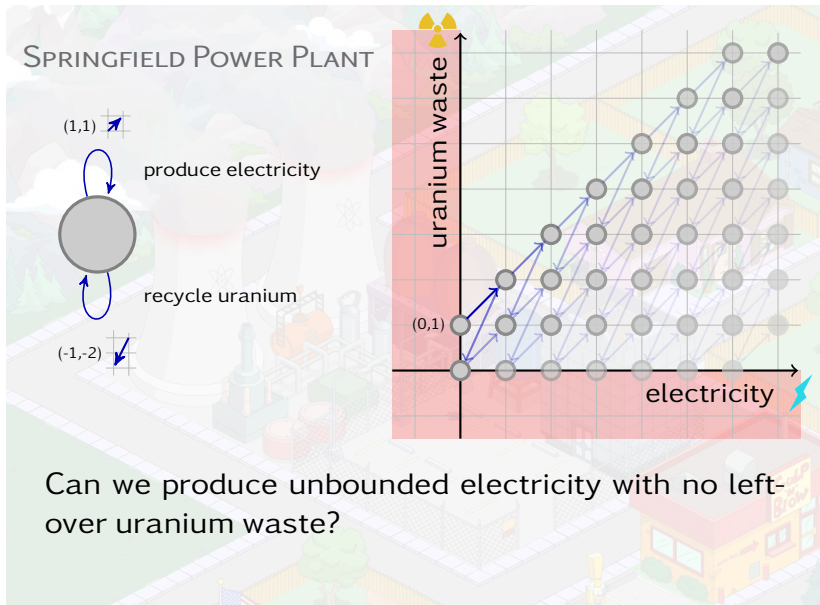
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- ▶ reachability in vector addition systems

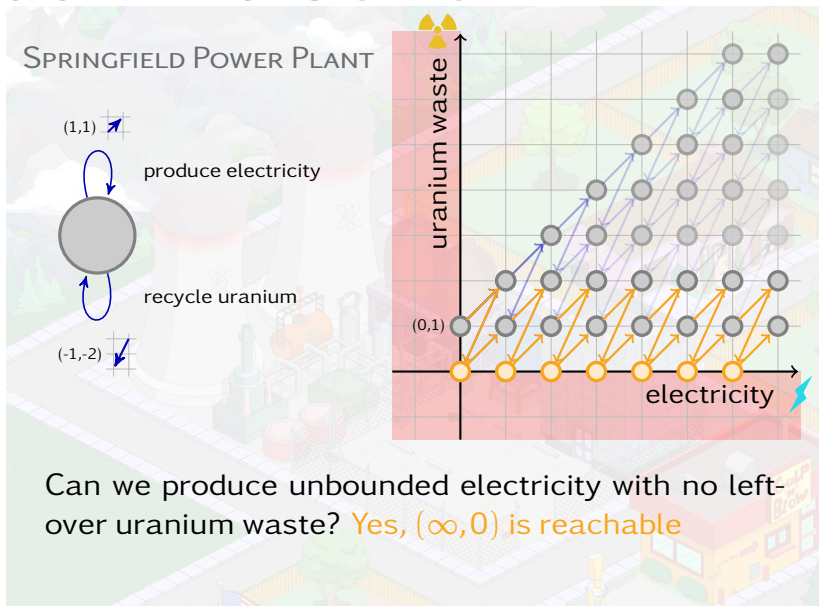
# VECTOR ADDITION SYSTEMS



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# IMPORTANCE OF THE PROBLEM

## REACHABILITY PROBLEM

input: *a vector addition system and two configurations* **source** and **target**

question: **source**  $\rightarrow^*$  **target**?



# IMPORTANCE OF THE PROBLEM

## DISCRETE RESOURCES

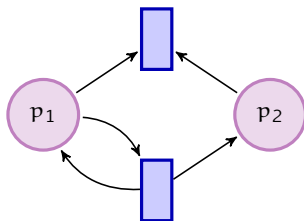
- ▶ modelling: items, money, energy, molecules, ...
- ▶ distributed computing: active threads in thread pool
- ▶ data: isomorphism types in data logics and data-centric systems

# IMPORTANCE OF THE PROBLEM

MoVEP EXAMPLE: PETRI NETS



Petri net



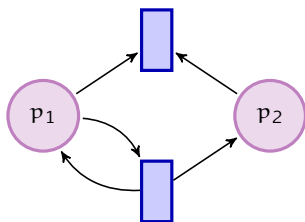
VAS

# IMPORTANCE OF THE PROBLEM

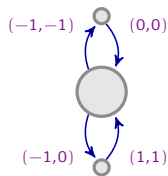
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VAS







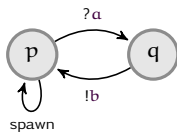
# IMPORTANCE OF THE PROBLEM

## MoVEP EXAMPLE: ASYNCHRONOUS RENDEZ-VOUS

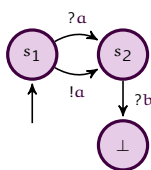
[German & Prasad Sistla'92]



Controller



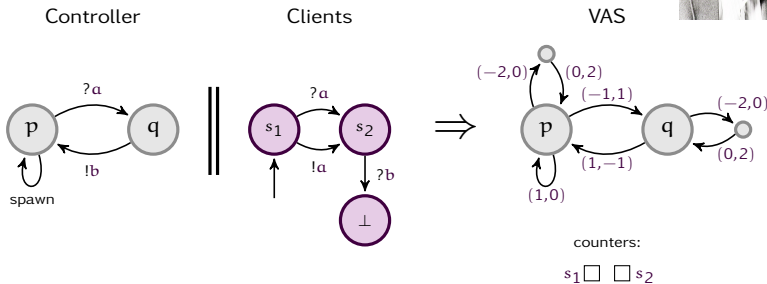
Clients



# IMPORTANCE OF THE PROBLEM

## MoVEP EXAMPLE: ASYNCHRONOUS RENDEZ-VOUS

[German & Prasad Sistla'92]



# IMPORTANCE OF THE PROBLEM

## CENTRAL DECISION PROBLEM [S.'16]

Large number of problems irreducible with reachability in vector addition systems

### The Complexity of Reachability in Vector Addition Systems

SYLVAIN SCHMITZ

LRI, ENS Cachan & CNRS & UPEL A, Université Paris-Saclay



The program of the 30th Symposium on Logic in Computer Science held in 2015 in Kyoto included two sessions on the computational complexity of the reachability problem for vector-addition systems (VASS). Patrick Godefroid and Mikolaj Zawadowski attacked the problem by providing the first tight complexity bounds in the case of dimension 2 systems with resets. While Leroux and Schmitz (2015) proved the first complexity upper bound in the general case. The purpose of this lecture is to present the main ideas behind these two results, and more generally survey the current state of affairs.

#### 1. INTRODUCTION

Vector addition systems with states (VASS), or equivalently Petri nets, find a wide range of applications in the modeling of concurrent, chemical, biological, or business processes. Much more importantly for this column, their algorithmic complexity (the decidability of their reachability problem [Mayr 1981, Kosaraju 1982, Lambert 1991a, Leroux 2011], or the complexity of many decidability results in logic, automata, verification, etc.—see Section 5 for a few examples) is a central topic in the complexity theory of its applications. Regarding the general case, the inductive surveys on the complexity of decision problems on VASS by Espartero and Nielsen (1984) and Espartero (1996) could only point to the EXPSPACE lower bound of Lipman (1981) and to the fact that the remaining time of the known algorithms is not primitive recursive—no complexity upper bound was known, besides decidability first proven in 1941 by Mayr. When twisting to restricted versions of the problem, the 2-dimensional case was only known to be in 2EXP [Blaser, Hansen, Hortsch, and Yen 1986] and NP-hard [Blaser and Yen 1988].

The state of affairs has very recently improved with two articles: — Leroux and Schmitz (2015) have shown that reachability has a “false Ackermannian” upper bound, i.e. is in  $\mathcal{F}_c$ , by analyzing the complexity of the classical algorithm developed and refined by Mayr (1981), Kosaraju (1982), and Lambert (1991). Here,  $\mathcal{F}_c$  is a non-primitive-recursive complexity class, but among the lower multiplicative bounds for termination provable by well-quasi-orders and ordinal ranking functions from Figueras et al. (2011, Section 20.14).

— Boudin, Finkbeiner, Giller, Haase, and Makris (2015) have shown that reachability in 2-dimensional VASS is PSPACE-complete by a careful analysis of the complexity of the “flattening” of Leroux and Suter (2004) for the upper bound, and by applying the result to an bounded one-counter automata by Feys and Jurdzinski (2015).

— The main focus of the column is the complexity of the algorithm of Lambert (1992). Section 3 presents it in detail.

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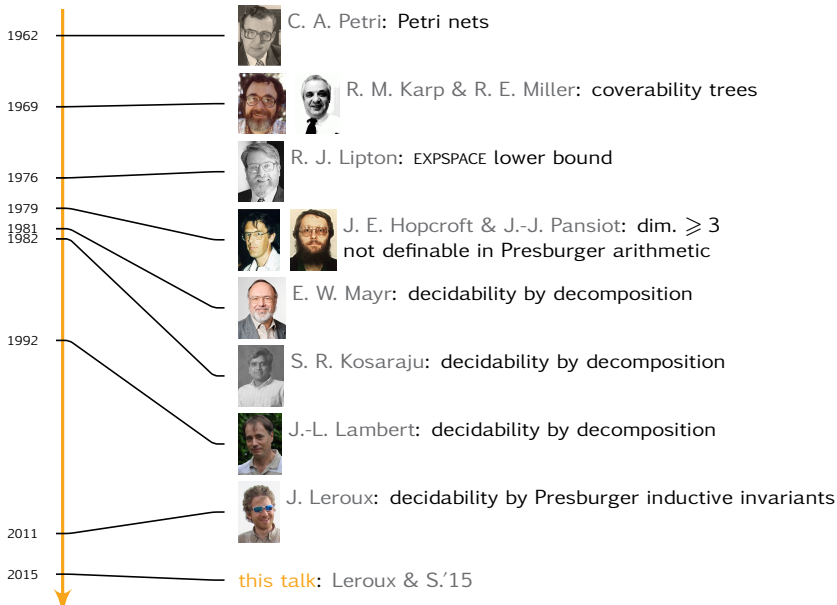
# IMPORTANCE OF THE PROBLEM

**THEOREM** (Minsky'67)

*Reachability is undecidable in 2-dimensional Minsky machines (vector addition systems with zero tests).*



# IMPORTANCE OF THE PROBLEM





# DEMYSTIFYING REACHABILITY IN VECTOR ADDITION SYSTEMS

[Leroux & S.'15]

## IDEAL DECOMPOSITION THEOREM

*The Decomposition Algorithm computes the ideal decomposition of the set of runs from source to target.*

## UPPER BOUND THEOREM

*Reachability in vector addition systems is in cubic Ackermann.*



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# DEMYSTIFYING REACHABILITY IN VECTOR ADDITION SYSTEMS

[Leroux & S.'15; S.'17]

## IDEAL DECOMPOSITION THEOREM

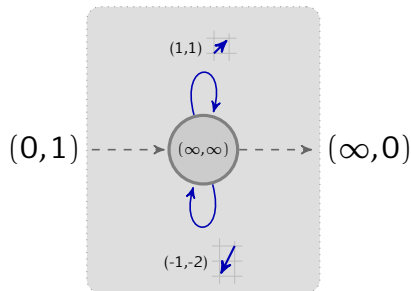
*The Decomposition Algorithm computes the ideal decomposition of the set of runs from source to target.*

## UPPER BOUND THEOREM

*Reachability in vector addition systems is in **quadratic Ackermann**.*

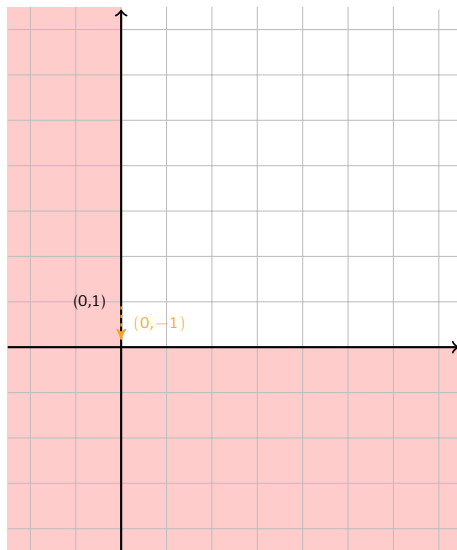
# “SIMPLE RUNS” ( $\ominus$ CONDITION)

[Mayr'81, Kosaraju'82, Lambert'92]



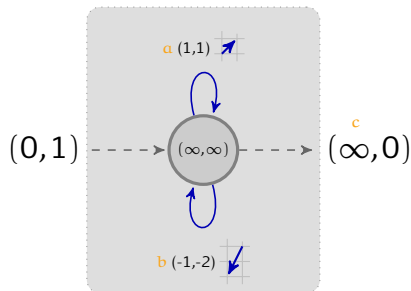
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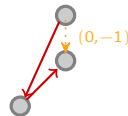


CHARACTERISTIC SYSTEM

$$0 + 1 \cdot a - 1 \cdot b = c$$

$$1 + 1 \cdot a - 2 \cdot b = 0$$

SOLUTION PATH

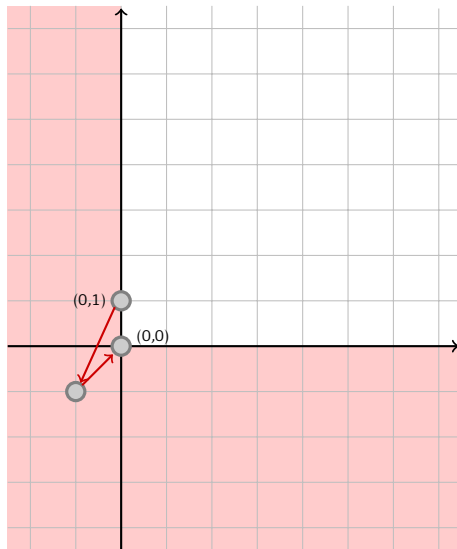




# "SIMPLE RUNS" ( $\Theta$ CONDITION)

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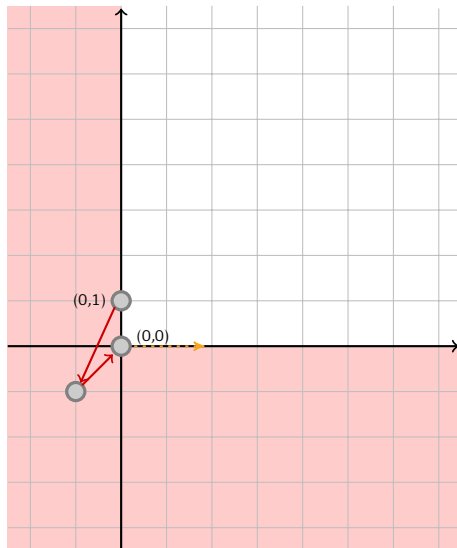
solution path



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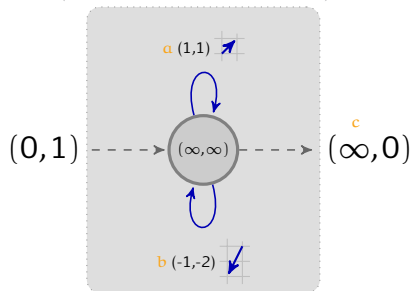
[Mayr'81, Kosaraju'82, Lambert'92]

solution path



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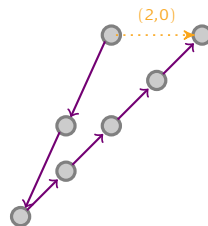
## HOMOGENEOUS SYSTEM

$$1 \cdot \mathbf{a} - 1 \cdot \mathbf{b} = \mathbf{c}$$

$$1 \cdot \mathbf{a} - 2 \cdot \mathbf{b} = \mathbf{0}$$

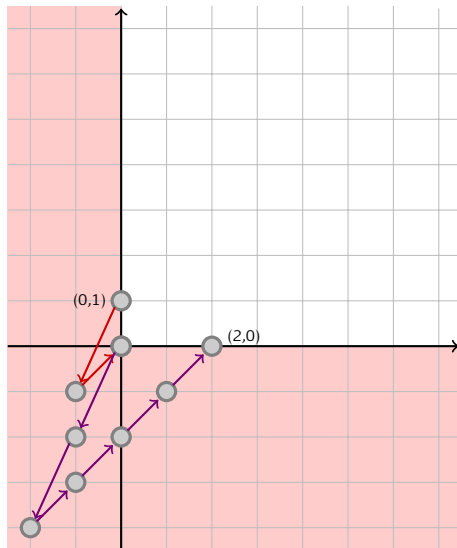
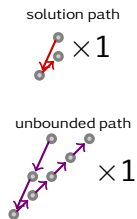
$$\mathbf{a}, \mathbf{b}, \mathbf{c} > \mathbf{0}$$

## UNBOUNDED PATH



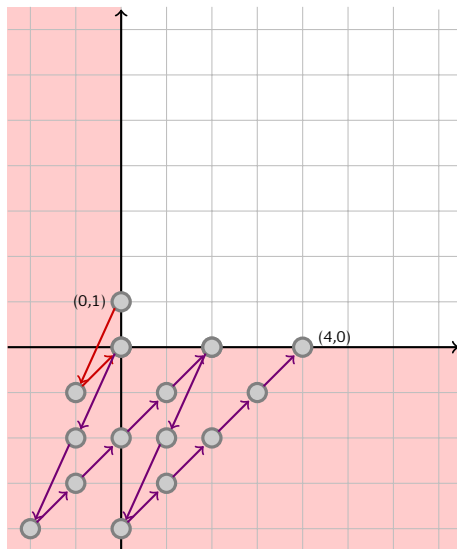
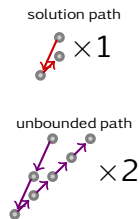
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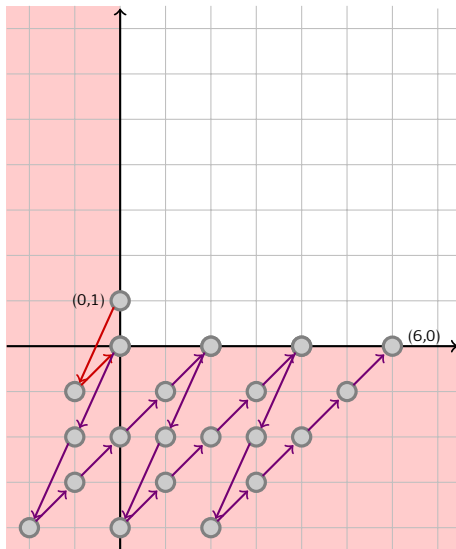
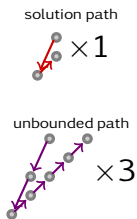
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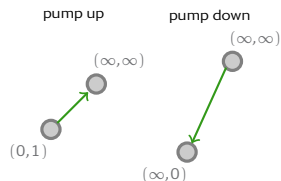
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# "SIMPLE RUNS" ( $\ominus$ CONDITION)

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## PUMPABLE PATHS

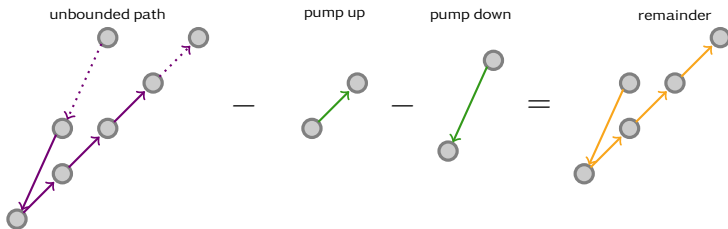


uses *coverability trees* [Karp & Miller'69]  
which relies on *Dickson's Lemma* [Dickson, 1913]

# "SIMPLE RUNS" ( $\Theta$ CONDITION)

[Mayr'81, Kosaraju'82, Lambert'92]

## PUMPABLE PATHS

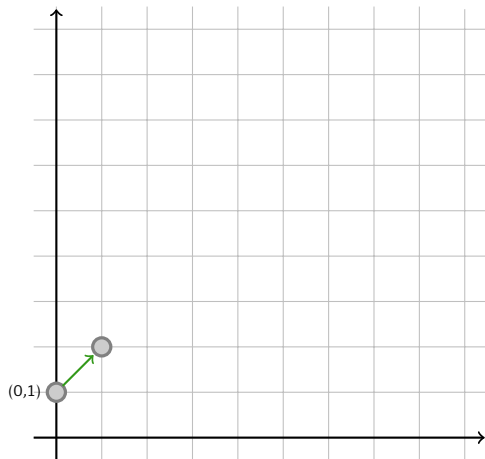




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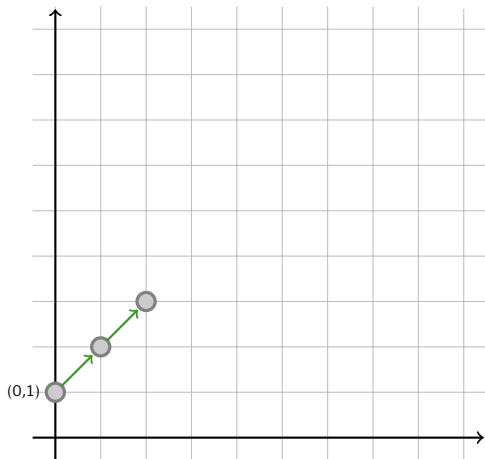
pump up  
  $\times 1$



# "SIMPLE RUNS" ( $\ominus$ CONDITION)

[Mayr'81, Kosaraju'82, Lambert'92]

pump up  
  $\times 2$



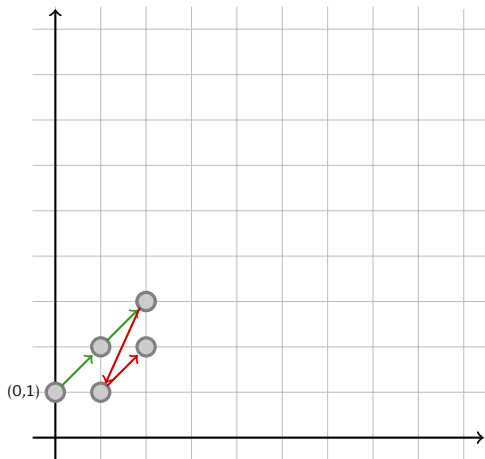
# "SIMPLE RUNS" ( $\Theta$ CONDITION)

[Mayr'81, Kosaraju'82, Lambert'92]

pump up



solution path



# "SIMPLE RUNS" ( $\ominus$ CONDITION)

[Mayr'81, Kosaraju'82, Lambert'92]

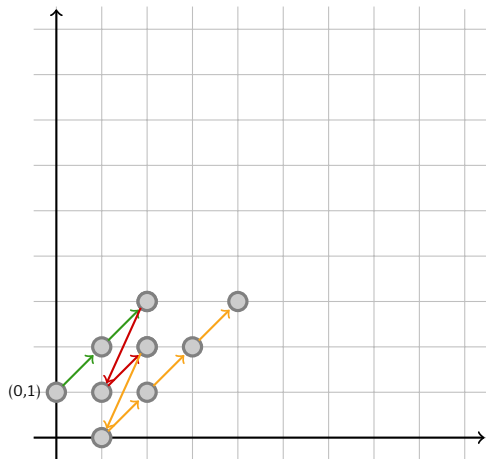
pump up



solution path

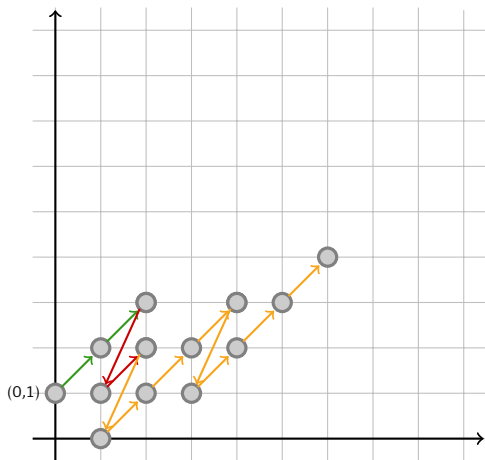
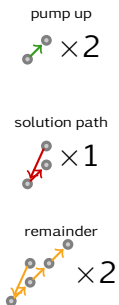


remainder



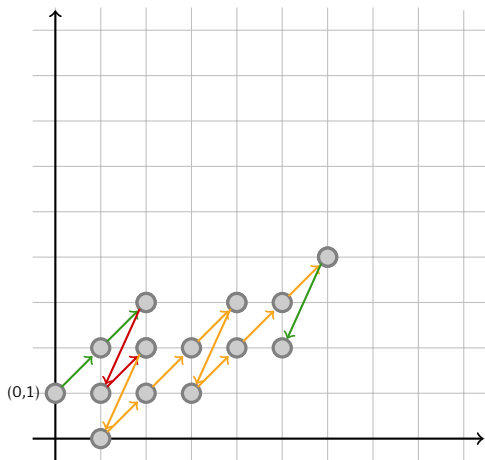
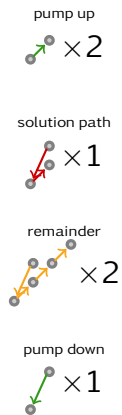
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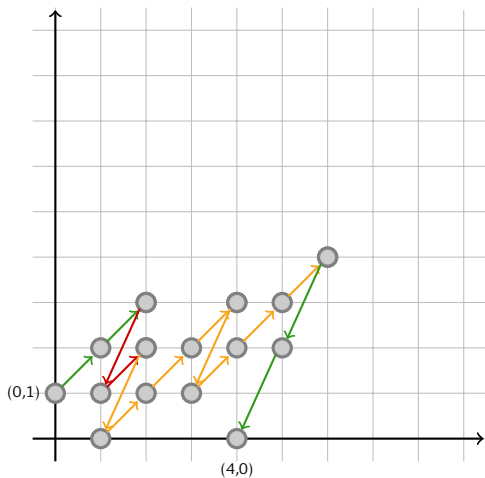
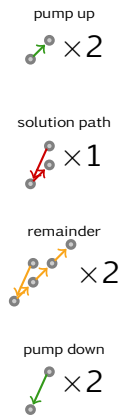
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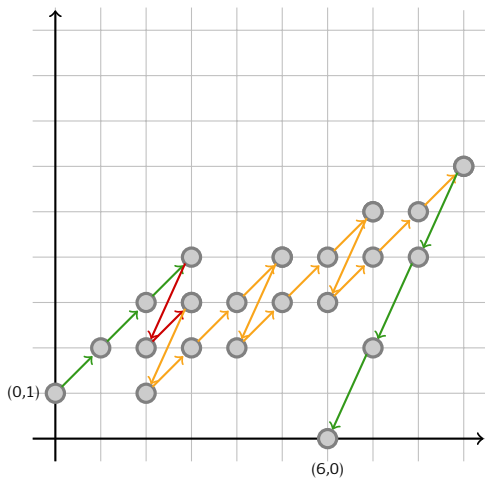
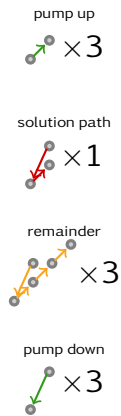
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[Mayr'81, Kosaraju'82, Lambert'92]



# "SIMPLE RUNS" ( $\Theta$ CONDITION)

[Mayr'81, Kosaraju'82, Lambert'92]





# DECOMPOSITION ALGORITHM

[Mayr'81, Kosaraju'82, Lambert'92]

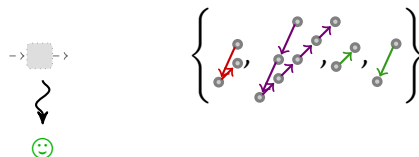
can we build a “simple run”?



# DECOMPOSITION ALGORITHM

[Mayr'81, Kosaraju'82, Lambert'92]

can we build a "simple run"? **yes**



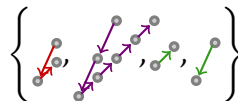
# DECOMPOSITION ALGORITHM

[Mayr'81, Kosaraju'82, Lambert'92]

can we build a "simple run"? **no**



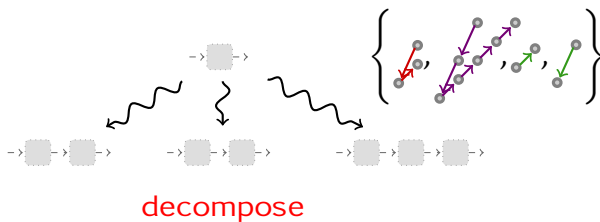
decompose



# DECOMPOSITION ALGORITHM

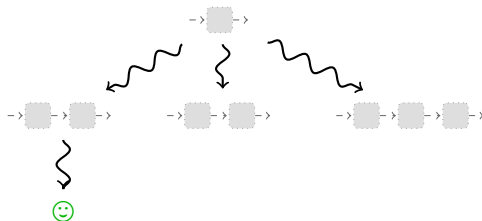
[Mayr'81, Kosaraju'82, Lambert'92]

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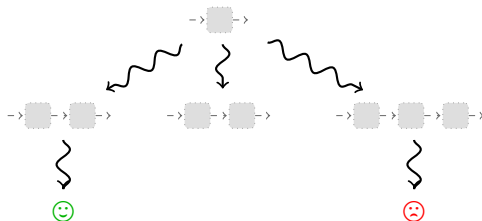
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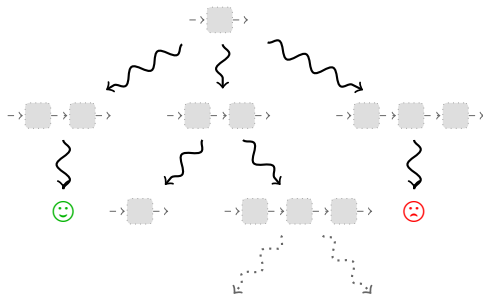
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[Mayr'81, Kosaraju'82, Lambert'92]



# DECOMPOSITION ALGORITHM

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# TERMINATION

“Finally the checker has to verify that the process comes to an end. Here again he should be assisted by the programmer giving a further definite assertion to be verified. This may take the form of a quantity which is asserted to decrease continually and vanish when the machine stops.”



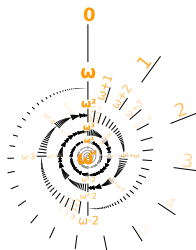
[Turing'49]



# TERMINATION

“Finally the checker has to verify that the process comes to an end. Here again he should be assisted by the programmer giving a further definite assertion to be verified. This may take the form of a quantity which is asserted to decrease continually and vanish when the machine stops. To the pure mathematician it is natural to give an **ordinal number**.”

[Turing'49]



# TERMINATION OF THE DECOMPOSITION ALGORITHM

[Mayr'81, Kosaraju'82, Lambert'92]

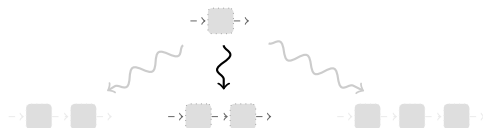
RANKING FUNCTION

 $\omega^{\omega^2}$  $\vee$  $\alpha_0$

# TERMINATION OF THE DECOMPOSITION ALGORITHM

[Mayr'81, Kosaraju'82, Lambert'92]

RANKING FUNCTION



$\omega^{\omega^2}$

$\vee$

$\alpha_0$

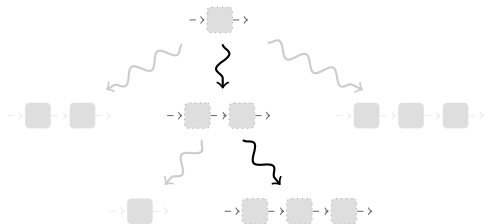
$\vee$

$\alpha_1$

# TERMINATION OF THE DECOMPOSITION ALGORITHM

[Mayr'81, Kosaraju'82, Lambert'92]

RANKING FUNCTION



$\omega\omega^2$

$\vee$

$\alpha_0$

$\vee$

$\alpha_1$

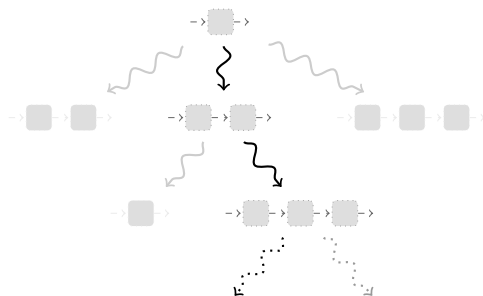
$\vee$

$\alpha_2$

# TERMINATION OF THE DECOMPOSITION ALGORITHM

[Mayr'81, Kosaraju'82, Lambert'92]

RANKING FUNCTION



$\omega\omega^2$

∇

$\alpha_0$

∇

$\alpha_1$

∇

$\alpha_2$

∇

⋮



# DEMYSTIFYING REACHABILITY IN VECTOR ADDITION SYSTEMS

[Leroux & S.'15; S.'17]

## IDEAL DECOMPOSITION THEOREM

*The Decomposition Algorithm computes the ideal decomposition of the set of runs from source to target.*

## UPPER BOUND THEOREM

*Reachability in vector addition systems is in quadratic Ackermann.*

# UPPER BOUNDS

How to bound the running time of algorithms with  
**ordinal**-based termination proofs?

# UPPER BOUNDS

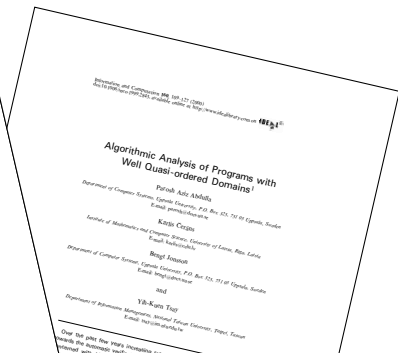
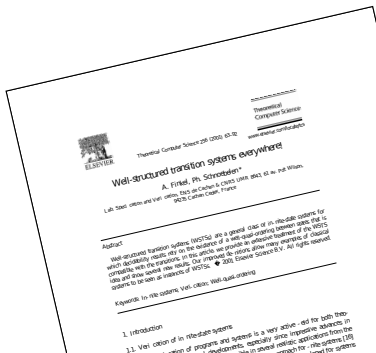
How to bound the running time of algorithms with  
**wqo**-based termination proofs?



# UPPER BOUNDS

How to bound the running time of algorithms with  
wqo-based termination proofs?

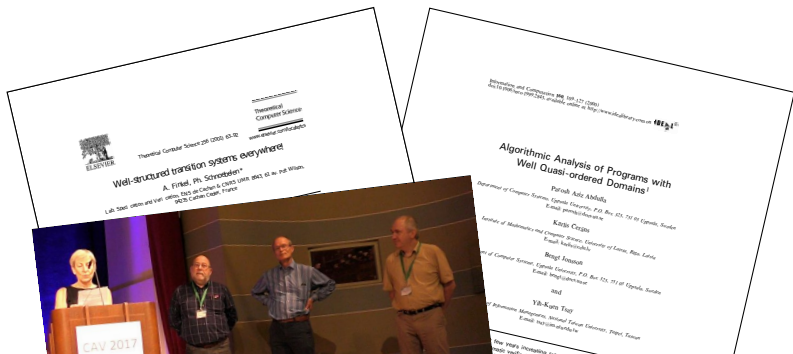
*wqos ubiquitous in infinite-state verification*



# UPPER BOUNDS

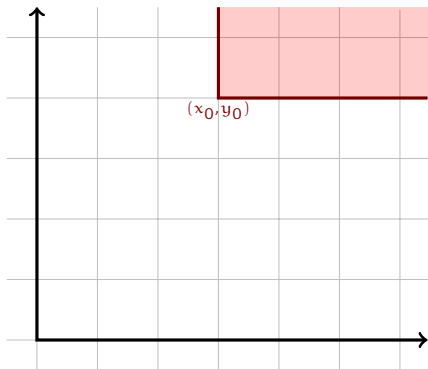
How to bound the running time of algorithms with  
**wqo**-based termination proofs?

*wqos ubiquitous in infinite-state verification*



# A ONE-PLAYER GAME

- ▶ over  $\mathbb{Q}_{\geq 0} \times \mathbb{Q}_{\geq 0}$
- ▶ given initially  $(x_0, y_0)$
- ▶ Eloise plays  $(x_j, y_j)$  s.t.  
 $\forall 0 \leq i < j, x_i > x_j$  or  
 $y_i > y_j$

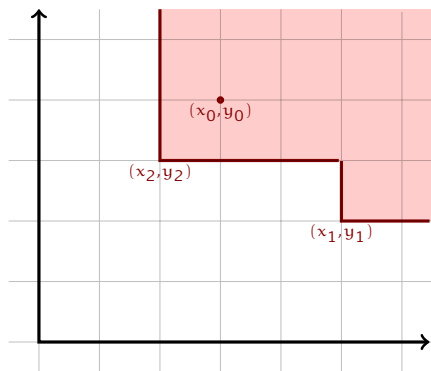


- ▶ Can Eloise win, i.e. play indefinitely?
- ▶ If not, how long can she last?



# A ONE-PLAYER GAME

- ▶ over  $\mathbb{Q}_{\geq 0} \times \mathbb{Q}_{\geq 0}$
- ▶ given initially  $(x_0, y_0)$
- ▶ Eloise plays  $(x_j, y_j)$  s.t.  
 $\forall 0 \leq i < j, x_i > x_j$  or  
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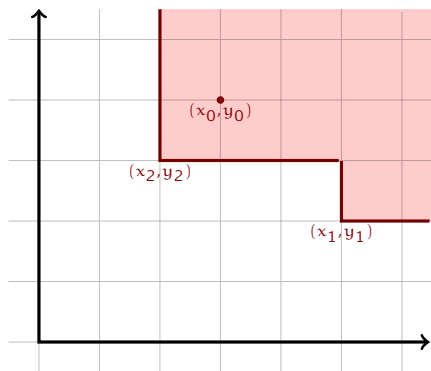


- ▶ **Can Eloise win**, i.e. play indefinitely?
- ▶ If not, how long can she last?

If  $(x_0, y_0) \neq (0, 0)$ , then choosing  $(x_j, y_j) = \left(\frac{x_0}{2^j}, \frac{y_0}{2^j}\right)$  wins.

# A ONE-PLAYER GAME

- ▶ over  $\mathbb{N} \times \mathbb{N}$
- ▶ given initially  $(x_0, y_0)$
- ▶ Eloise plays  $(x_j, y_j)$  s.t.  
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Assume there exists an infinite sequence  $(x_j, y_j)_j$  of moves over  $\mathbb{N}^2$ .

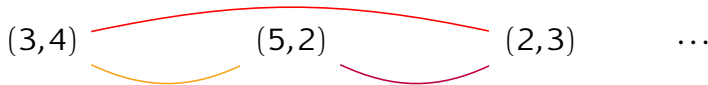


Assume there exists an infinite sequence  $(x_j, y_j)_j$  of moves over  $\mathbb{N}^2$ . Consider the pairs of indices  $i < j$ : color  $(i, j)$

**purple** if  $x_i > x_j$  but  $y_i \leq y_j$ ,

**red** if  $x_i > x_j$  and  $y_i > y_j$ ,

**orange** if  $y_i > y_j$  but  $x_i \leq x_j$ .

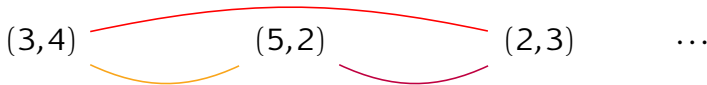


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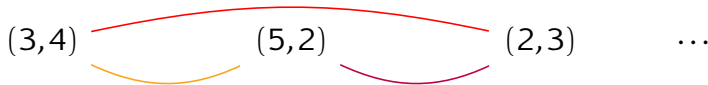
By the **infinite Ramsey Theorem**, there exists an infinite monochromatic subset of indices.

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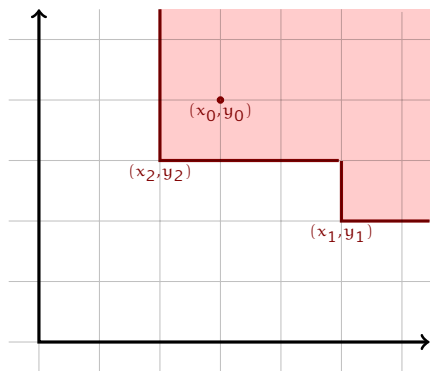
**orange** if  $y_i > y_j$  but  $x_i \leq x_j$ .



By the infinite Ramsey Theorem, there exists an infinite monochromatic subset of indices. In all cases, it implies the existence of an infinite decreasing sequence in  $\mathbb{N}$ , a contradiction.

# A ONE-PLAYER GAME

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- ▶ given initially  $(x_0, y_0)$
- ▶ Eloise plays  $(x_j, y_j)$  s.t.  
 $\forall 0 \leq i < j, x_i > x_j$  or  
 $y_i > y_j$



- ▶ Can Eloise win, i.e. play indefinitely?
- ▶ If not, **how long** can she last?

- ▶ if  $(x_0, y_0) = (0, 0)$ , 0 turns
- ▶ otherwise, an arbitrary number of turns  $N$ : if  $x_0 > 0$ :

$$(x_0, y_0), (0, N - 1), (0, N - 2), \dots, (0, 1), (0, 0)$$

# BAD SEQUENCES

Over a qo  $(X, \leq)$

- ▶  $x_0, x_1, \dots$  is **bad** if  $\forall i < j. x_i \not\leq x_j$
- ▶  $(X, \leq)$  wqo iff all bad sequences are **finite**
- ▶

# BAD SEQUENCES

# WQOs FOR ALGORITHM TERMINATION

**SIMPLE**  $(a, b)$

$c \leftarrow 1$

**while**  $a > 0 \wedge b > 0$

$\langle a, b, c \rangle \leftarrow \langle a - 1, b, 2c \rangle$

**or**

$\langle a, b, c \rangle \leftarrow \langle 2c, b - 1, 1 \rangle$

**end**

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- ▶ in any execution,  $\langle a_0, b_0 \rangle, \dots, \langle a_n, b_n \rangle$  is a bad sequence over  $(\mathbb{N}^2, \leq_x)$ ,
- ▶  $(\mathbb{N}^2, \leq_x)$  is a wqo: all the runs are finite
- ▶ How long can SIMPLE run?



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# A RICH THEORY

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- ▶ algebraic constructions

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- ▶ multiple equivalent definitions:  $(X, \leq)$  wqo iff
  - ▶  $\leq$  is well-founded and has no infinite antichains,
    - ▶ thus every ordinal is a wqo
  - ▶ every linearisation of  $\leq$  is well-founded,
  - ▶  $\leq$  has the Ascending Chain Condition,
  - ▶ if  $x_0, x_1, \dots \in X^\omega$ , then there exists an infinite sequence  $i_0 < i_1 < \dots$  with  $x_{i_0} \leq x_{i_1} \leq \dots$ ,
  - ▶ etc.
- ▶ algebraic constructions

# A RICH THEORY

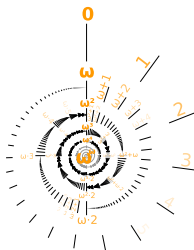
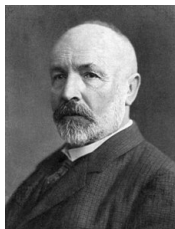
- ▶ multiple equivalent definitions
- ▶ algebraic constructions
  - ▶ Cartesian products (Dickson's Lemma),
  - ▶ finite sequences (Higman's Lemma),
  - ▶ disjoint sums,
  - ▶ finite sets with Hoare's quasi-ordering,
  - ▶ finite trees (Kruskal's Tree Theorem),
  - ▶ graphs with minors (Robertson and Seymour's Graph Minor Theorem), etc.

# EXAMPLE: ORDINALS

ordinal: well-founded linear  
order

bad sequences are descending  
sequences:

$$\alpha \not\leq \beta \text{ iff } \alpha > \beta$$



# EXAMPLE: DICKSON'S LEMMA

LEMMA (Dickson 1913)

If  $(X, \leq_X)$  and  $(Y, \leq_Y)$  are two wqos, then  $(X \times Y, \leq_x)$  is a wqo, where  $\leq_x$  is the *product ordering*:



$$\langle x, y \rangle \leq_x \langle x', y' \rangle \stackrel{\text{def}}{\iff} x \leq_X x' \wedge y \leq_Y y'.$$

EXAMPLE

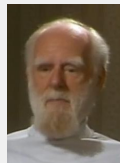
$(\mathbb{N}^d, \leq)$  using the product ordering



# EXAMPLE: HIGMAN'S LEMMA

LEMMA (Higman 1952)

If  $(X, \leq)$  is a wqo, then  $(X^*, \leq_*)$  is a wqo where  $\leq_*$  is the *subword embedding ordering*:



$$a_1 \cdots a_m \leq_* b_1 \cdots b_n \stackrel{\text{def}}{\iff} \begin{cases} \exists 1 \leq i_1 < \cdots < i_m \leq n, \\ \bigwedge_{j=1}^m a_j \leq_A b_{i_j}. \end{cases}$$

EXAMPLE

$$aba \leq_* baaacabbab$$

# BAD SEQUENCES

# CONTROLLED BAD SEQUENCES

# CONTROLLED BAD SEQUENCES

Over a qo  $(X, \leq)$  with norm  $\|\cdot\|$

- ▶  $x_0, x_1, \dots$  is bad if  $\forall i < j. x_i \not\leq x_j$
- ▶  $(X, \leq)$  wqo iff all bad sequences are finite
- ▶ **controlled** by  $g: \mathbb{N} \rightarrow \mathbb{N}$   
monotone and inflationary and  
 $n_0 \in \mathbb{N}$  if  $\forall i. \|x_i\| \leq g^i(n_0)$

[Cichoń & Tahhan Bittar'98]

## PROPOSITION

Over  $(X, \leq)$ , assuming  $\forall n \{x \in X \mid \|x\| \leq n\}$  finite,  
 $(g, n_0)$ -controlled bad sequences have a **maximal length**,  
noted  $L_{g, X}(n_0)$ .

# CONTROLLED BAD SEQUENCES

## PROPOSITION

Over a wqo  $(X, \leq)$ , assuming  $\{x \in X \mid \|x\| \leq n\}$  to be finite  $\forall n$ ,  $(g, n_0)$ -controlled bad sequences have a *maximal length*, noted  $L_{g,X}(n_0)$ .

## PROOF IDEA

# CONTROLLED BAD SEQUENCES

## PROPOSITION

Over a wqo  $(X, \leq)$ , assuming  $\{x \in X \mid \|x\| \leq n\}$  to be finite  $\forall n$ ,  $(g, n_0)$ -controlled bad sequences have a *maximal length*, noted  $L_{g,X}(n_0)$ .

## OBJECTIVE

Provide upper bounds for  $L_{g,X}(n_0)$ .

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- ▶ **How long** can SIMPLE run?

# A COMPUTATION OF SIMPLE(2, 3)

SIMPLE (a, b)

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$\langle a, b, c \rangle$	loop iterations
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$\langle 1, 3, 2^1 \rangle$	1
$\langle 2^2, 2, 2^0 \rangle$	2

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$\vdots$	$\vdots$
$\langle 1, 2, 2^{2^2-1} \rangle$	$2 + 2^2 - 1$

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$\vdots$	$\vdots$
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$\langle a, b, c \rangle$	loop iterations
$\vdots$	$\vdots$
$\langle 1, 1, 2^{2^{2^2}} - 1 \rangle$	$2 + 2^2 + 2^{2^2} - 1$
$\langle 0, 1, 2^{2^{2^2}} \rangle$	$2 + 2^2 + 2^{2^2}$

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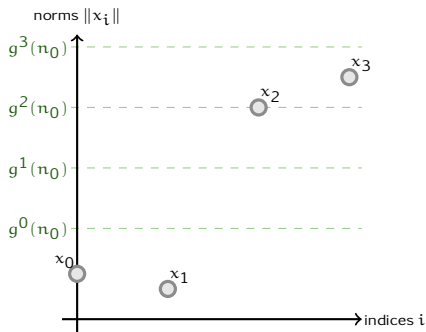
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$\langle a, b, c \rangle$	loop iterations
$\vdots$	$\vdots$
$\langle 0, 1, 2^{2^{2^2}} \rangle$	$2 + 2^2 + 2^{2^2}$

- ▶ **non-elementary** complexity
- ▶ derive (matching) upper bounds for termination arguments based on  $(\mathbb{N}^2, \leq_x)$  being a wqo

# DESCENT EQUATION

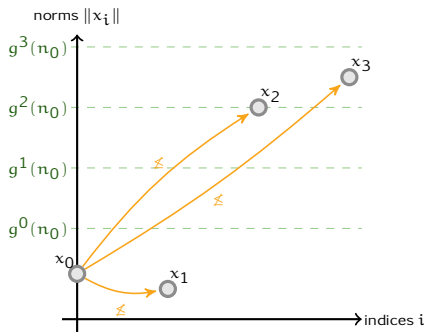
$(g, n_0)$ -controlled bad sequence  $x_0, x_1, x_2, x_3, \dots$  over a wqo  $(X, \leq)$ :





# DESCENT EQUATION

$(g, n_0)$ -controlled **bad sequence**  $x_0, x_1, x_2, x_3, \dots$  over a wqo  $(X, \preceq)$ :

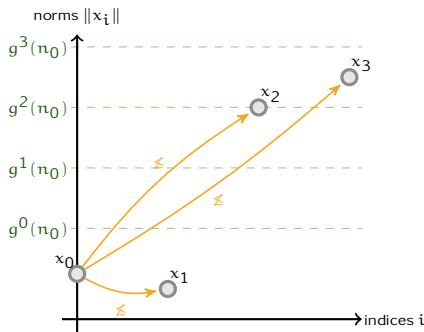


over the suffix  
 $x_1, x_2, x_3, \dots, \forall i > 0,$

$$x_0 \not\preceq x_i$$

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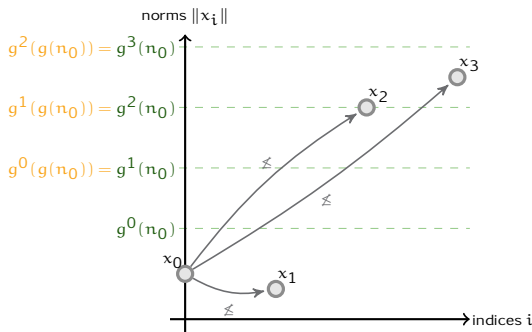


over the suffix  
 $x_1, x_2, x_3, \dots, \forall i > 0,$

$$x_i \in X \uparrow x_0 \stackrel{\text{def}}{=} \{x \in X \mid x_0 \preceq x\}$$

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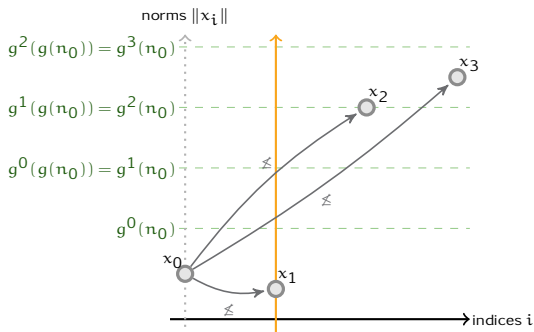
over the suffix  
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$$x_i \in X \setminus \uparrow x_0 \stackrel{\text{def}}{=} \{x \in X \mid x_0 \not\preceq x\}$$

$$\|x_i\| \leq g^{i-1}(g(n_0))$$

# DESCENT EQUATION

$(g, n_0)$ -controlled bad sequence  $x_0, x_1, x_2, x_3, \dots$  over a wqo  $(X, \preceq)$ :



over the suffix  
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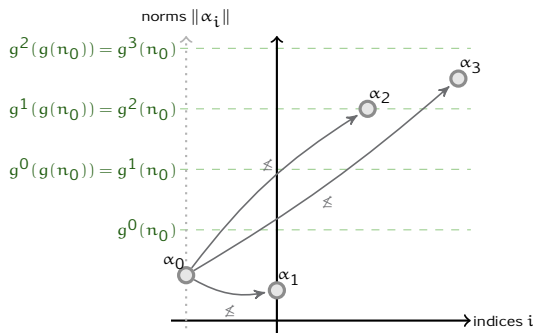
$$x_i \in X \uparrow x_0 \stackrel{\text{def}}{=} \{x \in X \mid x_0 \preceq x\}$$

$$\|x_i\| \leq g^{i-1}(g(n_0))$$

$$L_{g,X}(n_0) = \max_{x_0 \in X, \|x_0\| \leq n_0} 1 + L_{g,X \uparrow x_0}(g(n_0))$$

# DESCENT EQUATION

$(g, n_0)$ -controlled bad sequence  $\alpha_0, \alpha_1, \alpha_2, \alpha_3, \dots$  over an ordinal  $\alpha$ :



over the suffix  
 $\alpha_1, \alpha_2, \alpha_3, \dots, \forall i > 0,$

$$\alpha_i \in \alpha_0 \stackrel{\text{def}}{=} \{\beta \in \alpha \mid \beta \not\leq \alpha_0\}$$

$$\|\alpha_i\| \leq g^{i-1}(g(n_0))$$

$$L_{g,\alpha}(n_0) = \max_{\alpha_0 \in \alpha, \|\alpha_0\| \leq n_0} 1 + L_{g,\alpha_0}(g(n_0))$$

# THE CASE OF ORDINALS

[S.14]

- ▶ **Cantor Normal Form (CNF)** for ordinals  $\alpha < \varepsilon_0$ :

$$\alpha = \omega^{\alpha_1} \cdot c_1 + \dots + \omega^{\alpha_k} \cdot c_k$$

$$\alpha > \alpha_1 > \dots > \alpha_k \text{ in CNF, } \quad 0 < c_1, \dots, c_k < \omega$$

- ▶ Norm of ordinals  $\alpha < \varepsilon_0$ : “maximal constant”

$$\|\alpha\| \stackrel{\text{def}}{=} \max_{1 \leq i \leq k} (\max(\|\alpha_i\|, c_i))$$

## EXAMPLE

$$\|\omega^{\omega^2}\| = 2$$

$$\|\omega^{\omega \cdot 5} + \omega^2 \cdot 3\| = 5$$

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# THE CASE OF ORDINALS

[S.14]

Recall the descent equation:

$$L_{g,\alpha}(n_0) = \max_{\alpha_0 \in \alpha, \|\alpha_0\| \leq n_0} 1 + L_{g,\alpha_0}(g(n_0))$$

**PROPOSITION** (variant of [Buchholtz, Cichoń & Weiermann'94])

Let  $0 < \alpha < \varepsilon_0$  and  $\|\alpha\| \leq n_0$ . Then

$$L_{g,0}(n_0) = 0 \quad L_{g,\alpha}(n_0) = 1 + L_{g,P_{n_0}(\alpha)}(g(n_0))$$

$P_x(\alpha)$  denotes the predecessor at  $x$  of  $\alpha > 0$ : “maximal ordinal  $\beta < \alpha$  s.t.  $\|\beta\| \leq x$ ”



# THE CASE OF ORDINALS

[S.14]

Recall the descent equation:

$$L_{g,\alpha}(n_0) = \max_{\alpha_0 \in \alpha, \|\alpha_0\| \leq n_0} 1 + L_{g,\alpha_0}(g(n_0))$$

**PROPOSITION** (variant of [Buchholtz, Cichoń & Weiermann'94])

Let  $0 < \alpha < \varepsilon_0$  and  $\|\alpha\| \leq n_0$ . Then

$$L_{g,0}(n_0) = 0 \quad L_{g,\alpha}(n_0) = 1 + L_{g,P_{n_0}(\alpha)}(g(n_0))$$

$P_x(\alpha)$  denotes the **predecessor at  $x$  of  $\alpha > 0$** : “maximal ordinal  $\beta < \alpha$  s.t.  $\|\beta\| \leq x$ ”

# THE CASE OF ORDINALS

[S.14]

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## EXAMPLE

$$P_3(\omega^2) = \omega \cdot 3 + 3$$

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This function was already known in the literature!

**DEFINITION** (Cichoń Hierarchy [Cichoń & Tahhan Bittar'98])

For  $g : \mathbb{N} \rightarrow \mathbb{N}$ , define  $(g_\alpha : \mathbb{N} \rightarrow \mathbb{N})_\alpha$  by

$$g_0(x) \stackrel{\text{def}}{=} 0 \quad g_\alpha(x) \stackrel{\text{def}}{=} 1 + g_{P_x(\alpha)}(g(x)) \text{ for } \alpha > 0$$

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[S.14]

## LENGTH FUNCTION THEOREM (FOR ORDINALS)

*Let  $\alpha < \varepsilon_0$  and  $n_0 \geq \|\alpha\|$ . Then the longest  $(g, n_0)$ -controlled descending sequence over  $\alpha$  is of length  $L_{g,\alpha}(n_0) = g_\alpha(n_0)$*

# RELATING NORM AND LENGTH

[Cichoń & Tahhan Bittar'98]

Recall the definition of the Cichoń Hierarchy:

$$g_0(x) \stackrel{\text{def}}{=} 0 \quad g_\alpha(x) \stackrel{\text{def}}{=} 1 + g_{P_x(\alpha)}(g(x)) \text{ for } \alpha > 0$$

**DEFINITION** (Hardy Hierarchy)

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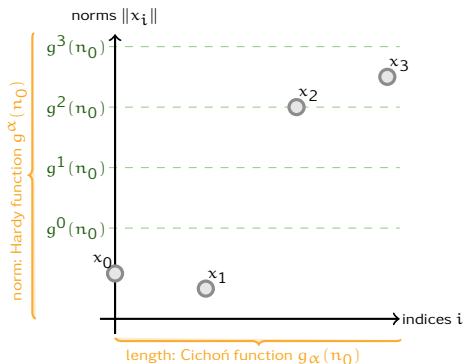
$$g^0(x) \stackrel{\text{def}}{=} x \quad g^\alpha(x) \stackrel{\text{def}}{=} g^{P_x(\alpha)}(g(x)) \text{ for } \alpha > 0$$

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$$g^\alpha(x) = g^{g_\alpha(x)}(x)$$

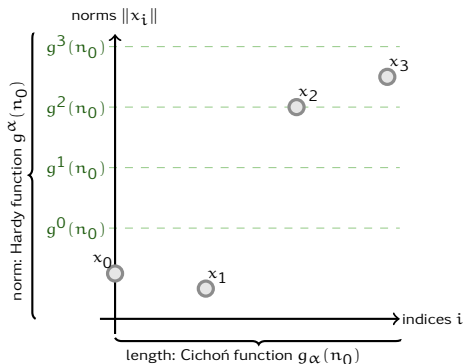
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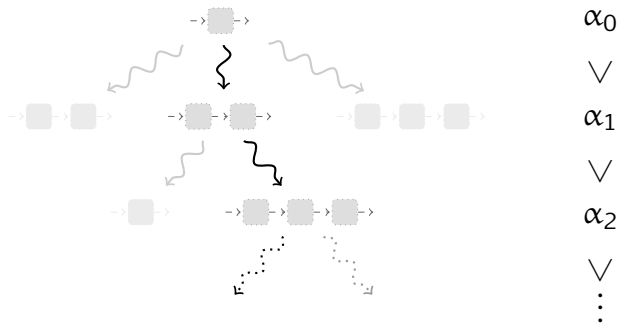


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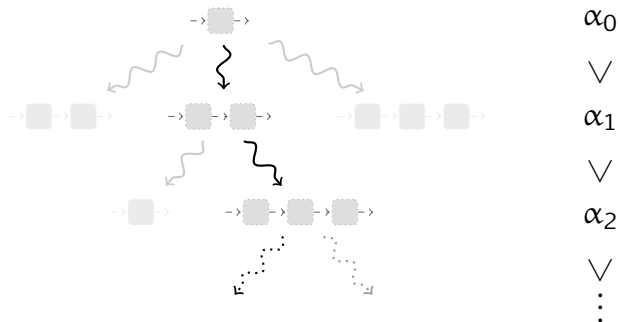
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# THE LENGTH OF DECOMPOSITION BRANCHES



# THE LENGTH OF DECOMPOSITION BRANCHES



## COROLLARY

Assume  $n_0 \geq 2$  and  $g : \mathbb{N} \rightarrow \mathbb{N}$  are such that the sequence of ordinal ranks computed by the decomposition algorithm is  $(g, n_0)$ -controlled. The algorithm runs in  $\text{SPACE}(g^{\omega^{\omega^2}}(n_0))$ .





# RESTATING THE RESULT

“ $\text{SPACE}((H^{\omega^\omega} \circ e)^{\omega^{\omega^2}}(n))$ ” is unreadable!

1. give names

- ▶  $H^{\omega^\omega}$  is the Ackermann function
- ▶  $H^{\omega^{\omega^2}}$  is the “quadratic Ackermann” function

2. define coarse-grained complexity classes

$$\mathcal{F}_{<\alpha} \stackrel{\text{def}}{=} \bigcup_{\gamma < \omega^\alpha} \text{FDTIME}(H^\gamma(n)) \quad \mathbf{F}_\alpha \stackrel{\text{def}}{=} \bigcup_{f \in \mathcal{F}_{<\alpha}} \text{DTIME}(H^{\omega^\alpha}(f(n)))$$

CONSEQUENCE OF (S.'16, THM. 4.4)

*VAS Reachability is in  $\mathbf{F}_{\omega^2}$ .*

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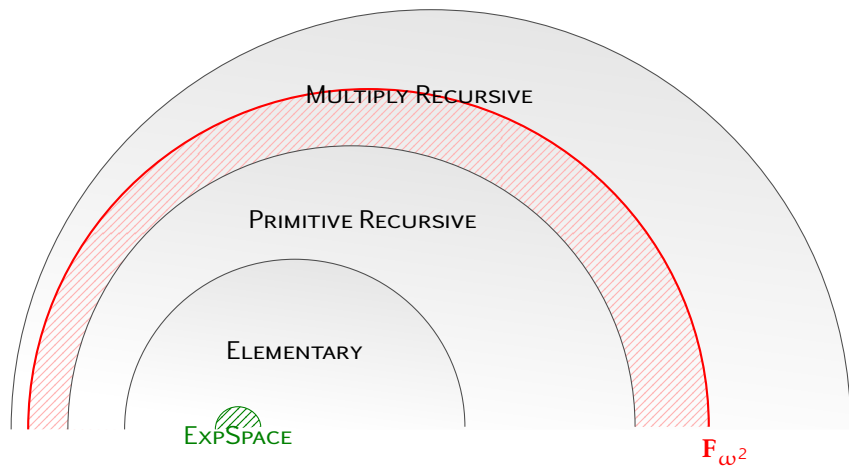
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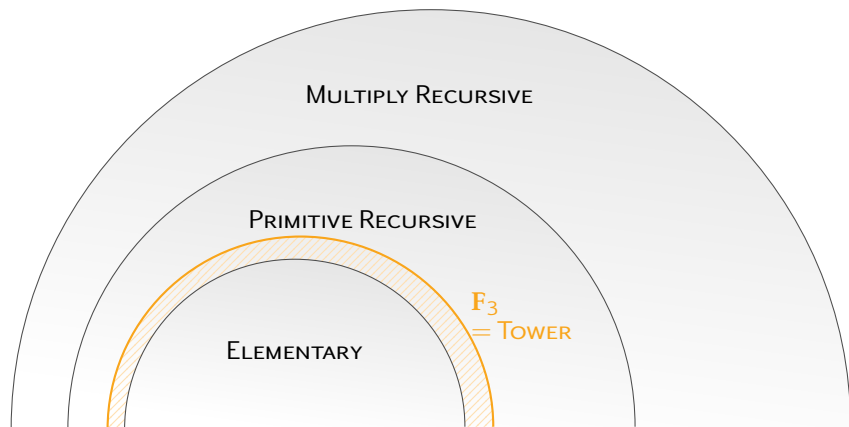
# COMPLEXITY CLASSES BEYOND ELEMENTARY

[S.16]



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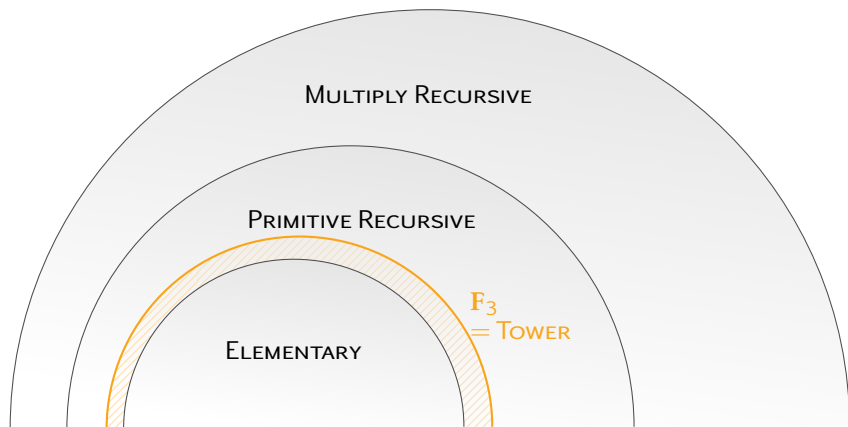
[S.16]



$$F_3 \stackrel{\text{def}}{=} \bigcup_{e \text{ elementary}} \text{DTIME}(\text{tower}(e(n)))$$

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[S.16]

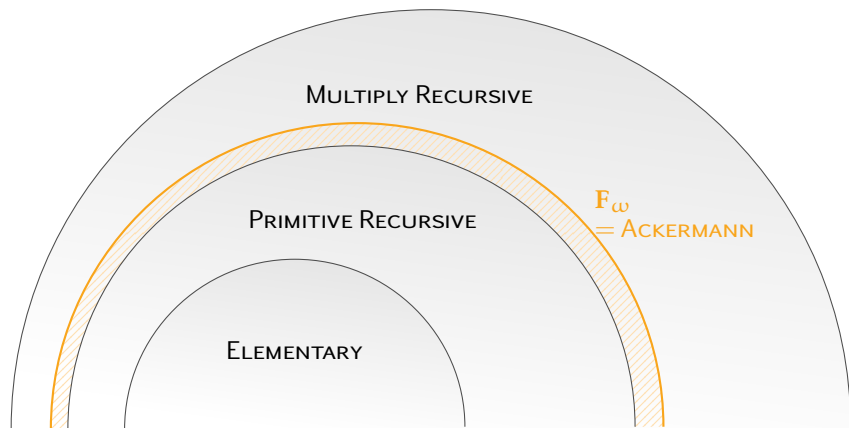


EXAMPLES OF TOWER-COMPLETE PROBLEMS:

- ▶ satisfiability of first-order logic on words [Meyer'75]
- ▶  $\beta$ -equivalence of simply typed  $\lambda$  terms [Statman'79]
- ▶ model-checking higher-order recursion schemes [Ong'06]

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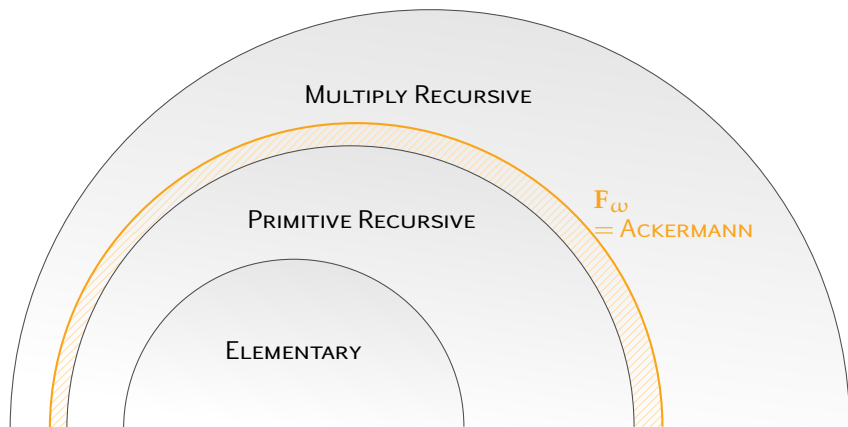
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$$F_\omega \stackrel{\text{def}}{=} \bigcup_{p \text{ primitive recursive}} \text{DTIME}(\text{ackermann}(p(n)))$$

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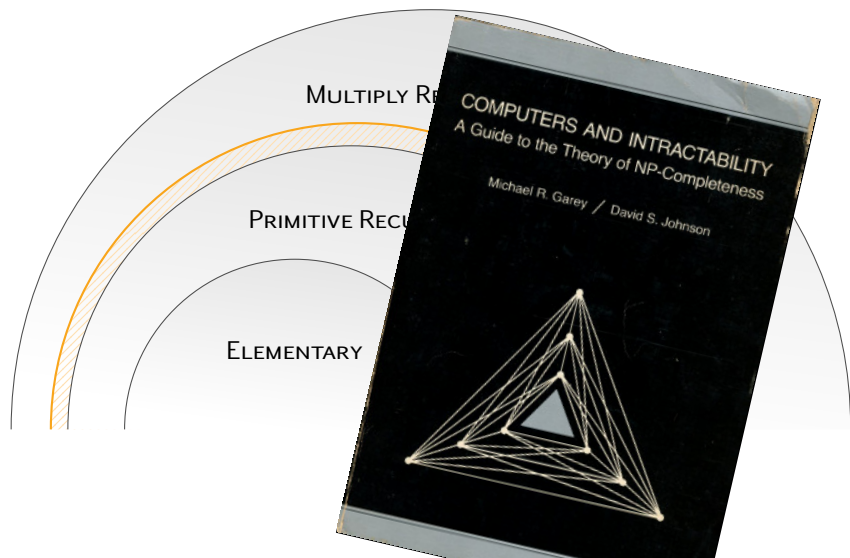


EXAMPLES OF ACKERMANN-COMPLETE PROBLEMS:

- ▶ reachability in lossy Minsky machines [Urquhart'98, Schnoebelen'02]
- ▶ satisfiability of safety Metric Temporal Logic [Lazić et al.'16]
- ▶ satisfiability of Vertical XPath [Figueira and Segoufin'17]

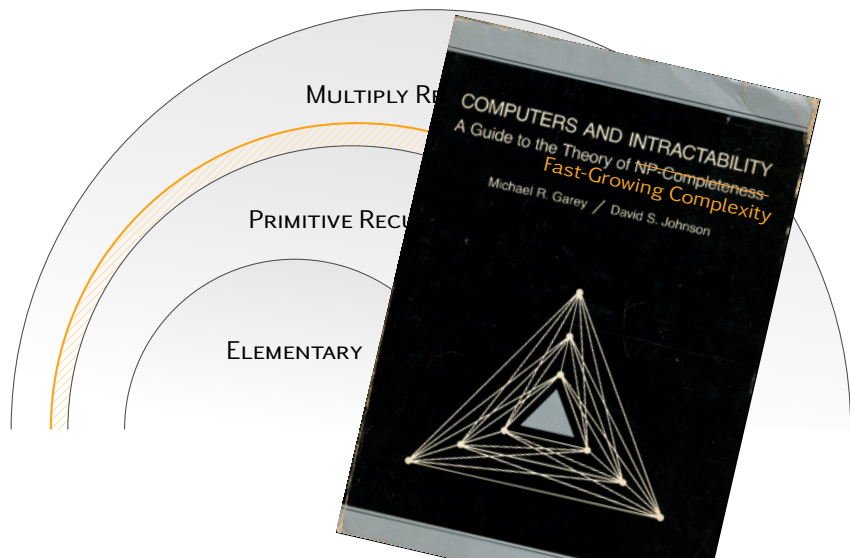
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# A RELATED PROBLEM

labelled VAS transitions carry labels from some alphabet

$L(\mathcal{V}, \text{source}, \text{target})$  the language of labels in runs from **source** to **target**

$\downarrow L$  the set of subwords (for  $\leq_*$ ) of the words in the language  $L$

## DOWNWARDS LANGUAGE INCLUSION PROBLEM

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**THEOREM** (Habermehl, Meyer & Wimmel'10)

*Given a labelled VAS  $\mathcal{V}$  and configurations **source** and **target** and its decomposition, one can construct a finite automaton for  $\downarrow L(\mathcal{V}, \mathbf{source}, \mathbf{target})$  in polynomial time.*

**COROLLARY**

*The Downwards Language Inclusion problem is in quadratic Ackermann.*

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## THEOREM (Zetsche'16)

*The Downwards Language Inclusion problem is ACKERMANN-hard.*

# SUMMARY

well-quasi-orders (wqo):

- ▶ proving algorithm termination

a toolbox for wqo-based complexity

- ▶ upper bounds: length function theorems (for ordinals, Dickson's Lemma, Higman's Lemma, and combinations)
- ▶ lower bounds
- ▶ complexity classes:  $(\mathbf{F}_\alpha)_\alpha$

this talk: focus on one problem

- ▶ reachability in vector addition systems in  $\mathbf{F}_{\omega^2}$

# PERSPECTIVES

## 1. complexity gap for VAS reachability

- ▶ **EXPSpace-hard** [Lipton'76]  
better lower bounds?
- ▶ **decomposition algorithm: at least  $F_\omega$  (Ackermannian) time**  
[Zetsche'16]

## 2. reachability in VAS extensions

- ▶ **decidable in VAS with hierarchical zero tests** [Reinhardt'08]
- ▶ **what about**
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  - ▶ unordered data Petri nets
  - ▶ pushdown VAS

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# DEMYSTIFYING REACHABILITY IN VECTOR ADDITION SYSTEMS

[Leroux & S.'15]

## IDEAL DECOMPOSITION THEOREM

*The Decomposition Algorithm computes the ideal decomposition of the set of runs from source to target.*

## UPPER BOUND THEOREM

*Reachability in vector addition systems is in cubic Ackermann.*



# IDEALS OF WELL-QUASI-ORDERS $(X, \leq)$

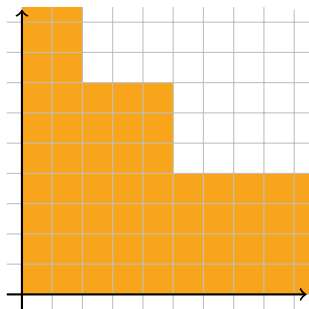
- ▶ Canonical decompositions

[Bonnet'75]

if  $D \subseteq X$  is  $\downarrow$ -closed, then

$$D = I_1 \cup \dots \cup I_n$$

for (maximal) ideals  $I_1, \dots, I_n$



EXAMPLE (OVER  $\mathbb{N}^2$ )

$$D = (\{0, \dots, 2\} \times \mathbb{N}) \cup (\{0, \dots, 5\} \times \{0, \dots, 7\}) \cup (\mathbb{N} \times \{0, \dots, 4\})$$

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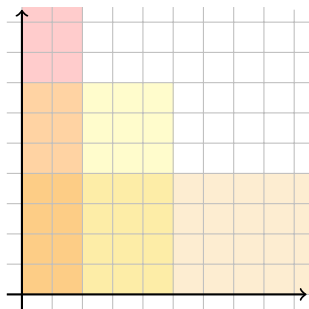
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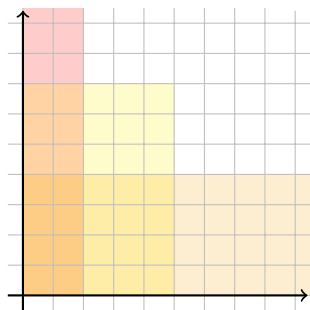
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- ▶ Effective representations

[Goubault-Larrecq et al.'17]



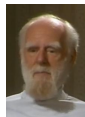
EXAMPLE (OVER  $\mathbb{N}^2$ )

$$D = \mathbb{I}[(2, \infty)] \cup \mathbb{I}[(5, 7)] \cup \mathbb{I}[(\infty, 4)]$$

# DECOMPOSITION THEOREM

WELL-QUASI-ORDER ON RUNS

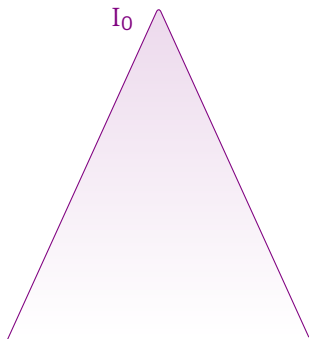
combination of Dickson's and Higman's lemmata



SYNTAX



SEMANTICS



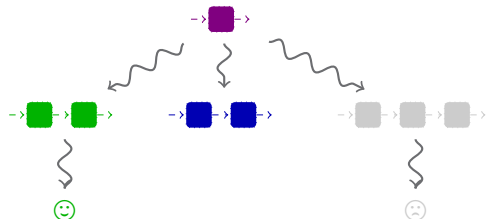
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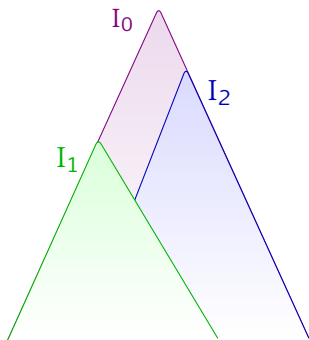
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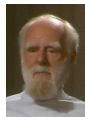
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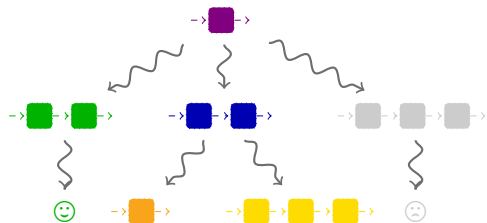
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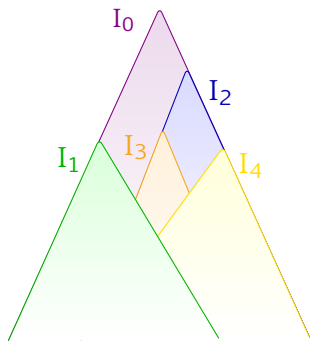
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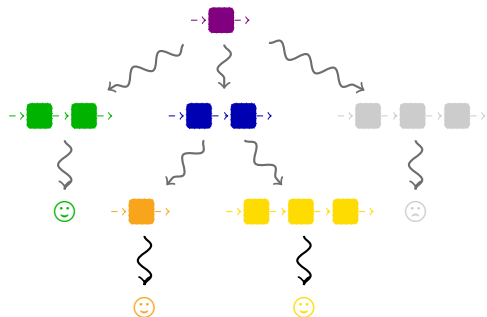
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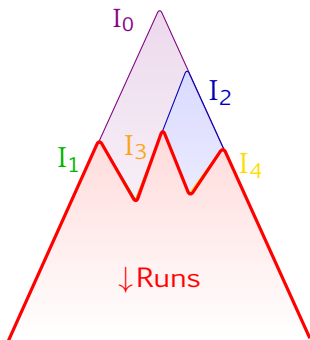
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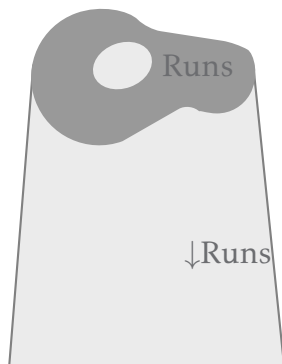


SEMANTICS



# ADHERENCE MEMBERSHIP

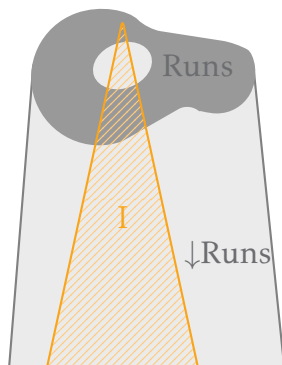
- ▶  $I$  is **adherent** to  $\text{Runs}$  if  $I \subseteq \downarrow(I \cap \text{Runs})$
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- ▶ undecidable for arbitrary ideals
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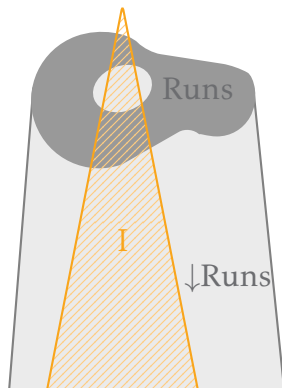
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$I$  adherent

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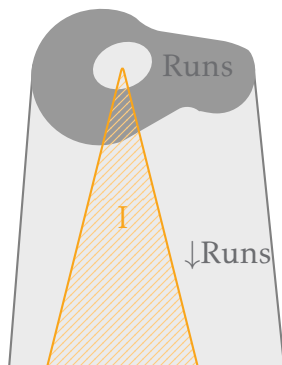
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$I$  not adherent

# ADHERENCE MEMBERSHIP

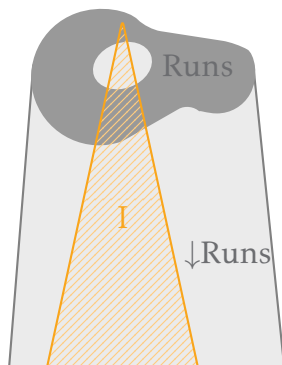
- ▶  $I$  is **adherent** to  $\text{Runs}$  if  $I \subseteq \downarrow(I \cap \text{Runs})$
- ▶ semantic equivalent to  $\Theta$  condition
- ▶ undecidable for arbitrary ideals
- ▶ decidable for the ideals arising in the decomposition algorithm



$I$  not adherent

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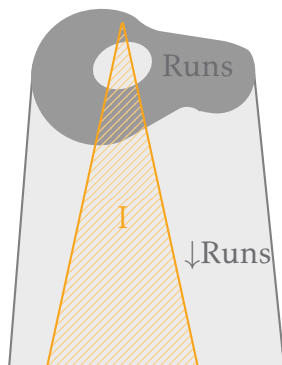
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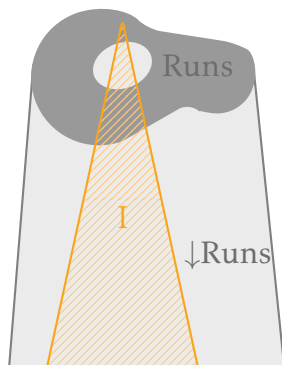
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# BRANCHING VAS REACHABILITY

- ▶ important open problem [Bojańczyk'14]
- ▶ incorrect decidability proof in [Bimbó'15]
- ▶ application domains:

**AUTOMATA COLUMN**  
MIKOŁAJ BOJAŃCZYK, University of Warsaw  
boj@math.uw.edu.pl



**Some Open Problems in Automata and Logic**


The list in this paper is, of course, a personal selection of open problems that are connected to both automata and logic. The problems are listed in no particular order.

**1. CAN PARITY GAMES BE SOLVED IN POLYNOMIAL TIME?**  
A parity game is a two-player game, of infinite duration and with perfect information, which comes up naturally when studying automata and logics for infinite trees. Model checking of the  $\mu$ -calculus or testing emptiness of a parity tree automaton are problems that are polynomial time equivalent to solving parity games.

A parity game is played by two players, call them Even and Odd. The goal of player Even is to see small even numbers, the goal of player Odd will is to avoid this. The game is specified by:

- a finite directed graph, called the arena, such that every vertex has an outgoing edge;
- a partition of vertices in the arena, into vertices controlled by players Even and Odd;
- a ranking function which maps vertices in the arena to natural numbers;
- a distinguished initial vertex.

Here is an example of a parity game:



The game is played as follows. The game begins in the initial vertex. The player who controls the initial vertex chooses an outgoing edge. The player who controls the target path in this edge chooses an edge leaving the target, and so on ad infinitum, until an odd node in the graph is formed. The objective of player Even is to make sure that on this

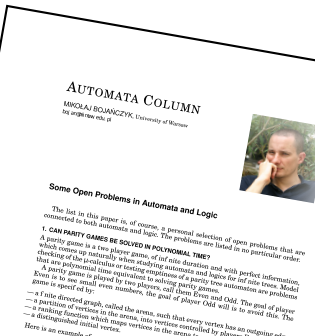
ACM SIGLOG News  
October 2016, Vol. 1, No. 2  
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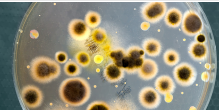







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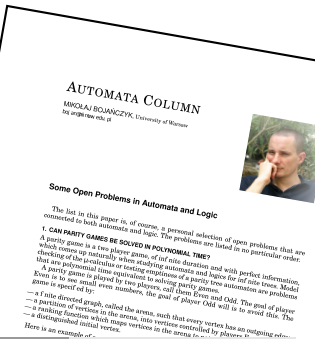
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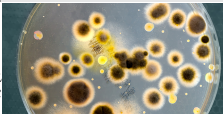





Complexity Theory	Distributed Computing	Proof Theory	Computational Biology
 <p>TOWER-hard [Lazić &amp; S., ToCL'15]</p>		$\frac{X \vdash X \quad \text{ax}}{\vdash X \rightarrow Y, X \otimes (0 \rightarrow Z)} \rightarrow R$ $\frac{\frac{\frac{\frac{\vdash Y, Z}{\vdash Y, 0 \rightarrow Z} \rightarrow 0L}{\vdash X \rightarrow Y, X \otimes (0 \rightarrow Z)} \rightarrow R}{(X \rightarrow Y) \rightarrow 0 \vdash X \otimes (0 \rightarrow Z)} \rightarrow L}{\vdash ((X \rightarrow Y) \rightarrow 0) \rightarrow (X \otimes (0 \rightarrow Z))} \rightarrow R$	
Programming Languages	Database Theory	Security	Computational Linguistics
<pre>fun append (xs, ys) =   if null xs   then ys   else (hd xs):: append (tl xs, ys)  fun map (f, xs) =   case xs of     [] =&gt; []     x :: xs' =&gt; (f x)::(map (f, xs'))  val a = map (increment, [4, 8, 12, 16]) val b = map (hd, [[8, 6], [7, 5], [3, 0, 9]])</pre>			

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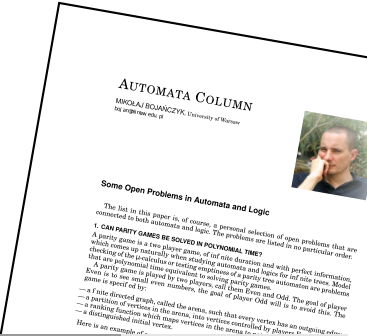
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 <p><b>TOWER-hard</b> [Lazić &amp; S., ToCL'15]</p>	 <p>recursive parallel programs [Bouajjani &amp; Emmi'13]</p>	$\frac{X \vdash X \quad aX}{\vdash X \rightarrow Y, X \otimes (0 \rightarrow Z)} \rightarrow R$ $\frac{\frac{0 \vdash Y, Z \quad 0L}{\vdash Y, 0 \rightarrow Z} \rightarrow R \quad \otimes R}{\vdash X \rightarrow Y, X \otimes (0 \rightarrow Z)} \rightarrow R$ $\frac{\frac{0 \vdash 0L}{\vdash X \rightarrow Y} \rightarrow R \quad \frac{0 \vdash 0L}{\vdash X \rightarrow Z} \rightarrow R}{\vdash X \rightarrow Y} \rightarrow R$ $\frac{\frac{X \rightarrow Y}{\vdash X \rightarrow Y} \rightarrow R \quad \frac{0 \vdash X \otimes (0 \rightarrow Z)}{\vdash X \otimes (0 \rightarrow Z)} \rightarrow R}{\vdash ((X \rightarrow Y) \rightarrow 0) \rightarrow X \otimes (0 \rightarrow Z)} \rightarrow R$	
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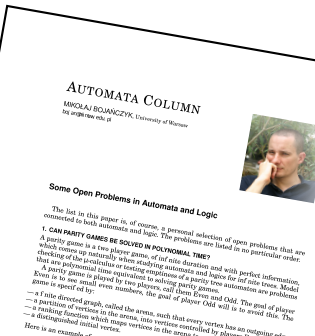
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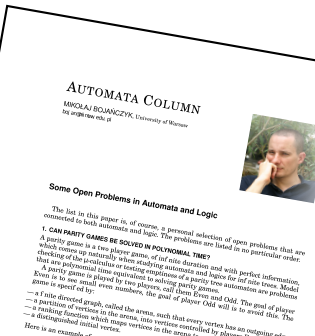
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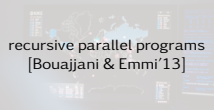
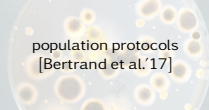





Complexity Theory	Distributed Computing	Proof Theory	Computational Biology
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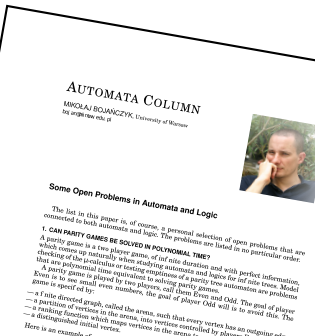
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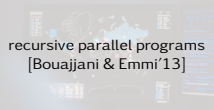
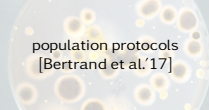
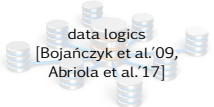




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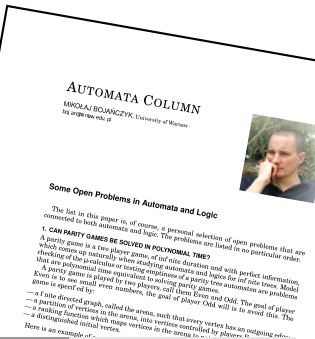
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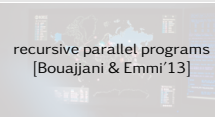
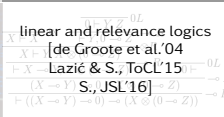

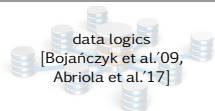




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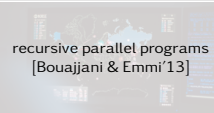
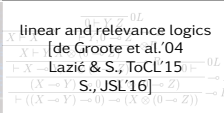

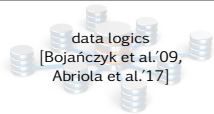

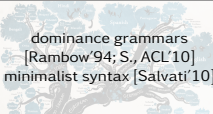
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