

Complexité avancée - TD 5

Guillaume Scerri

October 21, 2022

Exercise 1 (Number Expressions). A *Number Expression* (or NE) e is an expression made up of natural numbers, and symbols '+' and '∪' according to the following inductive definition:

$$e ::= n \mid (e_1 \cup e_2) \mid (e_2 + e_1)$$

where $n \in \mathbb{N}$ is any number. We often omit some of the parentheses when writing NEs. We define $|e|$, the size of e , as the number of occurrences of the symbols '0', '1', '+' and '∪' in e , assuming that numbers are written in binary. (NB: the real size on a TM tape includes the parentheses, hence is $O(|e|)$.)

An NE is interpreted as a subset $V(e)$ of \mathbb{N} , defined by

$$V(n) = \{n\}, \quad V(e_1 \cup e_2) = V(e_1) \cup V(e_2), \\ V(e_1 + e_2) = \{n_1 + n_2 \mid n_1 \in V(e_1), n_2 \in V(e_2)\}.$$

1. Let $0 < n \in \mathbb{N}$ be a positive number and consider $e_n = (1 \cup 2) + (2 \cup 4) + \dots + (2^{n-1} \cup 2^n)$. What is $V(e_n)$ and $|e_n|$?
2. Let $\text{ISOLATED} = \{(e, n) \mid n \in V(e) \wedge n-1, n+1 \notin V(e)\}$. In other words, we consider the problem of checking whether a given number appears as an isolated value in some set of numbers denoted by a NE.

Show that $\text{ISOLATED} \in \text{DP}$.

Exercise 2 (Σ_2^p and Π_2^p membership). 1. Let ONE-VAL be the problem of deciding whether a boolean formula is satisfied by exactly one valuation. Show that $\text{ONE-VAL} \in \Sigma_2^p$;

2. A boolean formula is minimal if it has no equivalent shorter formula – where the length of the formula is the number of symbols it contains. Let MIN-FORMULA be the problem of deciding whether a boolean formula is minimal. Show that $\text{MIN-FORMULA} \in \Pi_2^p$.

Exercise 3 (Σ_2^p and Π_2^p completeness). 1. The classical Σ_2^p -complete problem is Σ_2^p -SAT (note that it can be assumed that the Boolean formula is in DNF). Consider now a different version of SAT denoted $\exists\exists!$ -SAT:

- Input: a CNF-formula $\varphi(x, y)$ depending on the variables in x and y ;
- Outout: yes iff there exists x such that there exists a unique y satisfying $\varphi(x, \cdot)$.

Show that $\exists\exists!$ -SAT is also Σ_2^p -complete.

2. Similarly, the classical Π_2^P -complete problem is Π_2^P -SAT (the Boolean formula can be assumed in 3-CNF). Consider now a new notion of satisfiability: we say that a valuation ν nae-satisfies (for *not all equal*) a 3-CNF formula ϕ , if in all clauses (with at least two literals) of ϕ , ν both sets a literal to true and a literal to false. The clauses with only one literal only need to be satisfied. We consider now this new version of SAT denoted $\text{nae-}\Pi_2^P\text{-SAT}$:

- Input: a Π_2^P -SAT formula $\forall x, \exists y, \varphi(x, y)$ with φ a 3-CNF;
- Output: yes iff for all x , there exists y nae-satisfying φ .

Show that $\text{nae-}\Pi_2^P\text{-SAT}$ is Π_2^P complete.

Exercise 4 (Collapse of PH). 1. Prove that if $\Sigma_k^P = \Sigma_{k+1}^P$ for some $k \geq 0$ then $\text{PH} = \Sigma_k^P$. (Remark that this is implied by $\text{P} = \text{NP}$).

2. Show that if $\Sigma_k^P = \Pi_k^P$ for some k then $\text{PH} = \Sigma_k^P$.

3. Show that if $\text{PH} = \text{PSPACE}$ then PH collapses.

4. Do you think there is a polynomial time procedure to convert any QBF formula into a QBF formula with at most 10 variables ?

Exercise 5 (Oracles). Consider a language A . A Turing machine with oracle A is a Turing machine with a special additional read/write tape, called the oracle tape, and three special states: $q_{\text{query}}, q_{\text{yes}}, q_{\text{no}}$. Whenever the machine enters the state q_{query} , with some word w written on the oracle tape, it moves **in one step** to the state q_{yes} or q_{no} depending on whether $w \in A$.

We denote by P^A (resp. NP^A) the class of languages decided in by a deterministic (resp. non-deterministic) Turing machine running in polynomial time with oracle A . Given a complexity class \mathcal{C} , we define $\text{P}^{\mathcal{C}} = \bigcup_{A \in \mathcal{C}} \text{P}^A$ (and similarly for NP).

1. Prove that for any \mathcal{C} -complete language A (for logspace reductions), $\text{P}^{\mathcal{C}} = \text{P}^A$ and $\text{NP}^{\mathcal{C}} = \text{NP}^A$.

2. Show that for any language A , $\text{P}^A = \text{P}^{\bar{A}}$ and $\text{NP}^A = \text{NP}^{\bar{A}}$.

3. Prove that if $\text{NP} = \text{P}^{\text{SAT}}$ then $\text{NP} = \text{coNP}$.

4. Show that there exists a language A such that $\text{P}^A = \text{NP}^A$.

5. We define inductively the classes $\text{NP}_0 = \text{P}$ and $\text{NP}_{k+1} = \text{NP}^{\text{NP}^k}$. Show that $\text{NP}_k = \Sigma_k^P$ for all $k \geq 0$.

Exercise 6 (Family of Circuits).

Definition. A *boolean circuit with n inputs* is an acyclic graph where the n inputs x_1, \dots, x_n are part of the vertices. The internal vertices are labeled with \wedge, \vee (with 2 incoming edges) or \neg (with 1 incoming edge), with an additional distinguished vertex o that is the output (with no exiting edge). The size $|C|$ of a circuit C is its number of vertices (excluding the input ones). For a word $x \in \{0, 1\}^*$, the notation $C(x)$ refers to the output of the circuit C if the input vertices of C are valued with the bits of x .

Definition. For a function $t : \mathbb{N} \rightarrow \mathbb{N}$, a *family of circuit of size $t(n)$* is a sequence $(C_n)_{n \in \mathbb{N}}$ such that: C_n is an n -input circuit and $|C_n| \leq t(n)$.

Definition. A language $L \subseteq \{0, 1\}^*$ is *decided by a family of circuit* $(C_n)_{n \in \mathbb{N}}$ if for all $n \in \mathbb{N}$, for all $w \in \{0, 1\}^n$, we have: $C_n(w) = 1 \Leftrightarrow w \in L$.

Definition. For a function $t : \mathbb{N} \rightarrow \mathbb{N}$, we define $\text{SIZE}(t) := \{L \subseteq \{0, 1\}^* \mid L \text{ is decided by a family of circuits of size } O(t(n))\}$.

Definition.

$$\text{P/poly} := \cup_{k \in \mathbb{N}} \text{SIZE}(n^k)$$

1. Show that any language $L \subseteq \{0, 1\}^*$ is in size $\text{SIZE}(n \cdot 2^n)$.
2. Show that for all function $t(n) = 2^{o(n)}$, there exists $L \notin \text{SIZE}(t(n))$.
3. Show that every unary language is in P/poly .
4. Exhibit a undecidable language that is in P/poly .
5. Show that P/poly is not countable.