

# Information-Flow Patterns in Games with Imperfect Information

Marie van den Bogaard

LSV, ENS Paris-Saclay

Tuesday, November 29th 2016

**université**  
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paris-saclay \_\_\_\_\_

# Interactive scenarios



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## Ingredients:

- active agents
- common goal
- partial view
- limited communication
- unpredictable environment



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- active agents
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- unpredictable environment



⇒ cooperation is difficult, make it work with computational agents?



# Interactive scenarios

## Goals:

- *describe*: formal model
- *prescribe*: distributed solutions

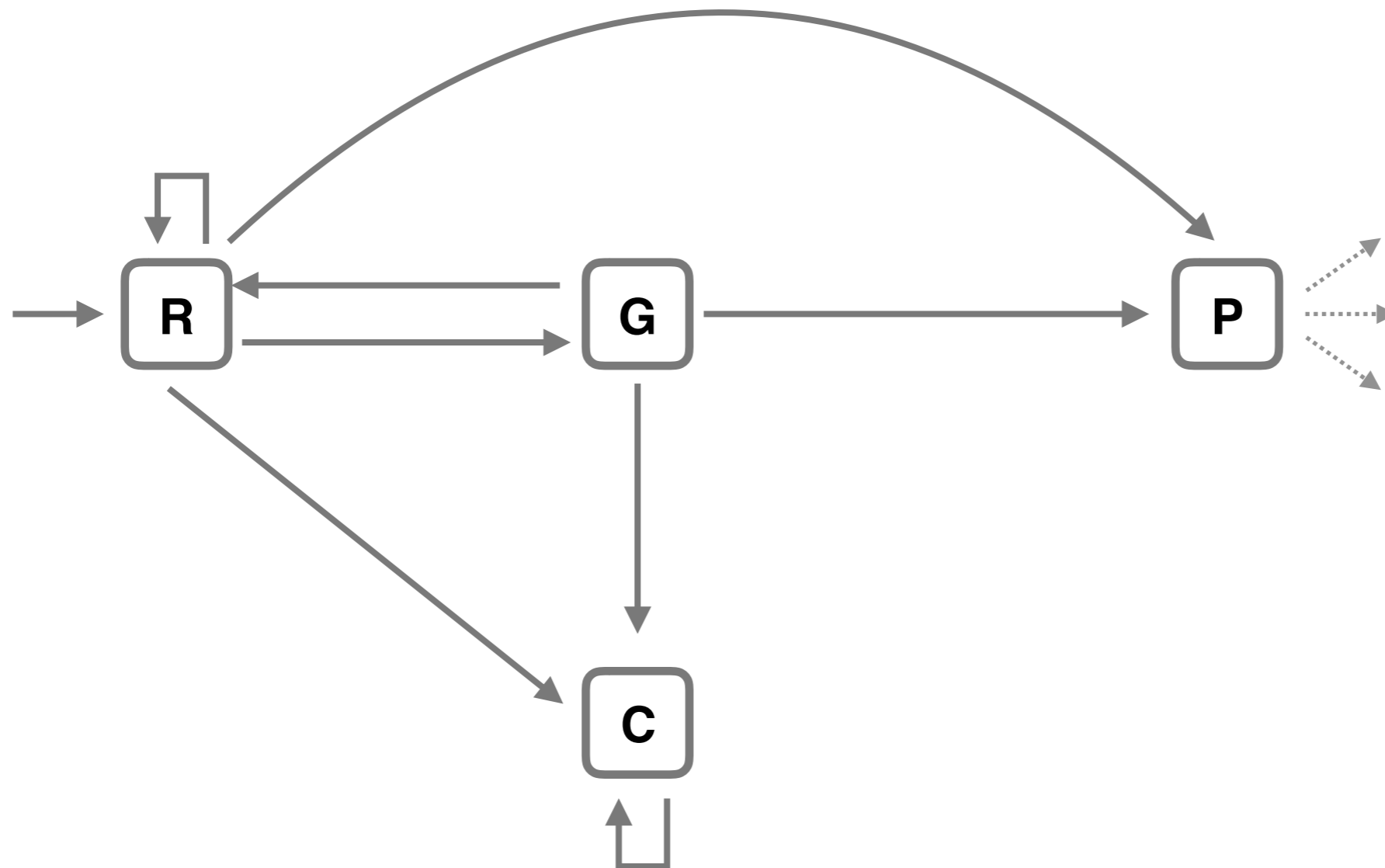


⇒ Games (with Imperfect Information)!

- 1 Context
- 2 Model and Background**
- 3 Information-Flow Patterns
  - Propagation of uncertainty
  - One-way Information Flow
  - Delayed Information Flow
- 4 Conclusion

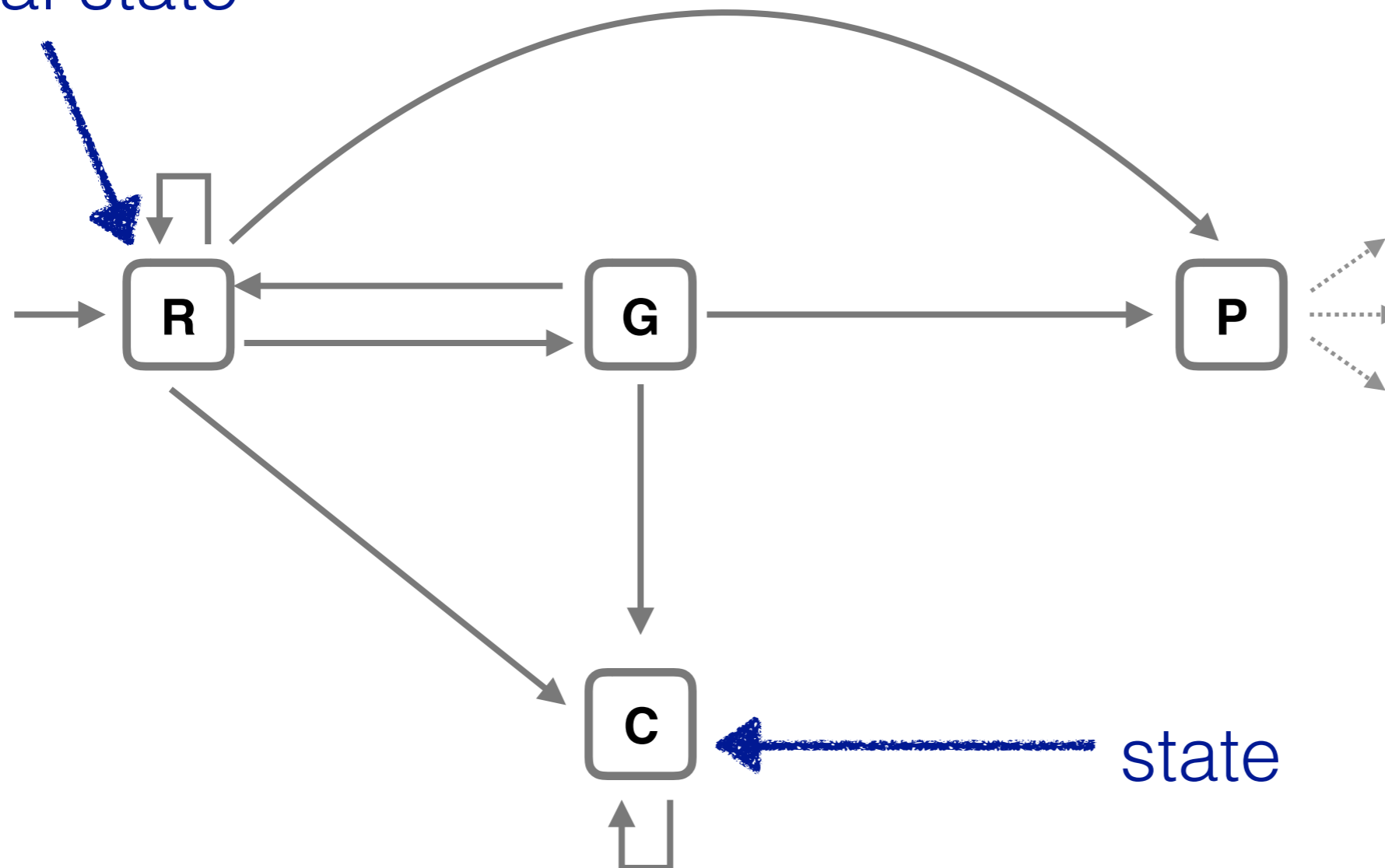
# Games (with perfect information)

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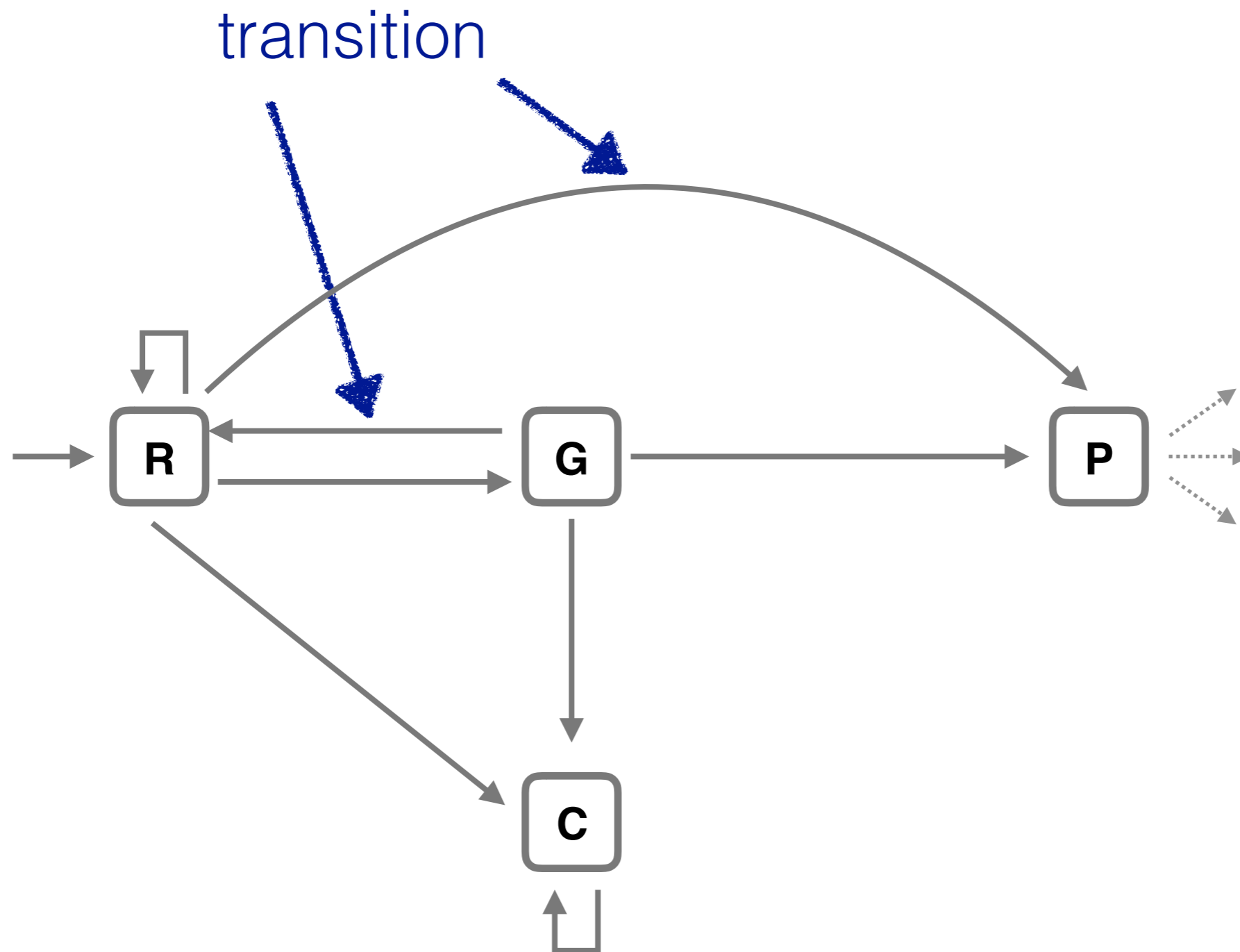


# Games (with perfect information)

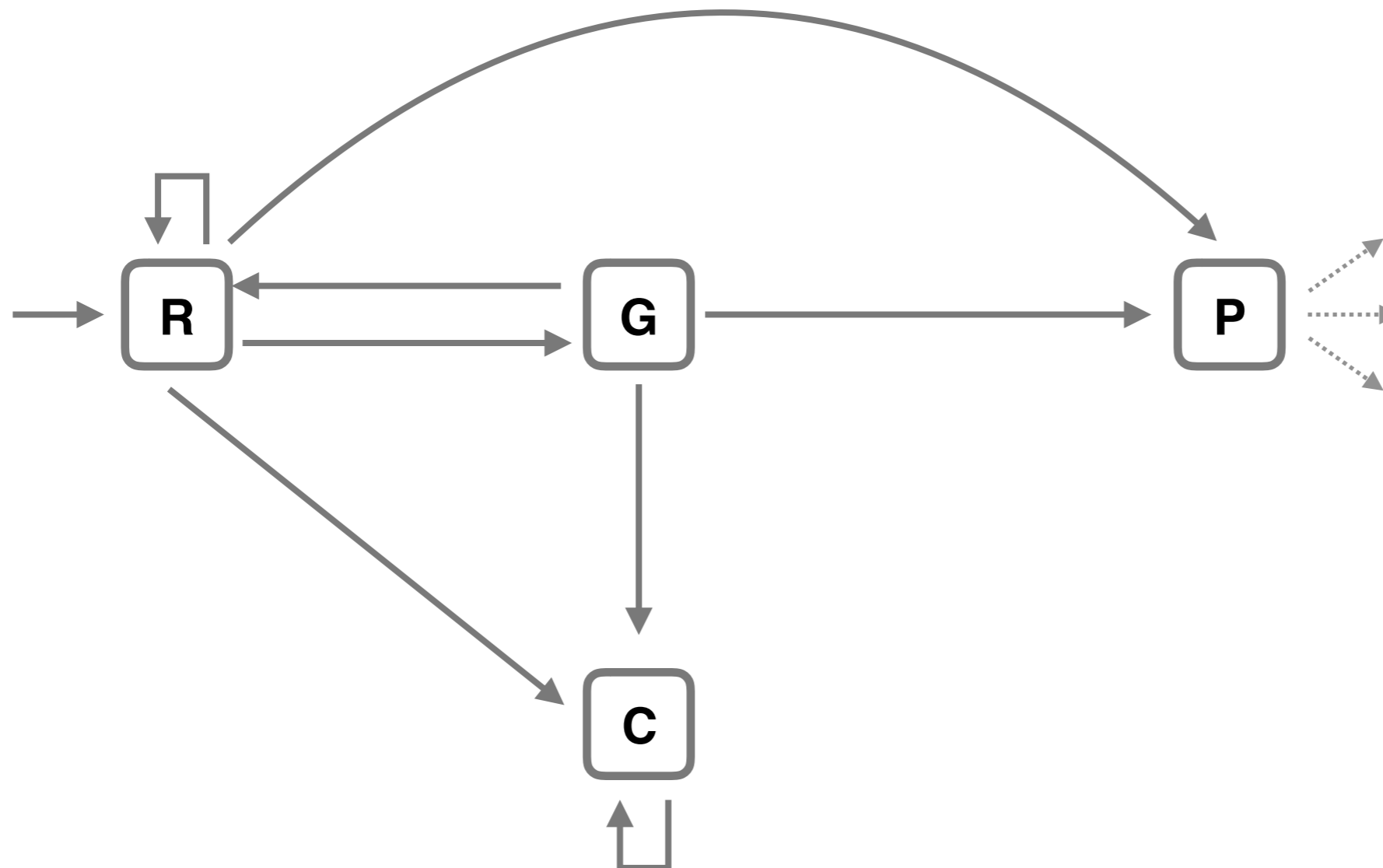
initial state







**Two players  
against  
Nature**



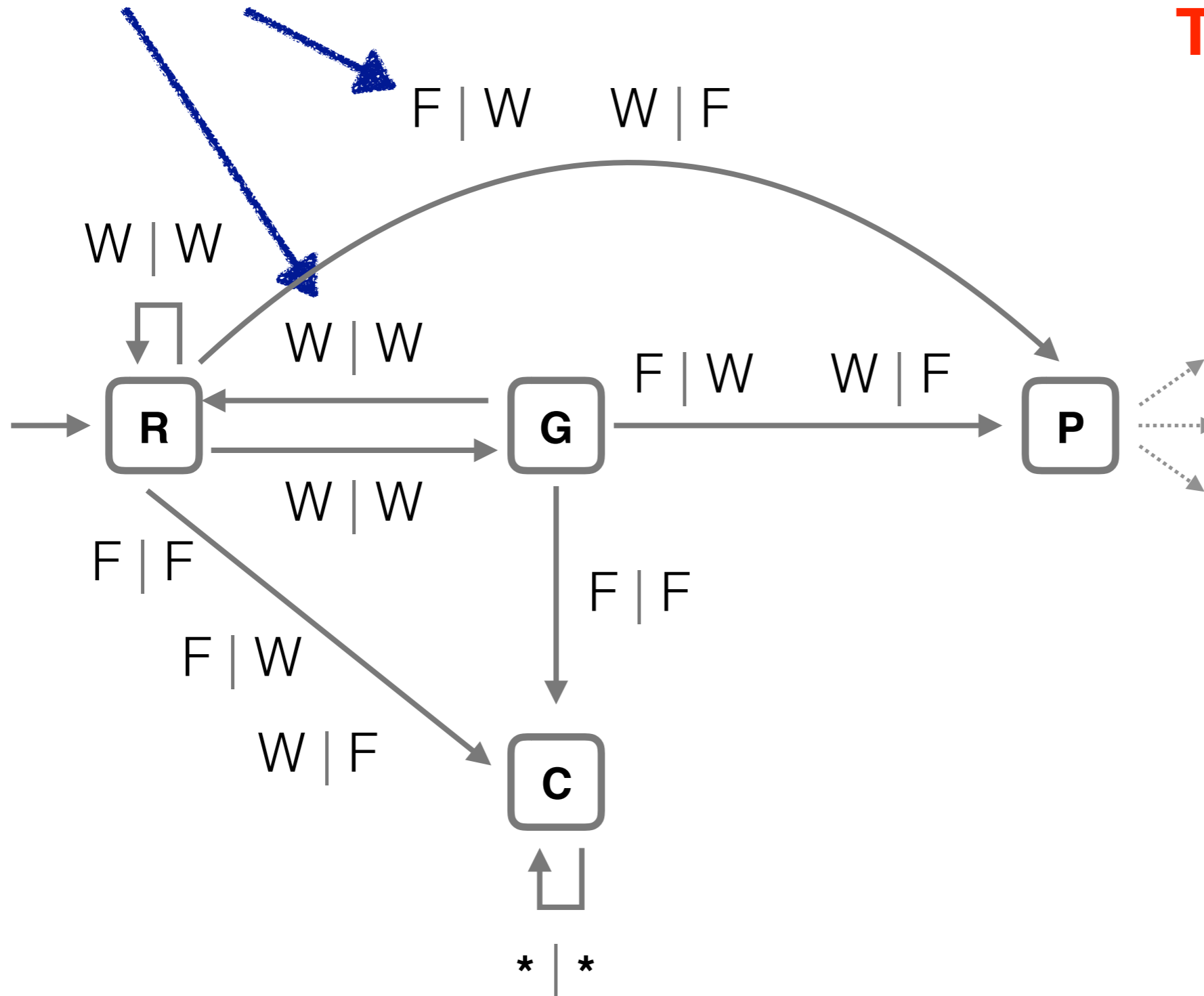
**Action sets:**

$A^1 = \{ W, F \}$

$A^2 = \{ W, F \}$

action profile

**Two players  
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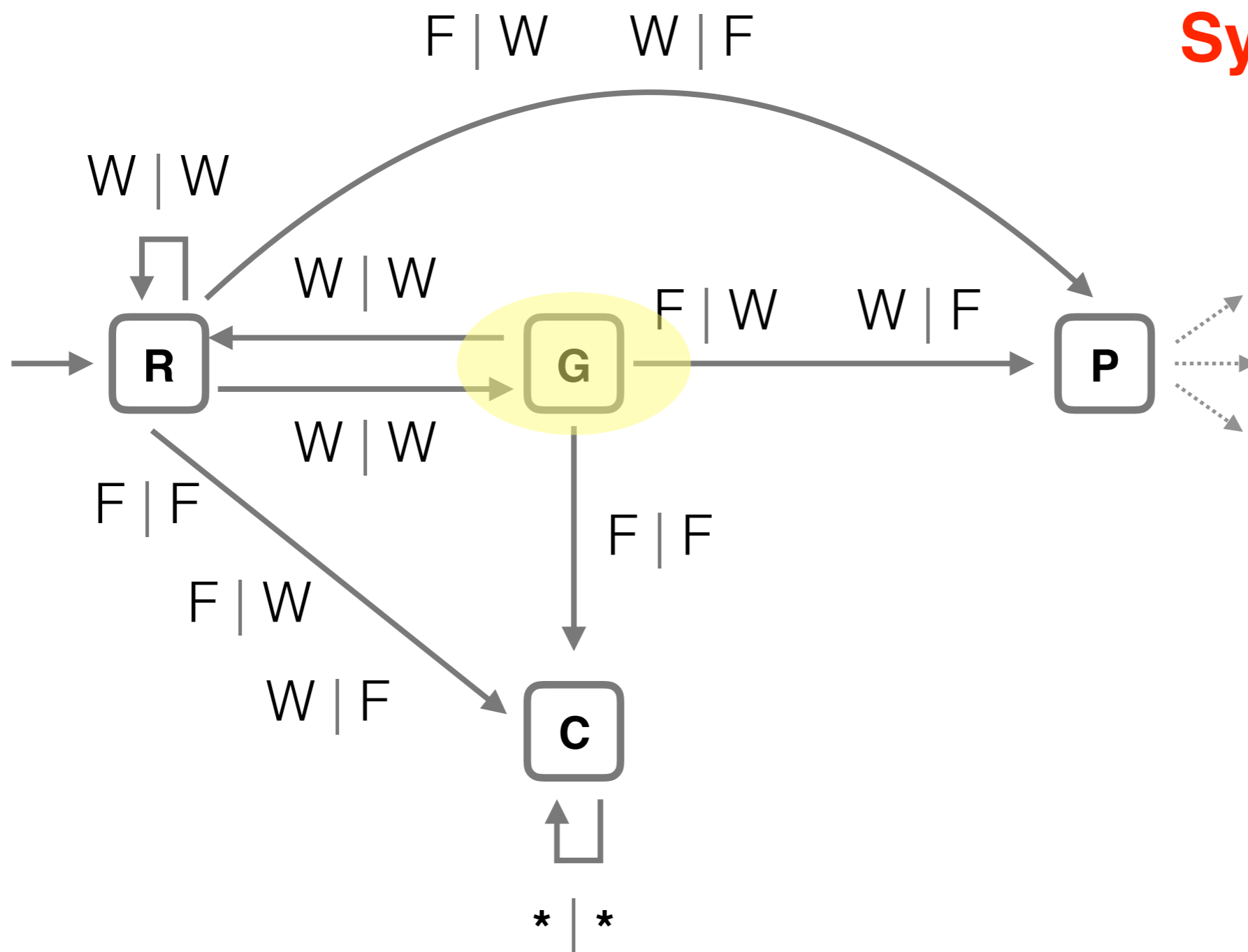


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**Synchronous!**

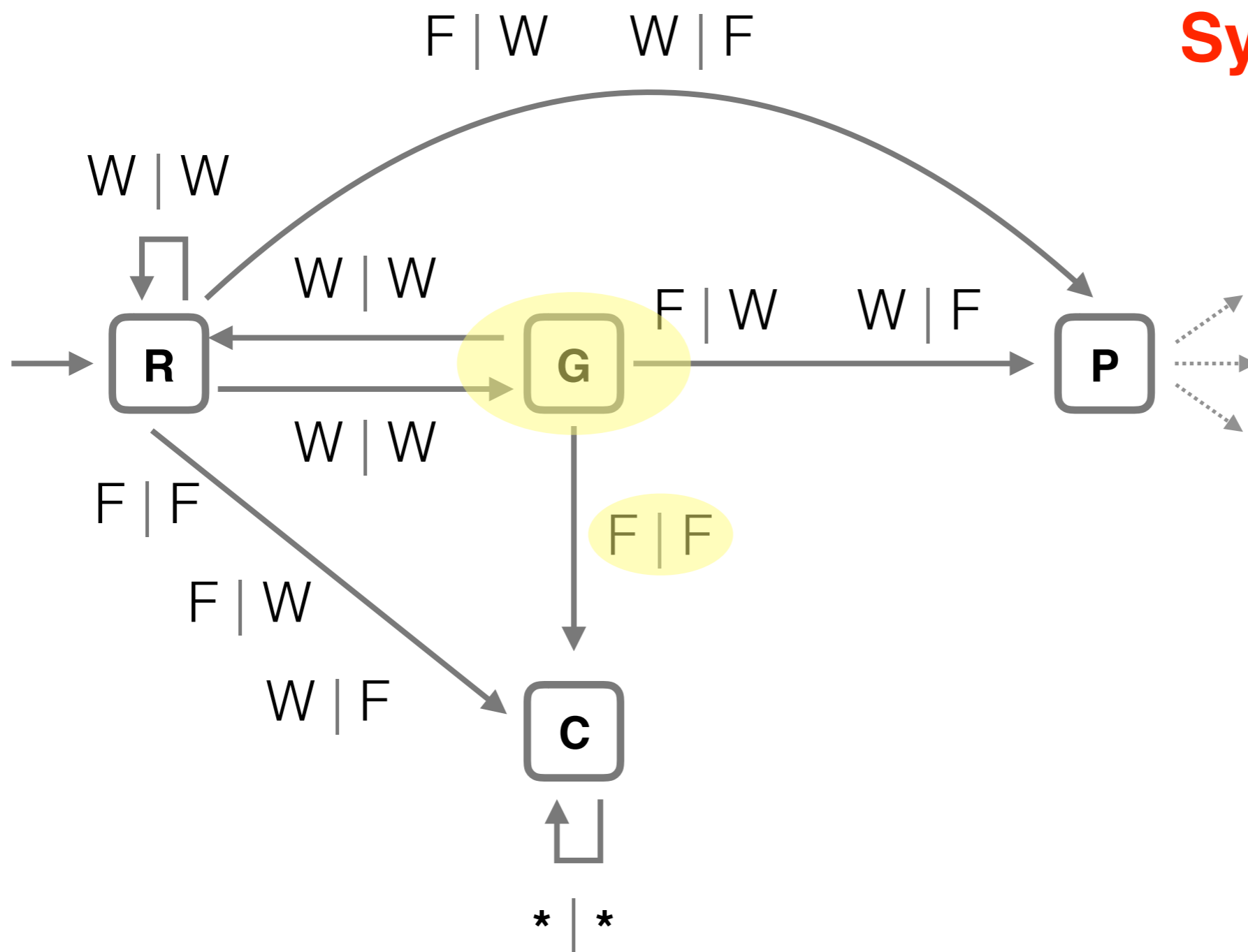


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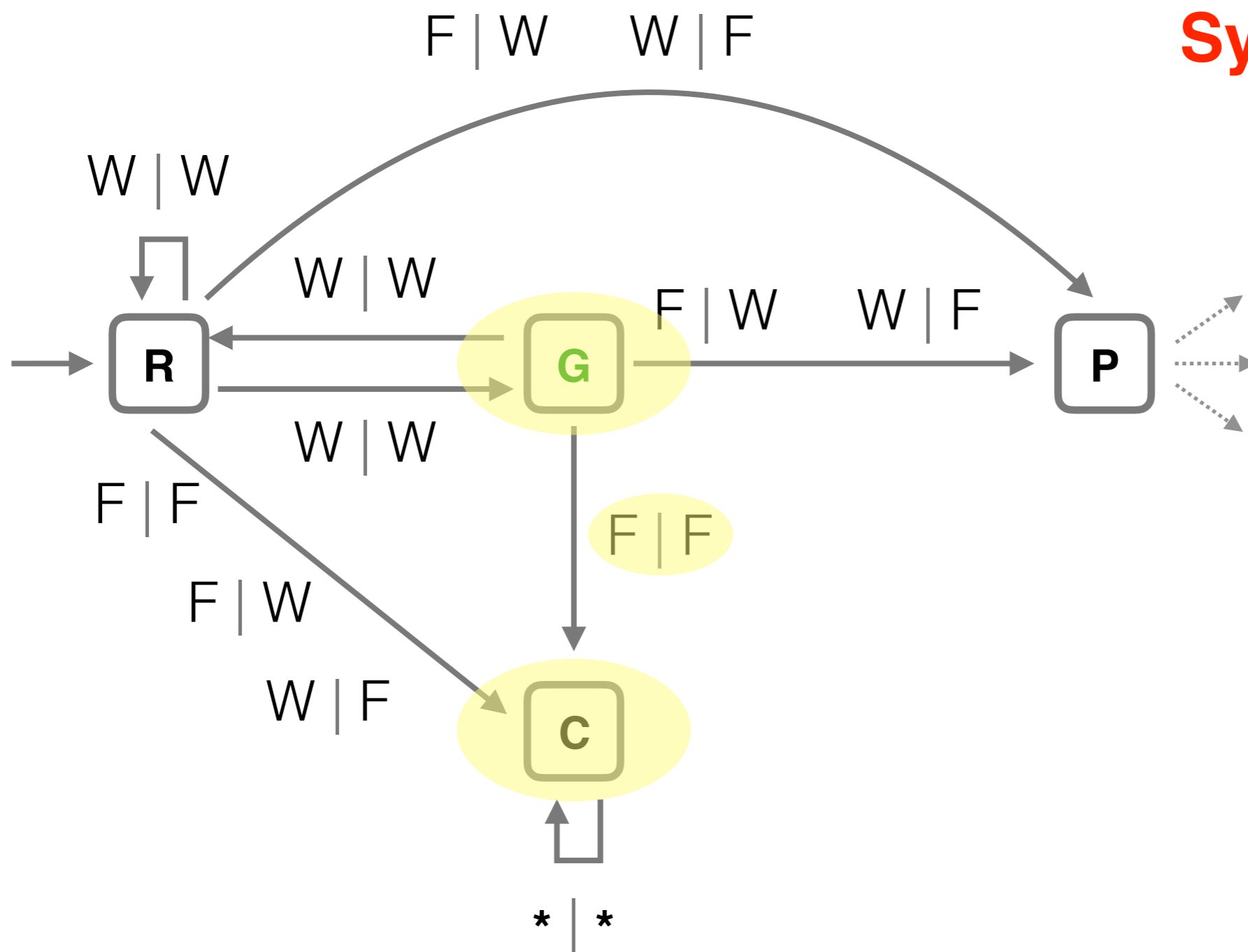
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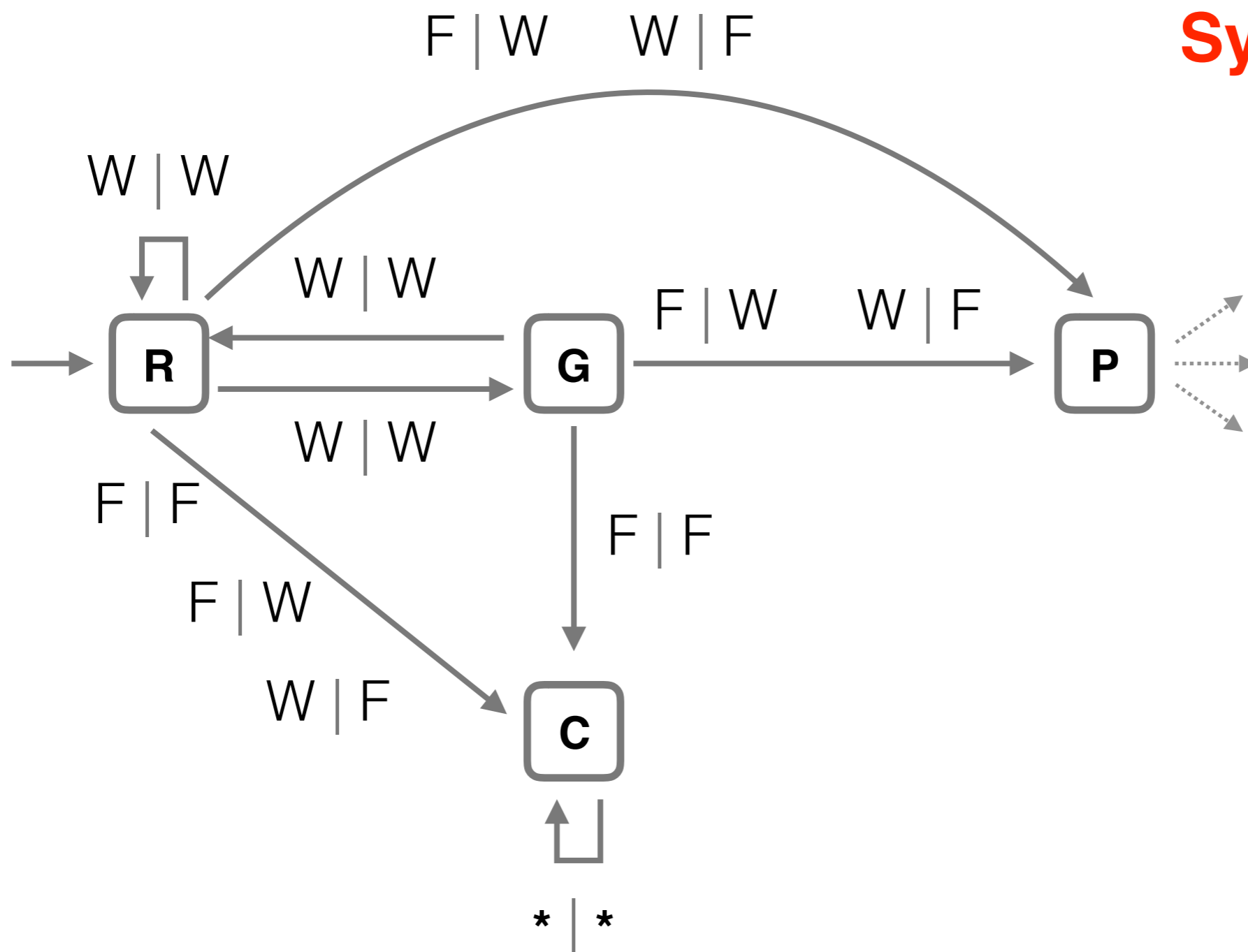


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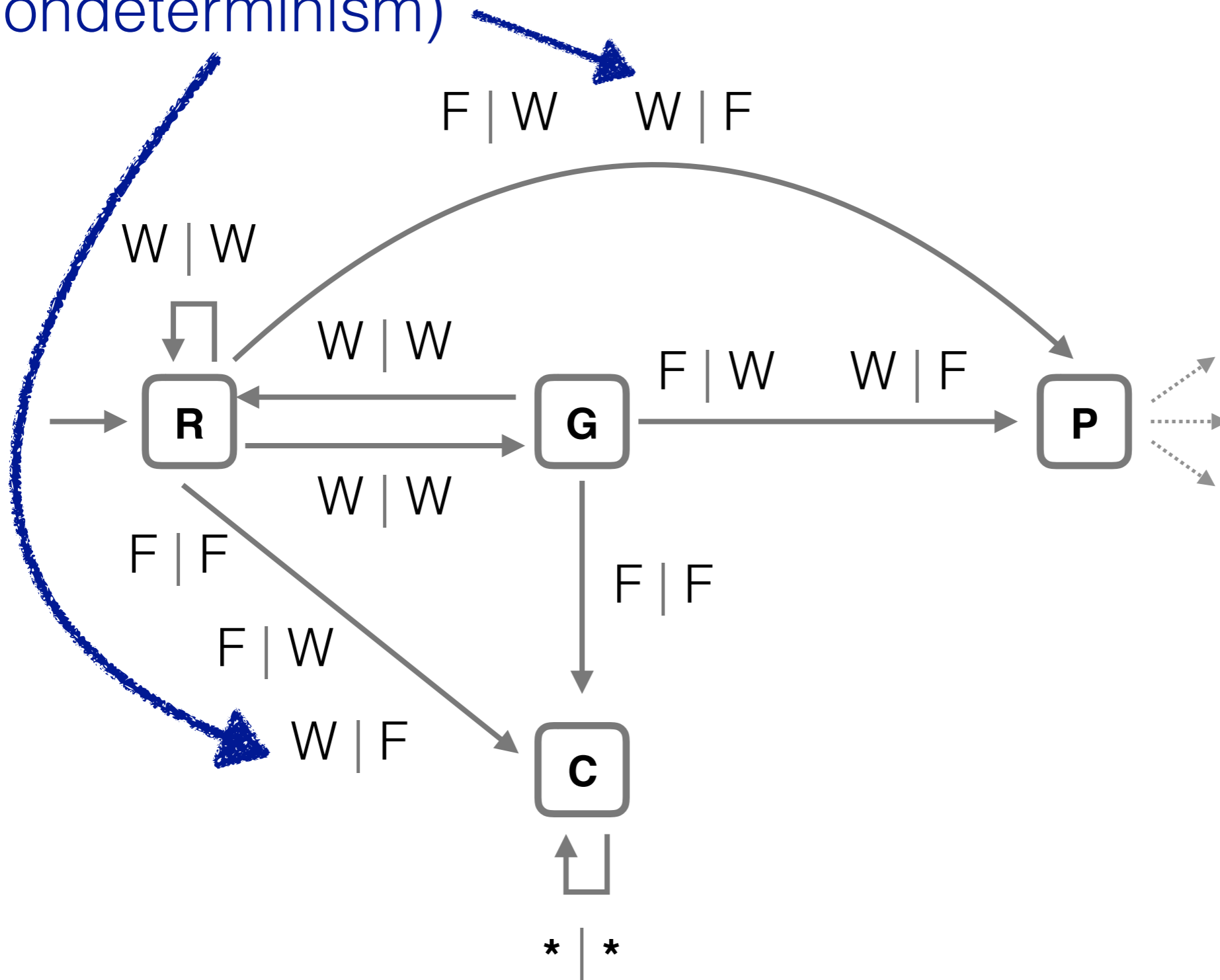


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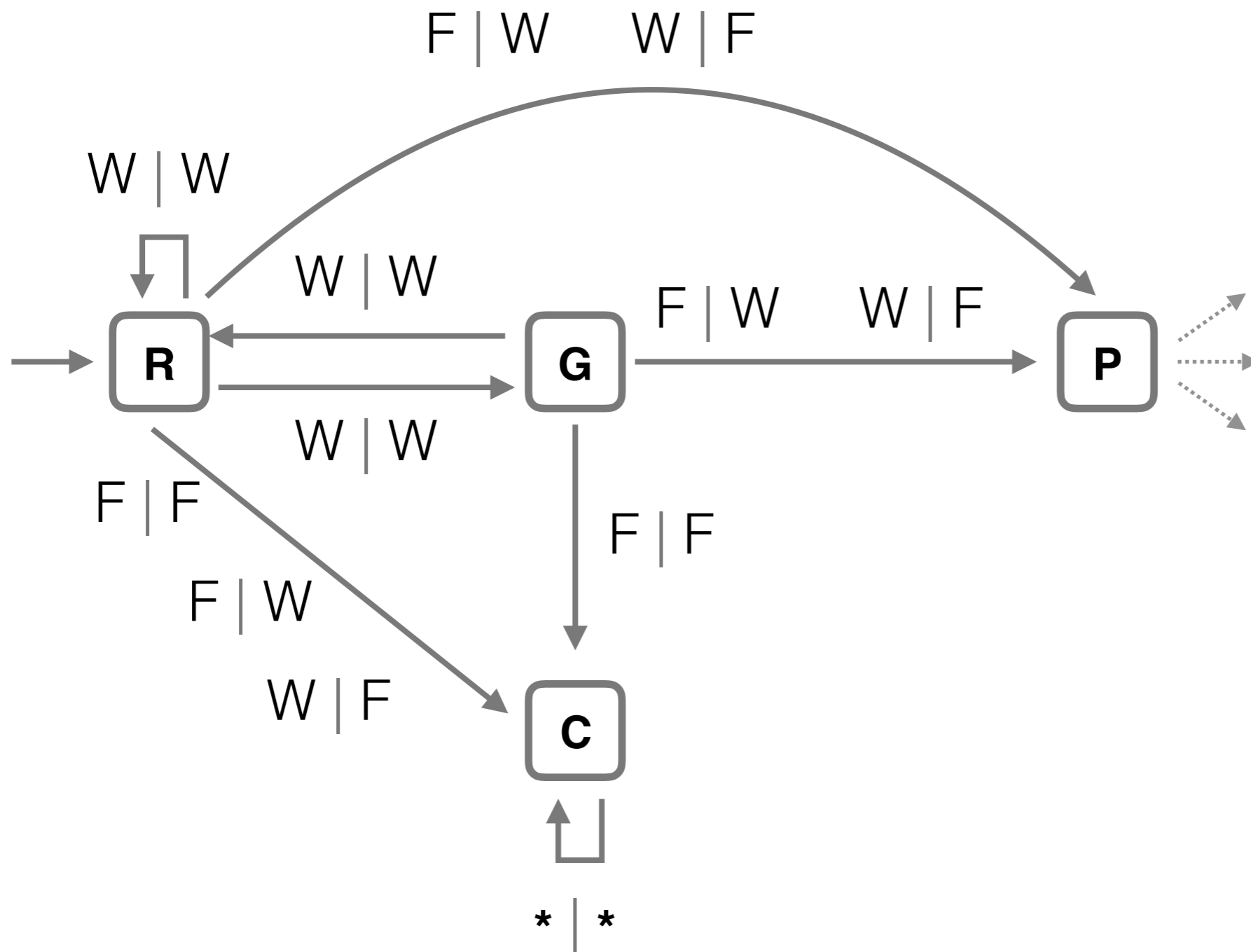
Nature  
(nondeterminism)



**Action sets:**

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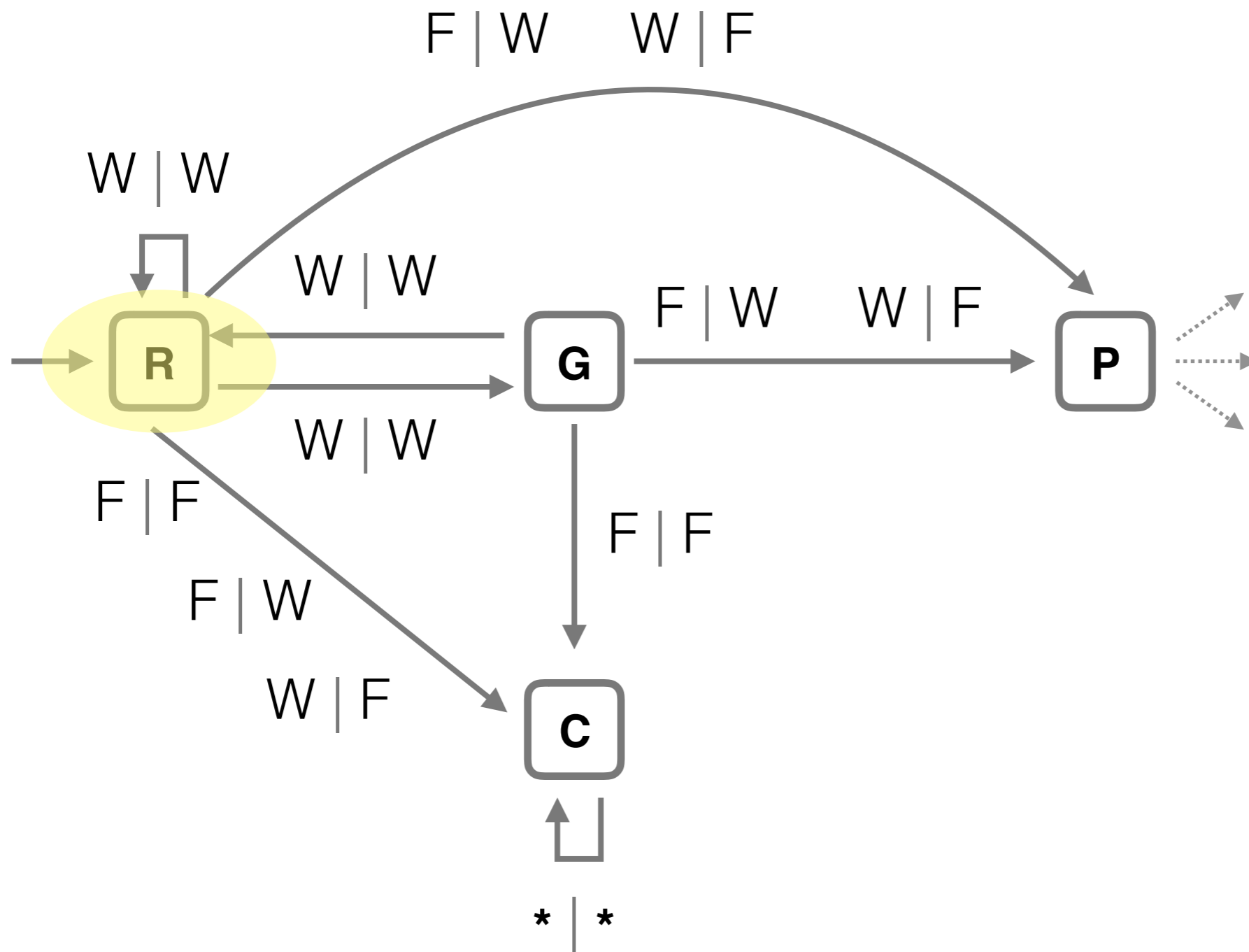
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**Action sets:**

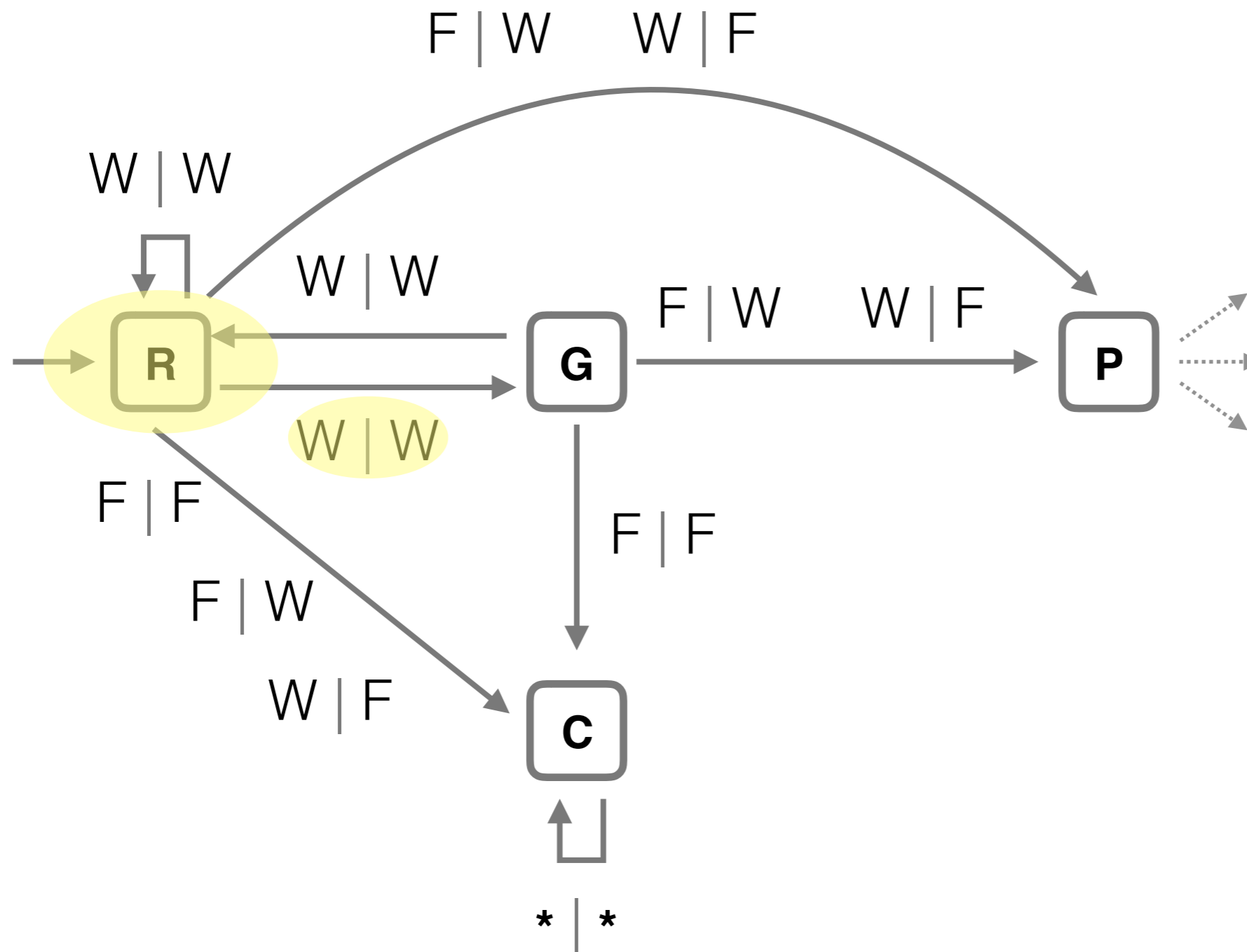
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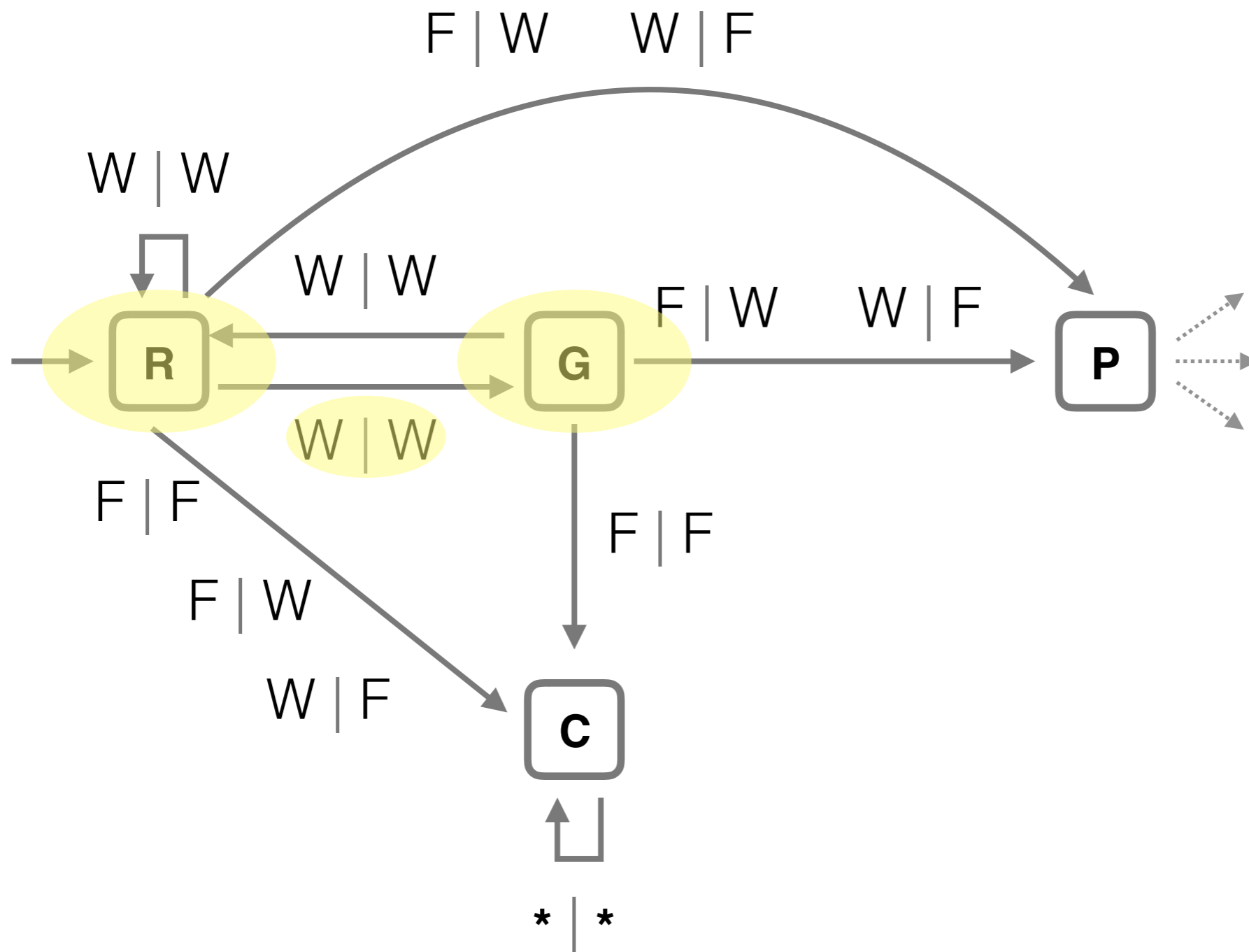


**Play: R**

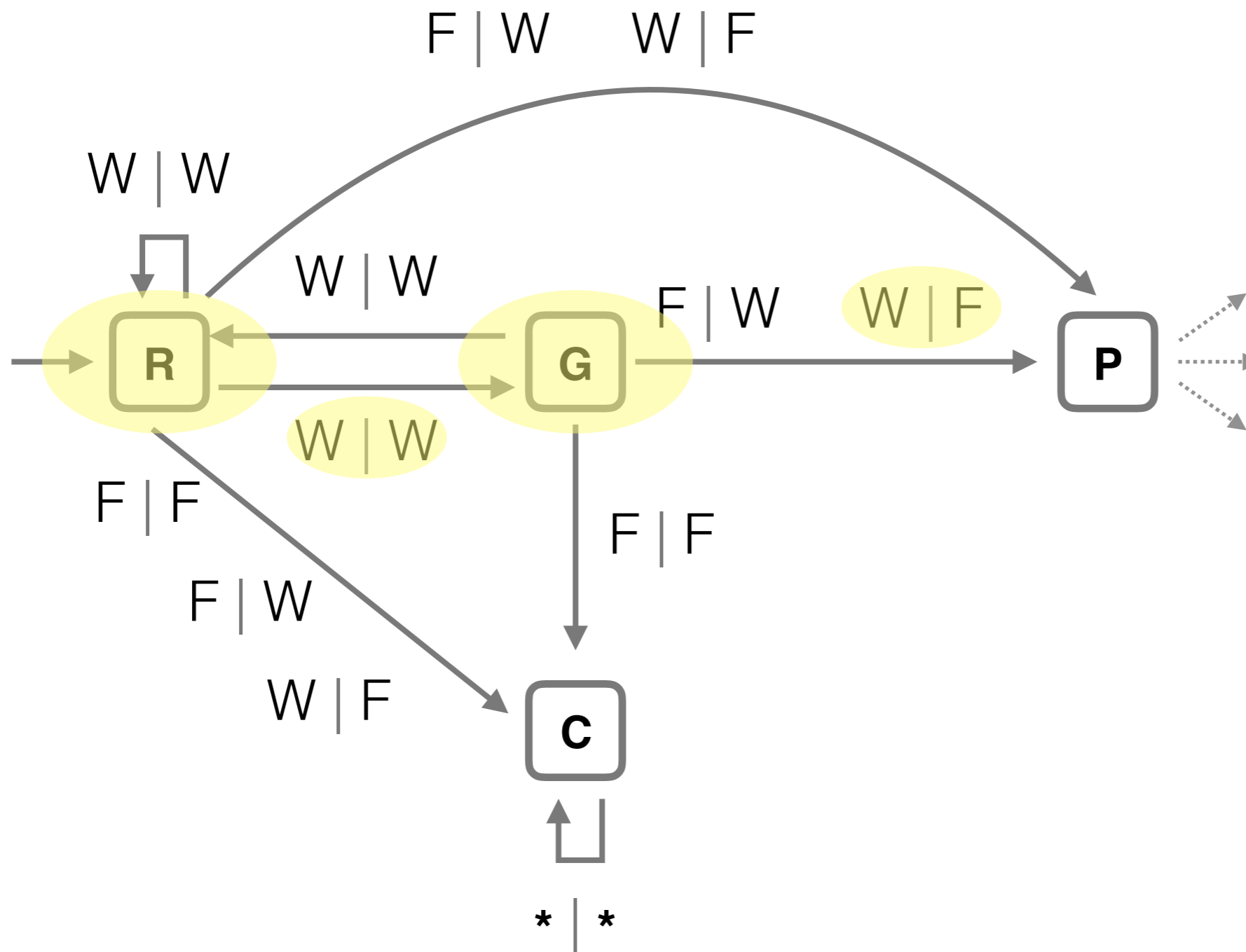




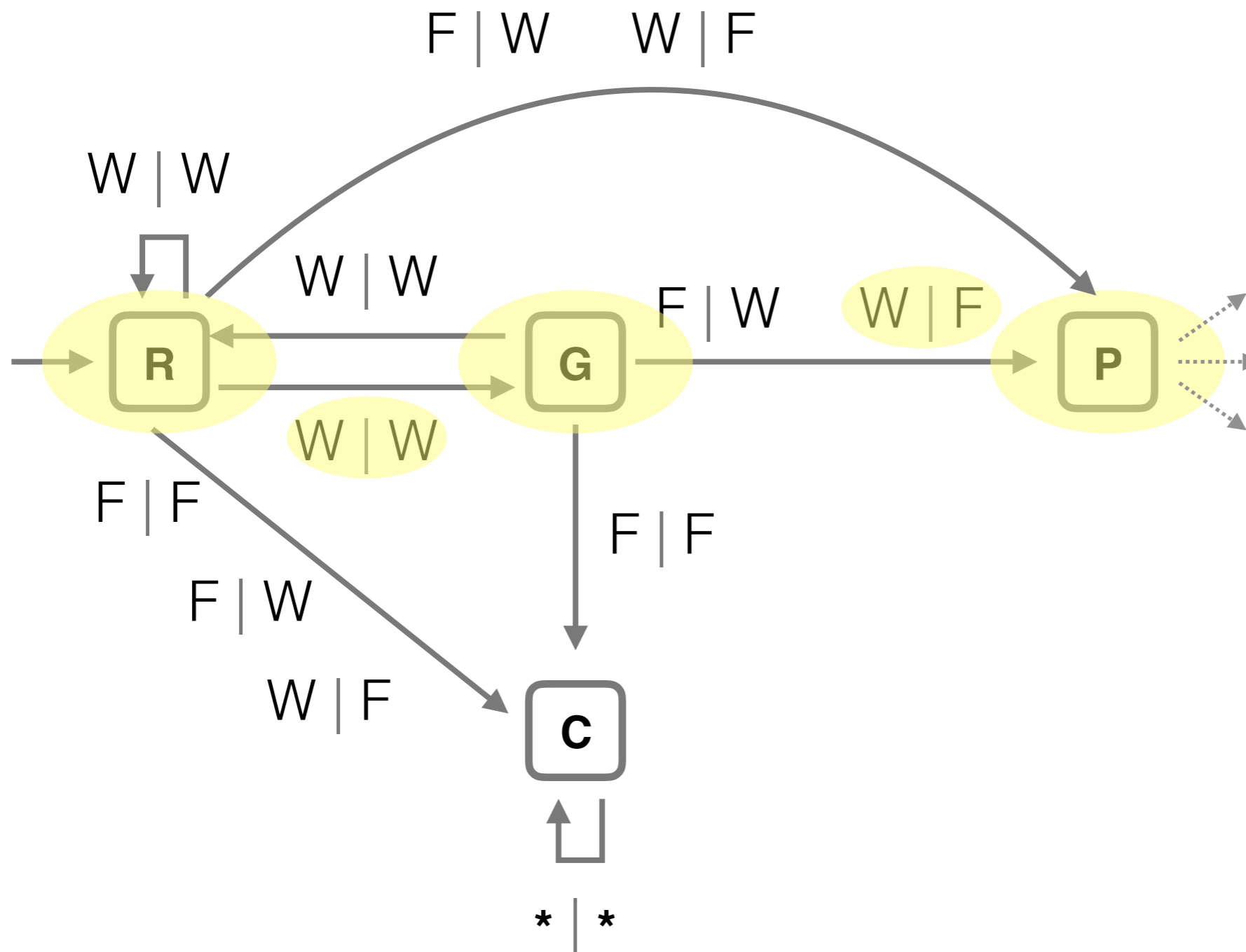
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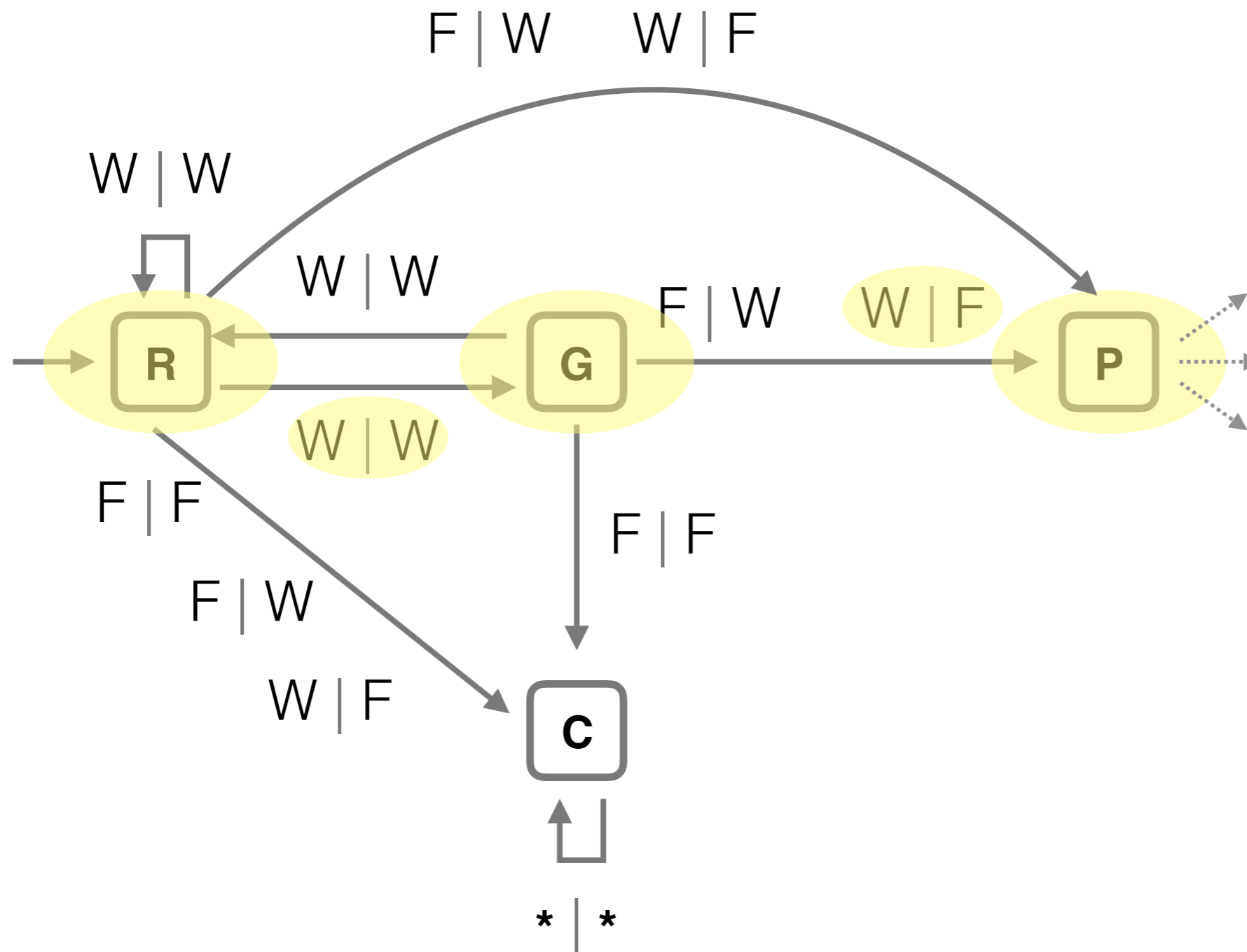
**Play: R G**



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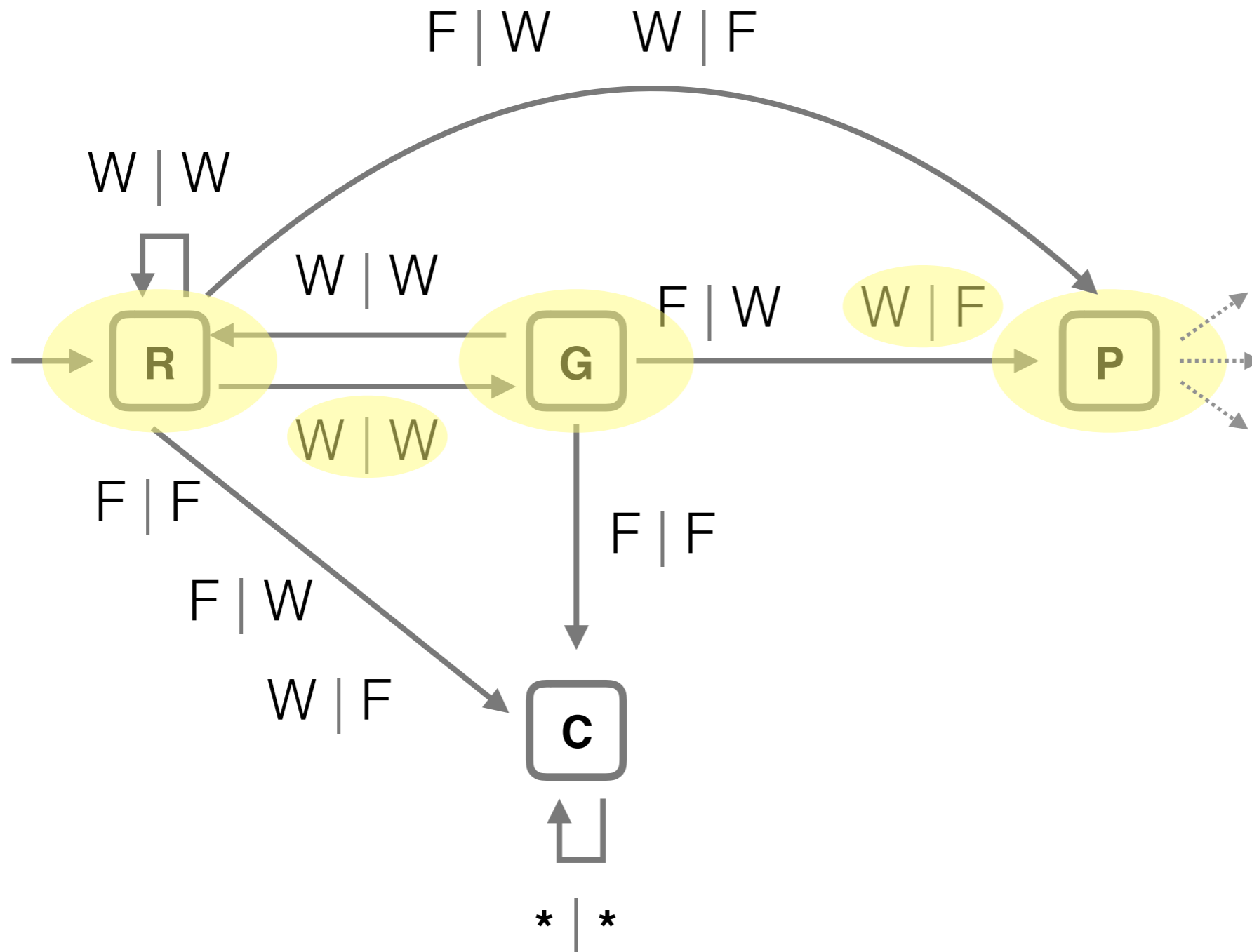
**Play: R G P**



**Play: R G P ...**

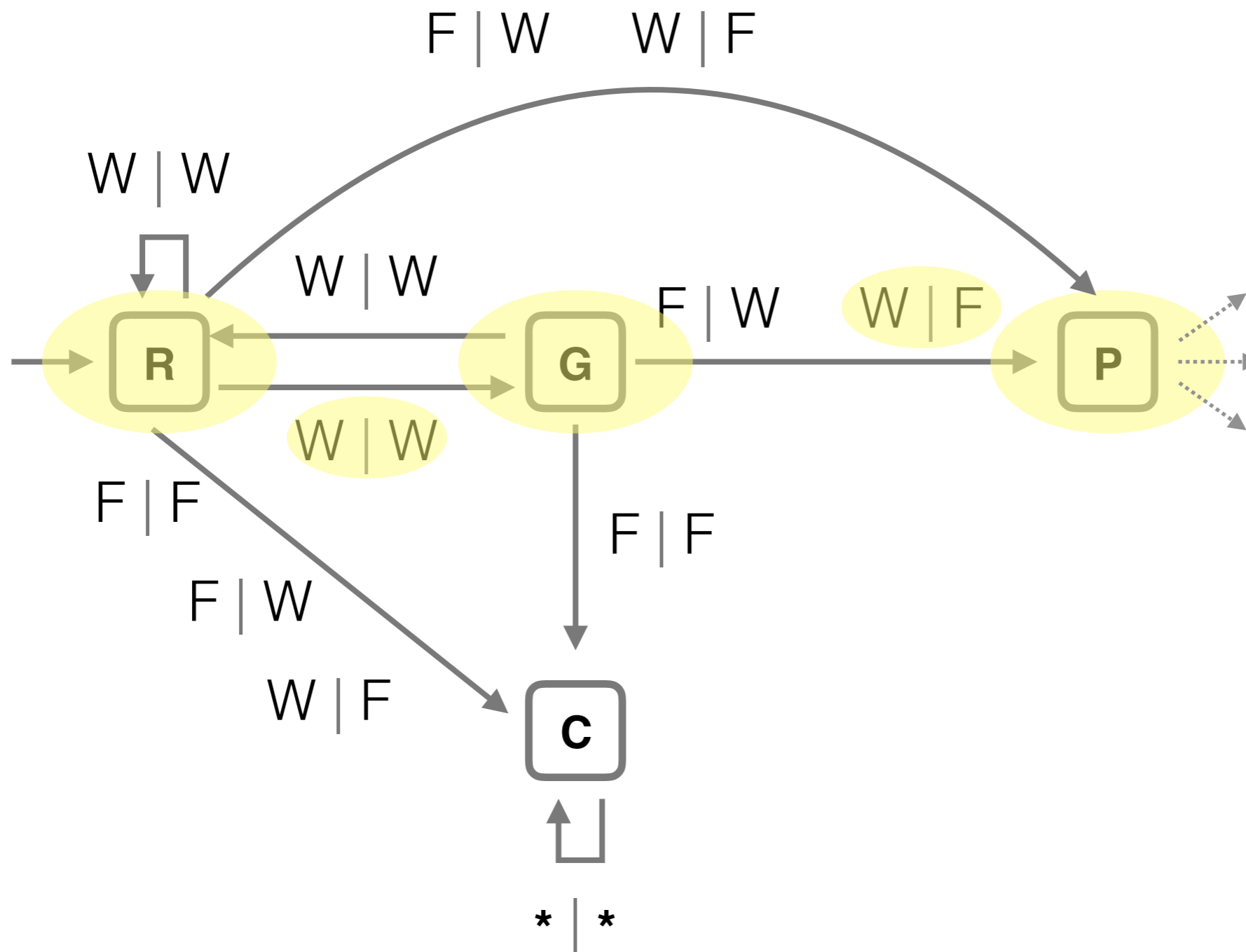


# Winning condition: « avoid C »



**Play: R G P ...**

# Winning condition: **« avoid C »** ✓



**Play: R G P ...**

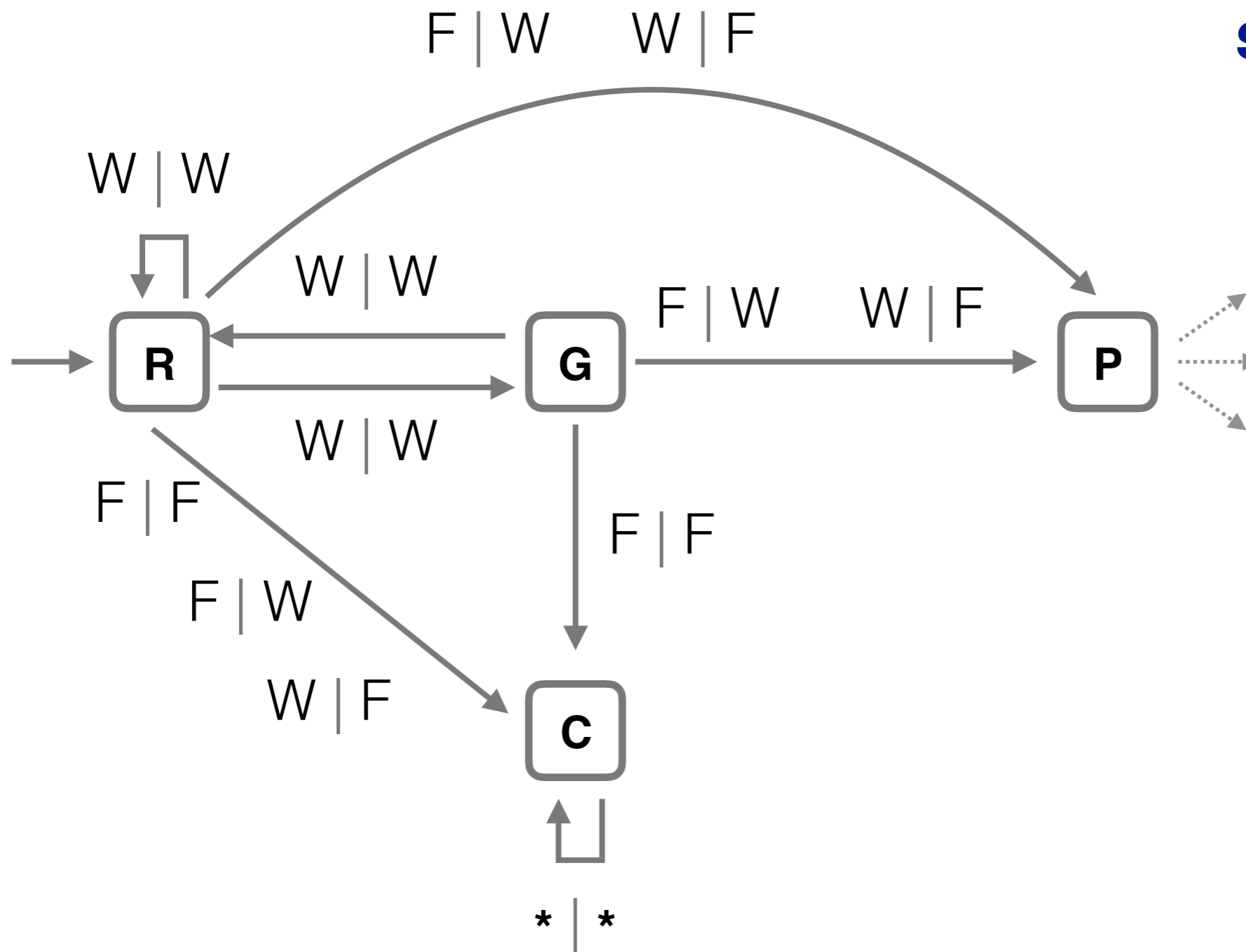
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« avoid C »

# Strategies:

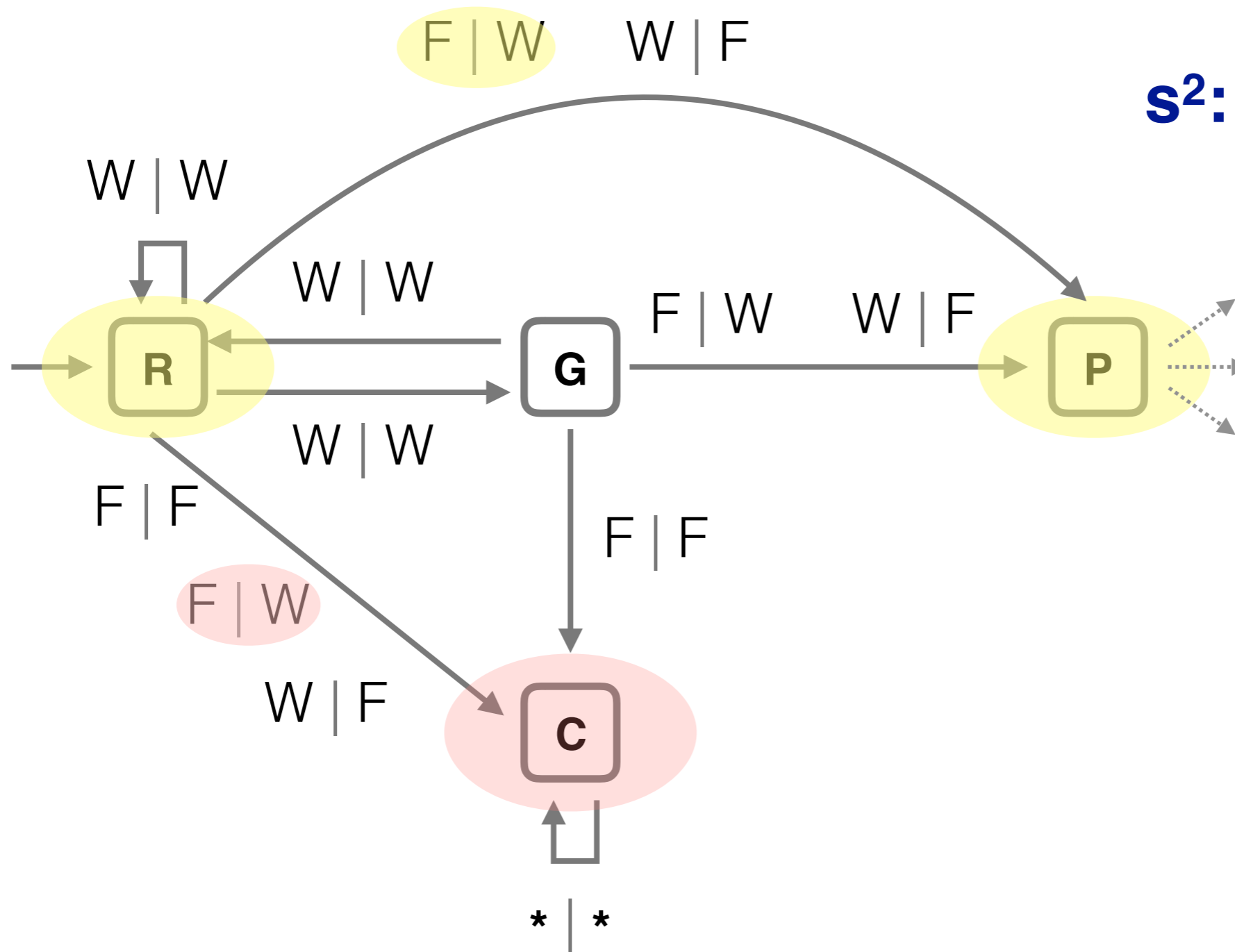
$s^1: V^* \rightarrow A^1$

$s^2: V^* \rightarrow A^2$



**Winning condition:**  
 « avoid C »

**Strategies:**  
 s<sup>1</sup>: at R play F  
 else play W  
 s<sup>2</sup>: at R play W  
 else play F

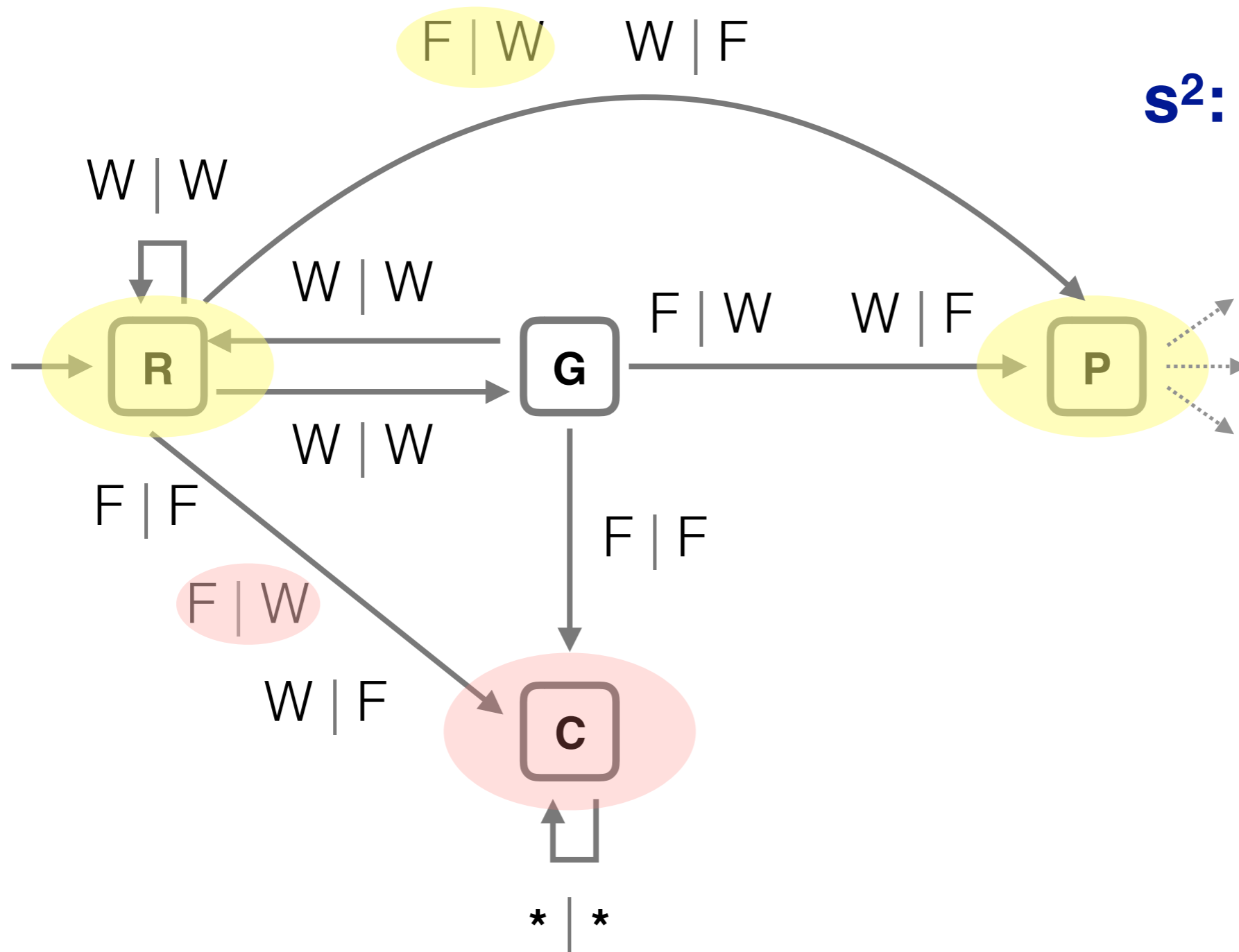


**Plays:**  
 R P ...  
 R C ...

**Winning condition:**  
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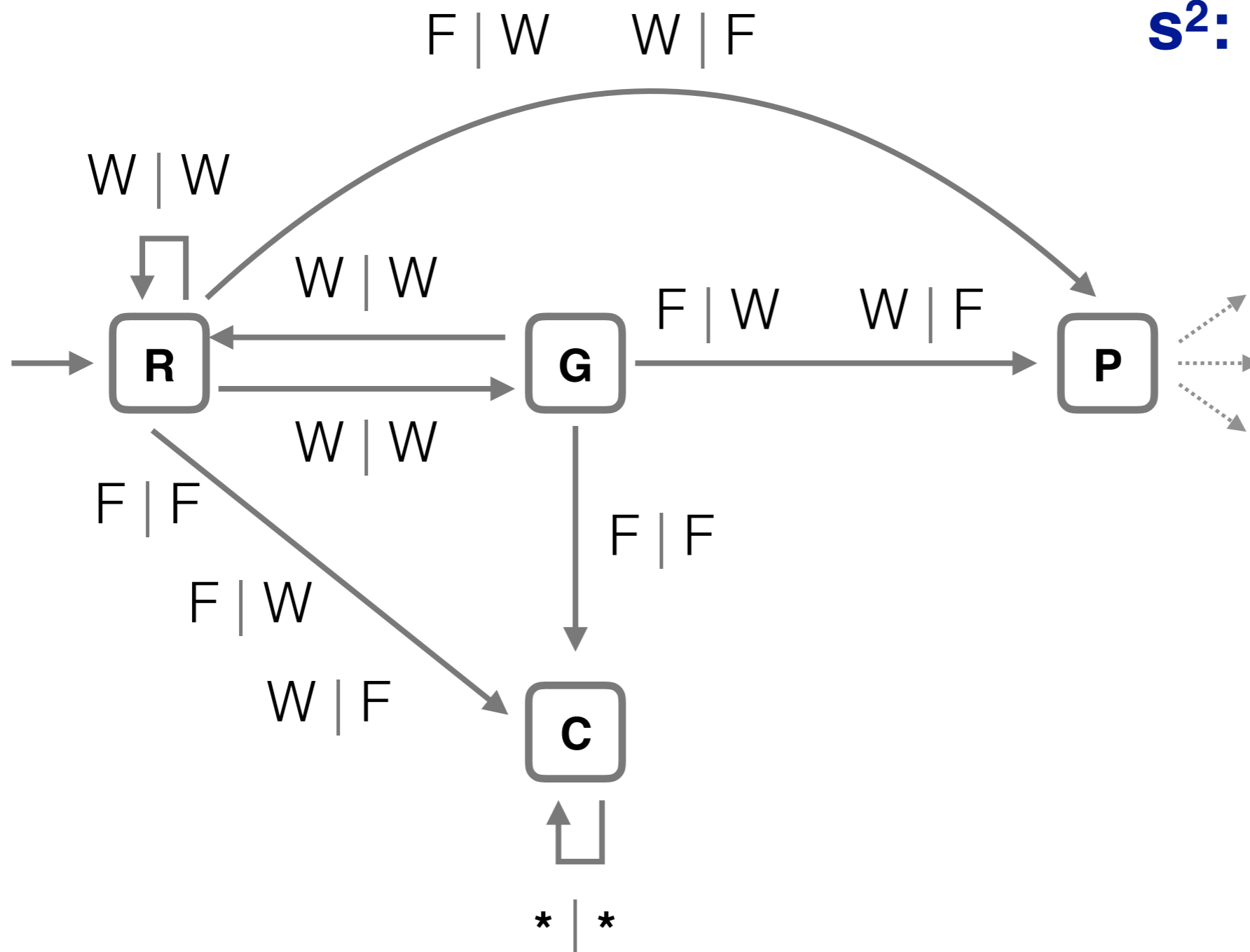


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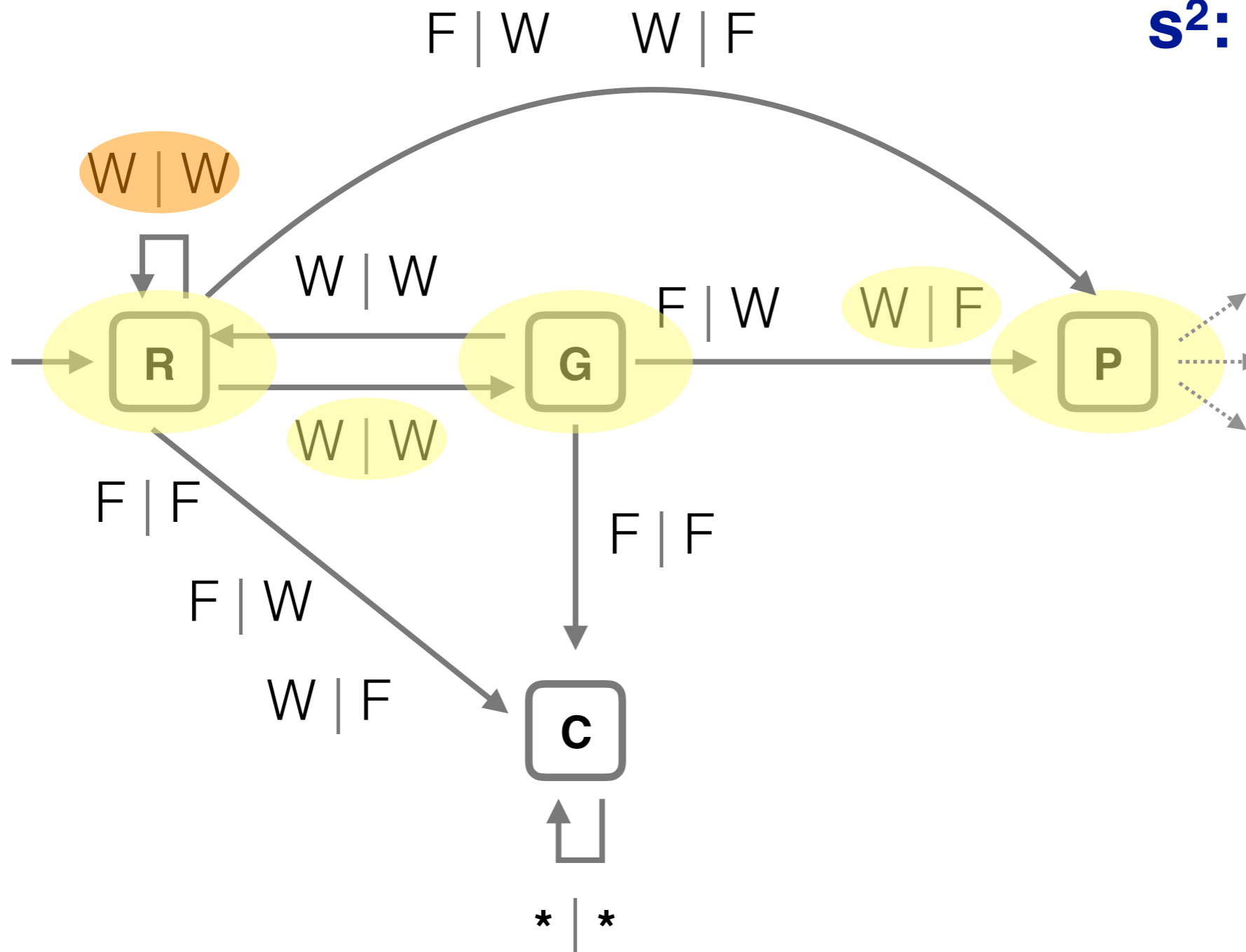
**Strategies:**  
 **$s^1$ : always play W**  
 **$s^2$ : at R play W**  
**at G play F**



# Winning condition:

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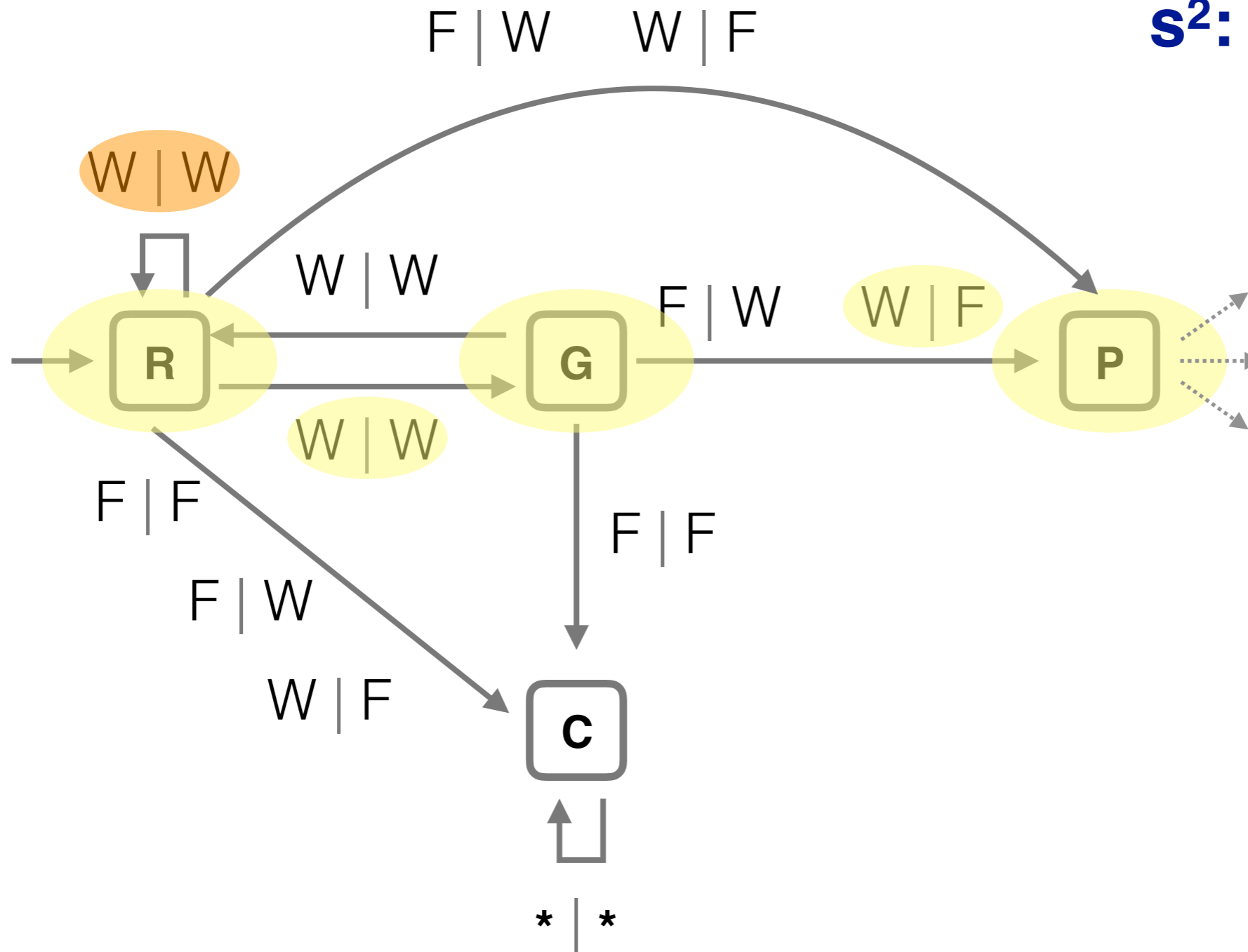


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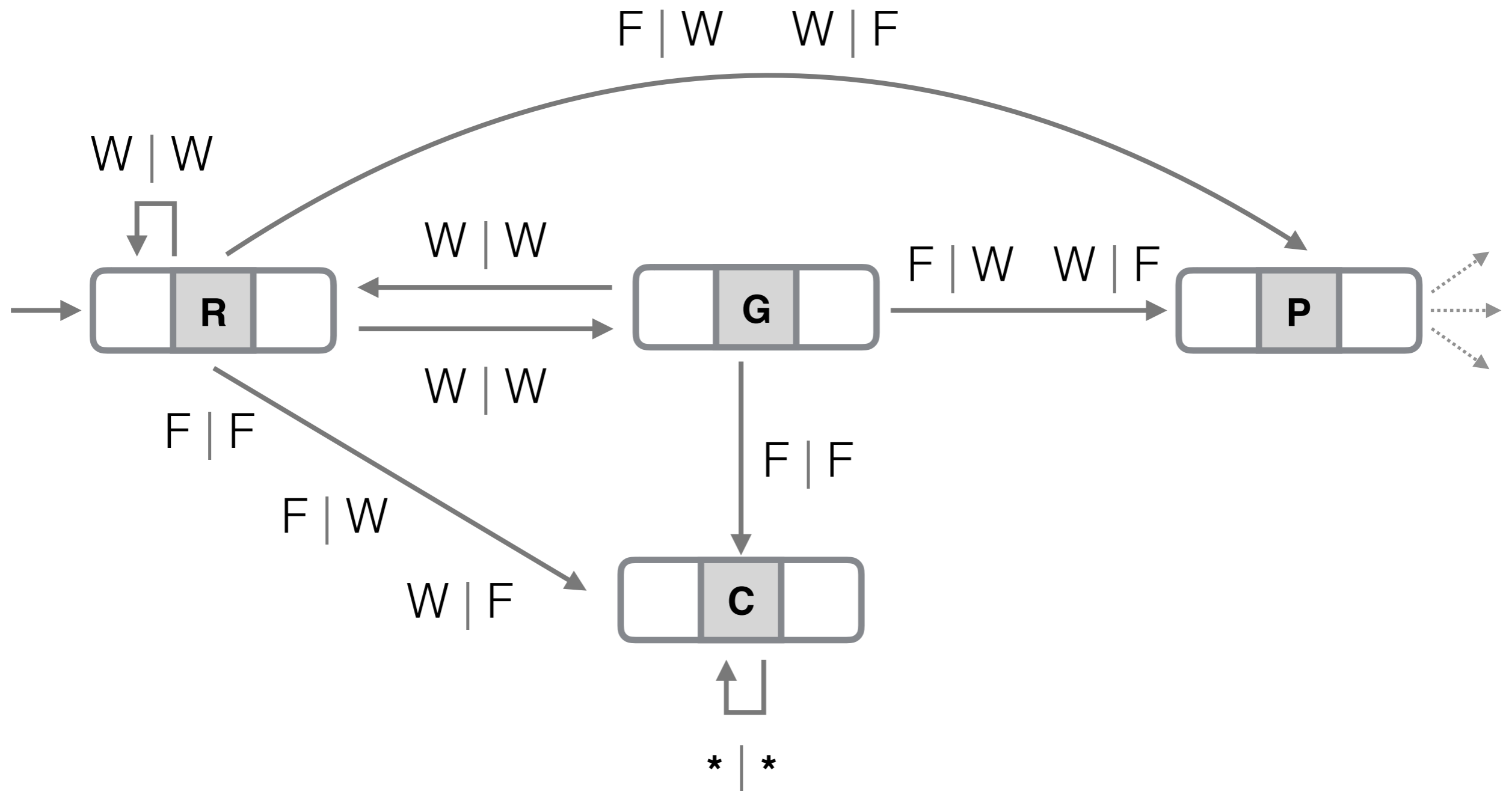
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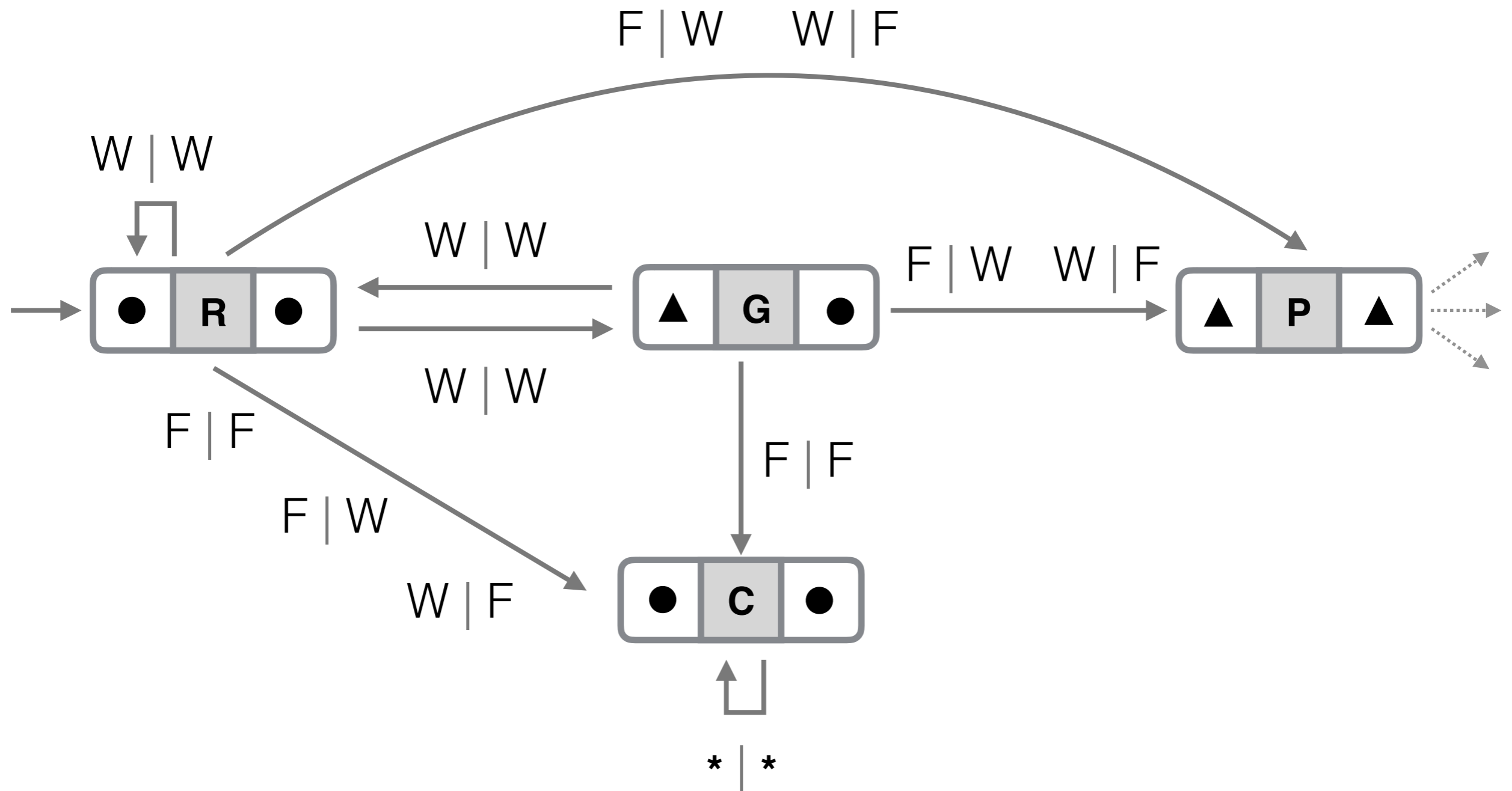


# Games with Imperfect Information

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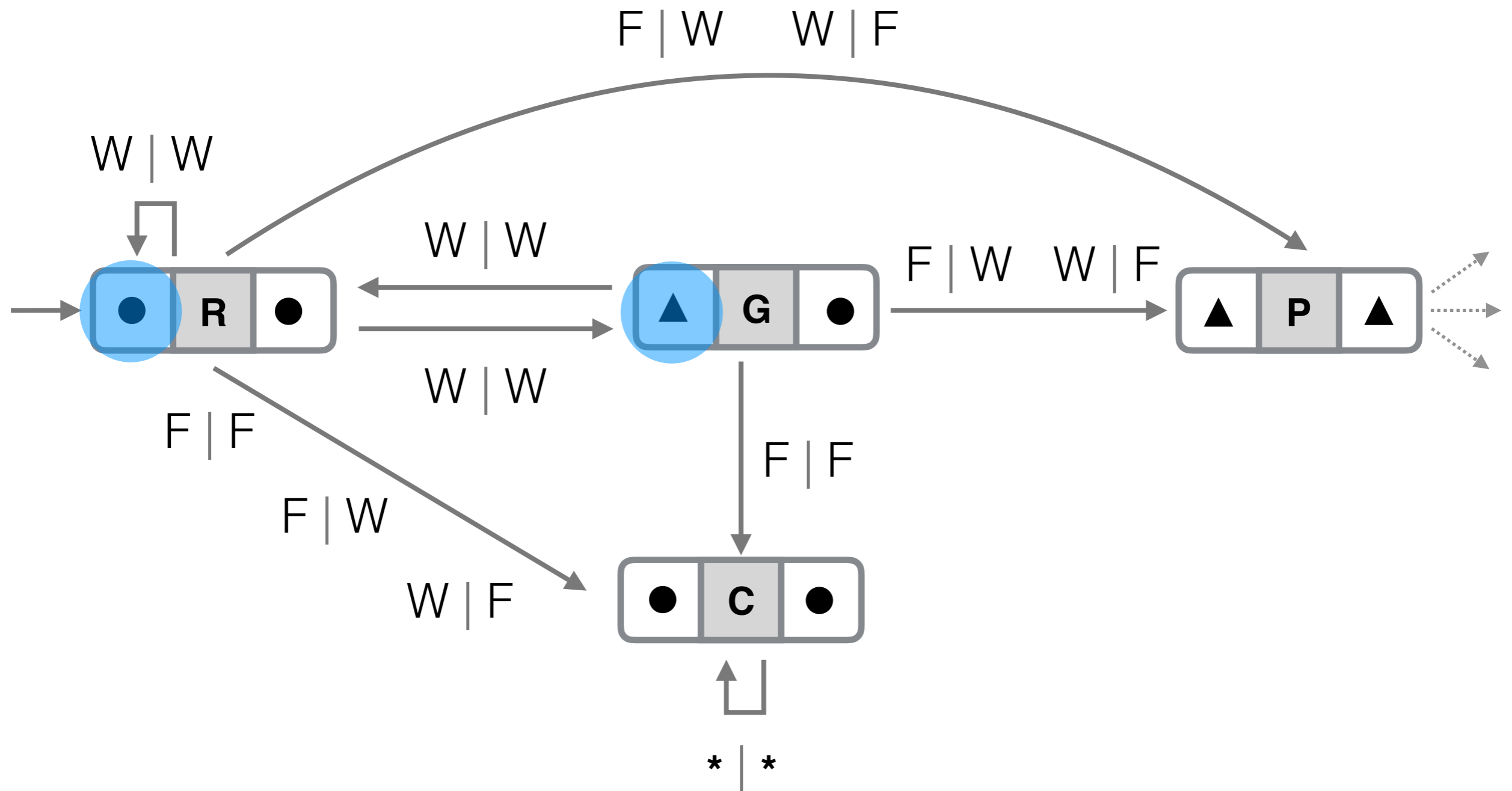


**Observation sets:**

$B^1 = \{ \bullet, \blacktriangle \}$

$B^2 = \{ \bullet, \blacktriangle \}$

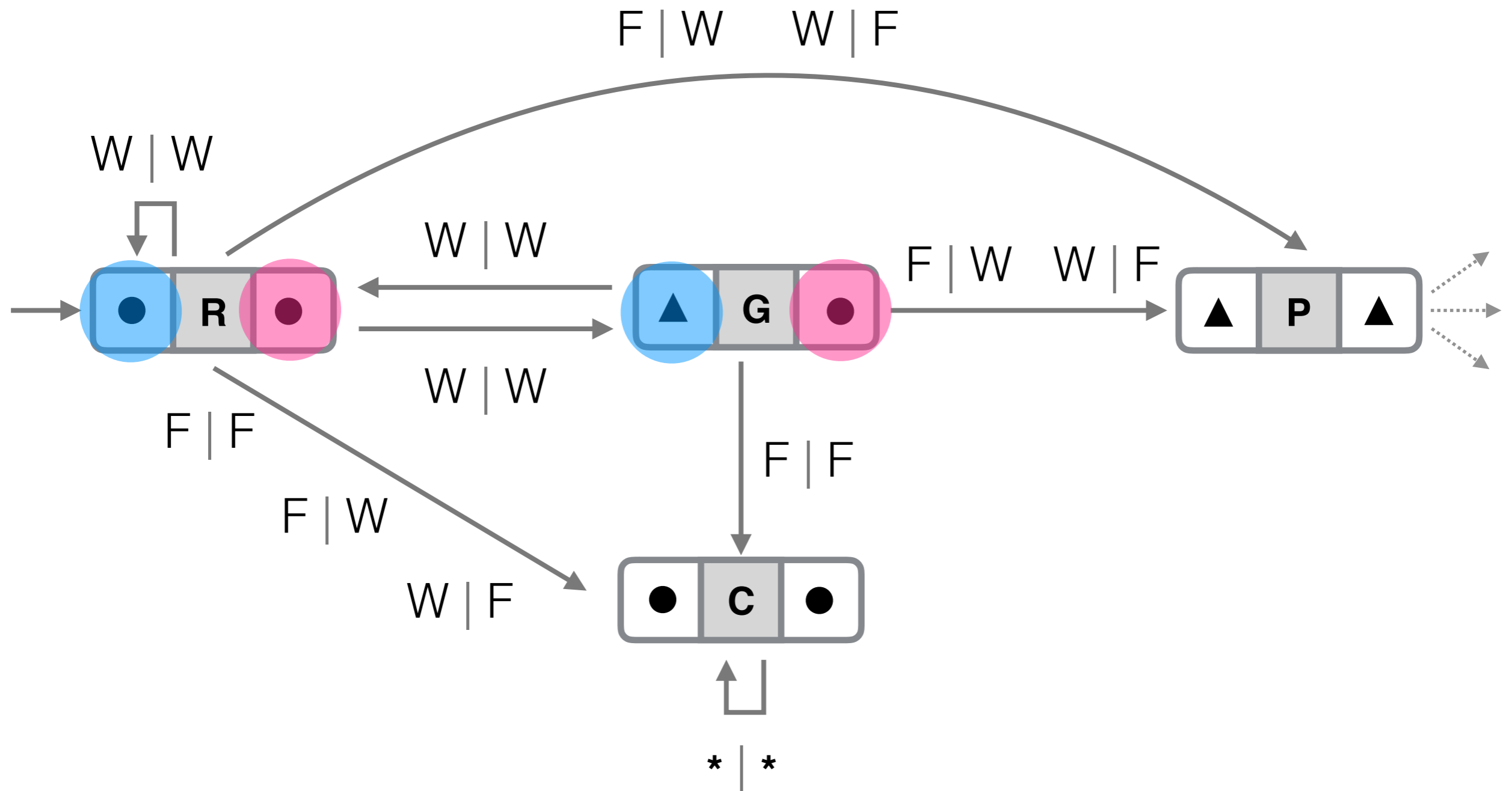
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**Indistinguishability:**

$$v_L \neq^1 v_R$$

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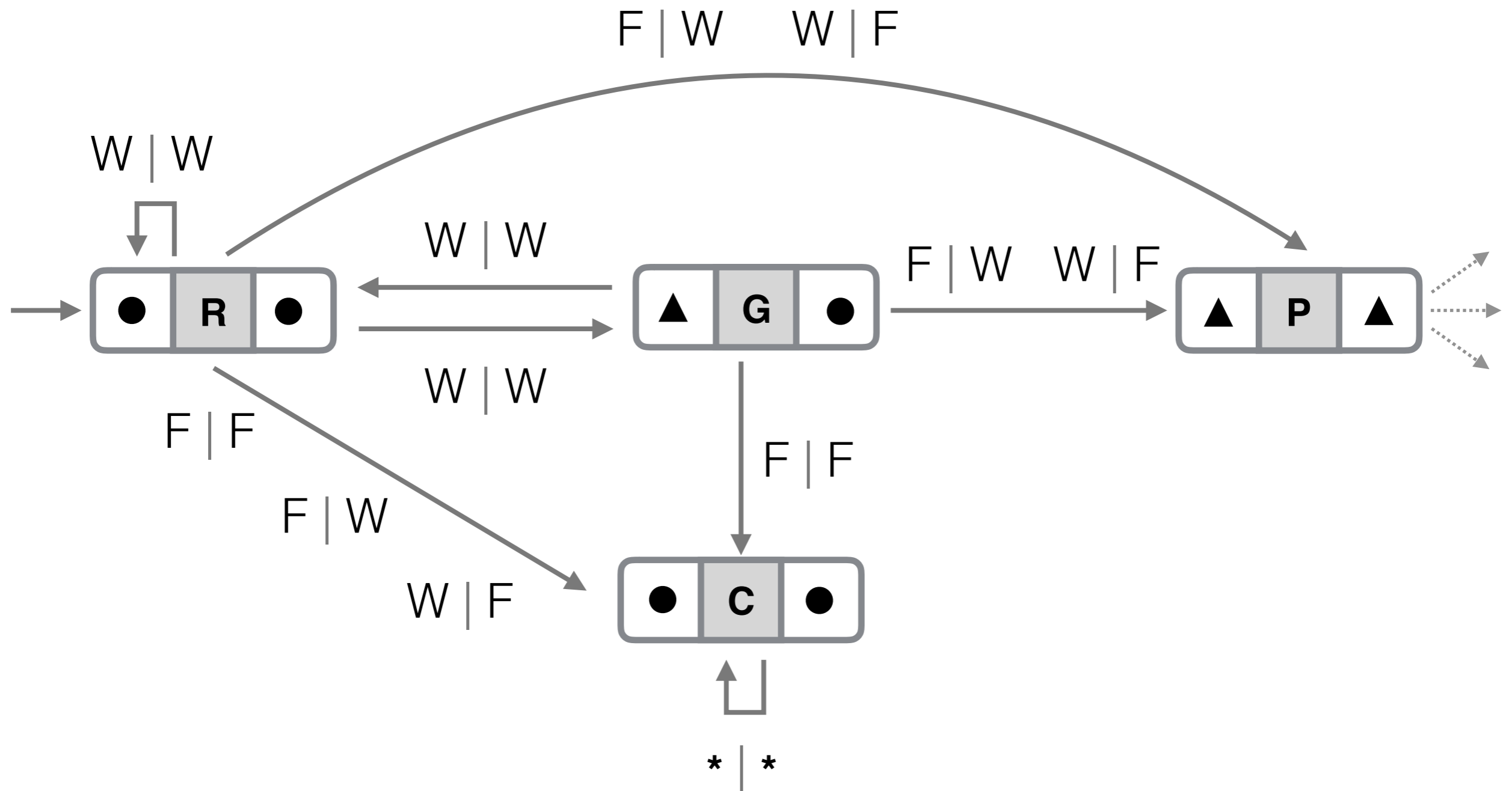


**Indistinguishability:**

$$V_L \not\sim^1 V_R$$

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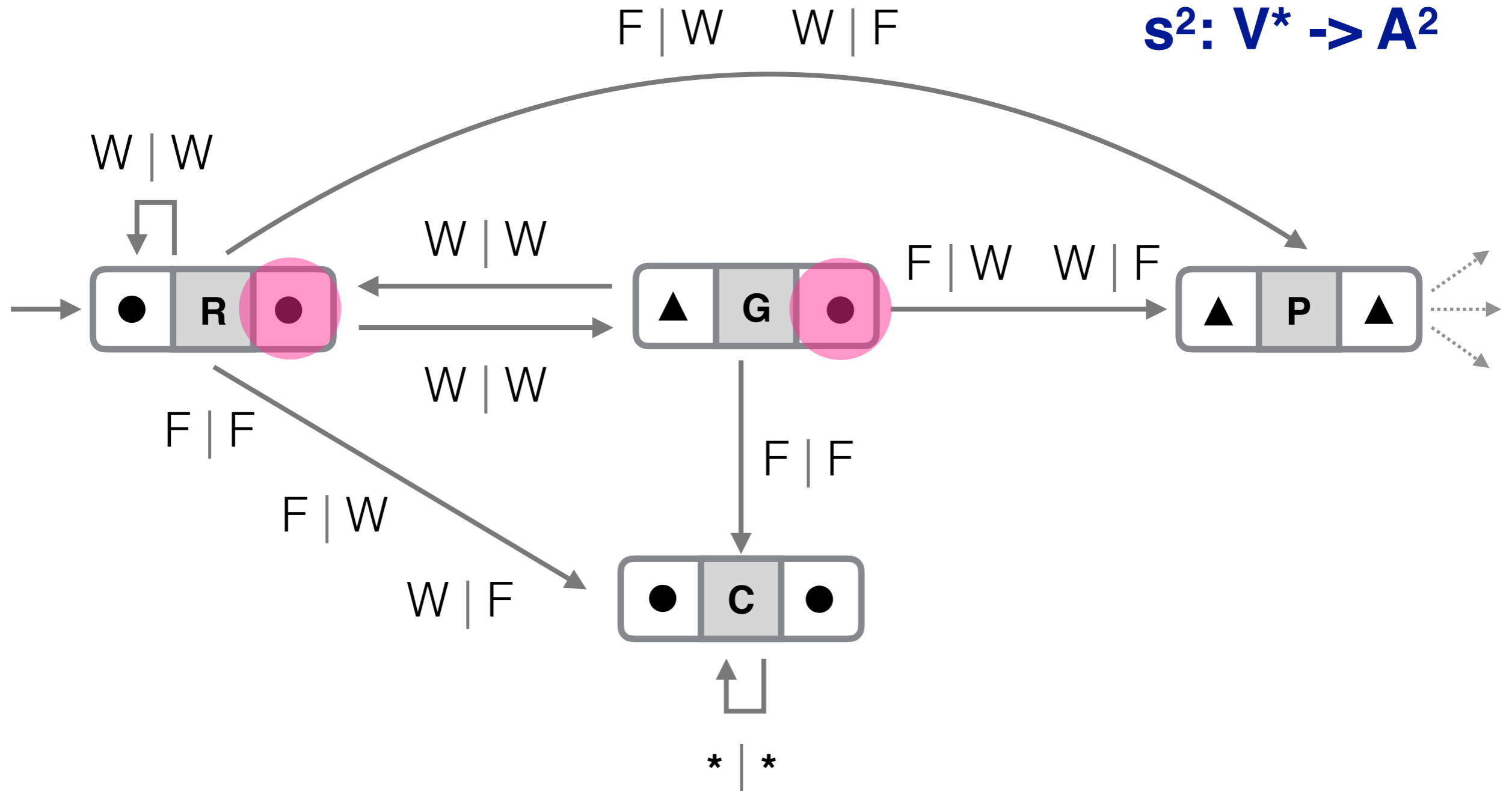
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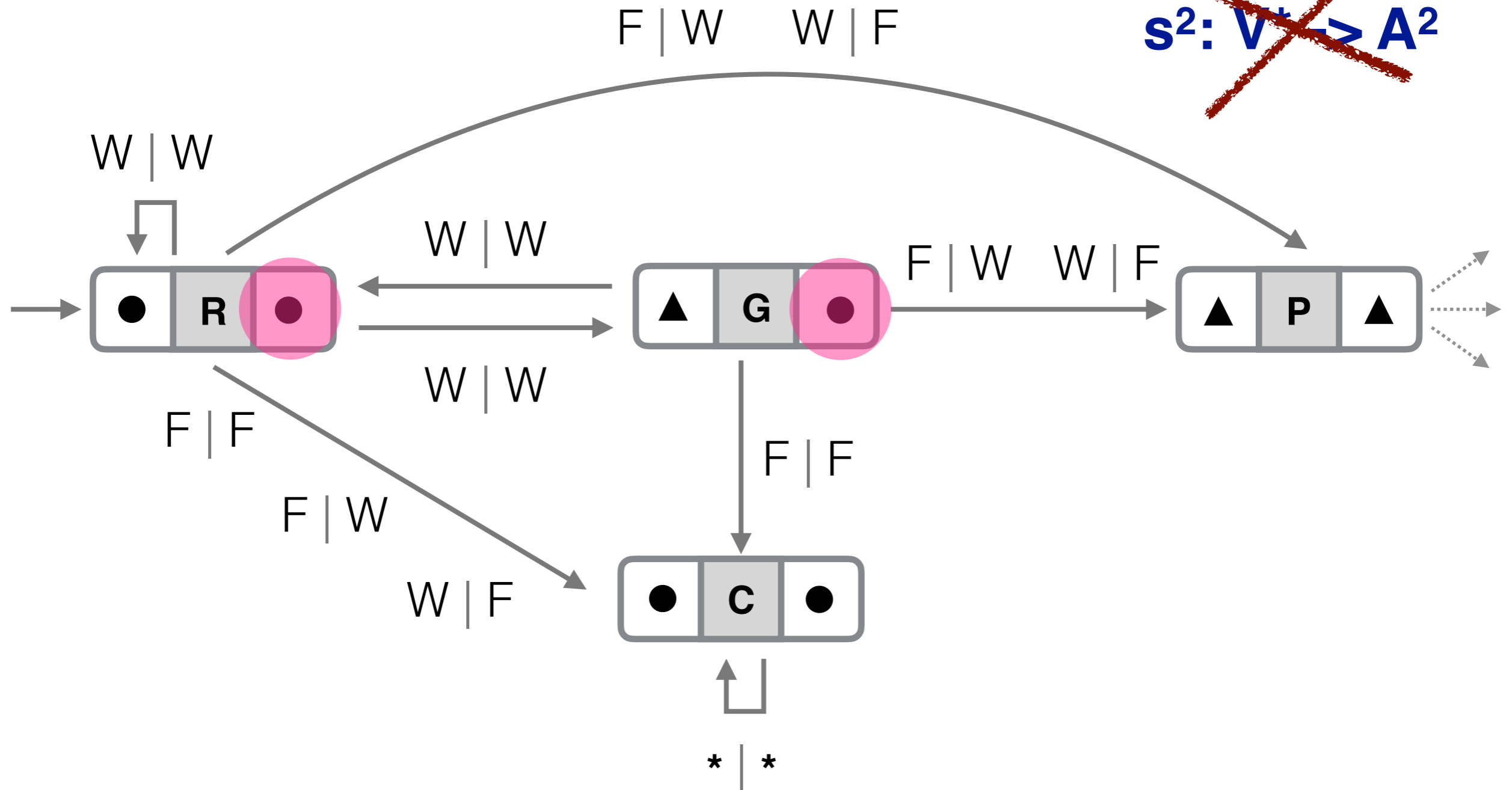
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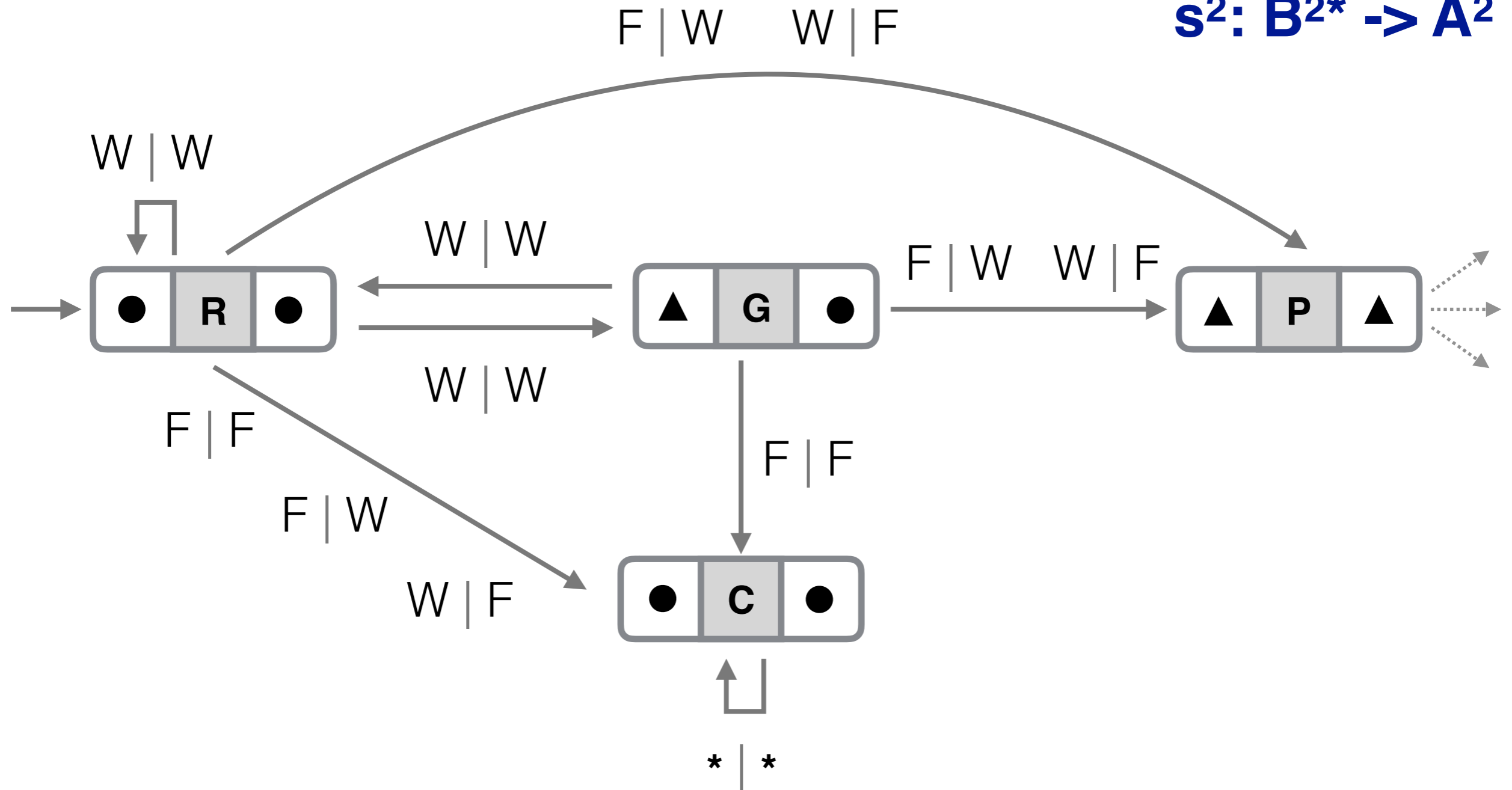
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**Winning condition:**  
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**Strategies:**  
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 $s^2: B^{2*} \rightarrow A^2$



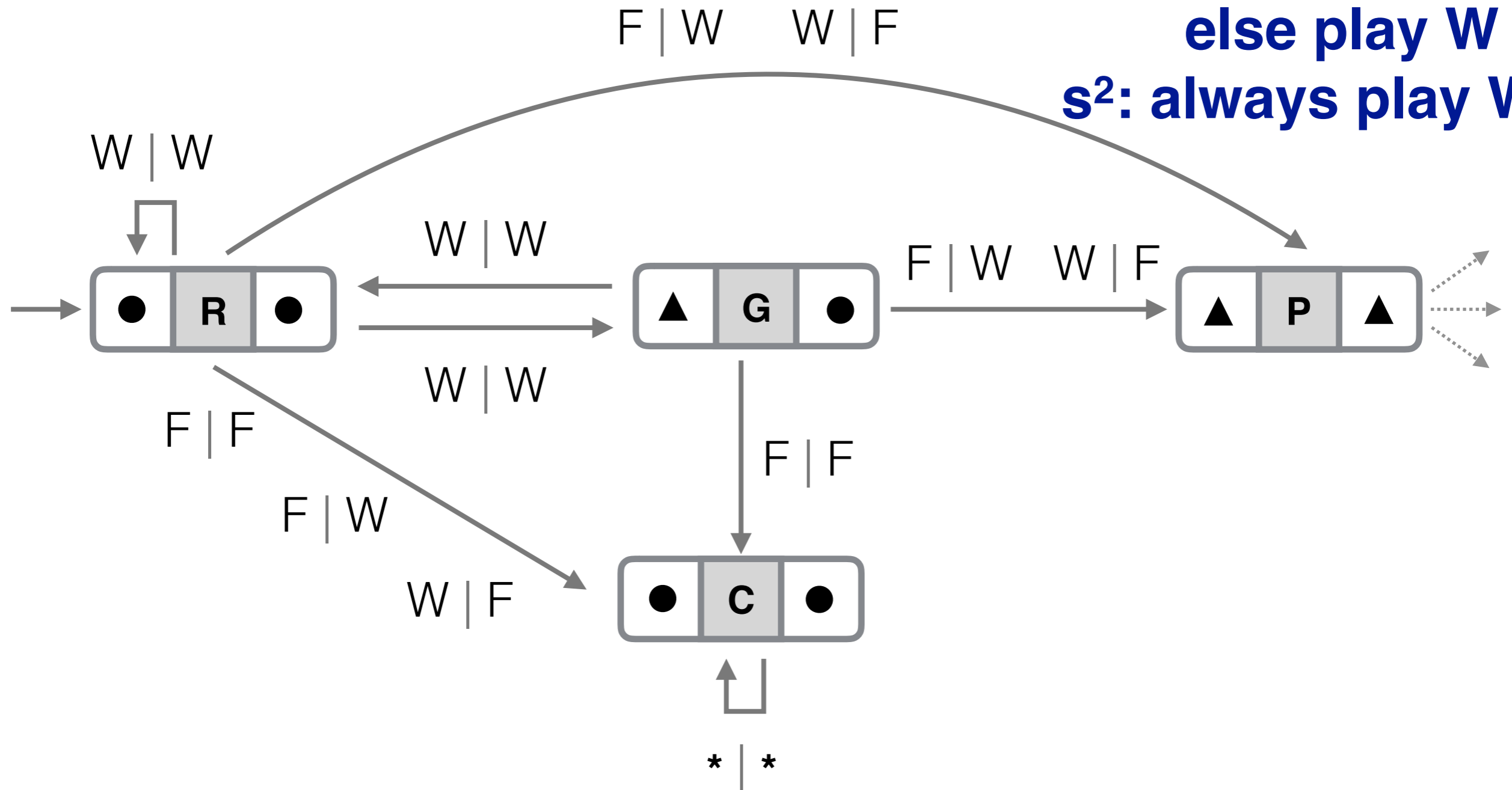
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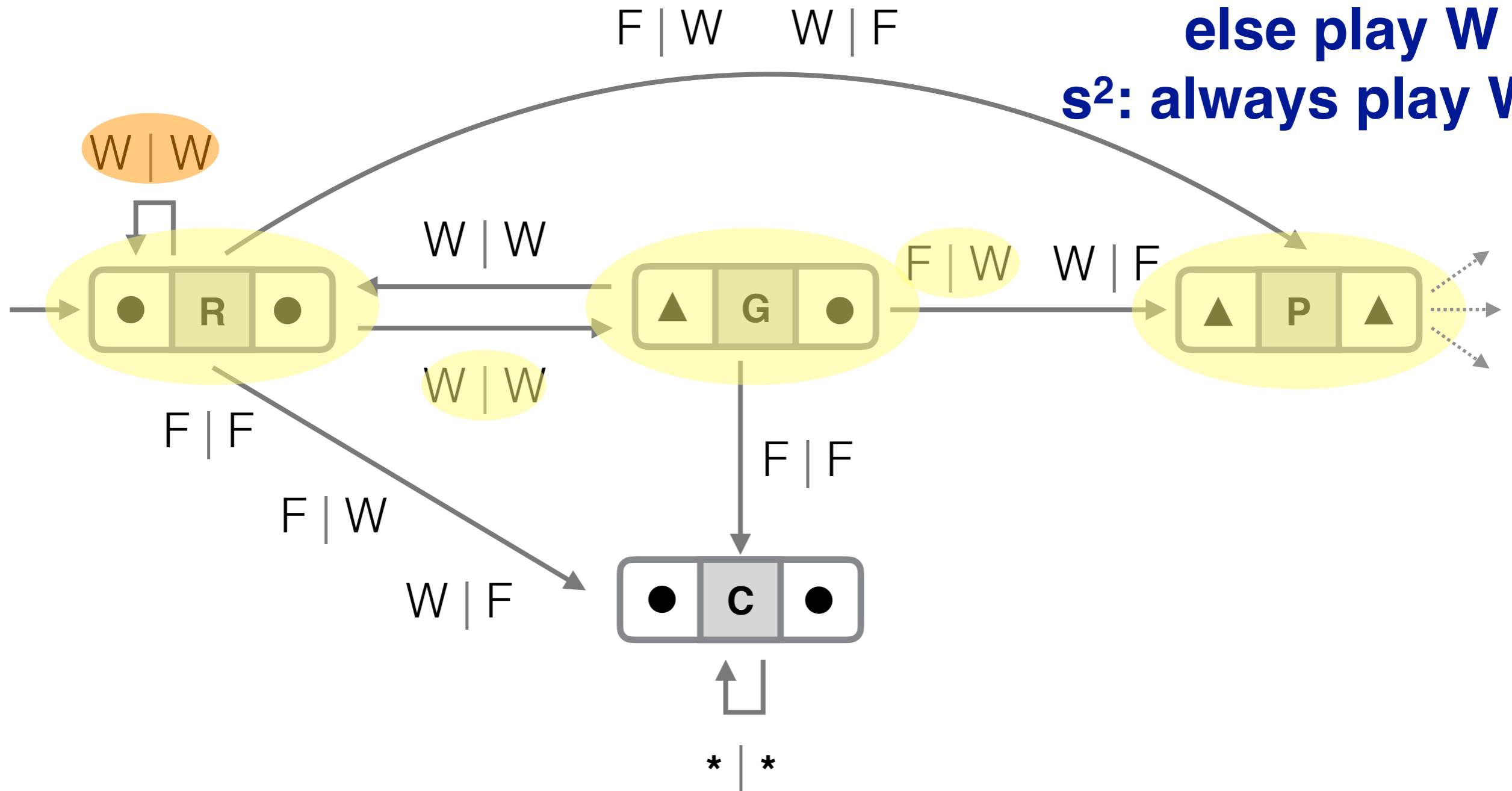
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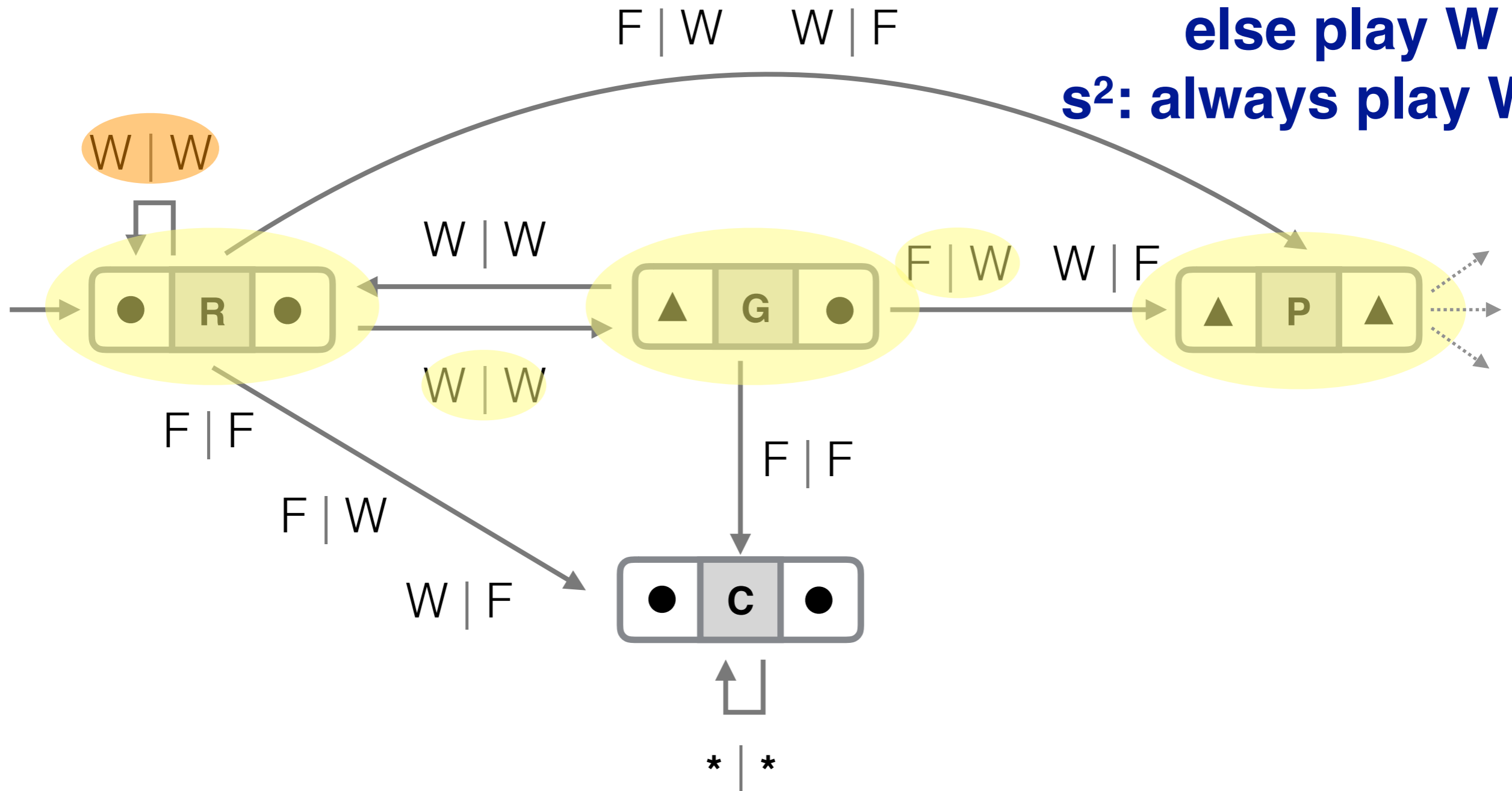
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**Winning condition:**  
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# Games with Imperfect Information

$$G = (V, E, (\beta^i)_{i \leq n}, v_0, W)$$

- $(V, E)$  finite graph (states, transitions)
- initial state  $v_0$ ,
- plays/histories: infinite paths/finite prefixes
- observation functions  $\beta^i : V \rightarrow B^i$   
induces *indistinguishability* relation  $\sim^i$

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- winning condition:  $\omega$ -regular set of plays  $W \subseteq V^\omega$
- *strategy*<sup>*i*</sup>: function from observation histories to actions
- distributed strategy:  $s = (\text{strategy}^1, \dots, \text{strategy}^n)$

# Distributed strategy synthesis

**Challenge:** coordinating players towards a *common objective*

Distributed strategy synthesis problem:

1) *Solvability:*

Given a game and a winning condition,  
does there exist a distributed winning strategy?

2) *Implementability:*

If the game is solvable, construct  
a distributed winning strategy with finite memory.



# Background Results

**Theorem**[Peterson, Reif '79, Pnueli, Rosner '90, Janin '07]

Distributed strategy synthesis is **undecidable** for games with imperfect information.

**Theorem**[Peterson, Reif 79, Pnueli, Rosner 90]

Decidable case: **hierarchical** games.

**Proposition**[Berwanger, Kaiser, Puchala '11]

Distributed winning strategies may require **infinite** memory.

- 1 Context
- 2 Model and Background
- 3 Information-Flow Patterns**
  - Propagation of uncertainty
  - One-way Information Flow
  - Delayed Information Flow
- 4 Conclusion

# Overview of the Thesis

**Approach:** better understand interaction mechanisms  
via analysis of *information flow*

- 1 Propagation of uncertainty (*why is it so hard?*)  
*joint work with D. Berwanger, DLT'15*
- 2 One-Way Information Flow (*decidable cases*)  
*joint work with D. Berwanger and A. B. Mathew, ATVA'15*
- 3 Delayed Information Flow (*realistic scenarios*)  
*joint work with D. Berwanger, FSTTCS'15*

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# Propagation of uncertainty

**General case:** Distributed strategy synthesis is **undecidable**  
[Peterson, Reif '79, Pnueli, Rosner '90, Janin '07]

**But:** many causes

- imperfect information and Nature
- arbitrarily many decision points
- complicated winning conditions

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**But:** many causes

- imperfect information and Nature
- ~~arbitrarily many decision points~~
- ~~complicated winning conditions~~

# Propagation of uncertainty

## Consensus Game Acceptors:

Two players against Nature

Play:

- Nature picks a **finite** path  $\pi$   
from initial to final state  $\oplus$ ,  $\ominus$  or  $\otimes$
- each player sees his observations along  $\pi$
- at final state, players accept or reject

Winning condition:

- consensus
- path end at  $\oplus$ , play *accept*, at  $\ominus$  play *reject*!

# Propagation of uncertainty

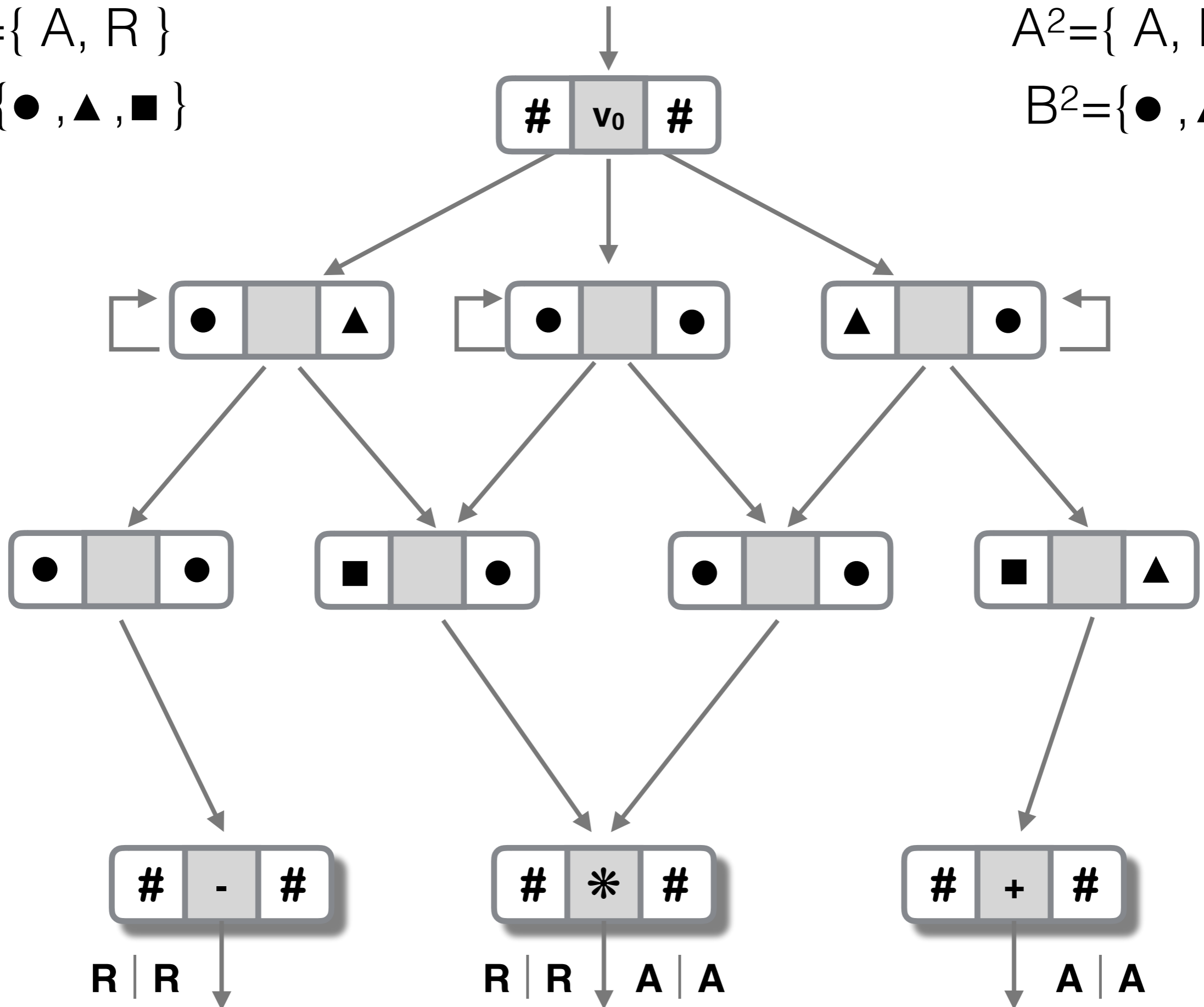


$$A^1 = \{ A, R \}$$

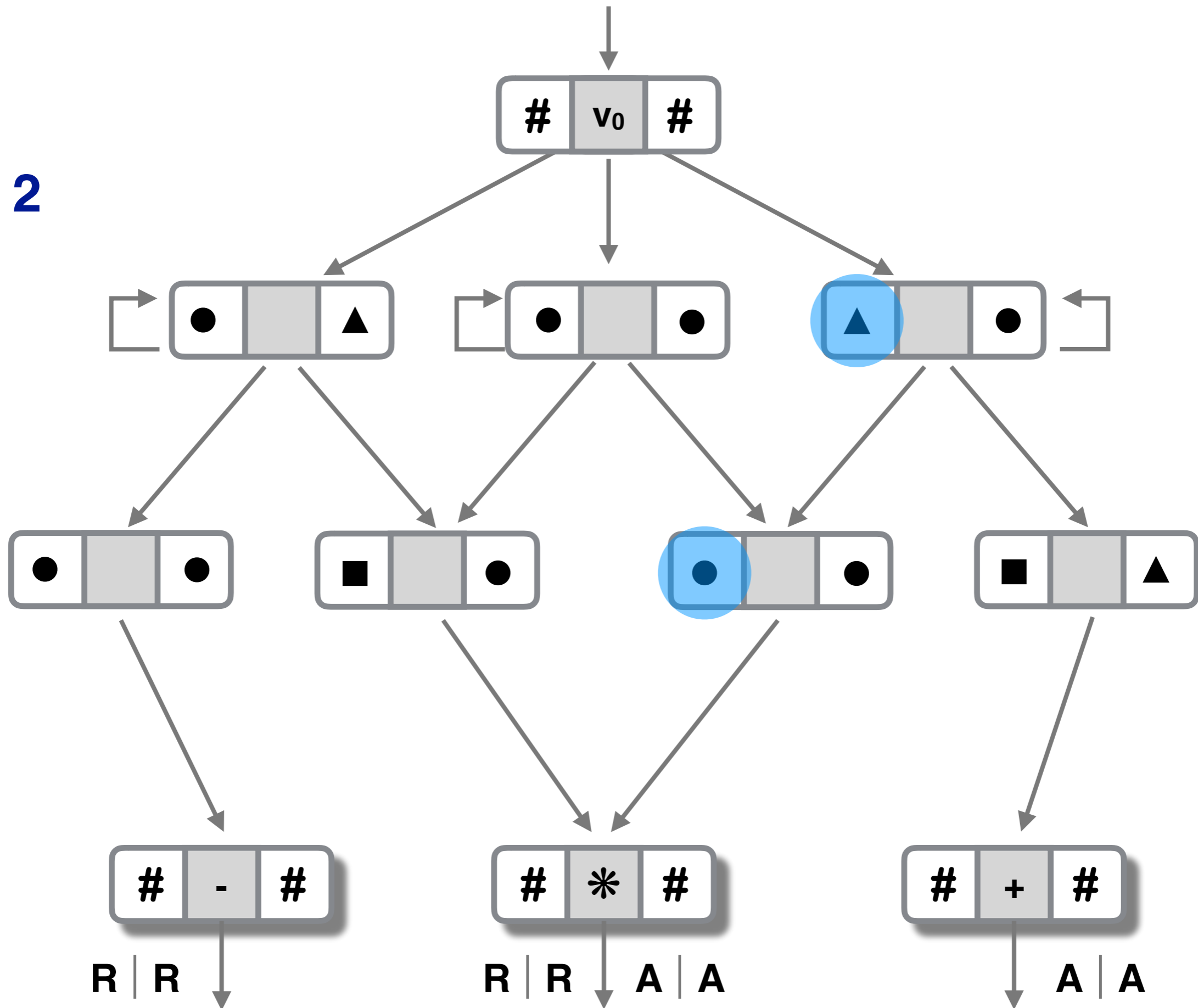
$$B^1 = \{ \bullet, \blacktriangle, \blacksquare \}$$

$$A^2 = \{ A, R \}$$

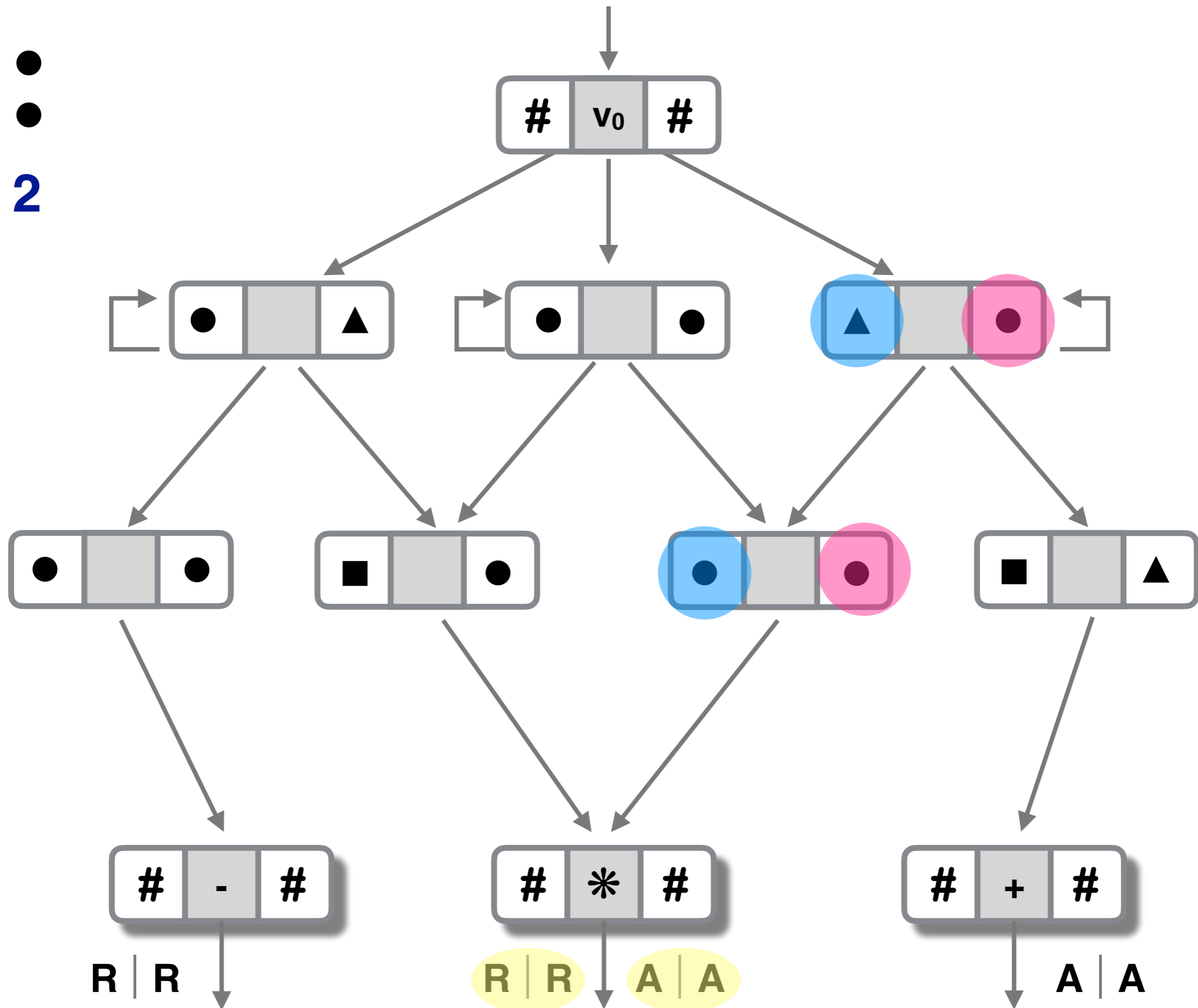
$$B^2 = \{ \bullet, \blacktriangle \}$$



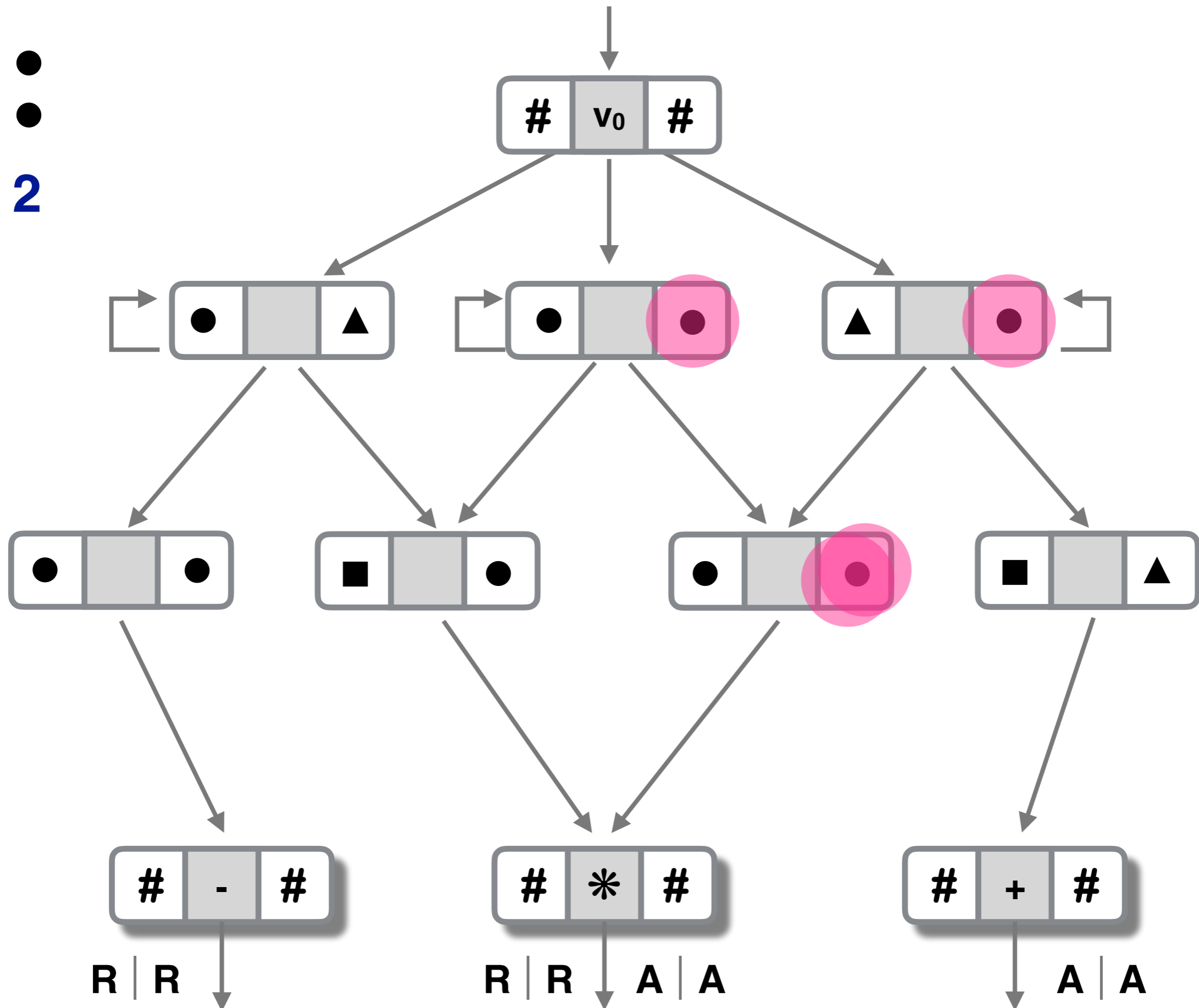
▲  
●  
1 2



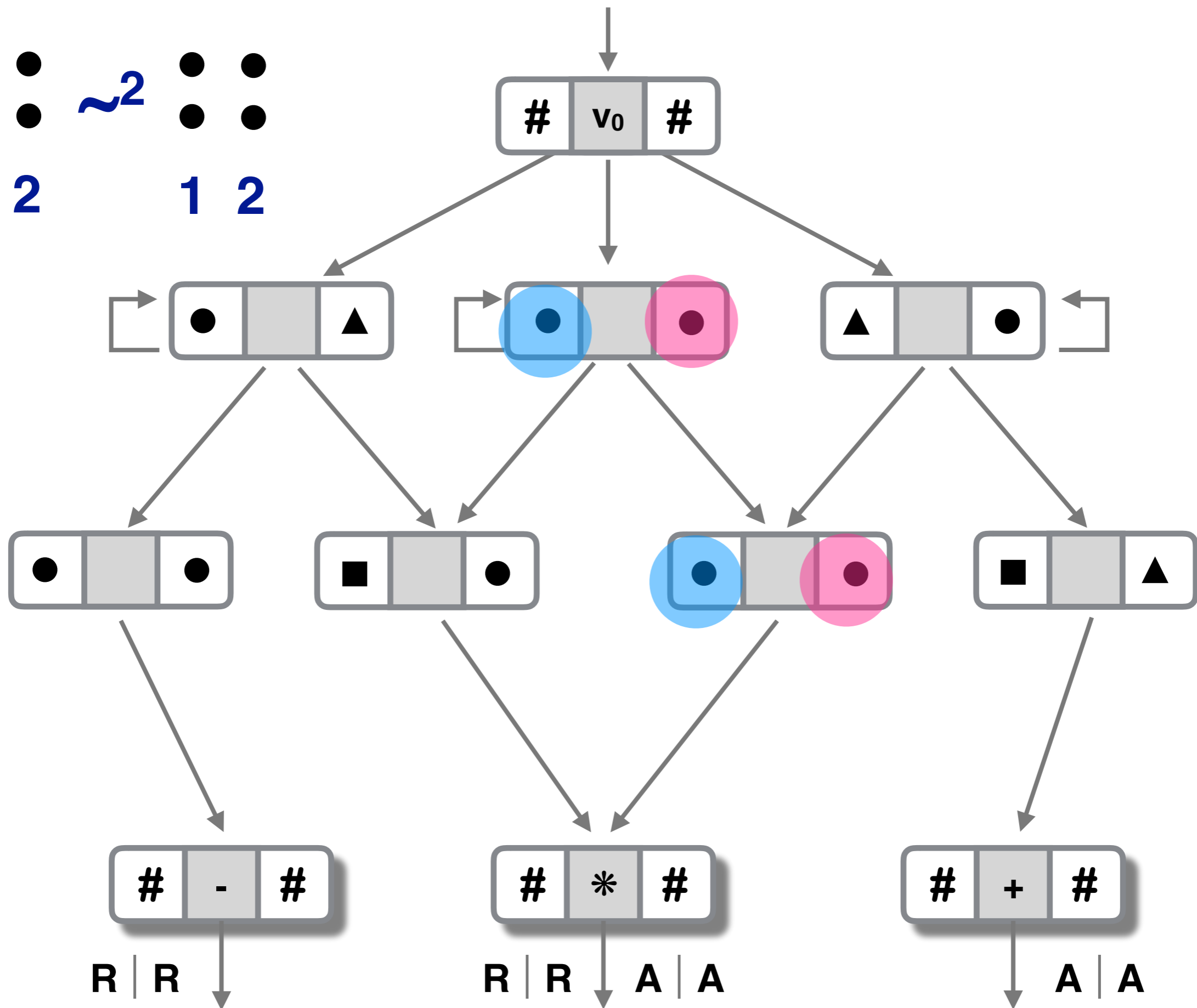
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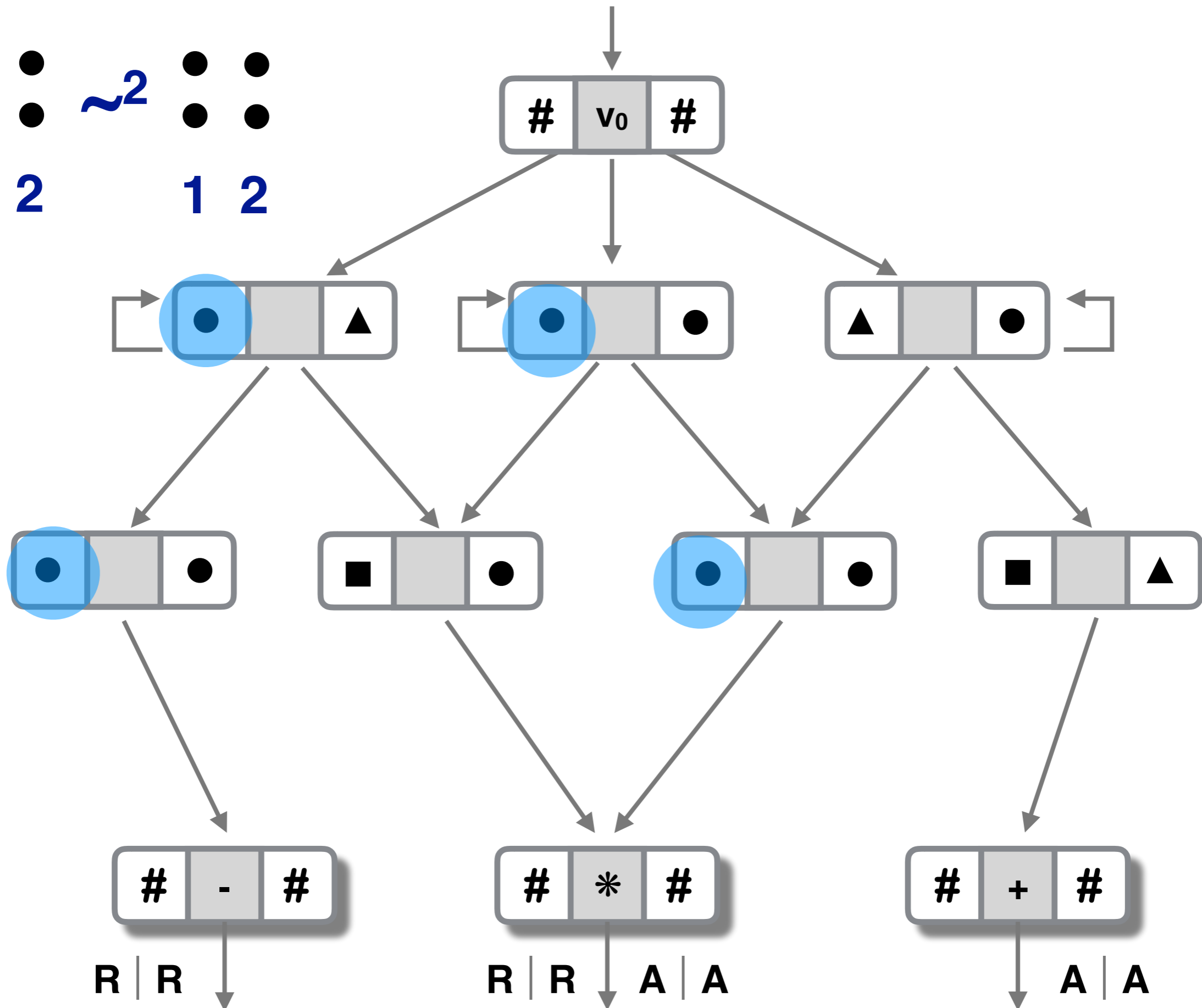
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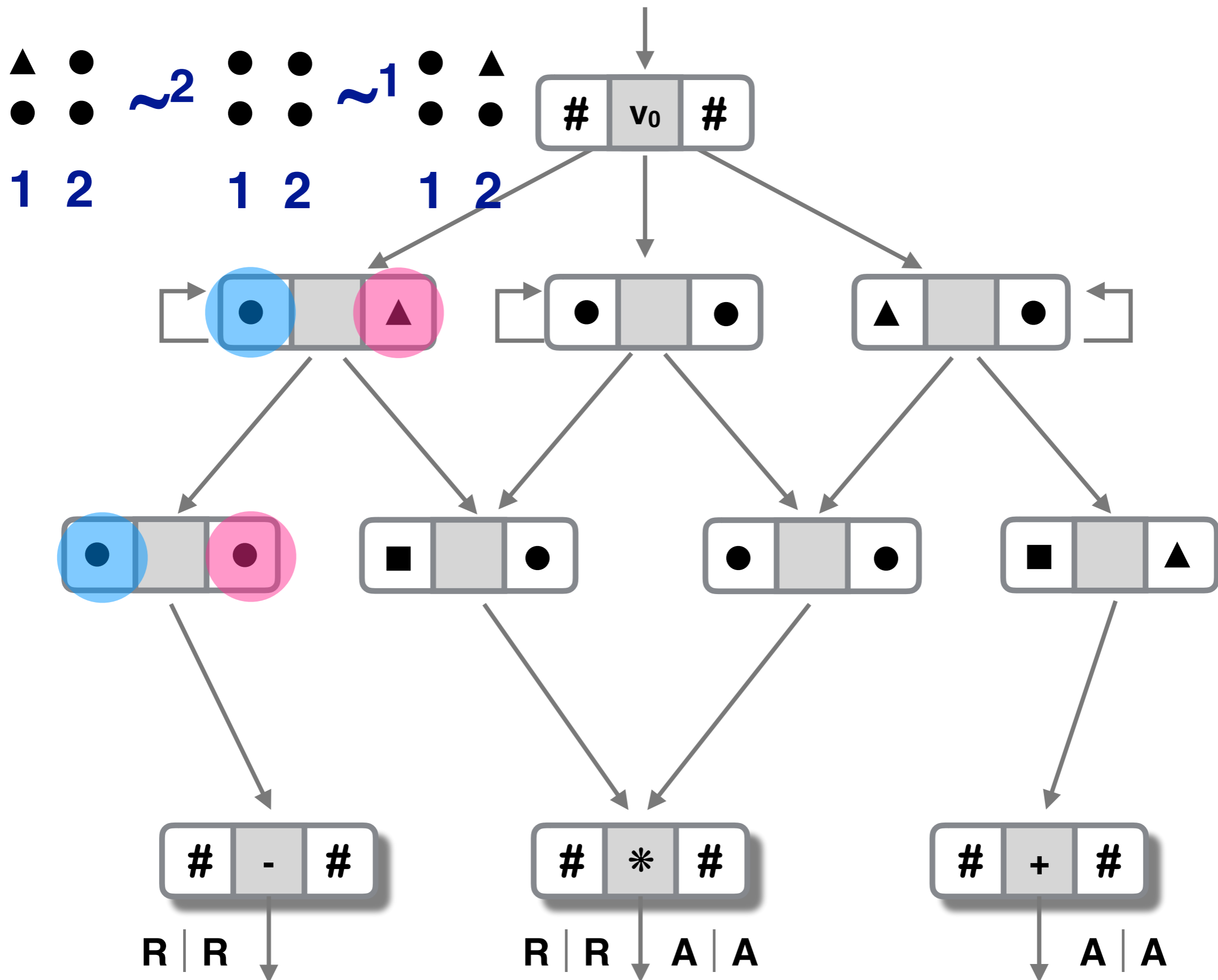


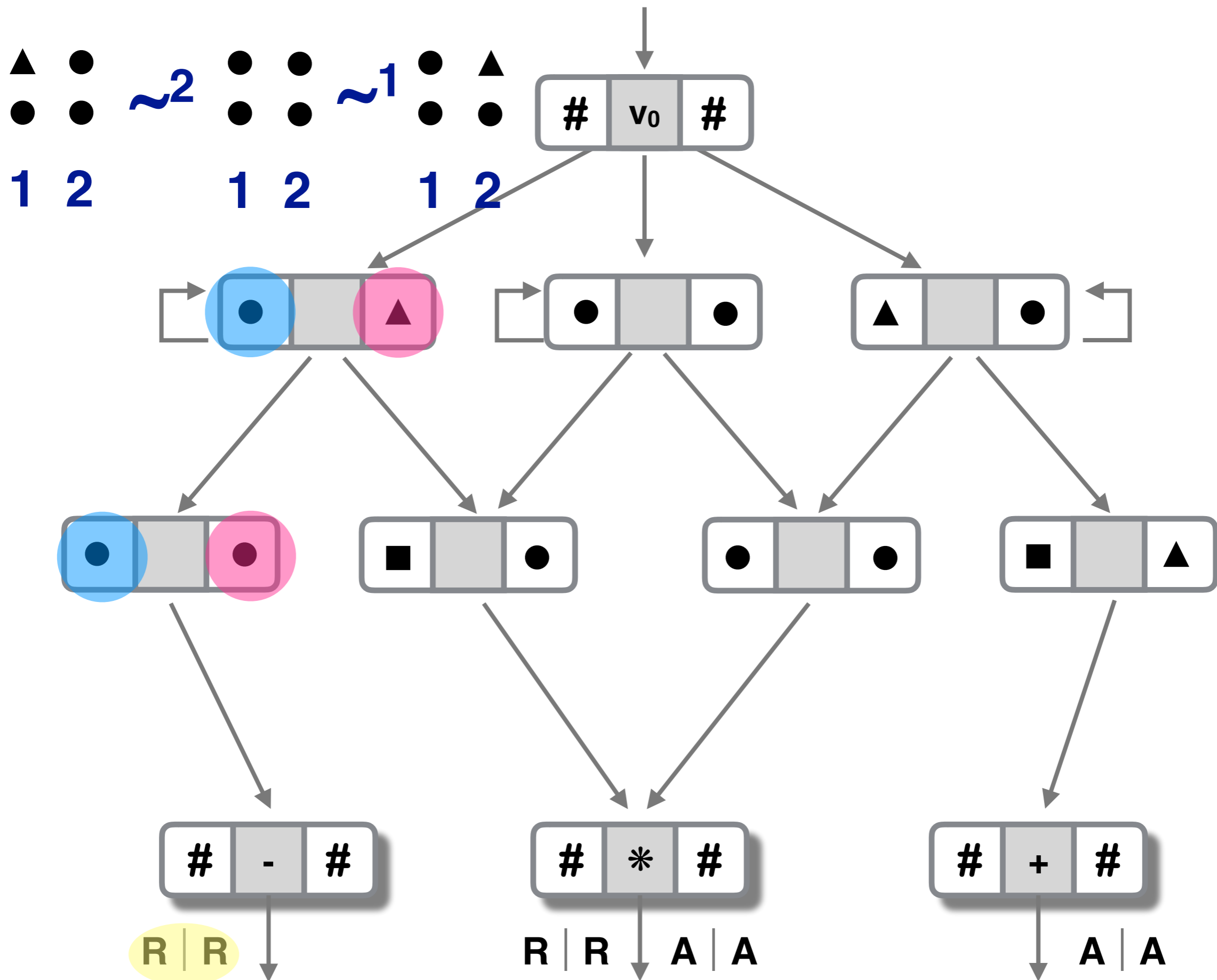
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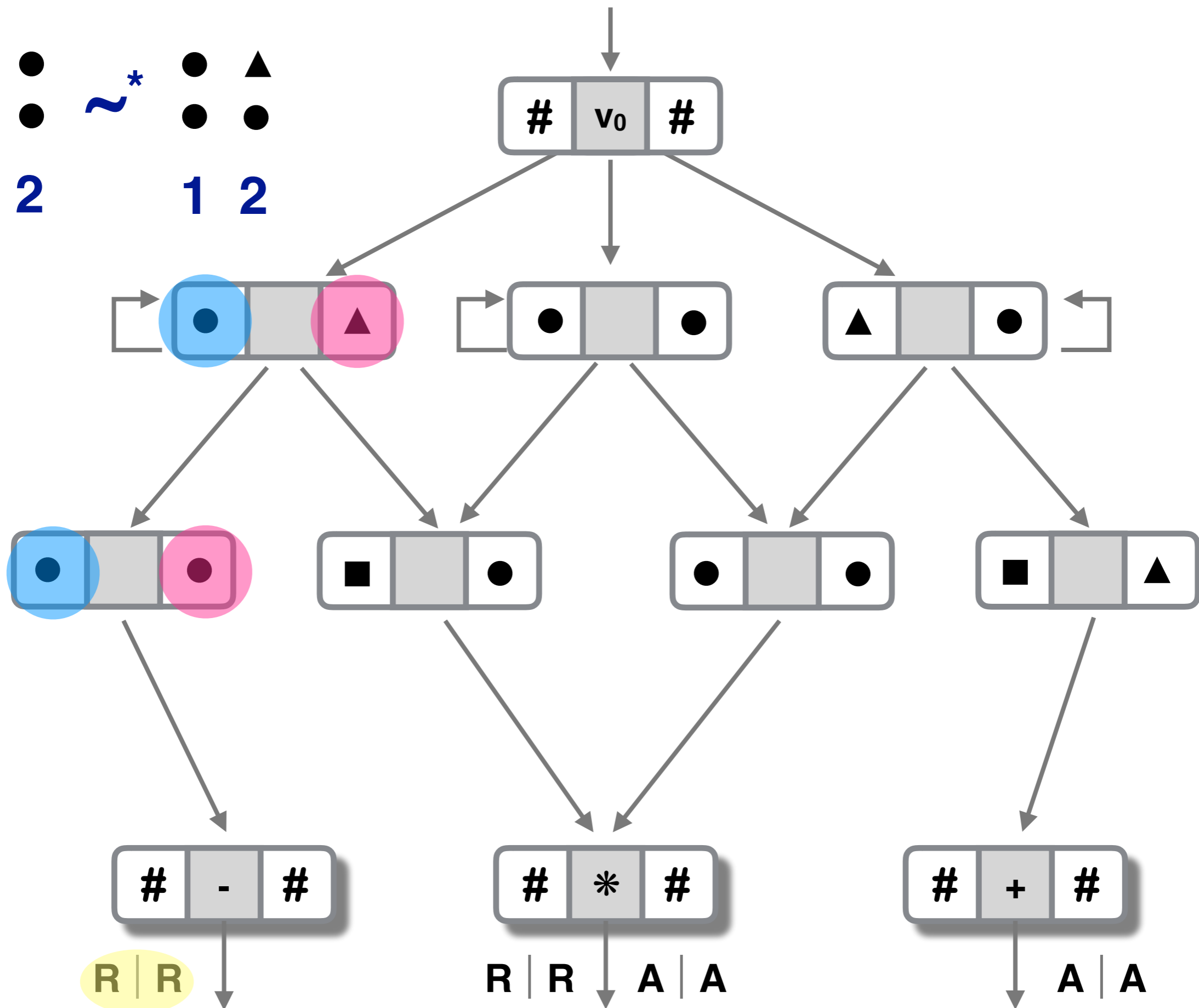








▲ ● ~\* ● ▲  
 ● ● ~\* ● ●  
 1 2 1 2



# Propagation of uncertainty

Consensus strategies:

Strategy  $s^i$  : observed play  $\rightarrow \{acc, rej\}$ , s.t.  
 $s^1(\pi) = s^2(\pi)$  for all  $\pi$ .

**Key:** Not enough to consider  $\sim^1$  or  $\sim^2$

**Necessary** for consensus:  $\sim^* := (\sim^1 \cup \sim^2)^*$

$\rightsquigarrow$  if  $\pi \sim^* \pi'$ , then  $s(\pi) = s(\pi')$

*“play accept” must be common knowledge*

# Propagation of uncertainty

**Theorem** Distributed strategy synthesis is **undecidable** for consensus game acceptors.

**Tool:** correspondence with context-sensitive languages  
*via* domino tilings results [Latteux, Simplot '97]

**Insight:** cooperation is intrinsically hard

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# One-way Information Flow

*How to avoid uncertainty propagation?*

**Idea:** Restrict the shape of information flow

**Known decidable case:** hierarchical observation pattern  
[PR'79; PR '90; Kupferman, Vardi '01]

↪ Absence of *information fork* criterion [Finkbeiner, Schewe '05]

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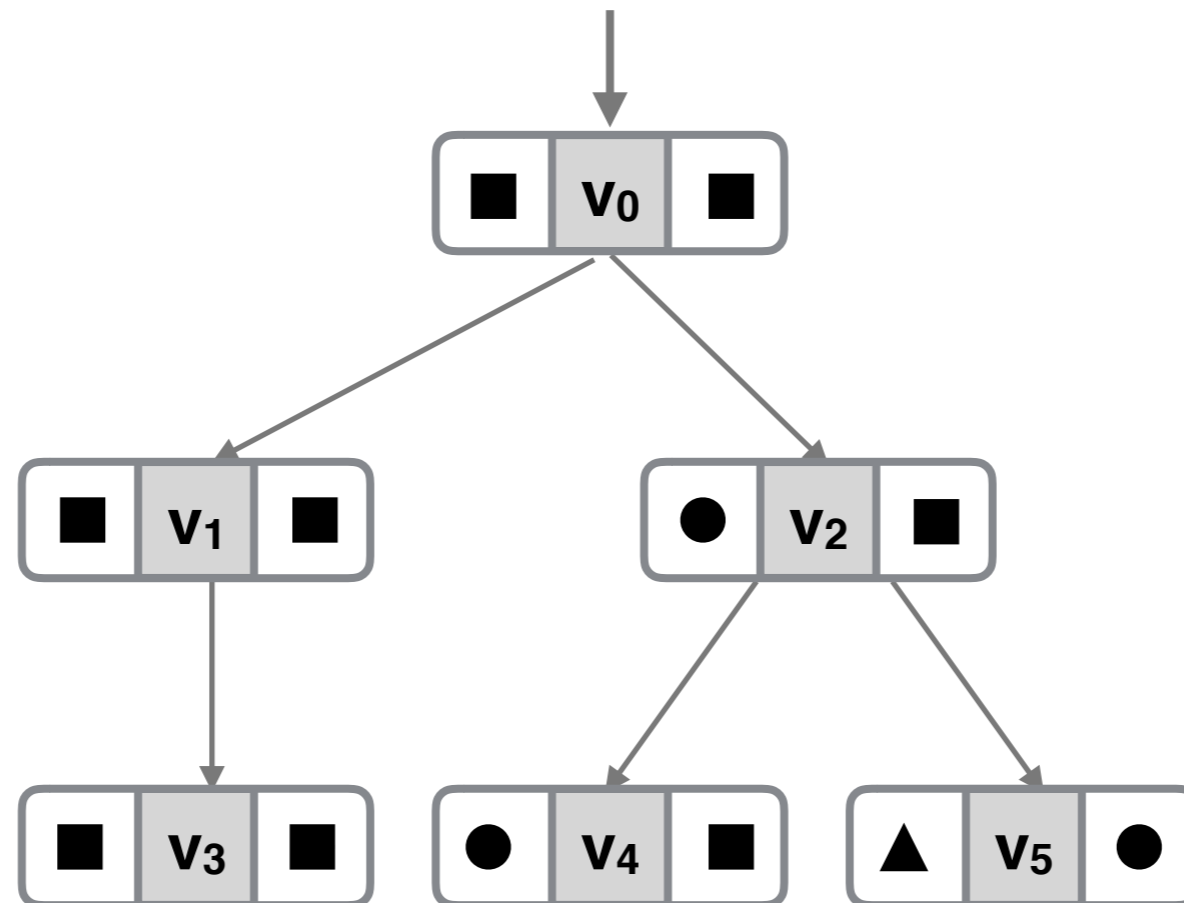
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**Relaxations** of strict hierarchy principle

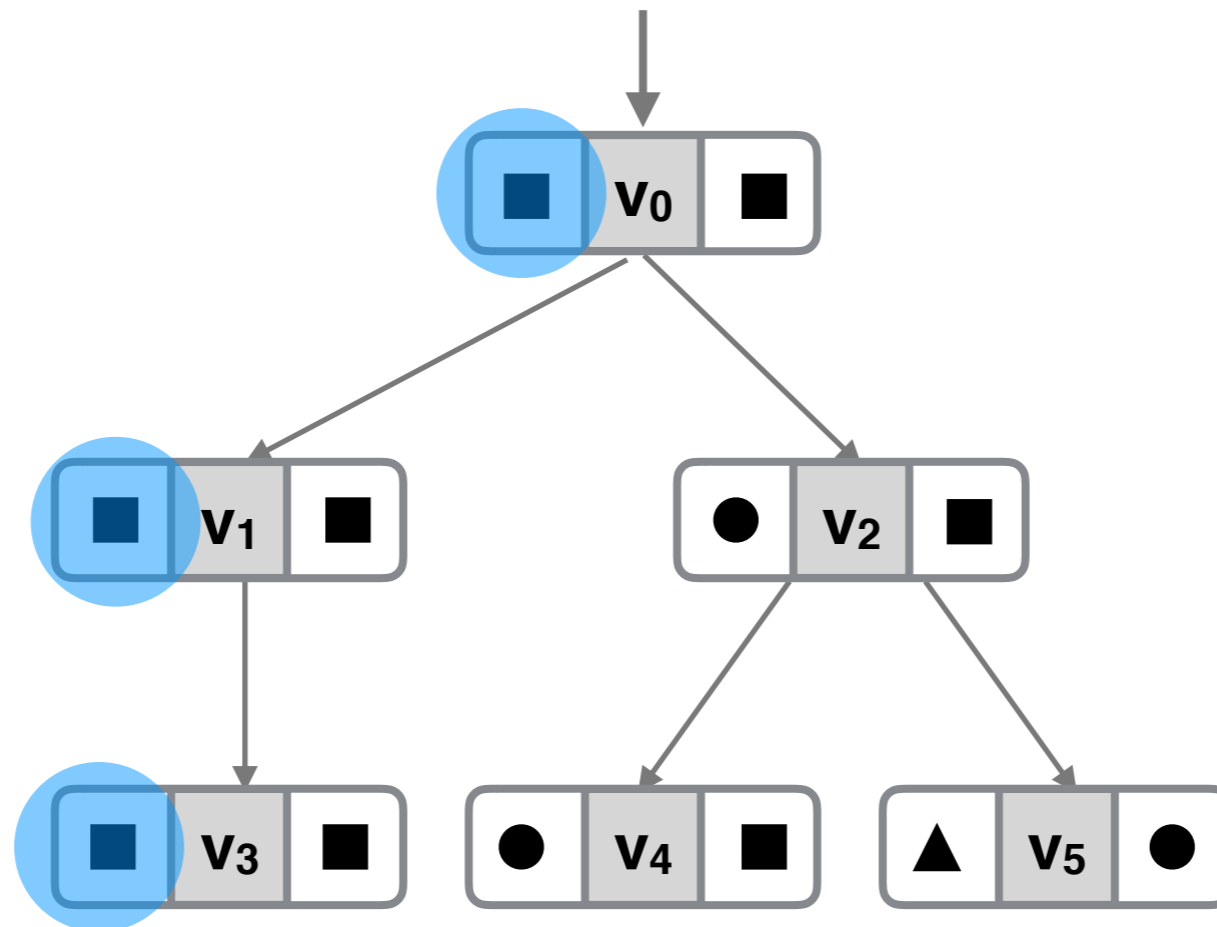
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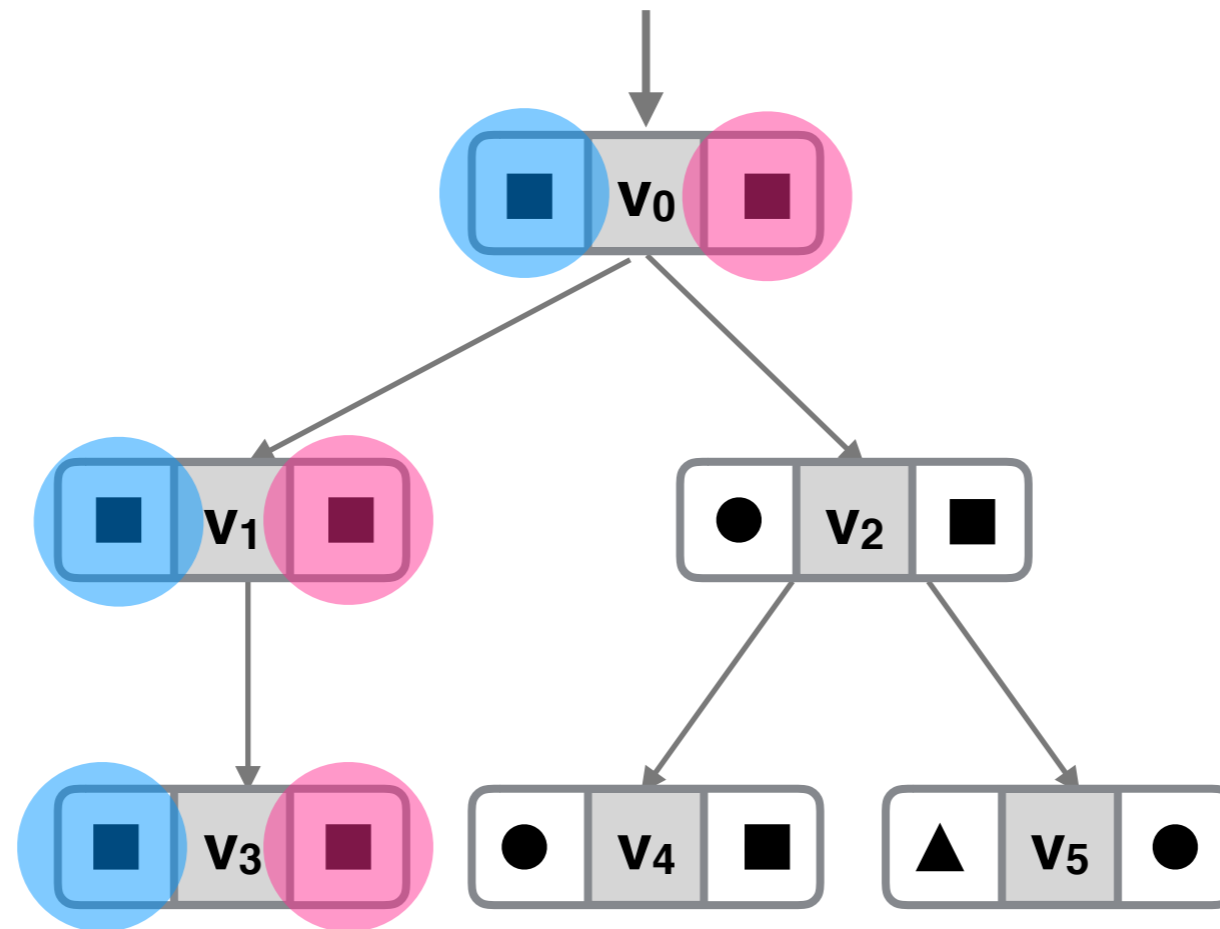




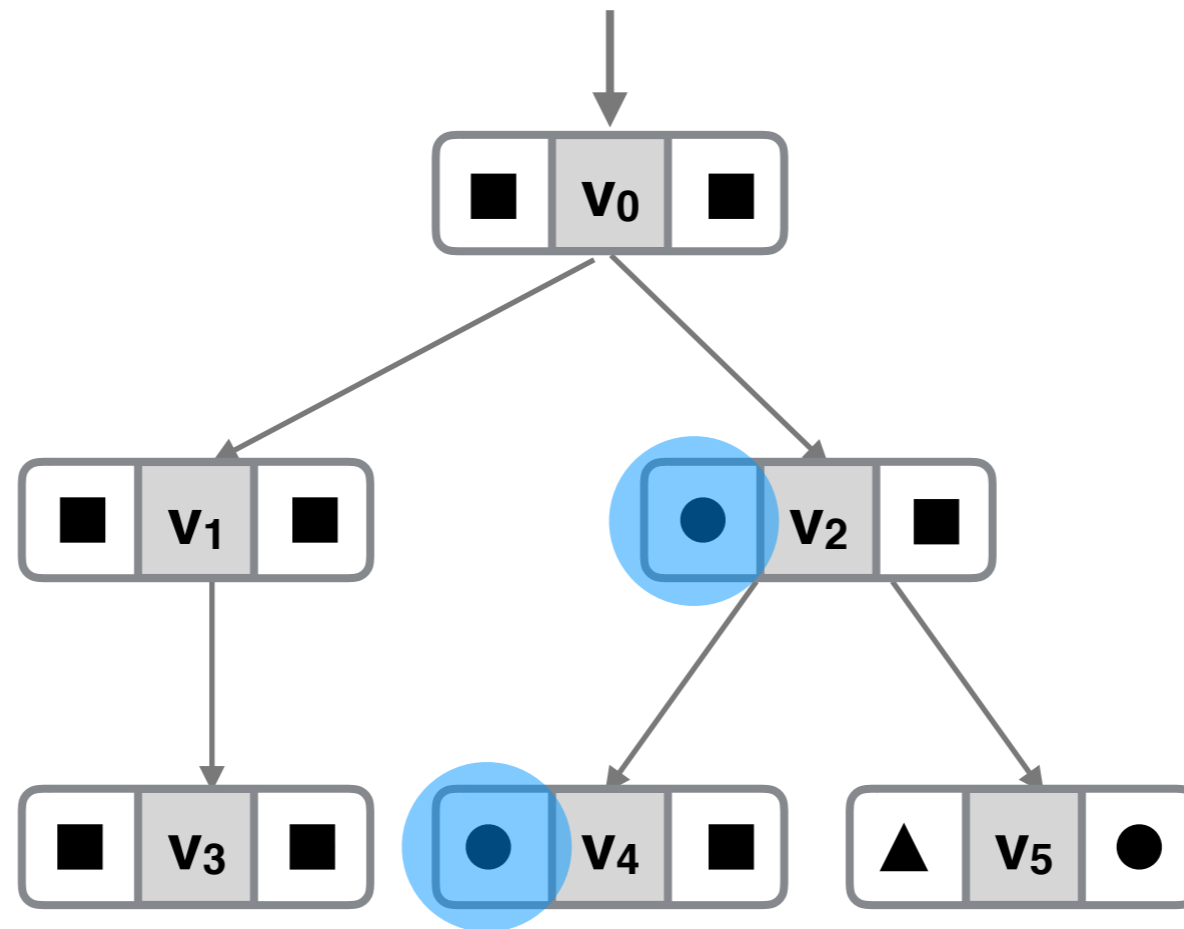
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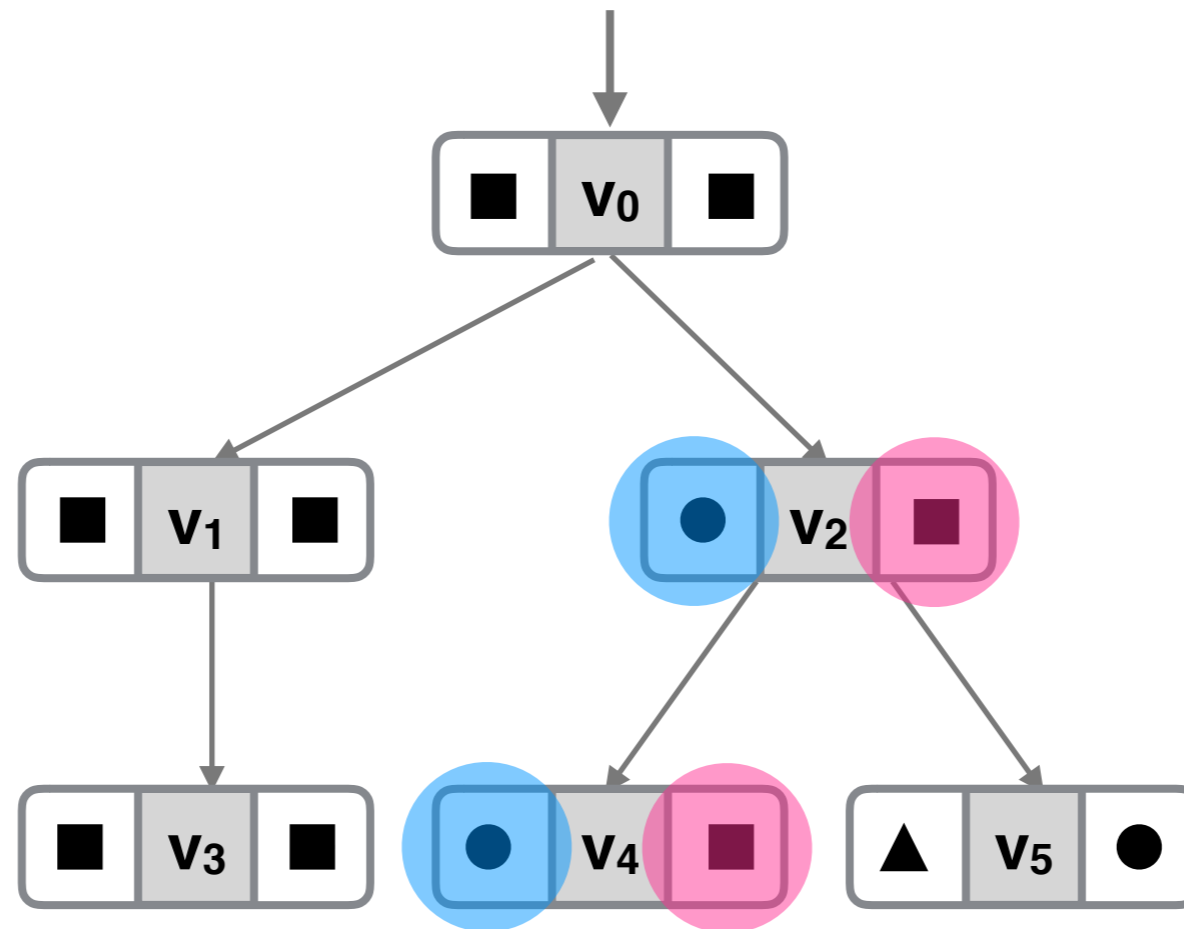
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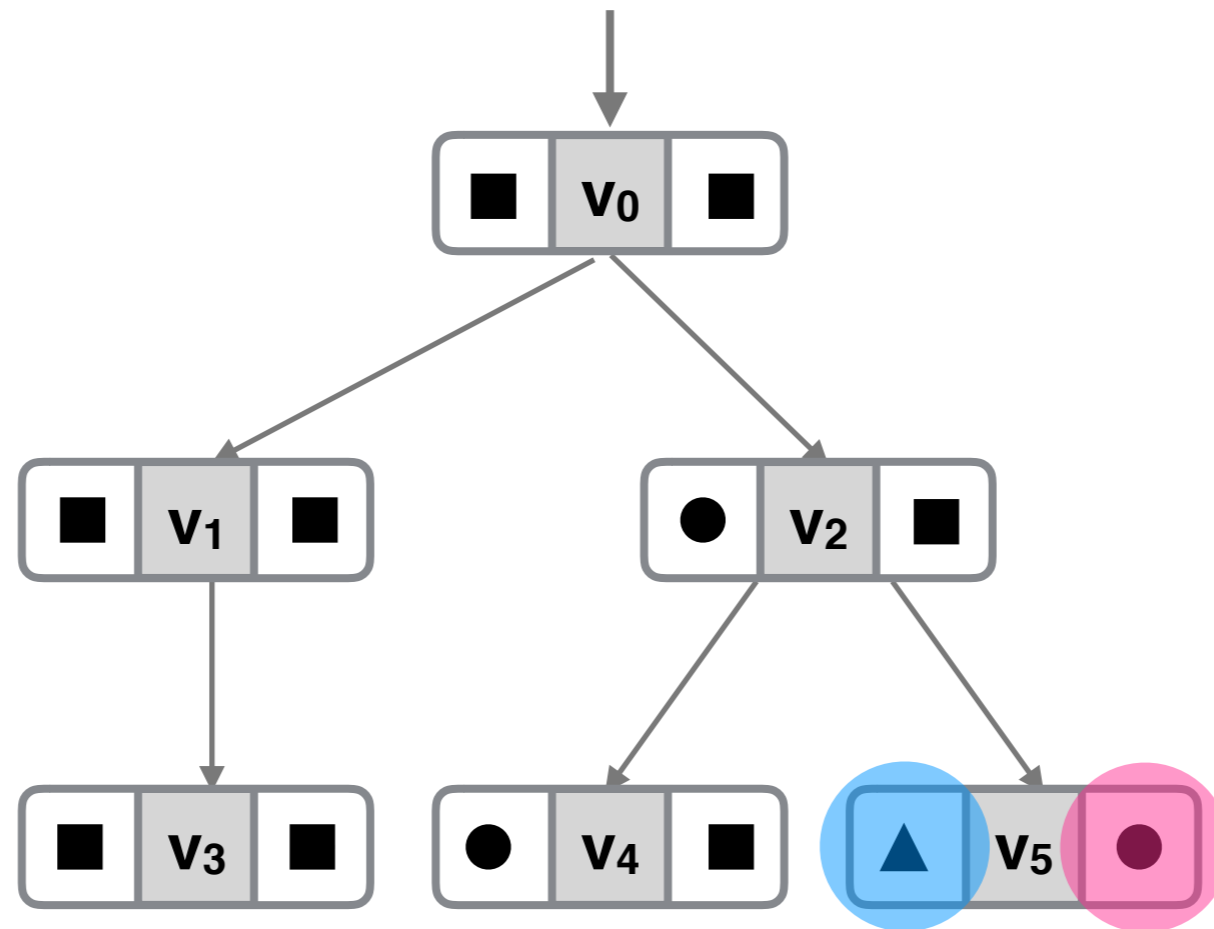
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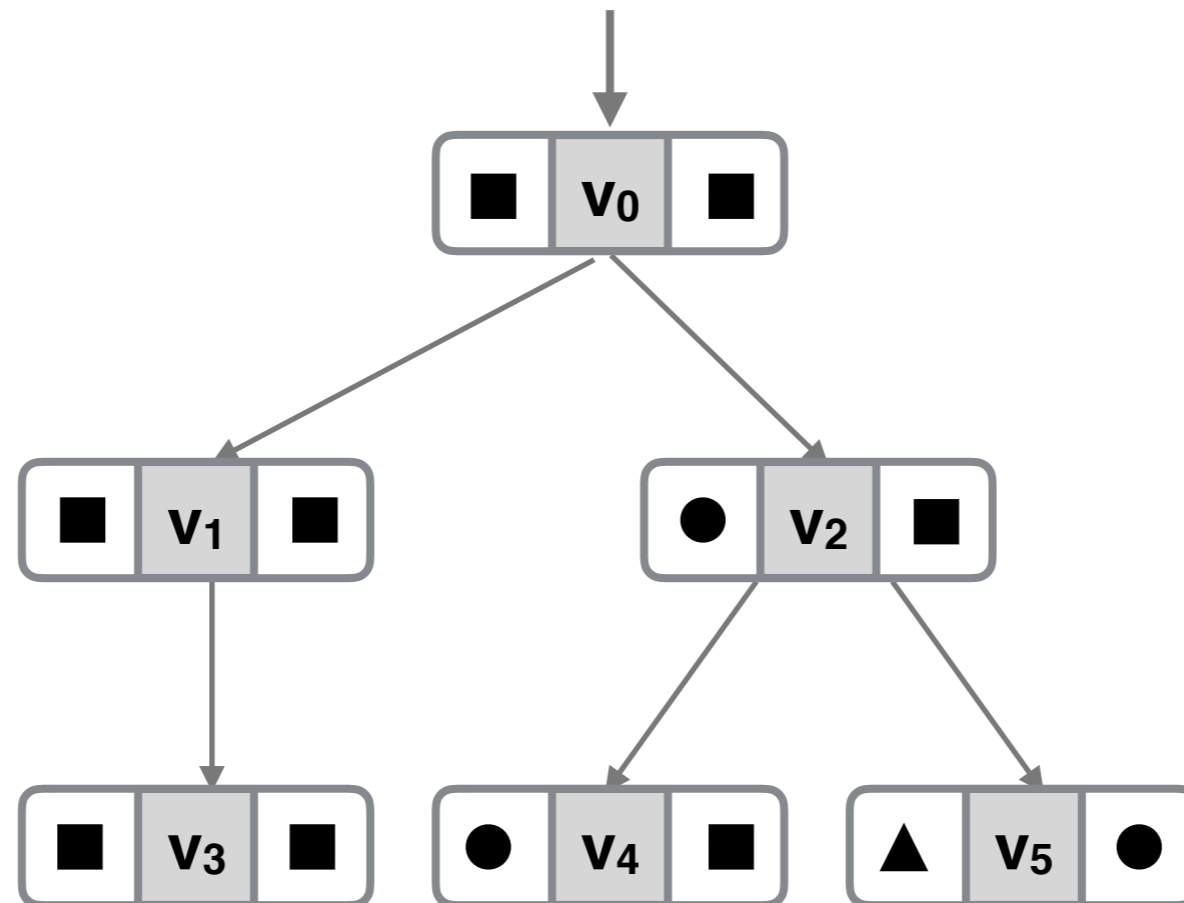
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1  $<$  obs 2

# Incorporating Perfect Recall

*Compare knowledge of players accumulated from the start*

“observe”  $\rightsquigarrow$  “deduce”

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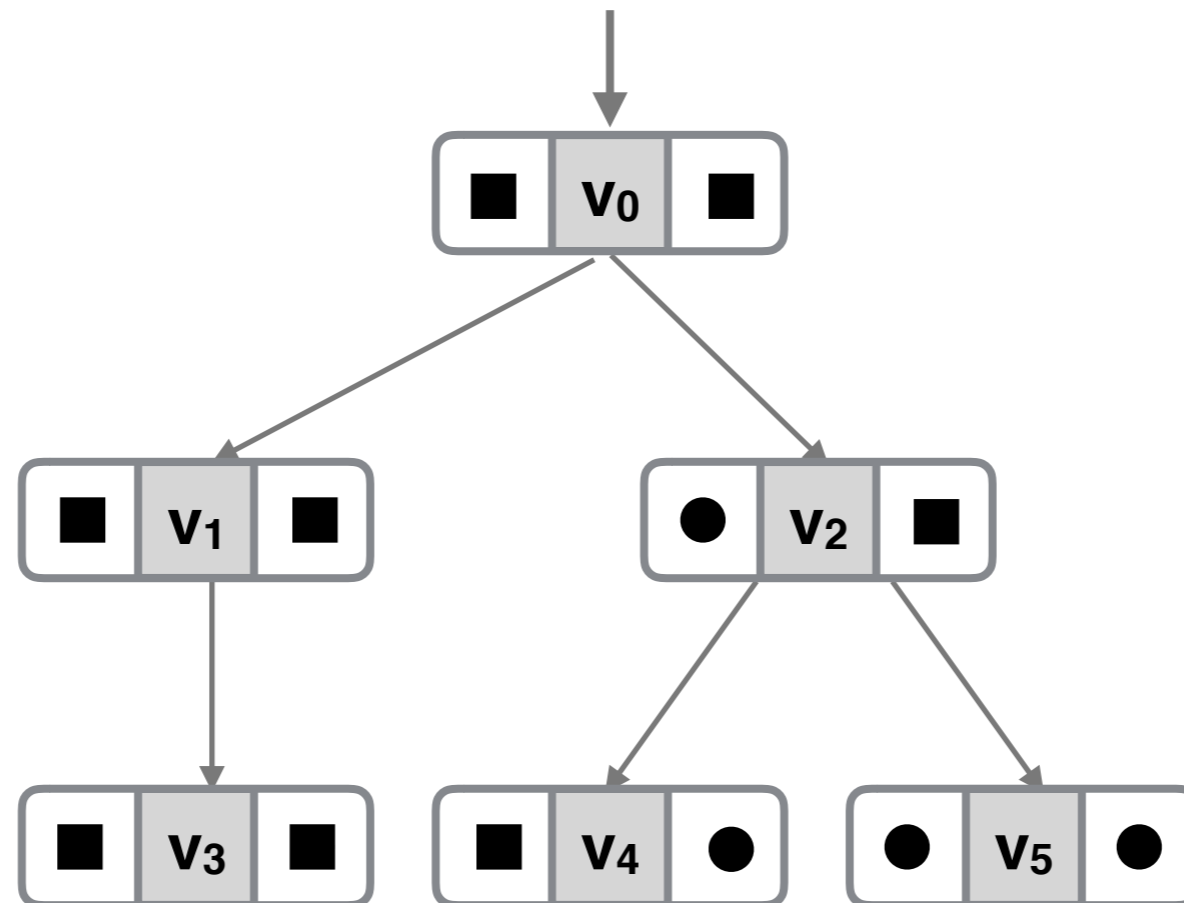
$$P^i(\pi) = \{\pi' \in \text{Hist}(G) \mid \pi' \sim^i \pi\}$$

Player  $i \leq_{inf}$  Player  $k$ : for all histories  $\pi$ ,  $P^i(\pi) \subseteq P^k(\pi)$

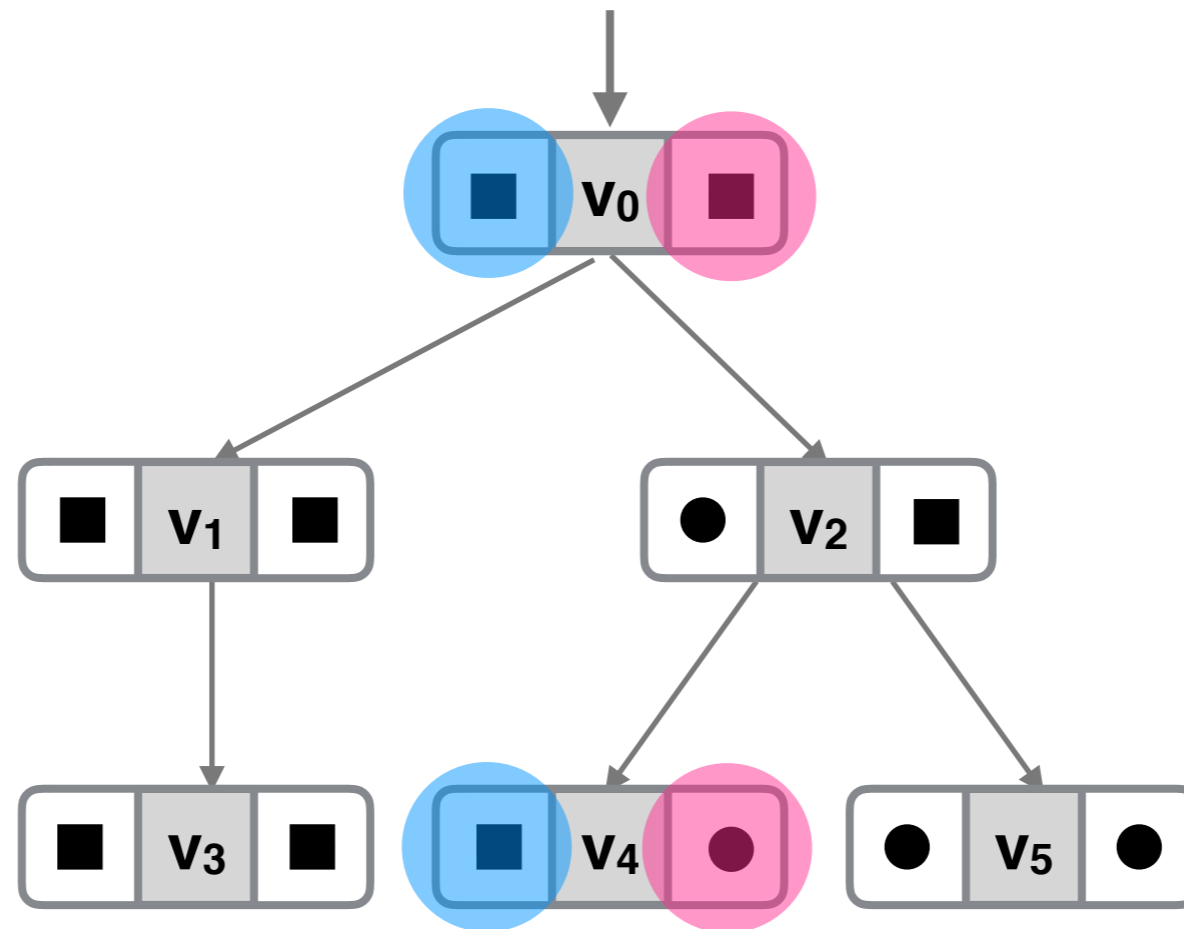
Hierarchical *information*:  $\leq_{inf}$  total order

# Hierarchical Information

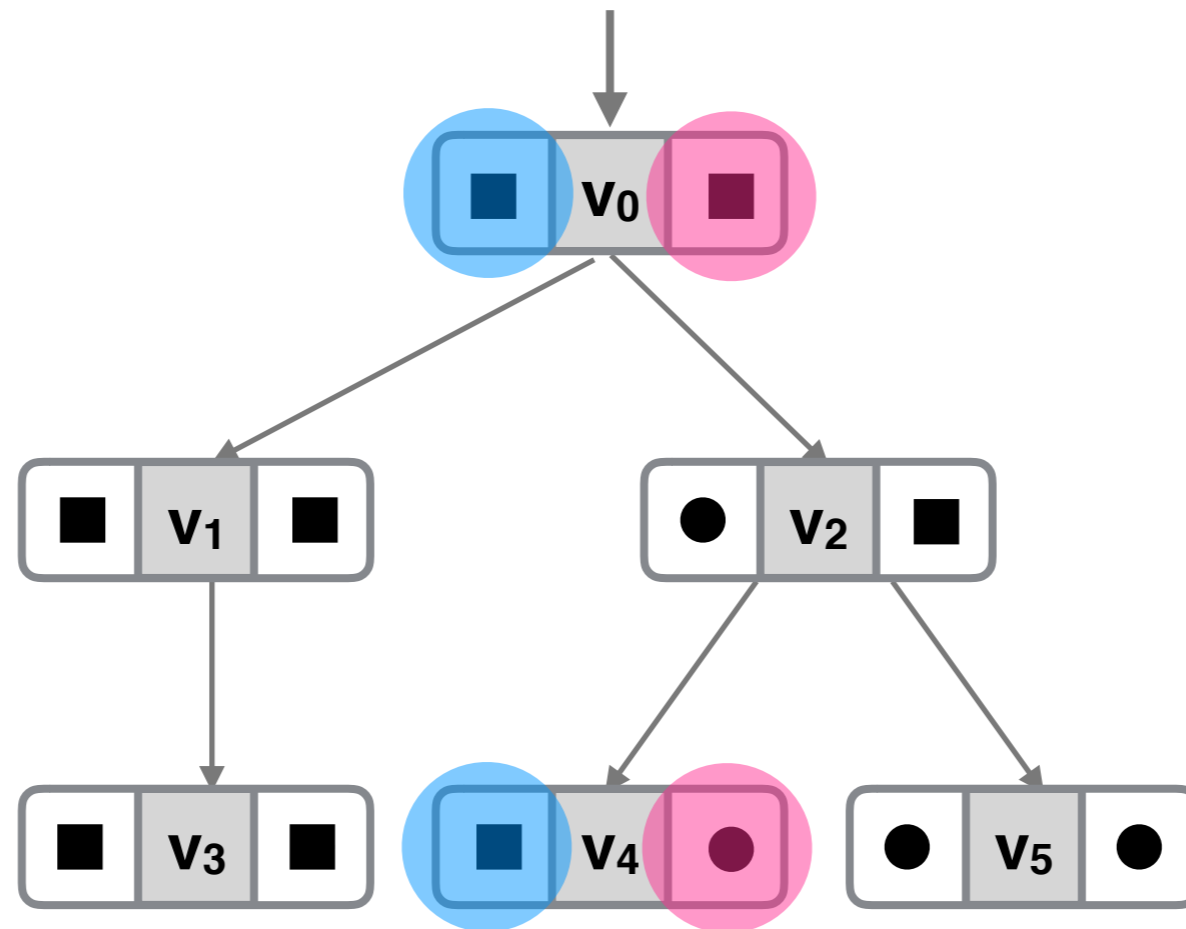
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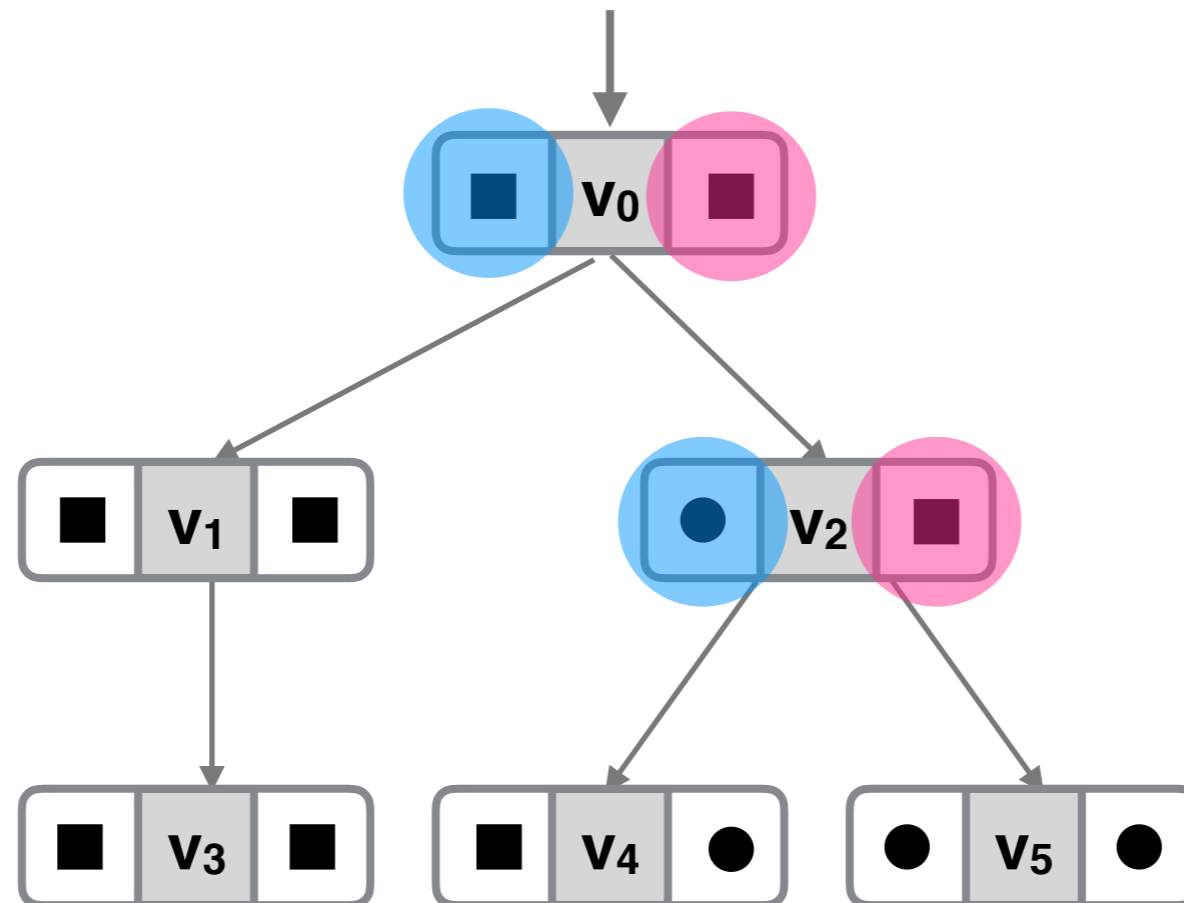


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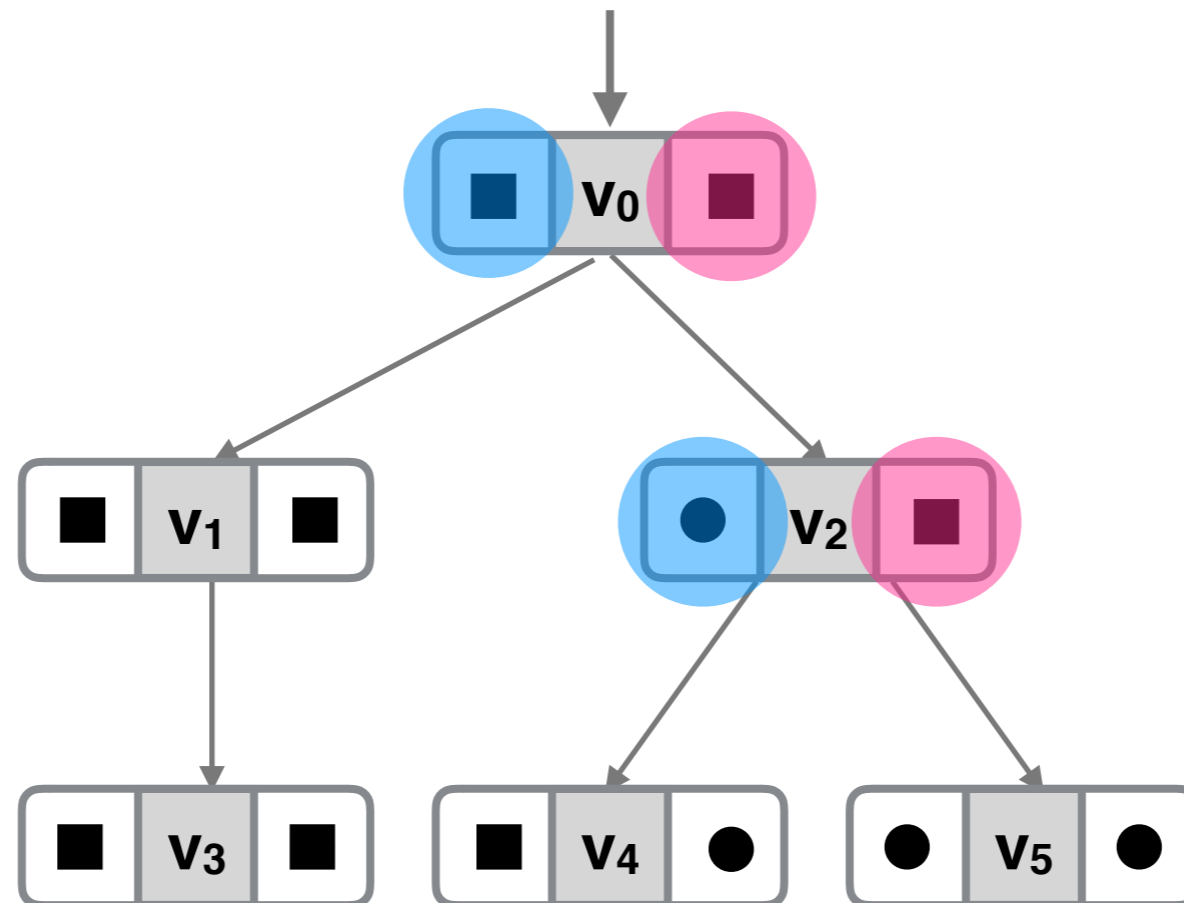


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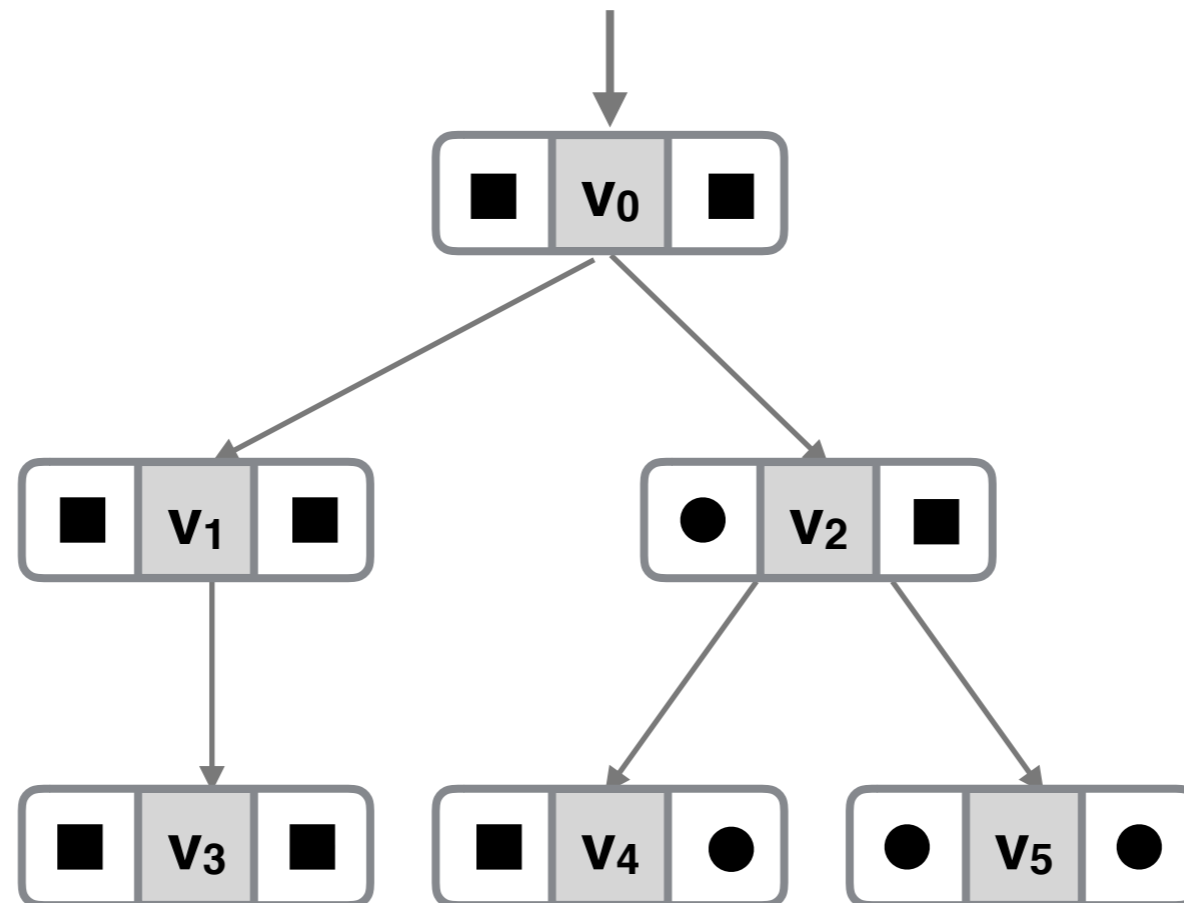


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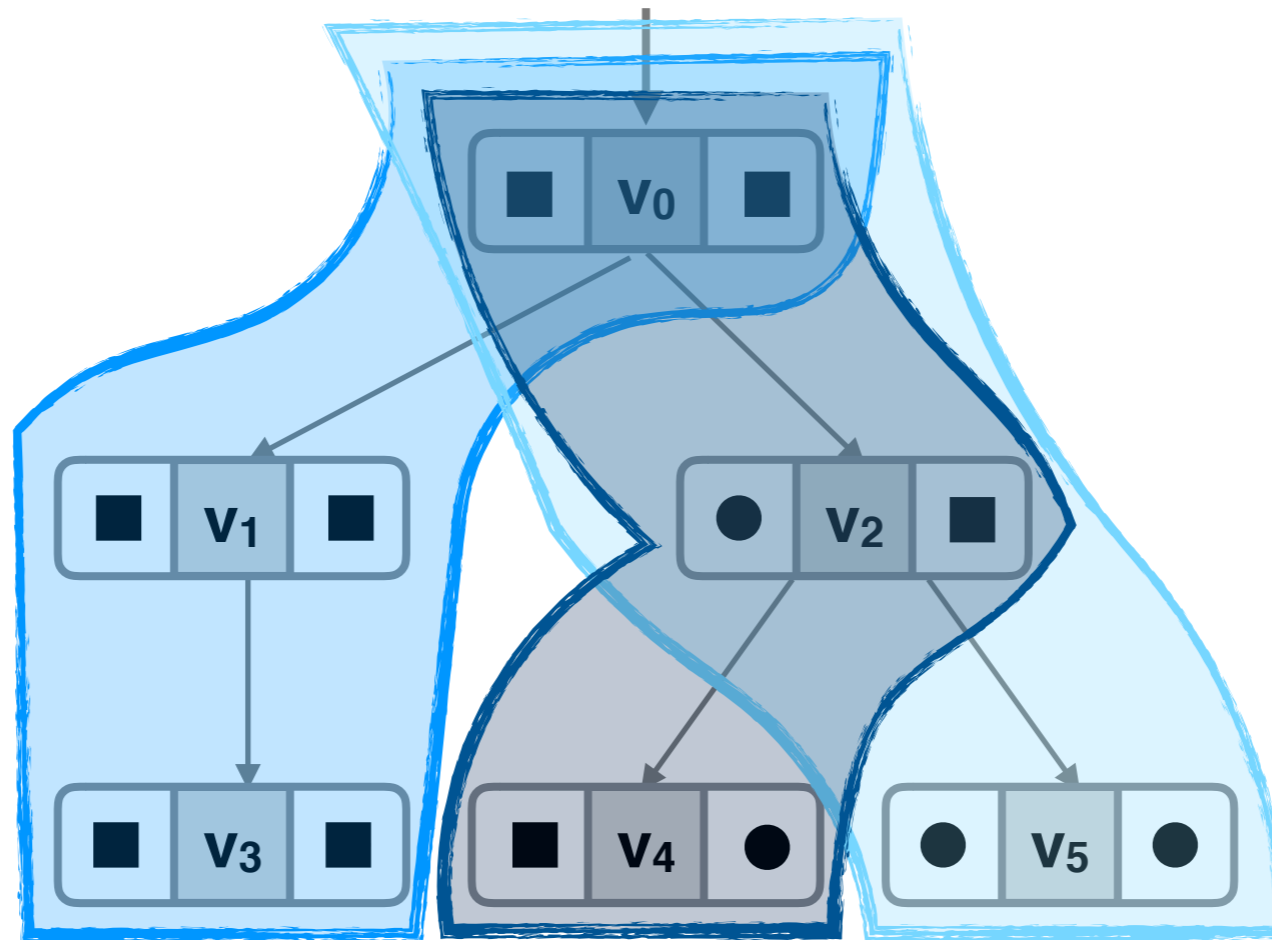
2 ~~obs~~ 1

# Hierarchical Information

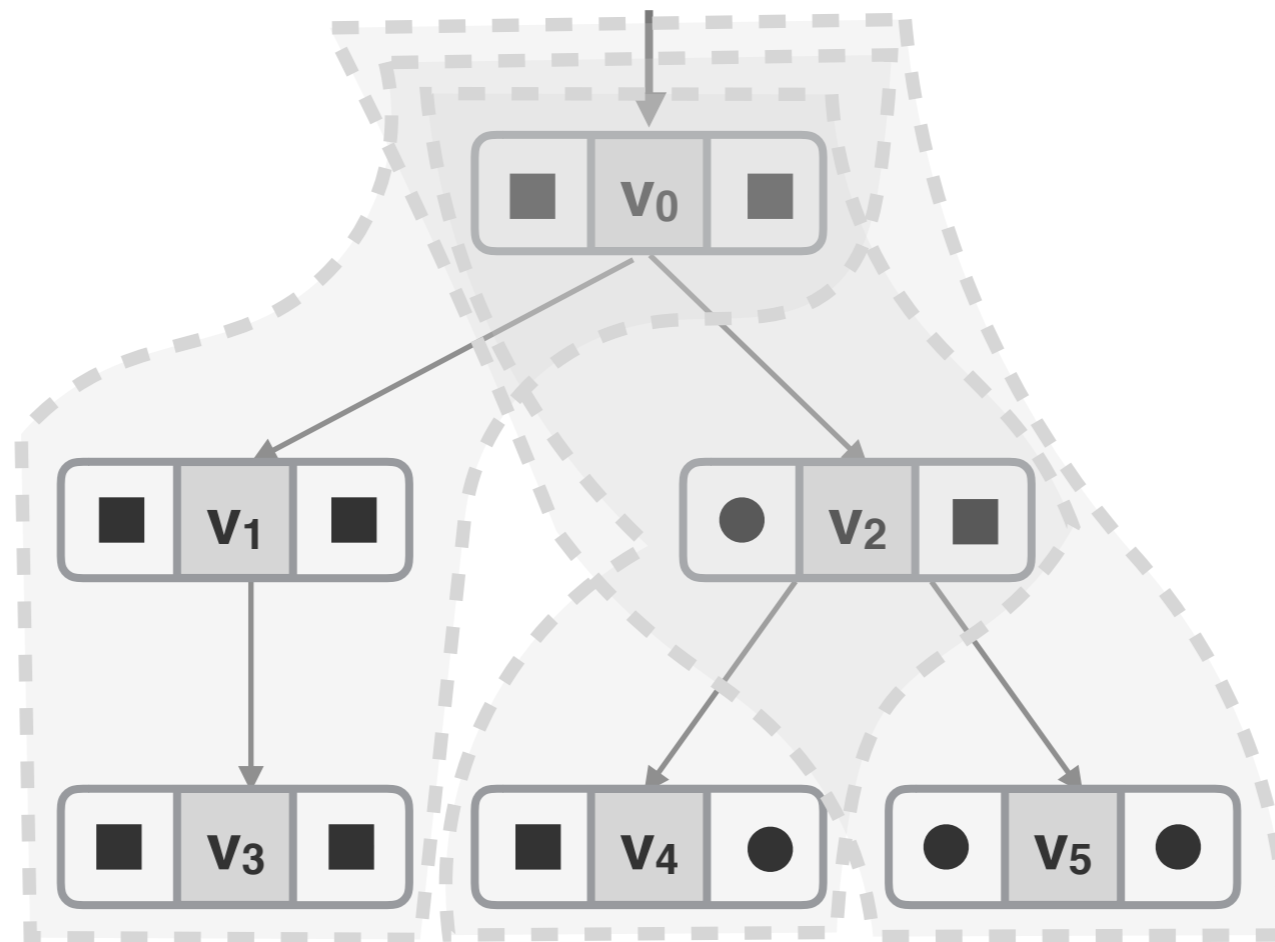




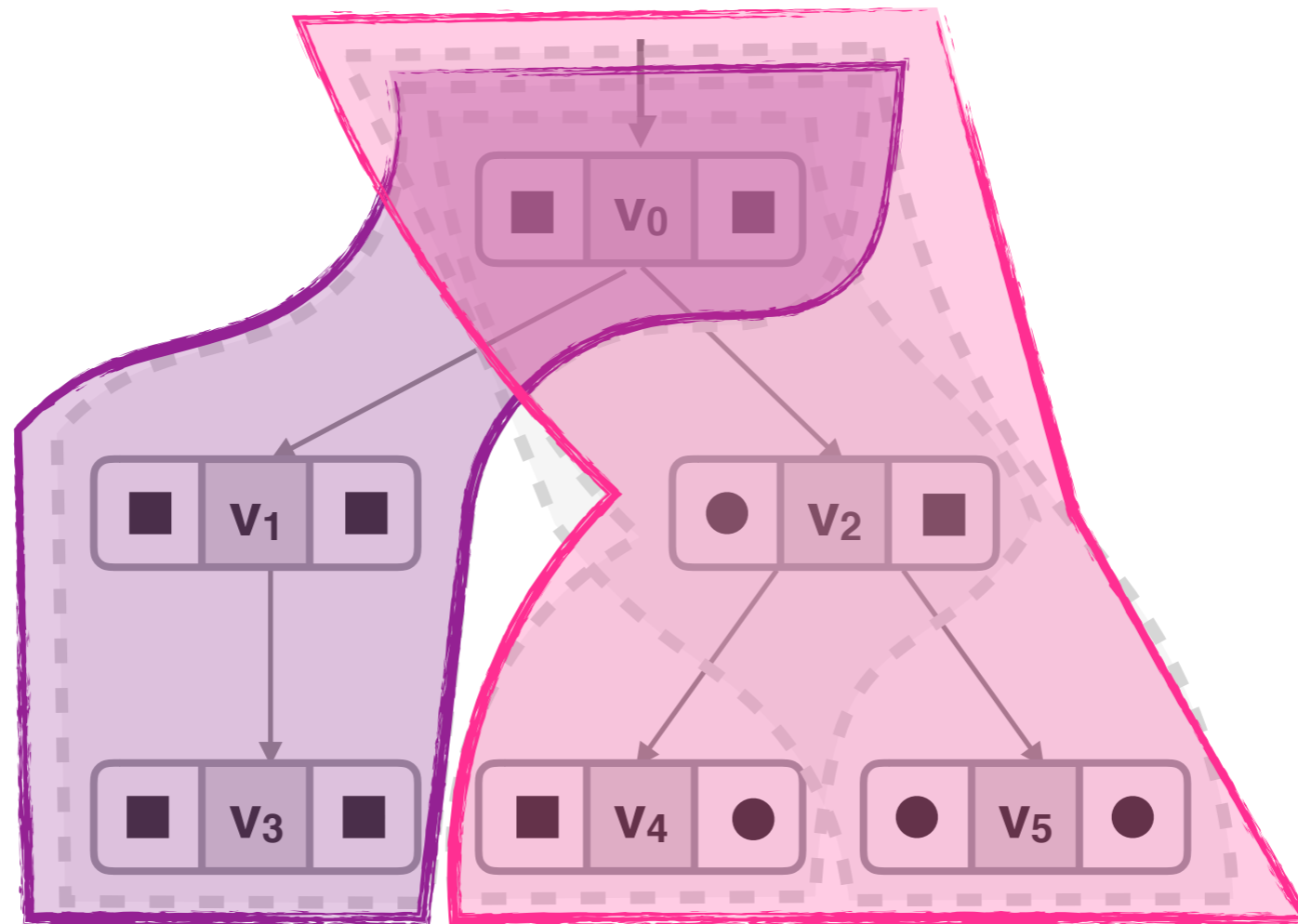
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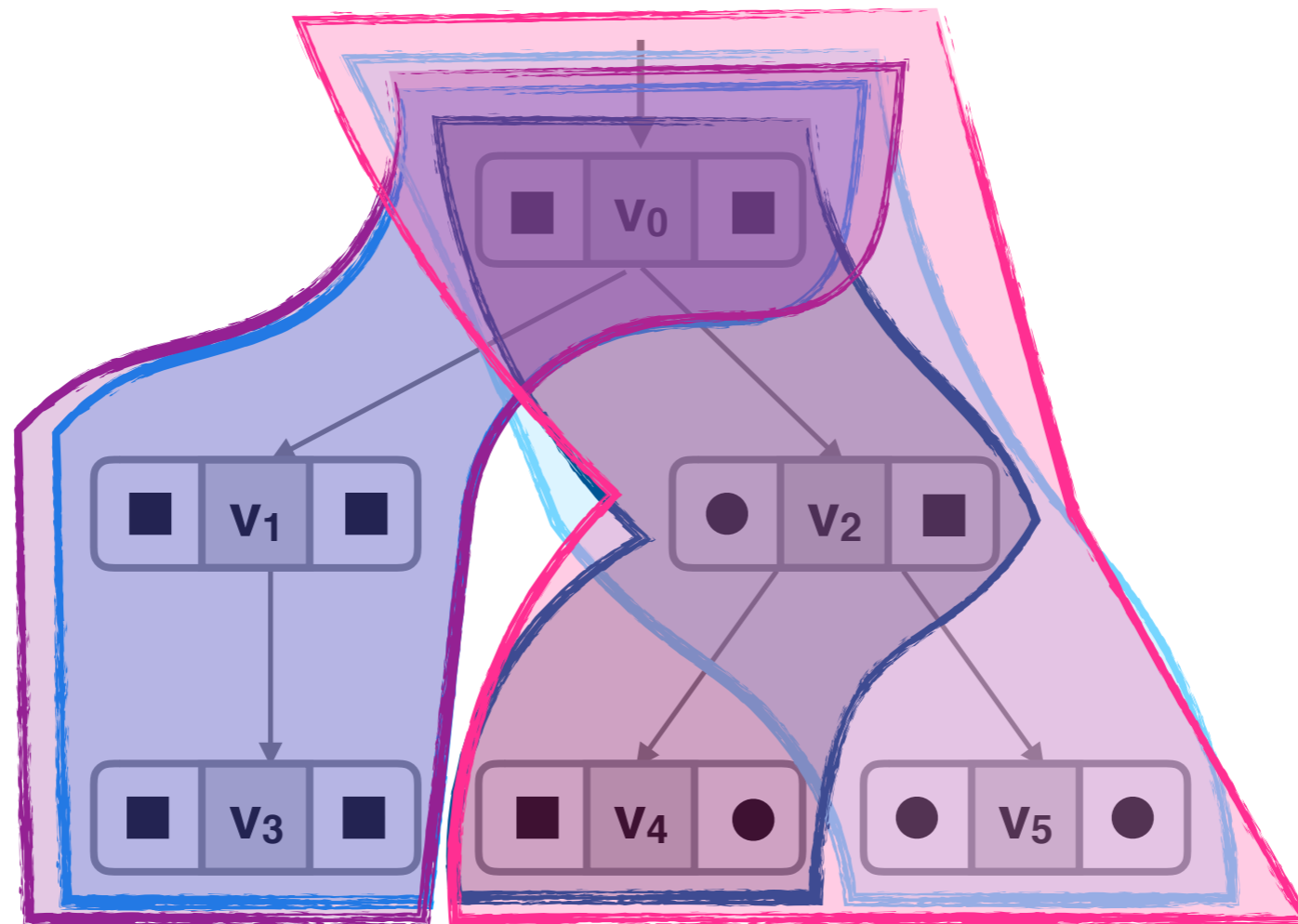
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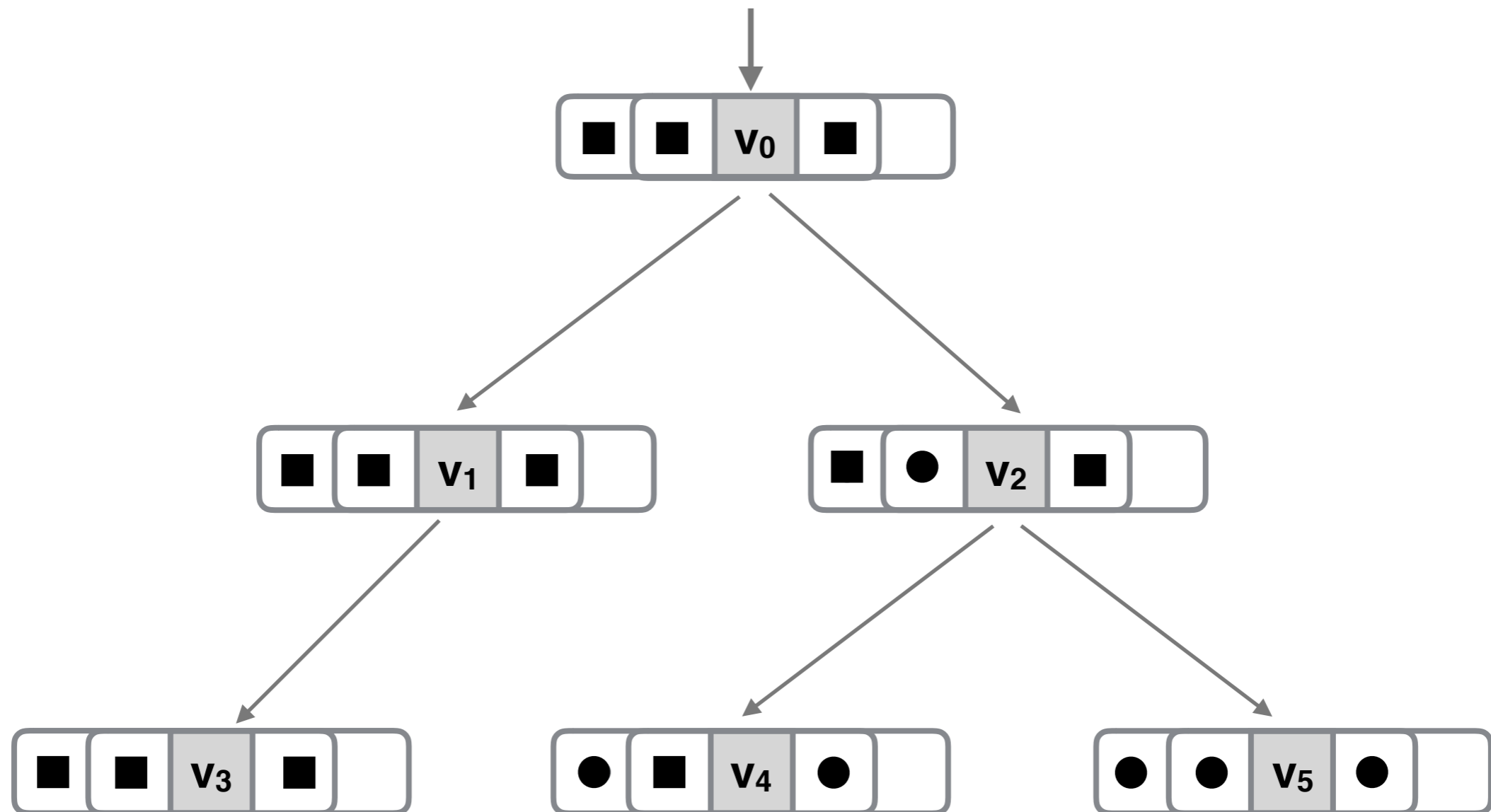
**1**  $<_{\text{inf}}$  **2**

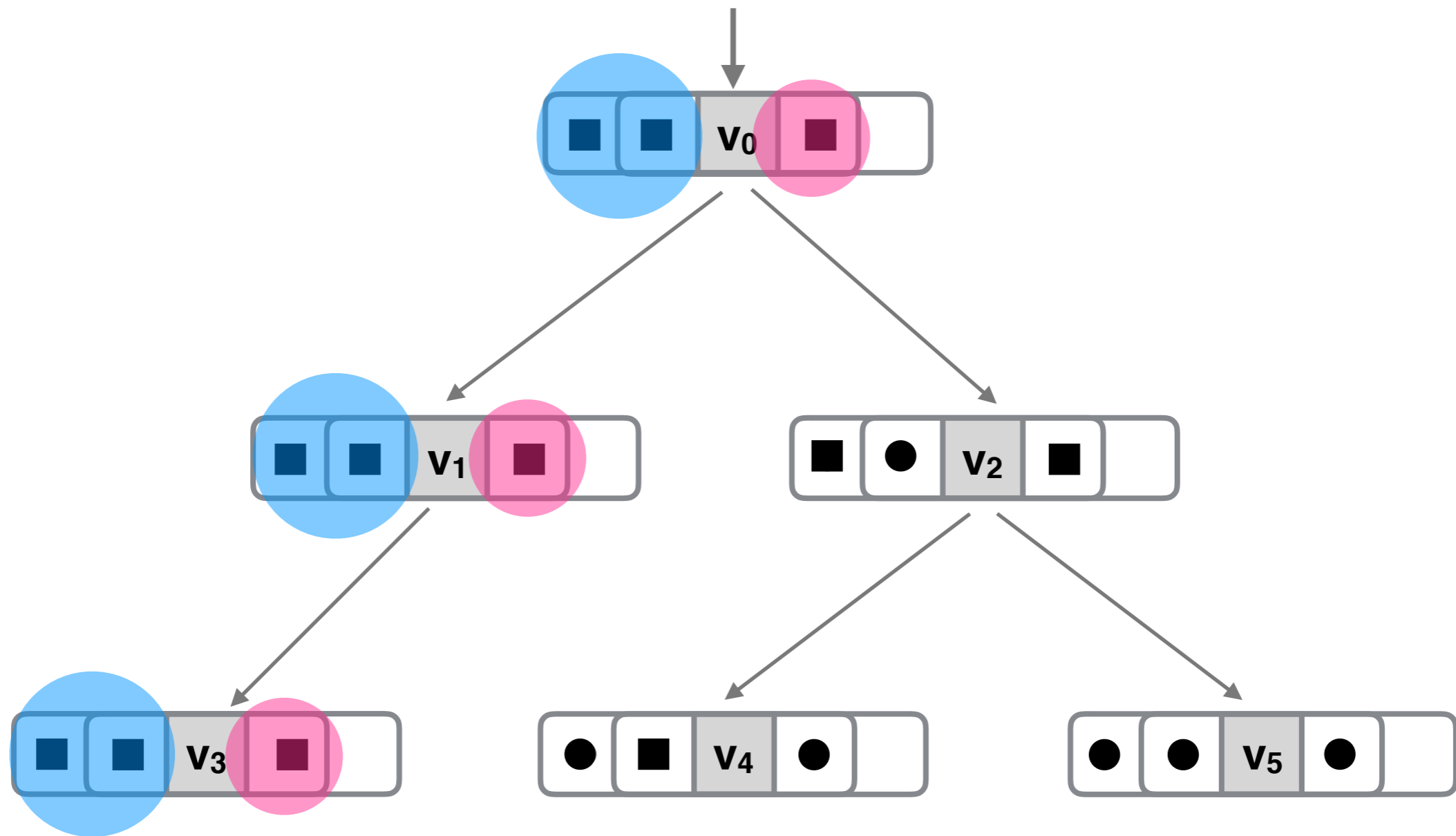
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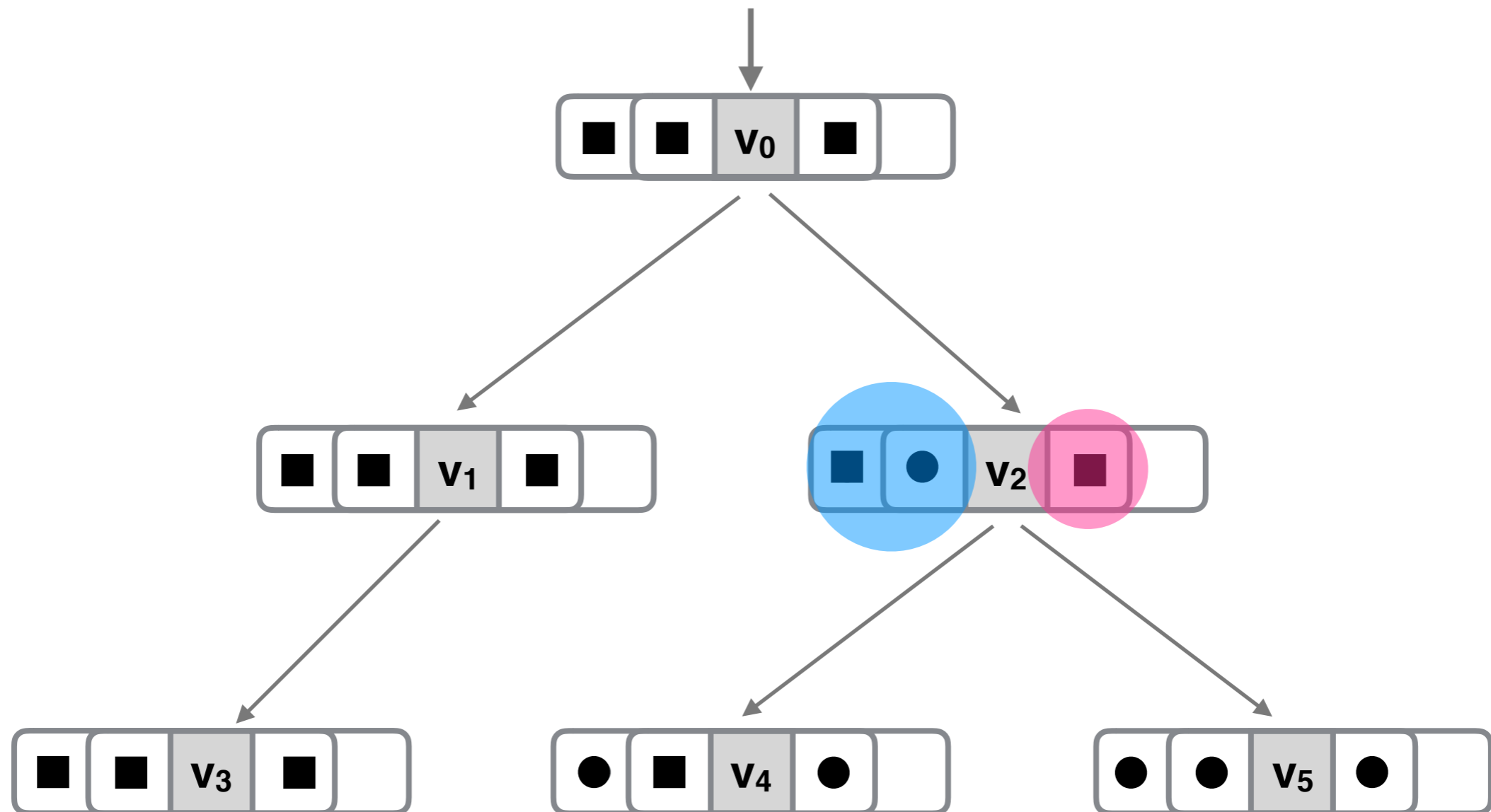
**Proposition:** With hierarchical *information*,  
distributed strategy synthesis is decidable.

**Idea:** Monitor observations of the less informed players  
attach to states these “new” observations

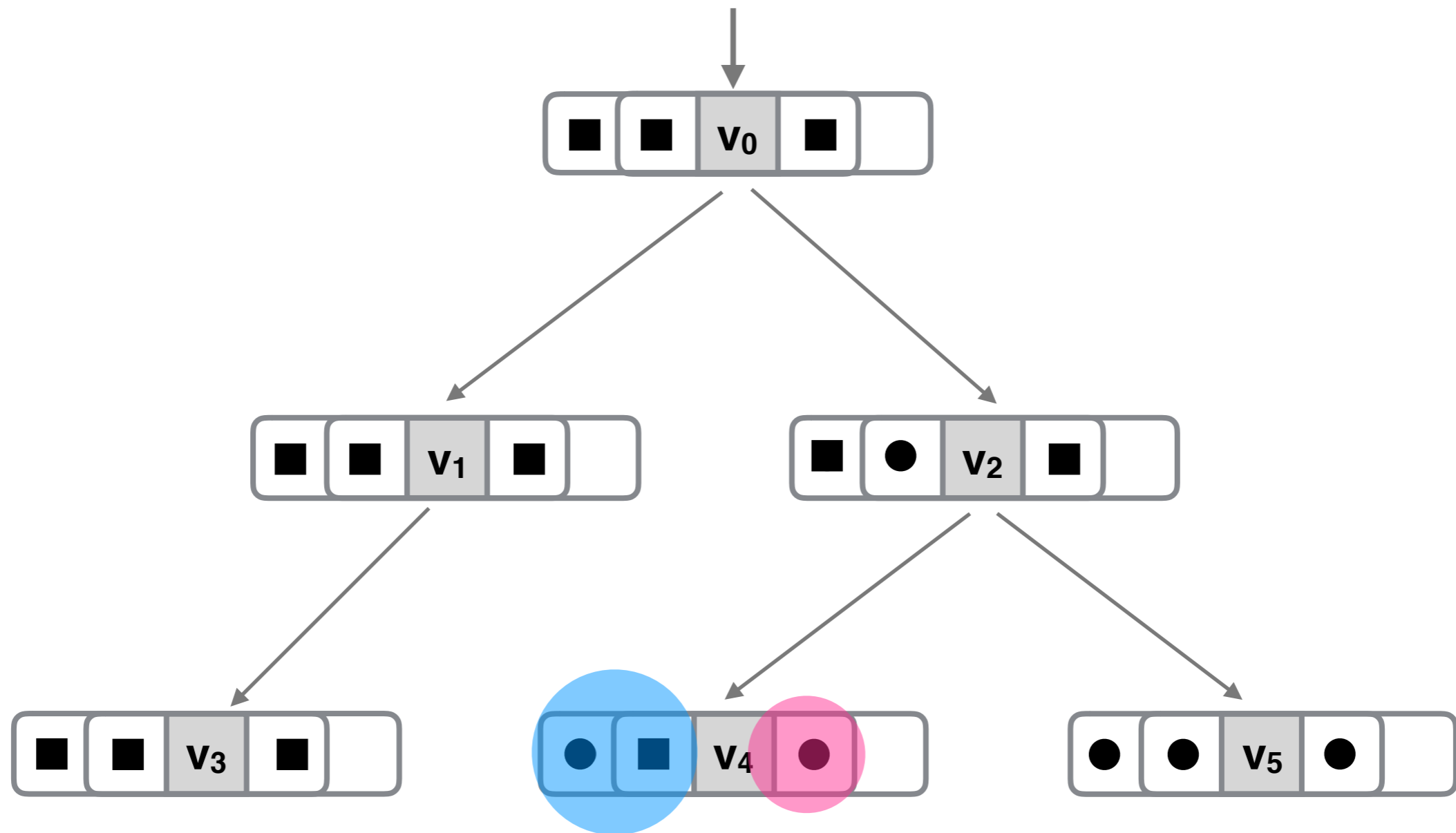
$\rightsquigarrow$  “equivalent” game with hierarchical *observation*

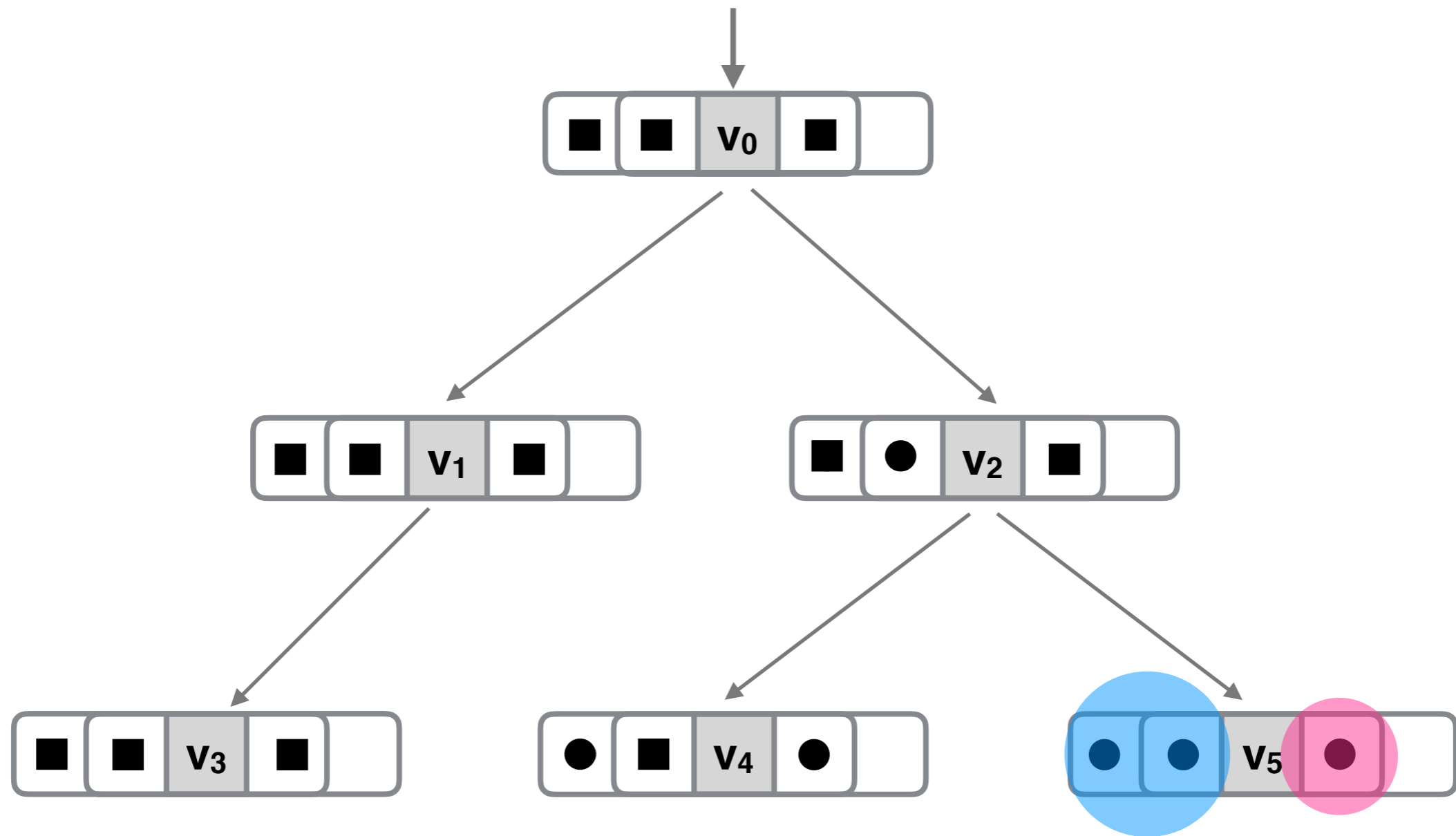


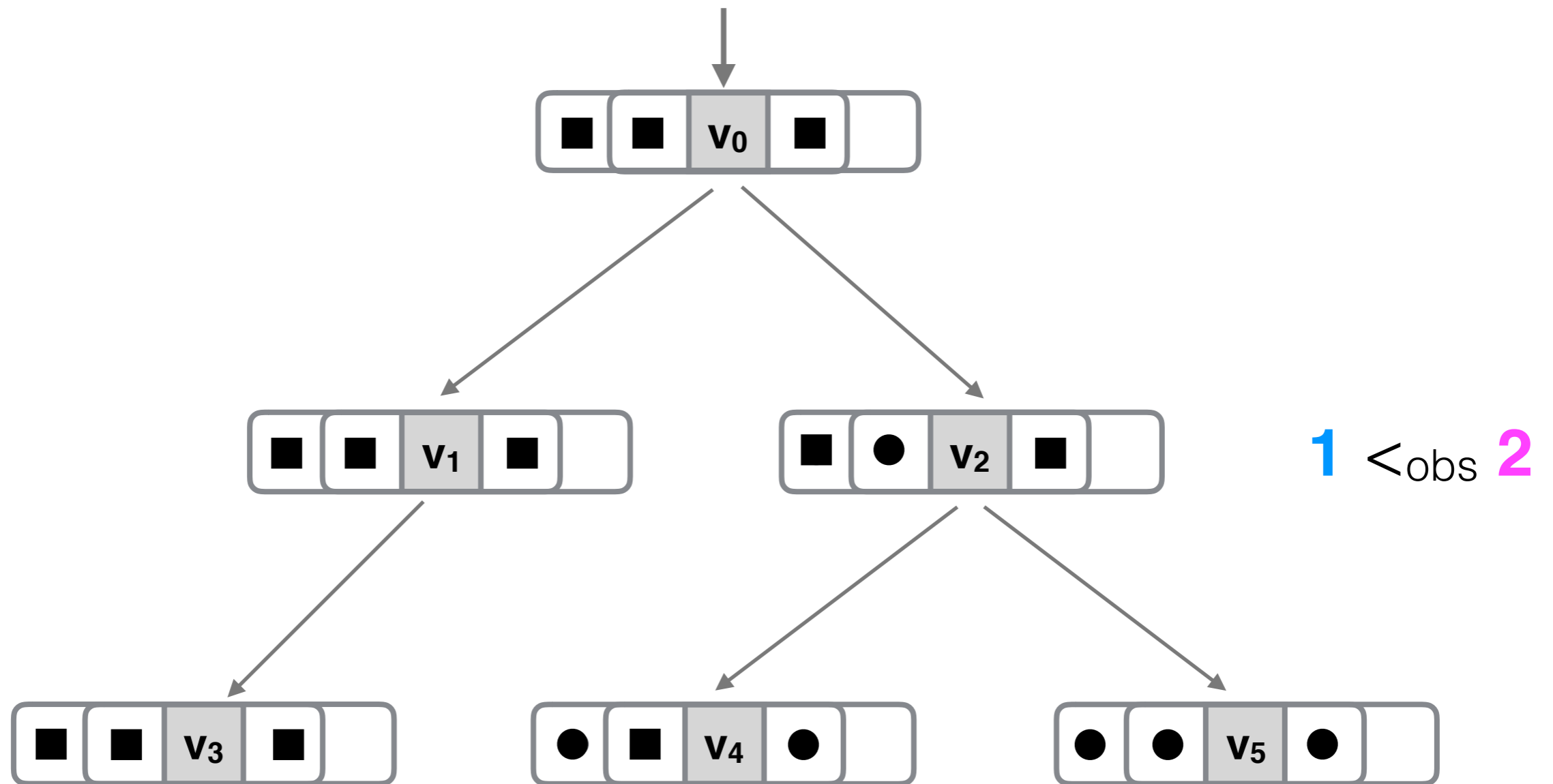












# Finite-State Signals

**Signal:** for a game  $G$ , colours  $C$ ,  
 $f : \text{history } \pi \rightarrow C$

- *information-consistent* for player  $i$ :  $\pi \sim^i \pi' \rightarrow f(\pi) = f(\pi')$
- *finite-state*: Mealy machine – over *global* states

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# Finite-State Signals

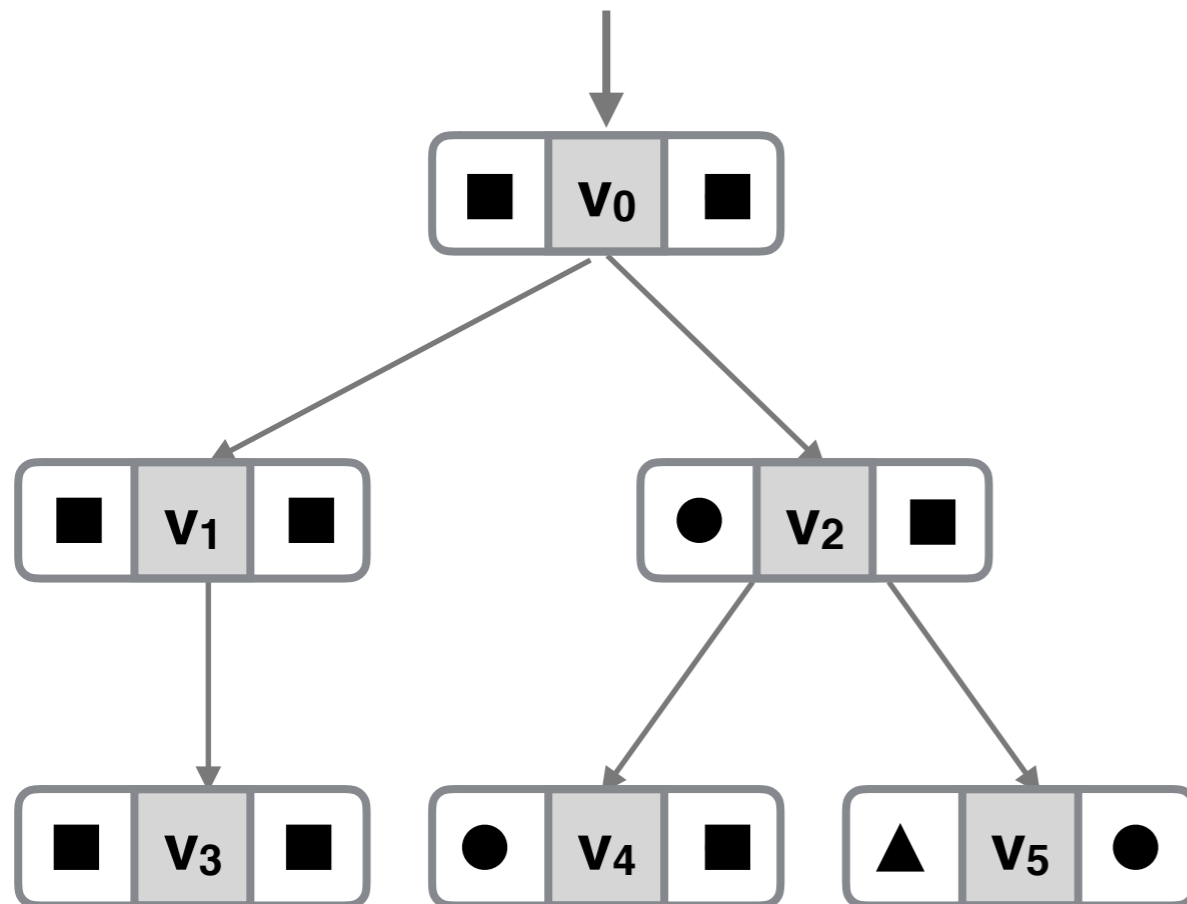
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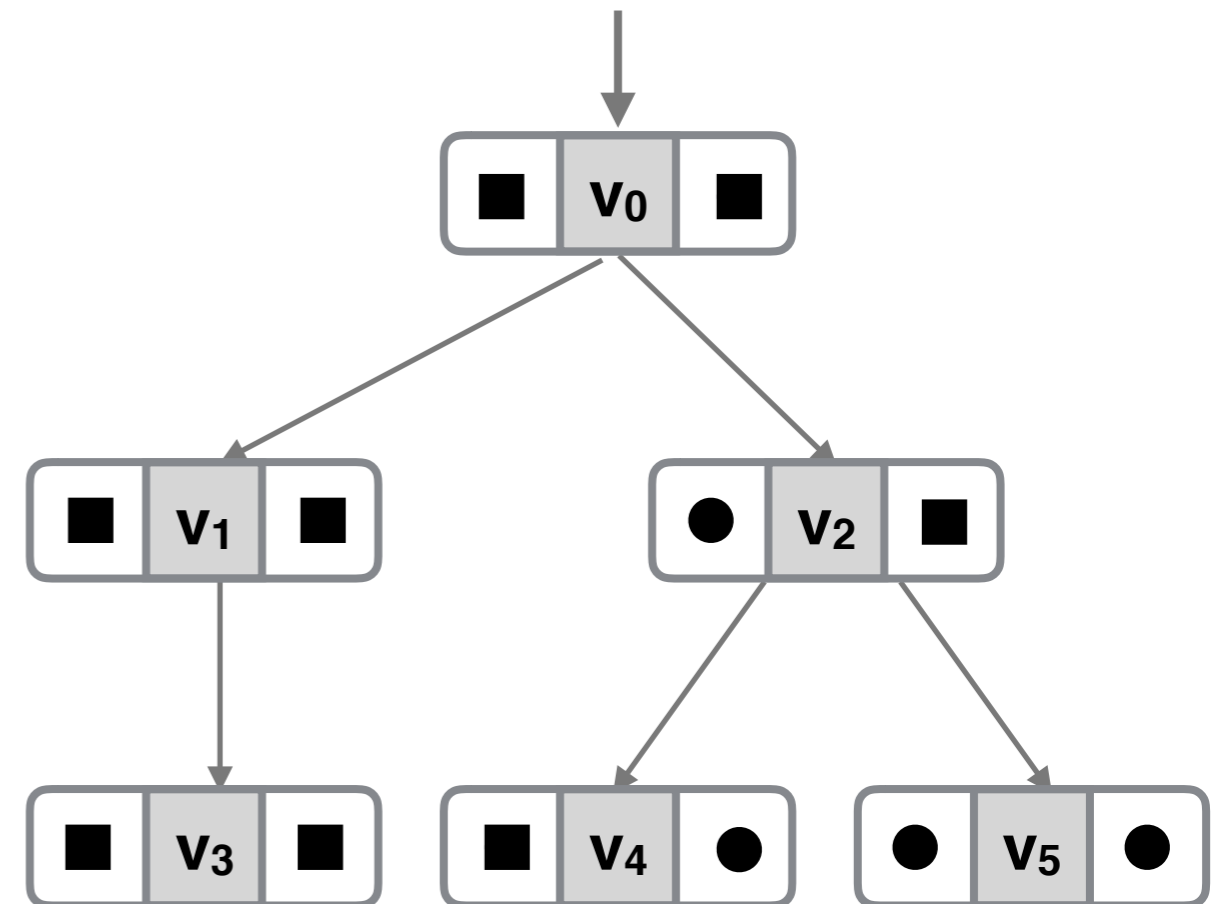
**Lemma:** Any finite-state signal that is information-consistent for  $i$  is implementable by a Mealy machine  $M^i$  – over observations of  $i$ .

**Synchronisation**  $G \times M^i$ : same game as  $G$ ,  
 but signals  $f^i$  now state observations

# Landscape of Hierarchical Patterns

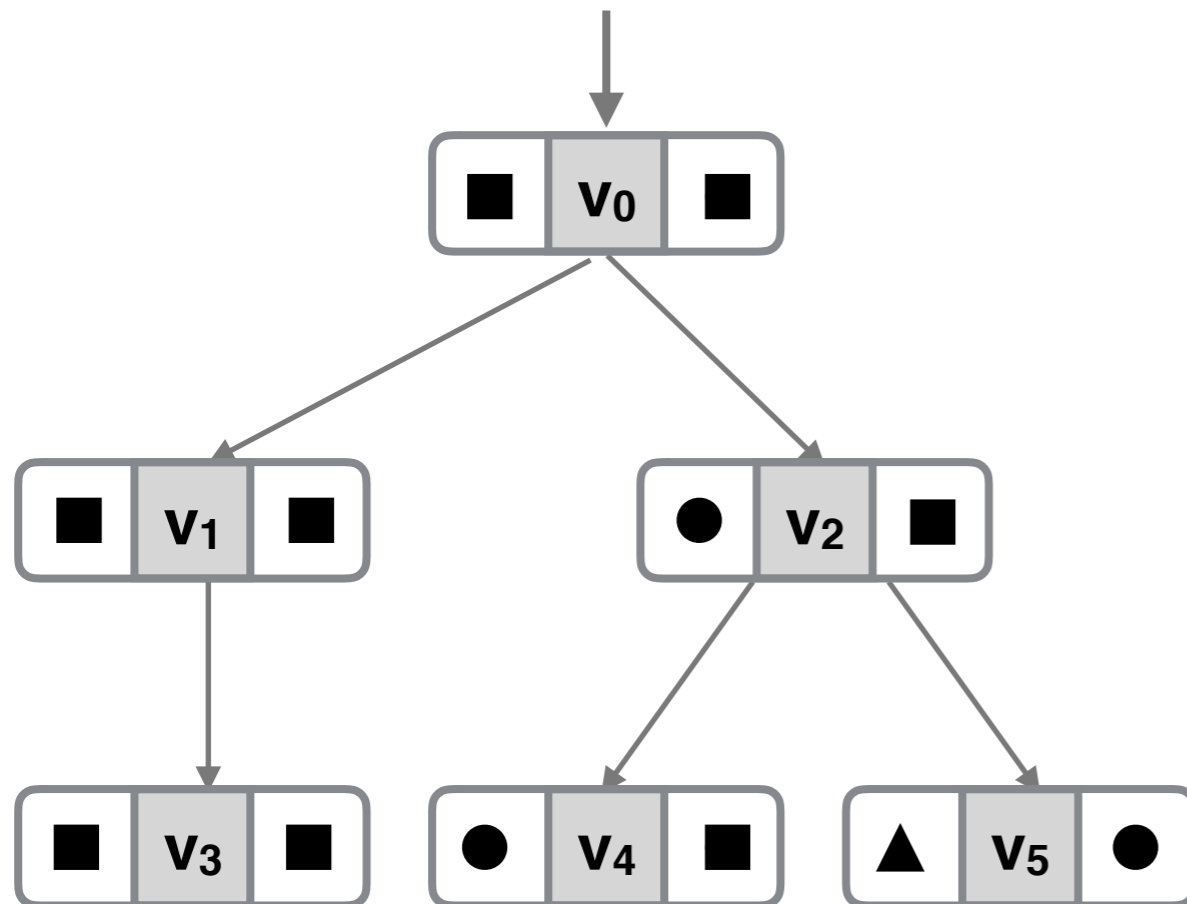


**Hierarchical Observation**

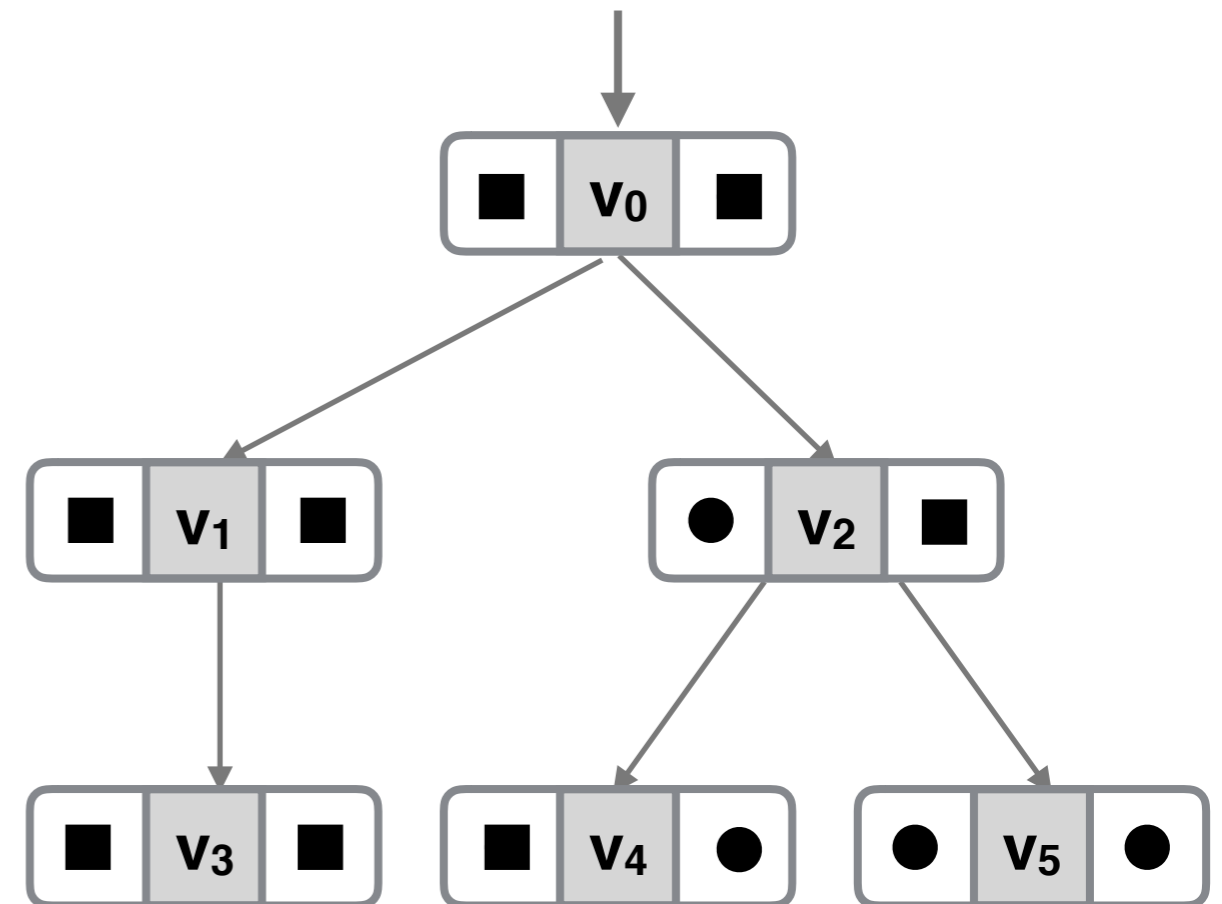


**Hierarchical Information**

# Landscape of Hierarchical Patterns



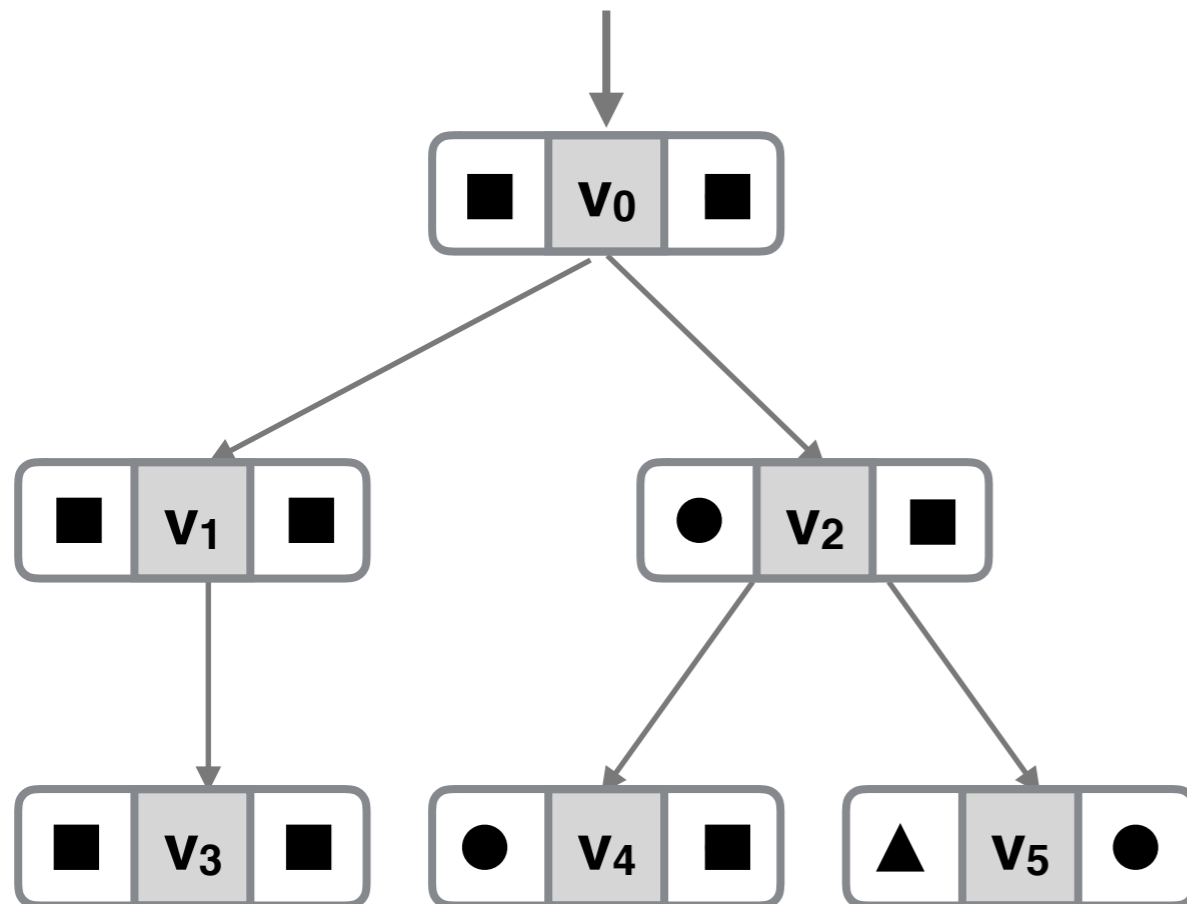
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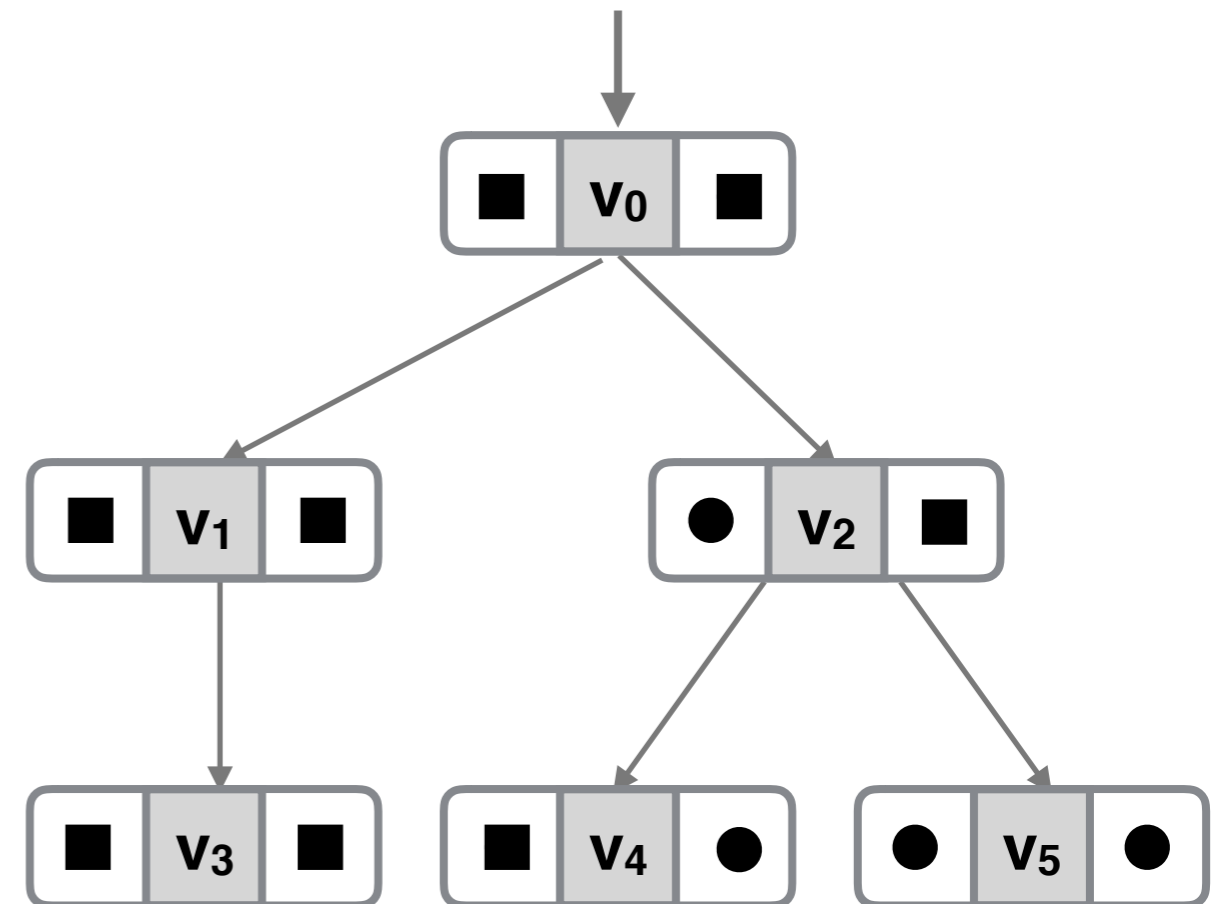
**(static) Hierarchical  
Information**



# Landscape of Hierarchical Patterns



**Hierarchical  
Observation**

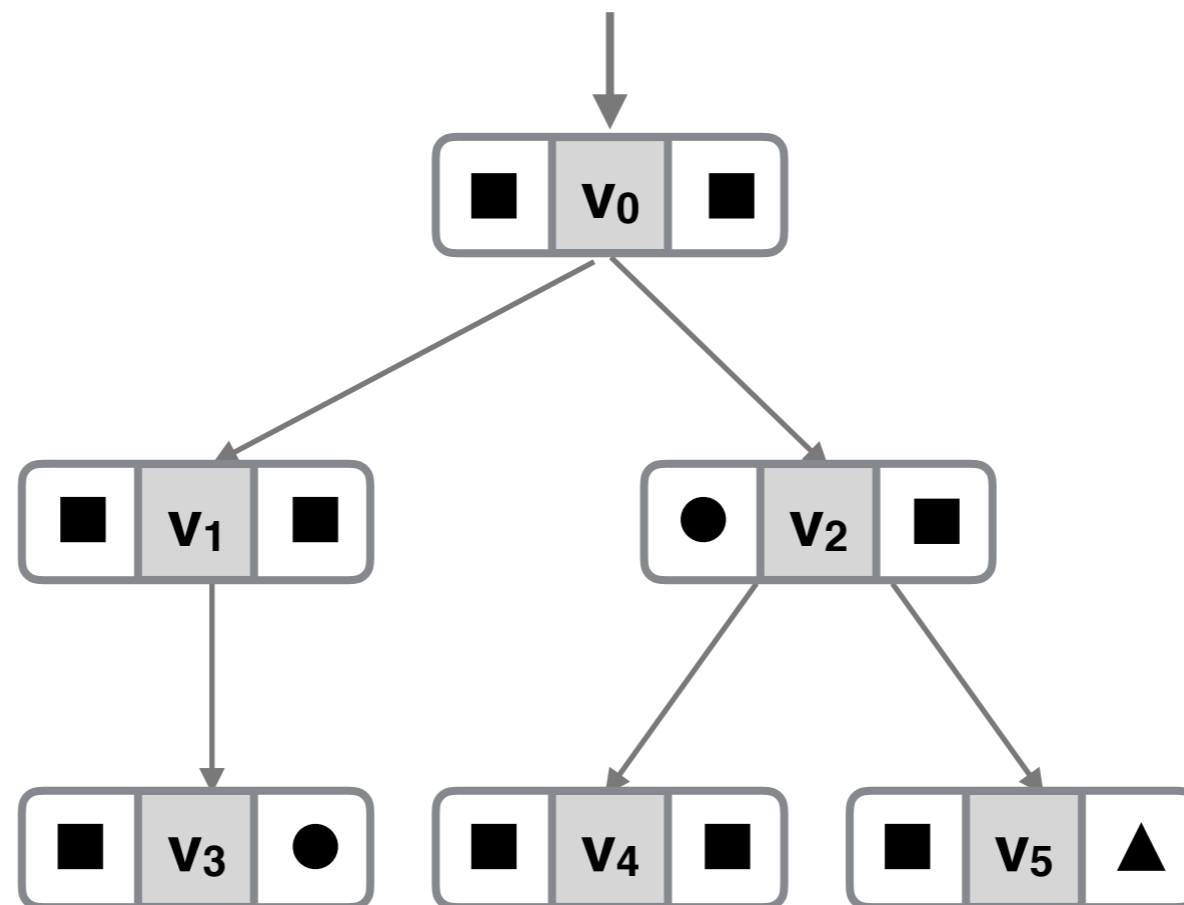


**(static) Hierarchical  
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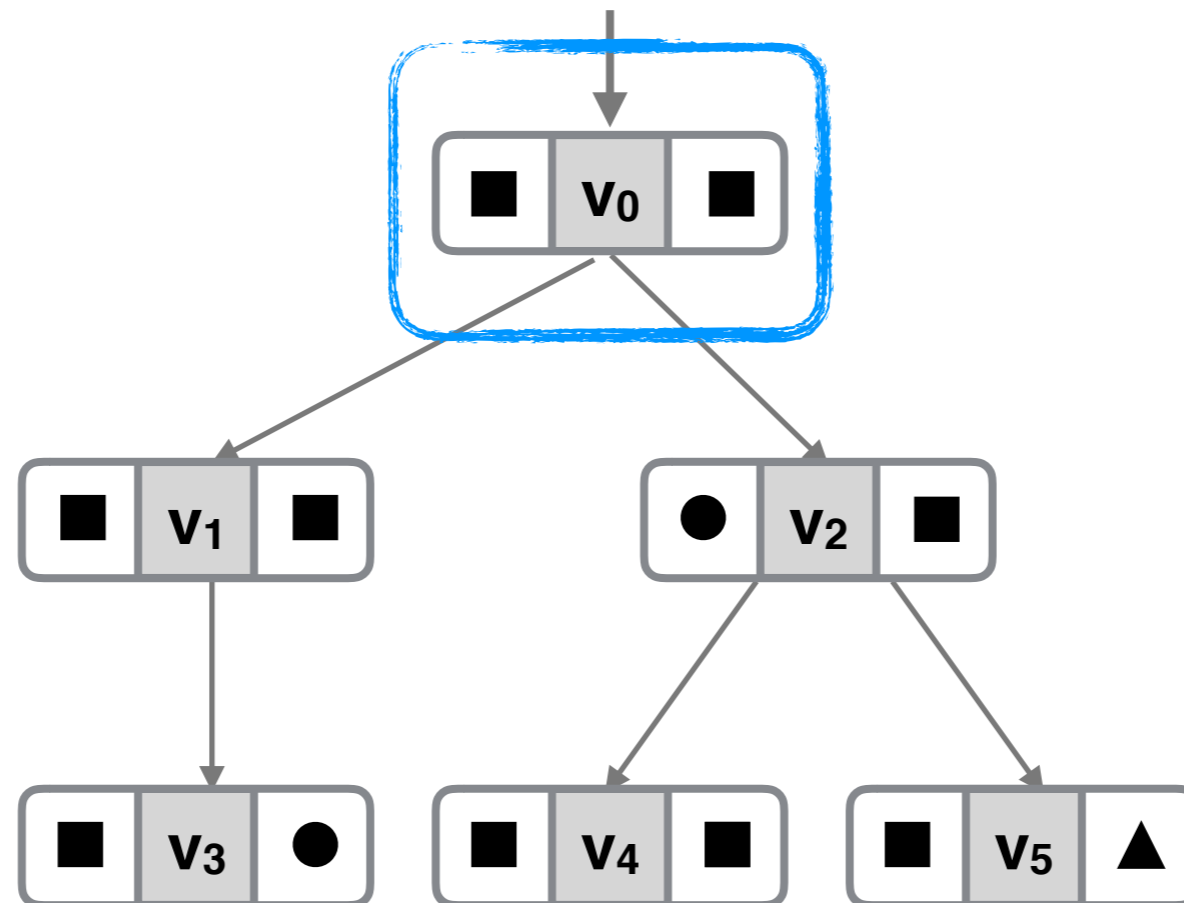
↪ Possible to go *dynamic*?

# Dynamic hierarchies

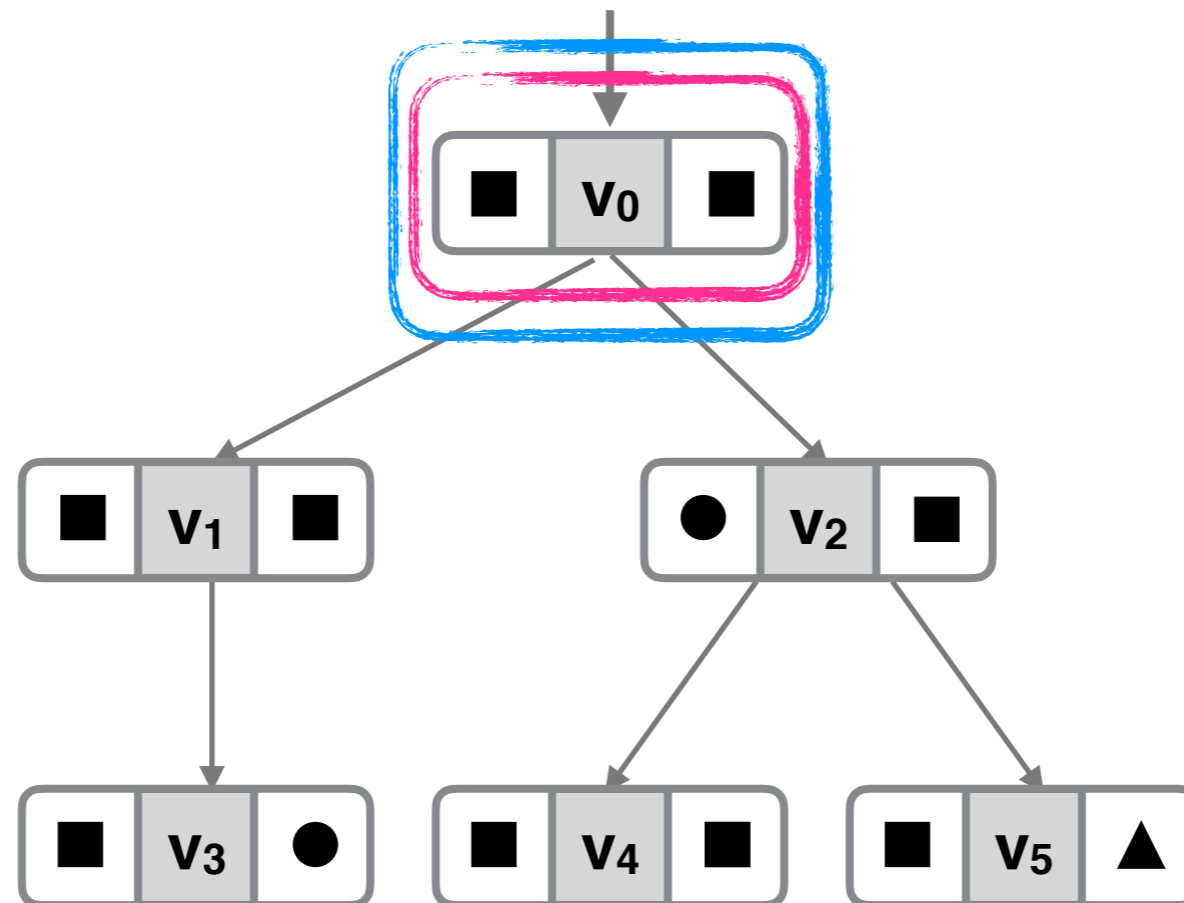
# Dynamic hierarchies



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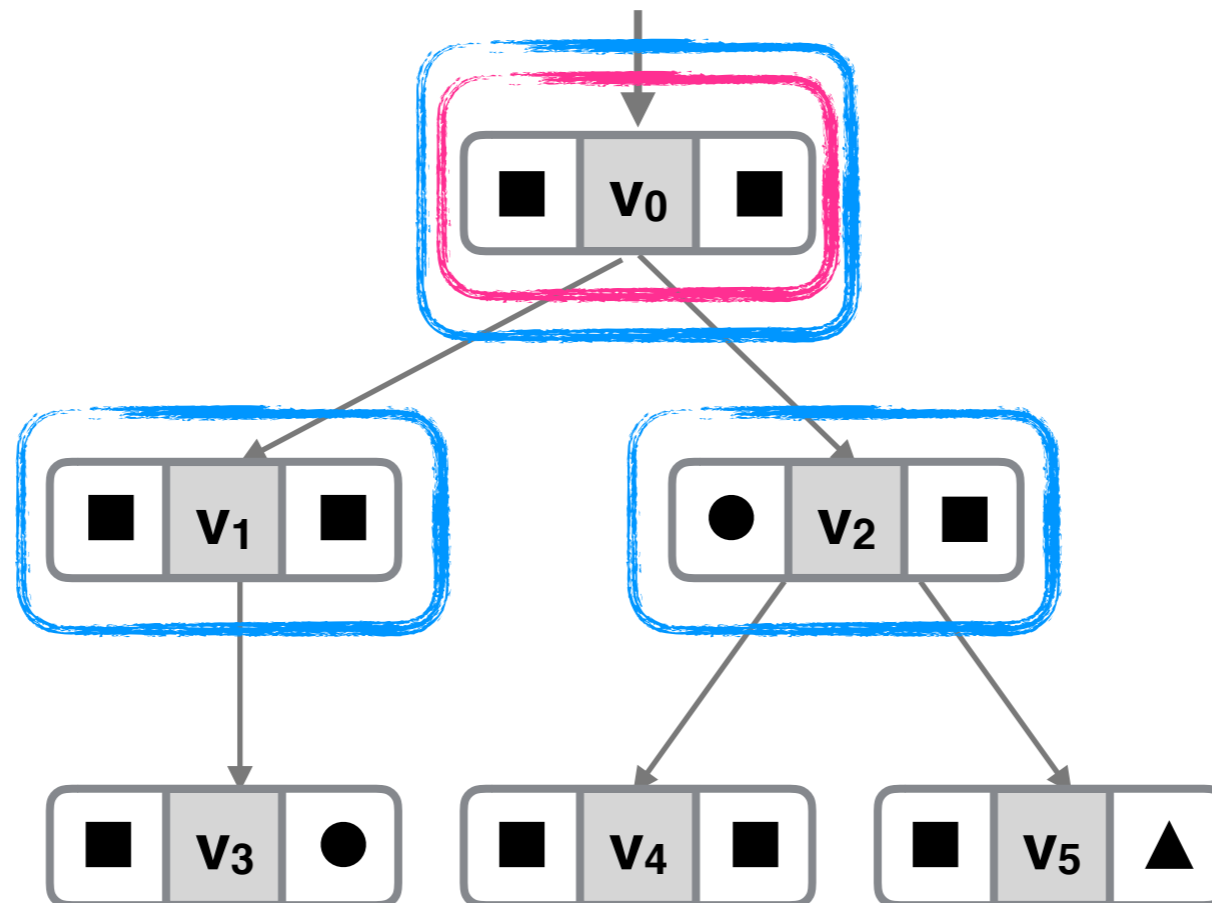


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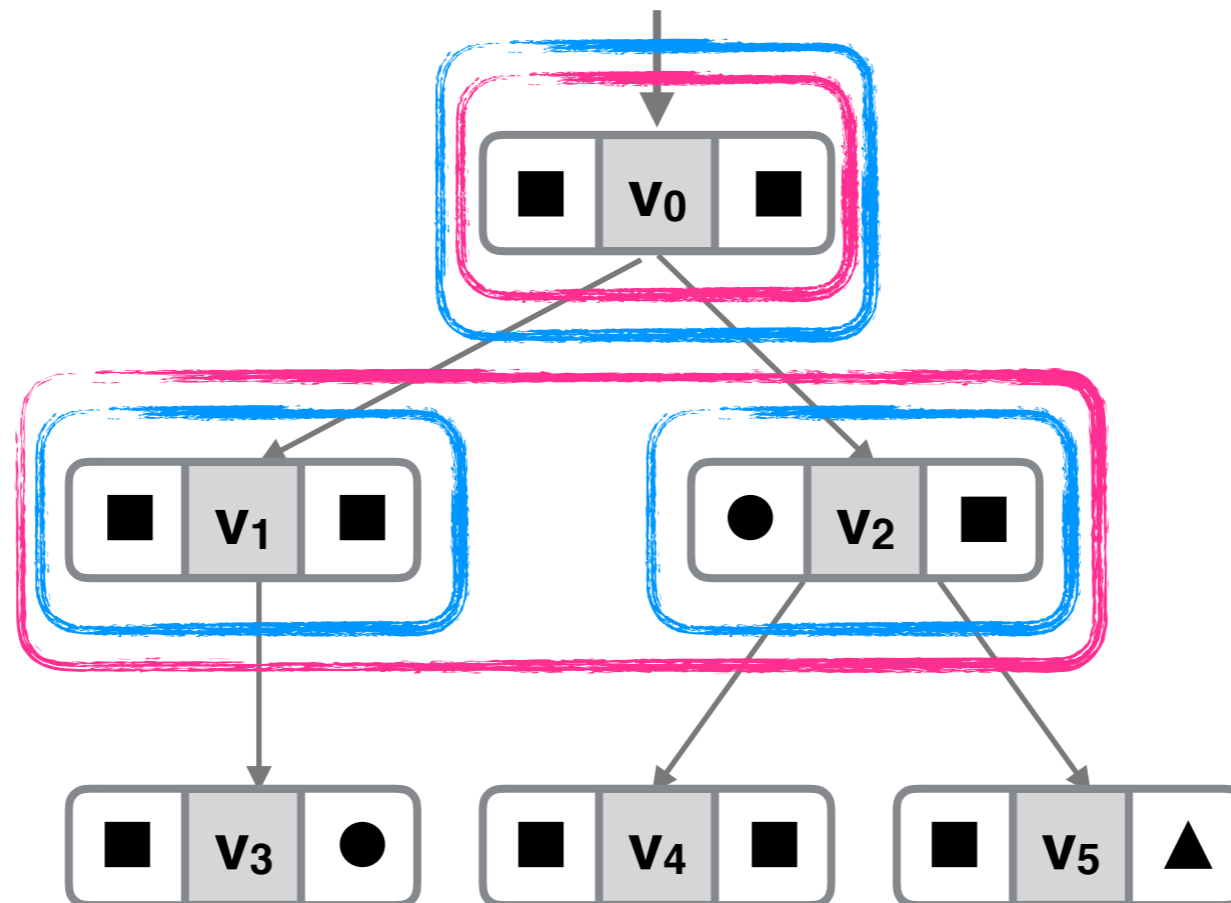
1 =inf 2

# Dynamic hierarchies



1 <inf 2

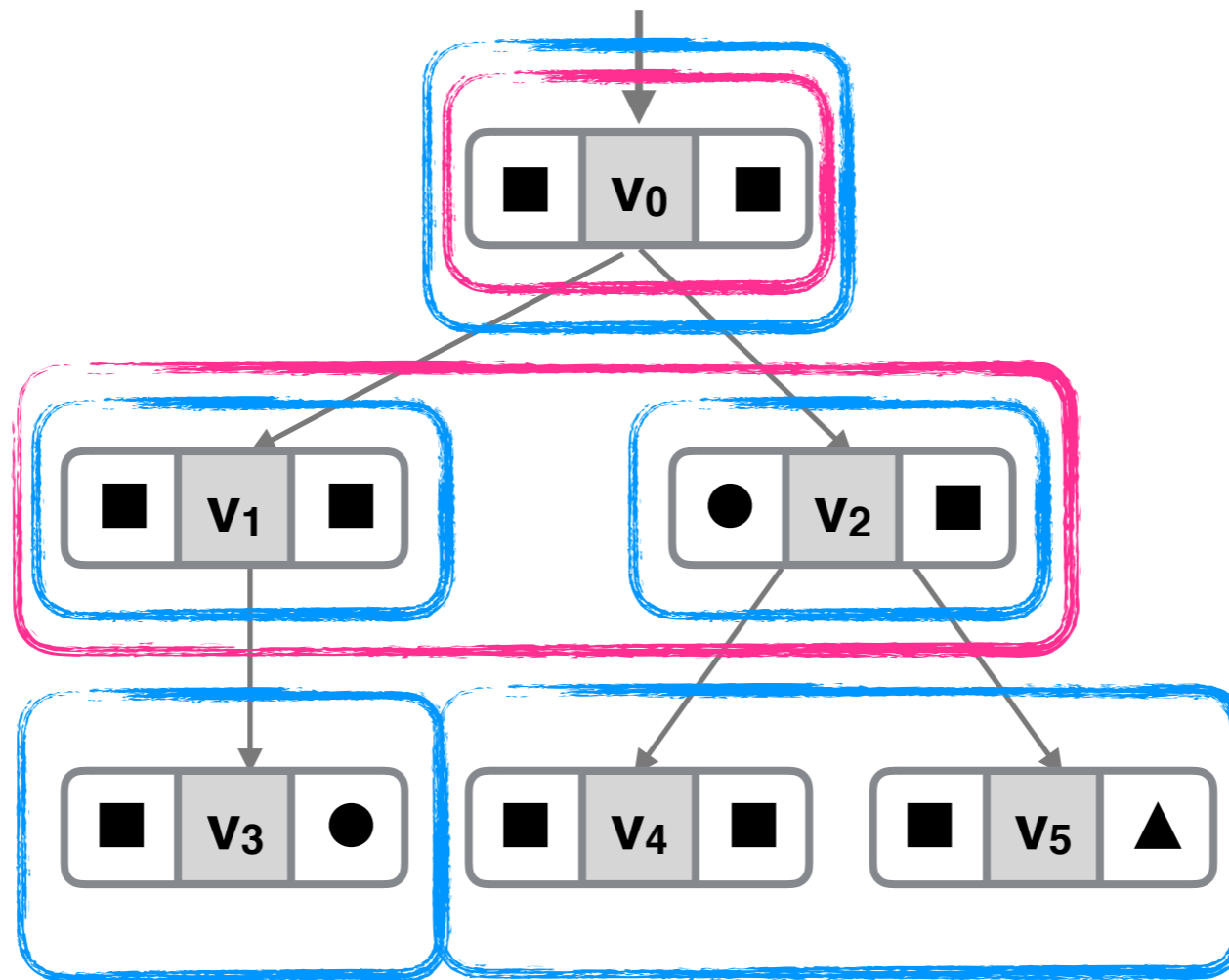
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1 <inf 2

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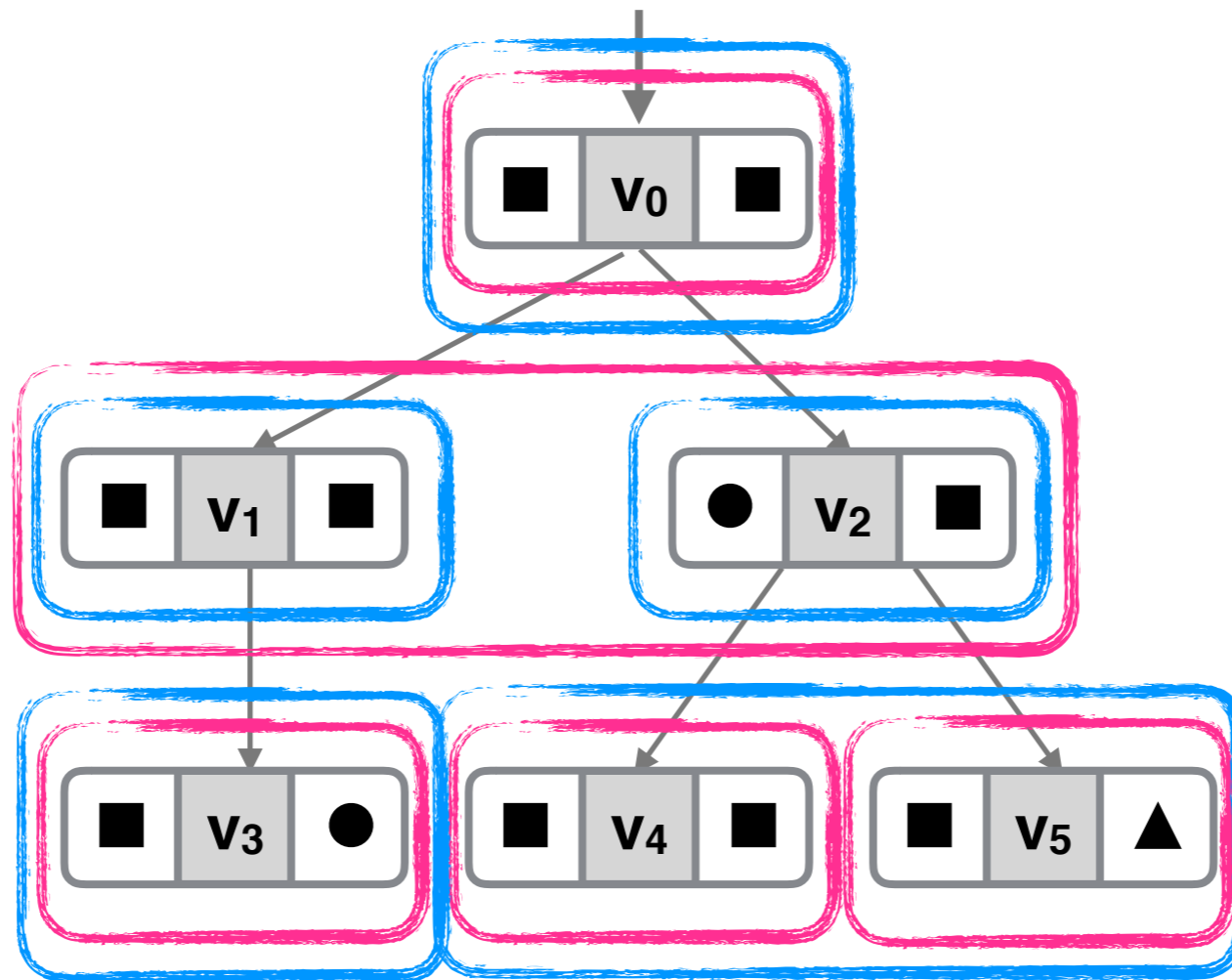


**1** <inf **2**

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# Dynamic hierarchies



**1** <inf **2**

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**2** <inf **1**

# Dynamic hierarchies

**Theorem** With *dynamic* hierarchical information,  
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Idea: **Shadow game** with static hierarchical information

From  $G$  with dynamic HI, game  $Sh(G)$  with  $n$  *shadow* players  $1', \dots, n'$ :

- each  $i'$  plays the role of  $i$ -most informed
- at every history: shadow  $i'$  same information set and same action choice as  $i$

# Dynamic hierarchies

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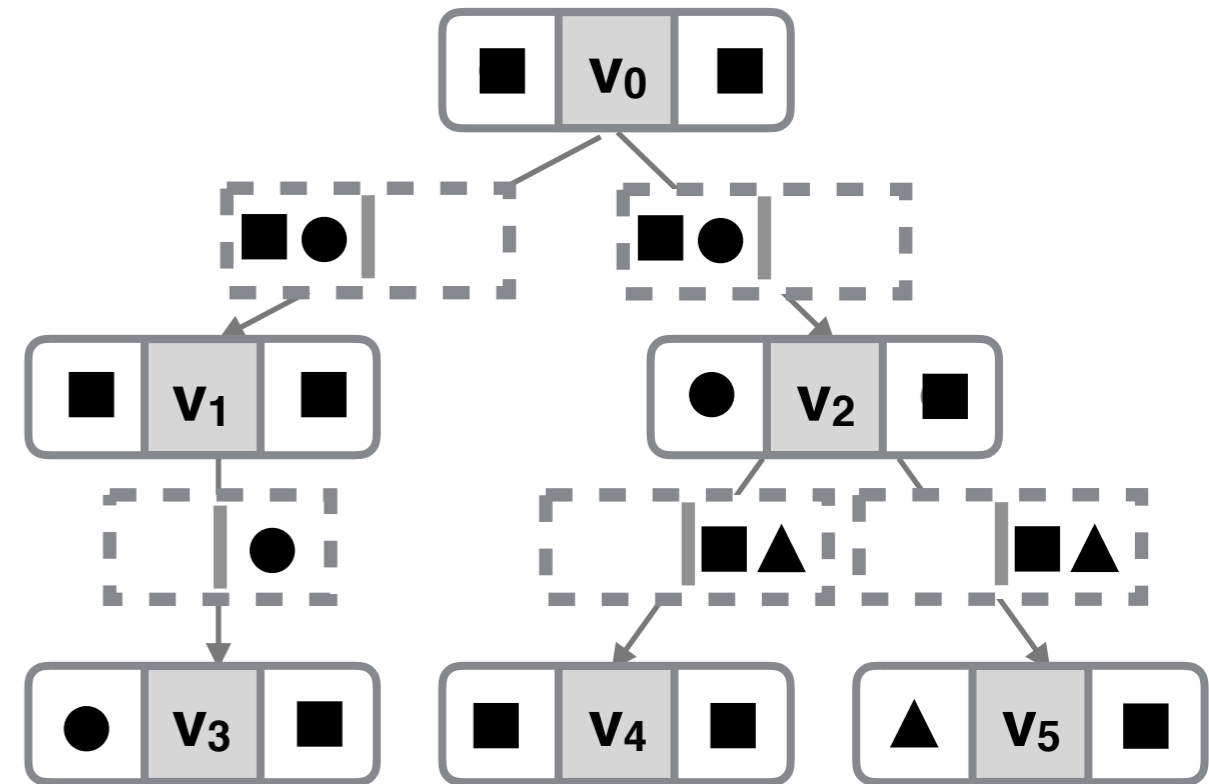
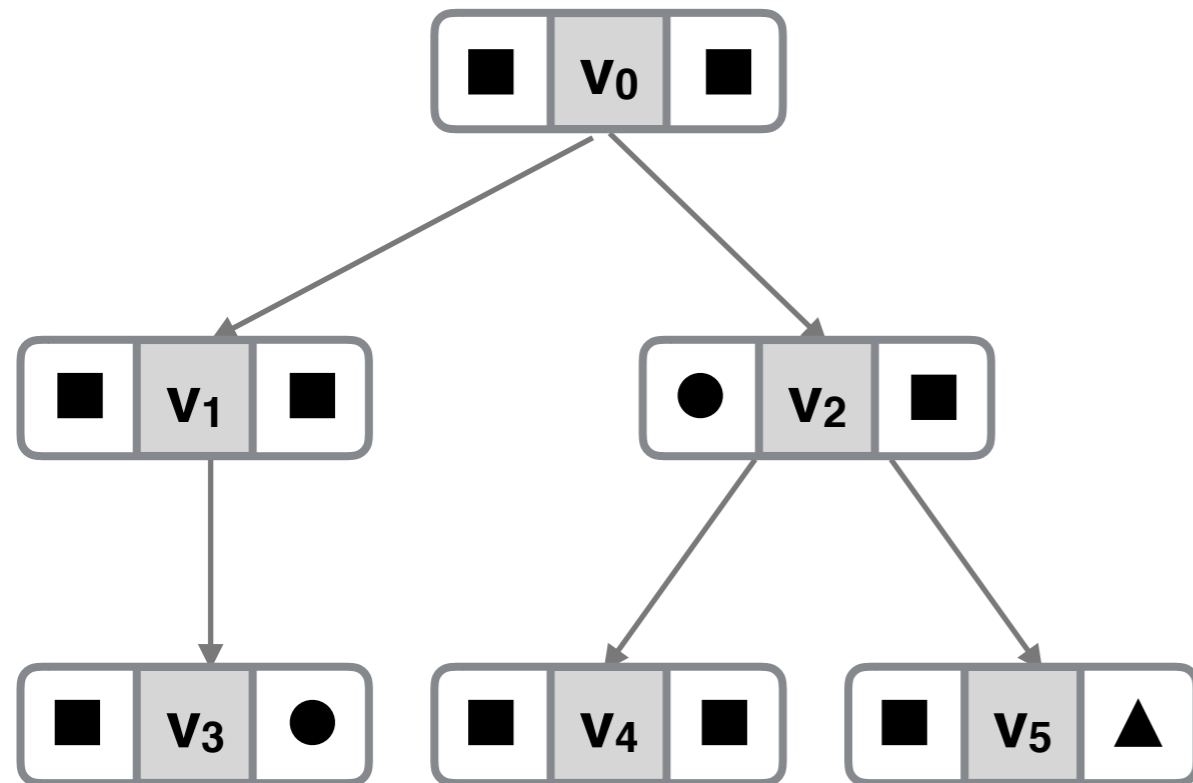
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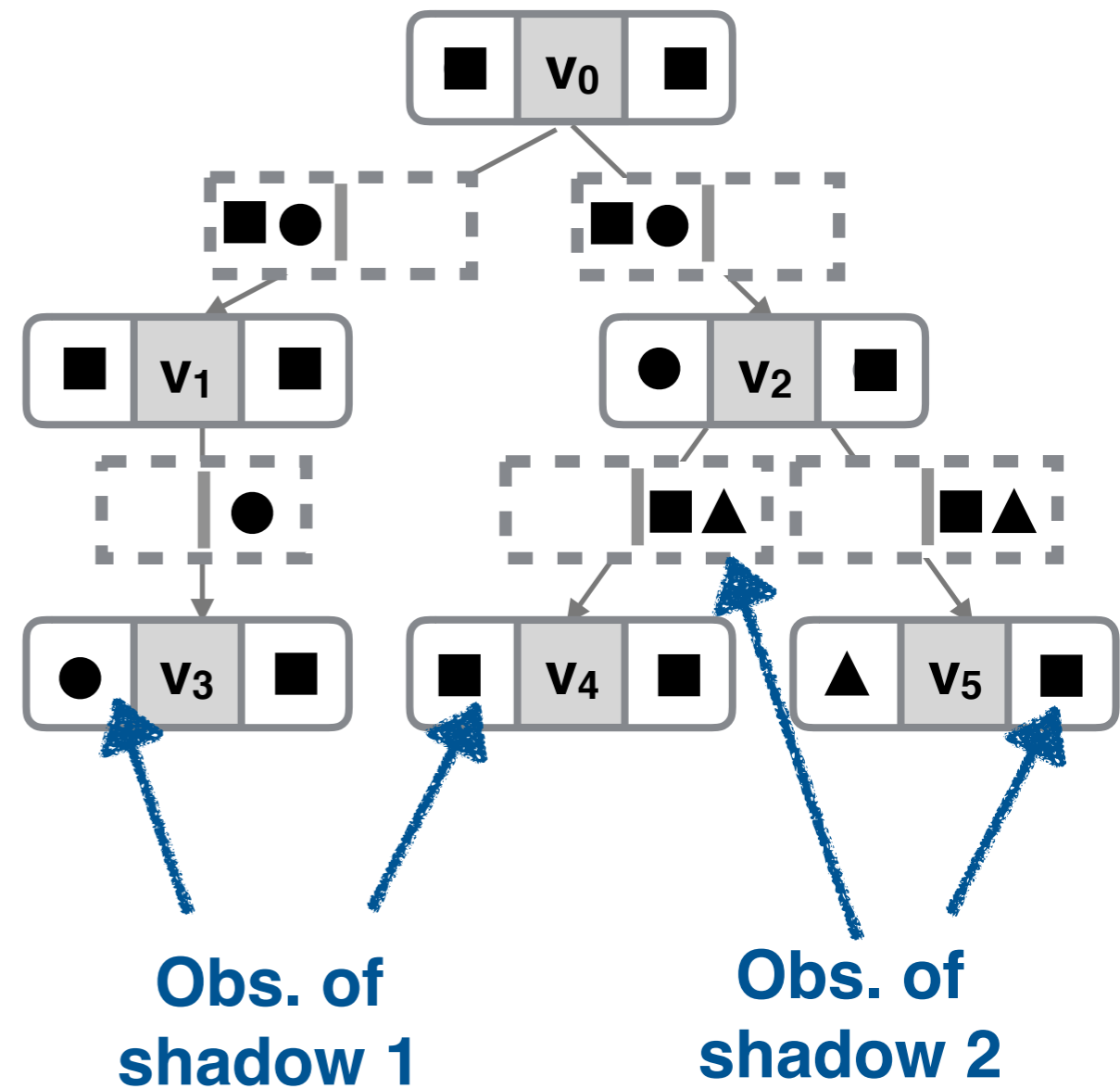
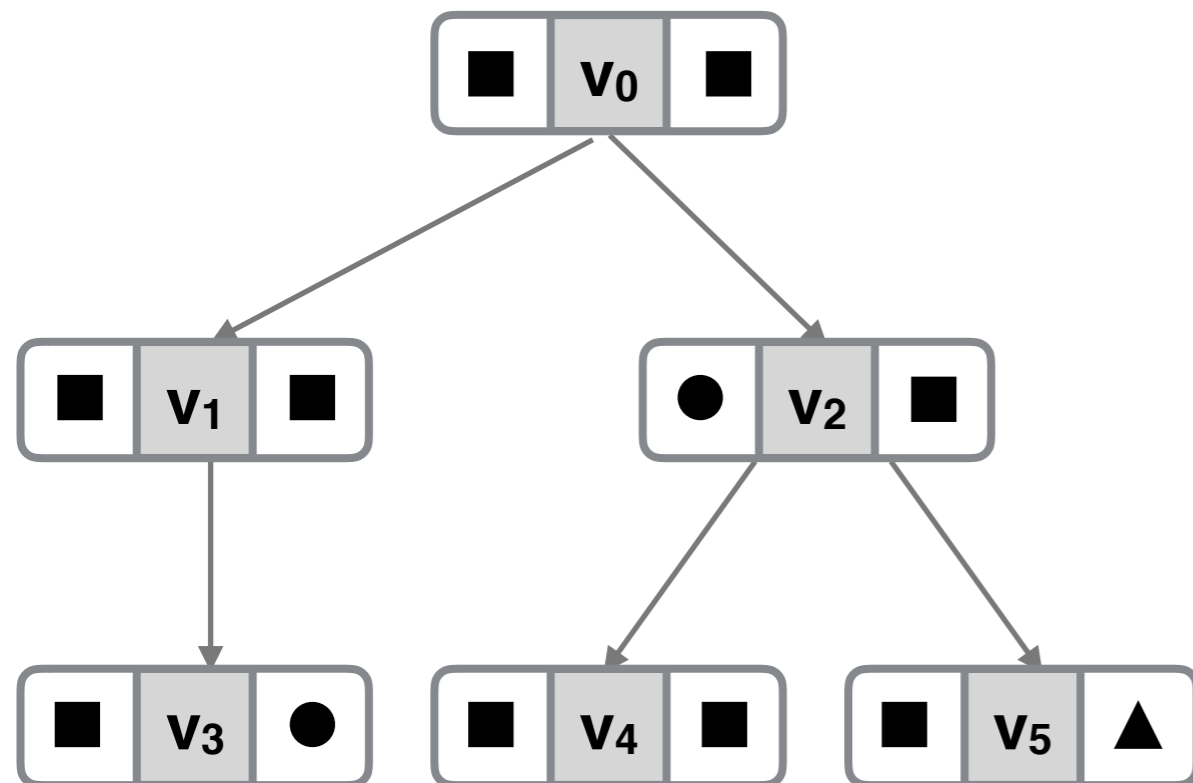
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**Key:** Information rank

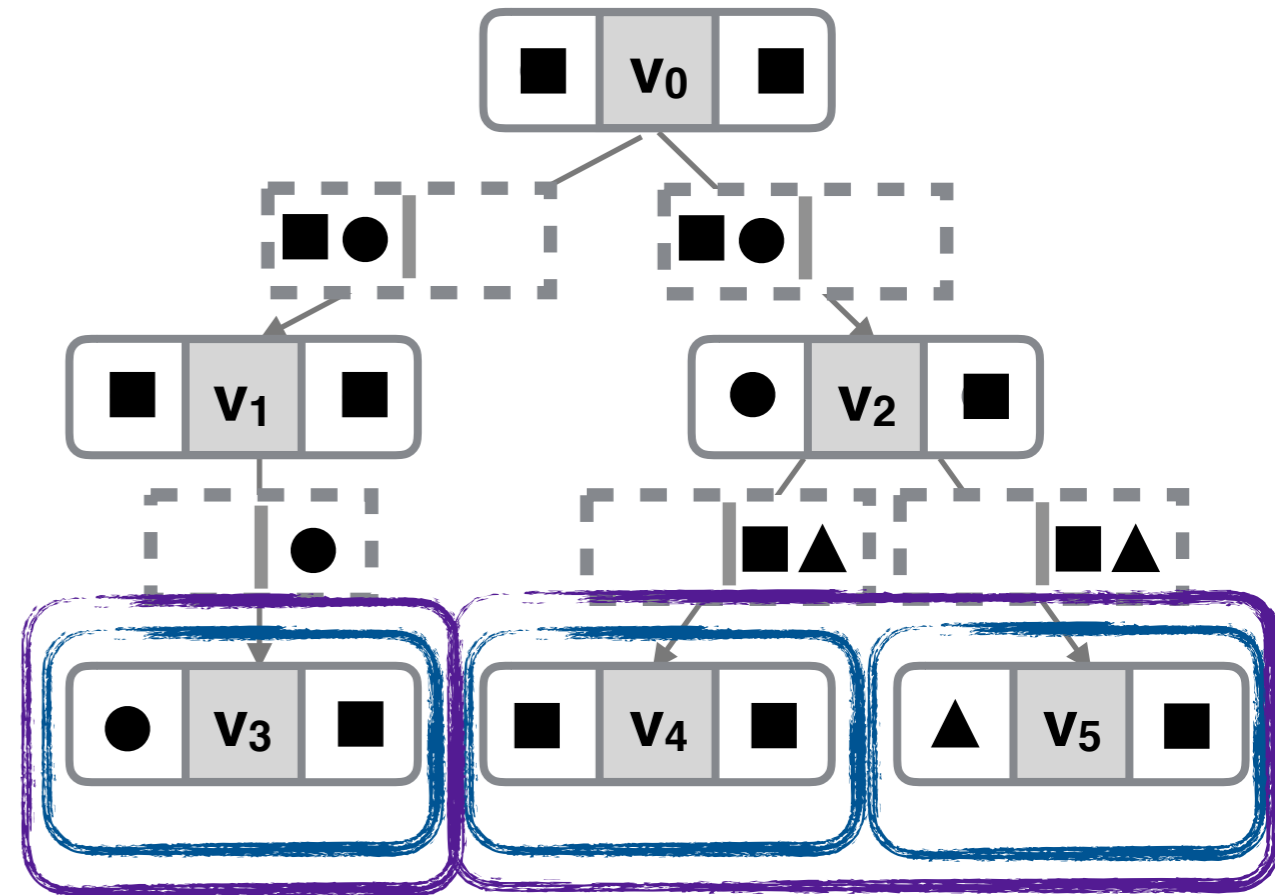
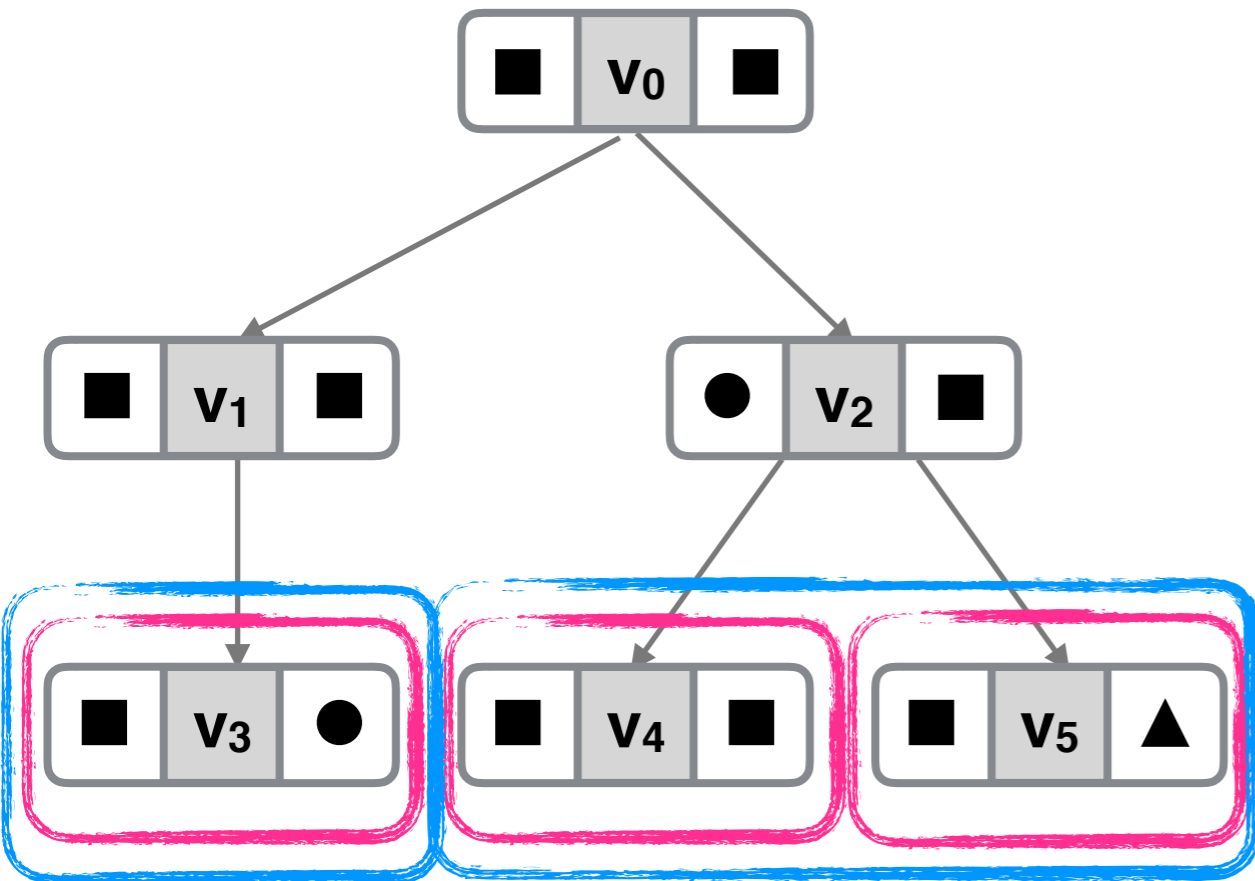
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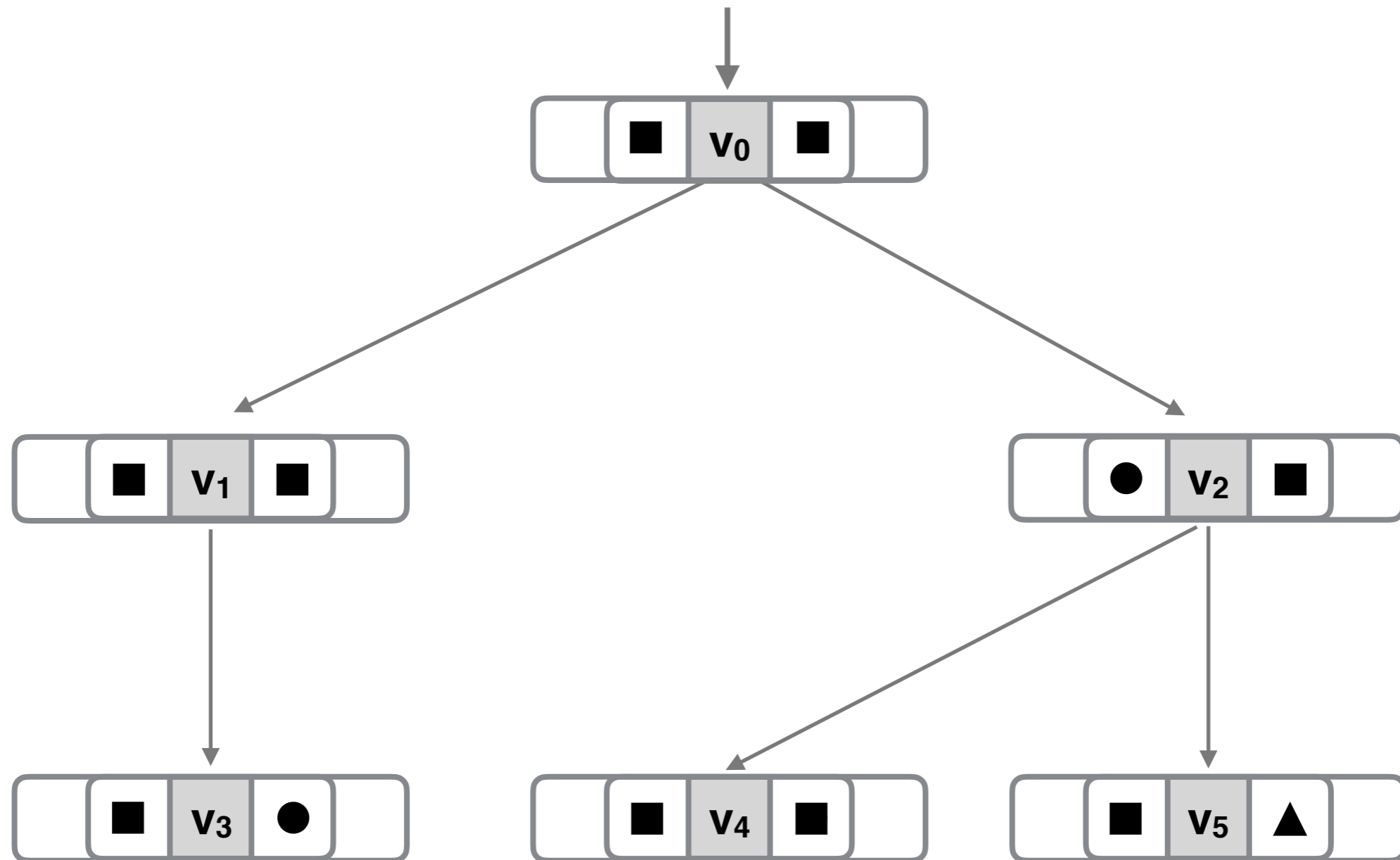
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Information rank (as a finite-state signal)



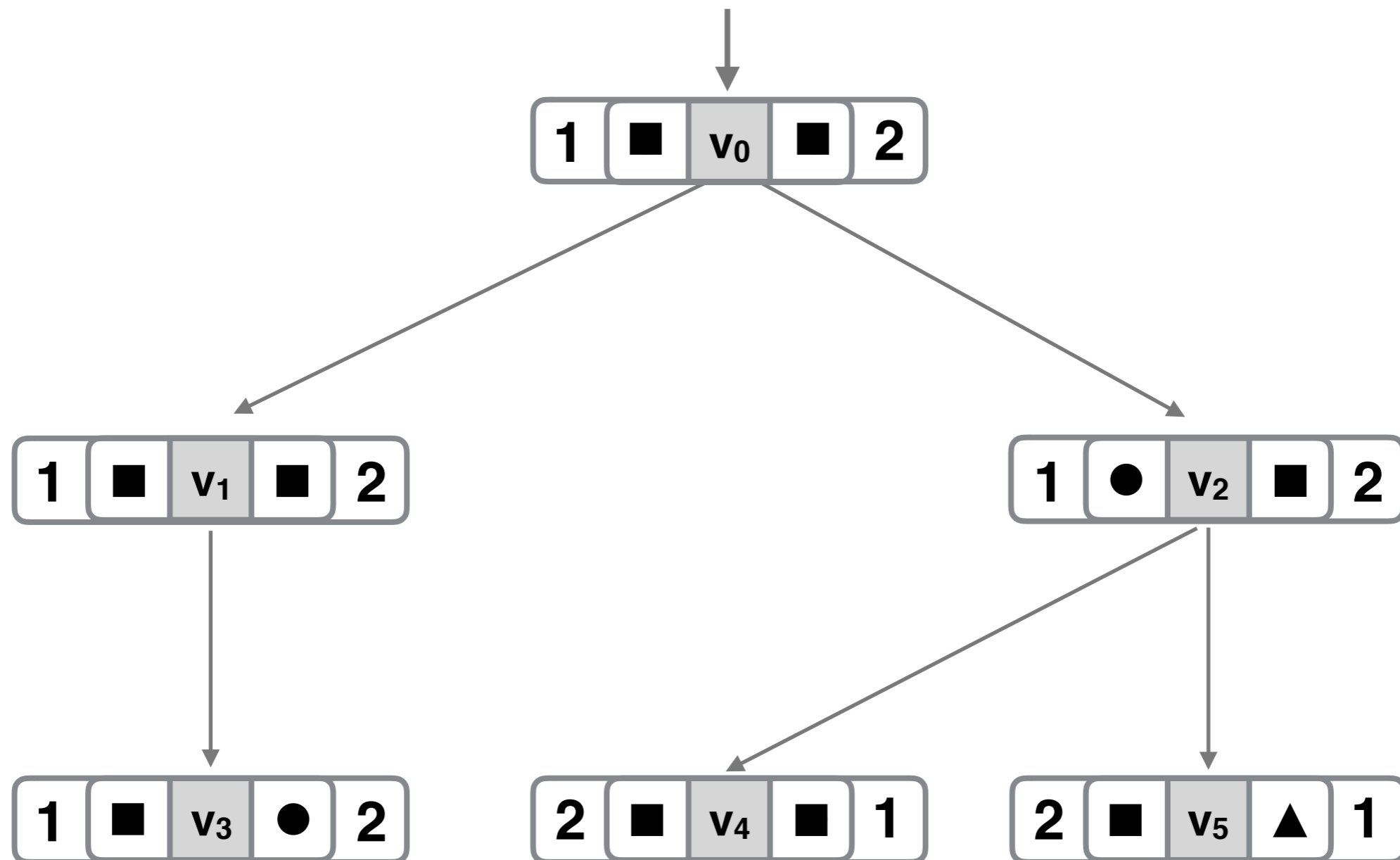
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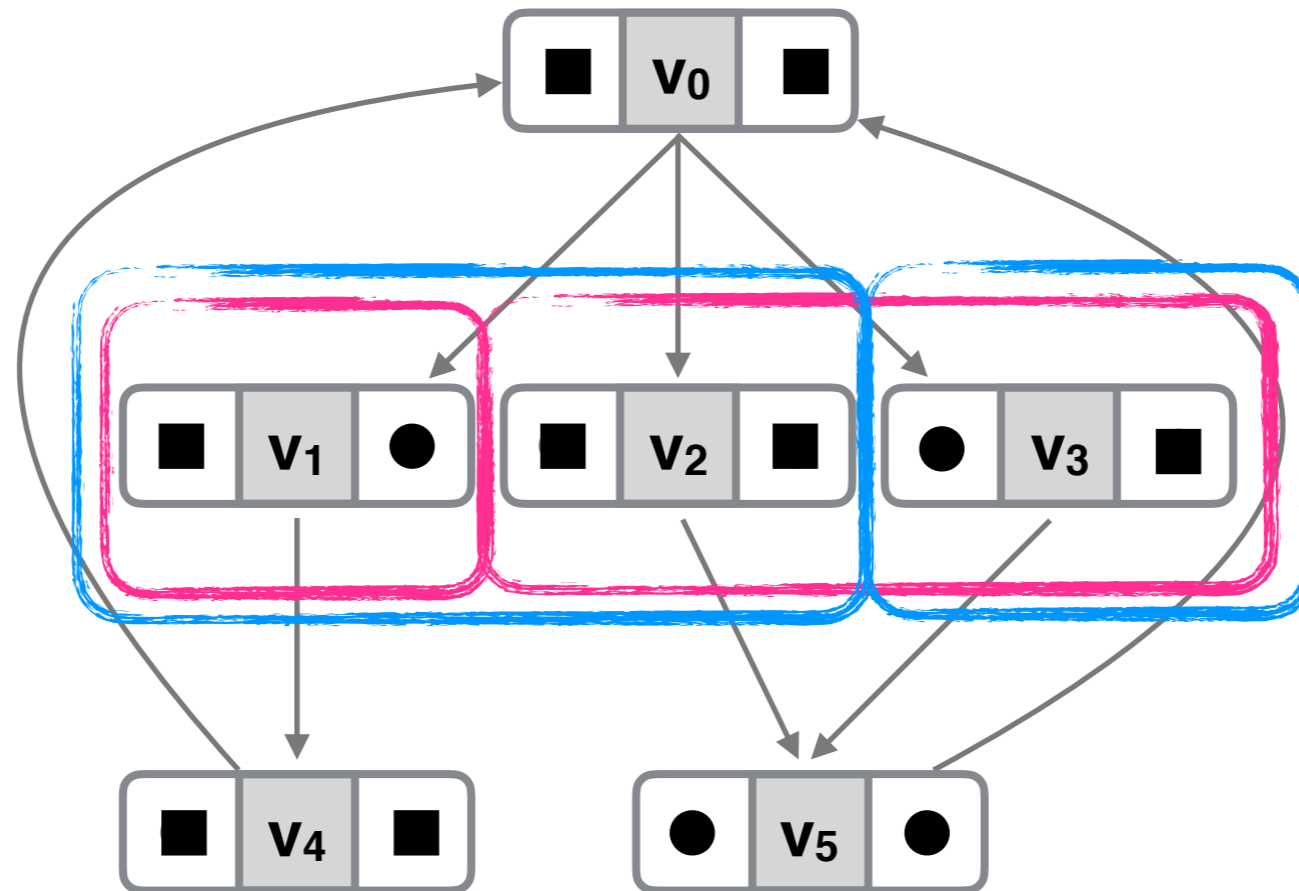


# Dynamic hierarchies

Information rank (as a finite-state signal)



# Transient Perturbations



**1**  $<_{\text{inf}}$  **2**

**incomparable  
information**

**1**  $<_{\text{inf}}$  **2**

# Recurring Hierarchical Information

*On every play, infinitely often hierarchical information*

**Theorem** With *recurring* hierarchical information, distributed strategy synthesis is decidable, for observable  $\omega$ -regular winning conditions.

**Idea:** Information tracking construction  
[Berwanger, Kaiser, Puchala '11]

# Landscape of Hierarchical Patterns

Hierarchical Observation



**(static) Hierarchical Information**



**(dynamic) Hierarchical Information**



**Recurring Hierarchical Information**

- 1 Context
- 2 Model and Background
- 3 Information-Flow Patterns
  - Propagation of uncertainty
  - One-way Information Flow
  - Delayed Information Flow
- 4 Conclusion

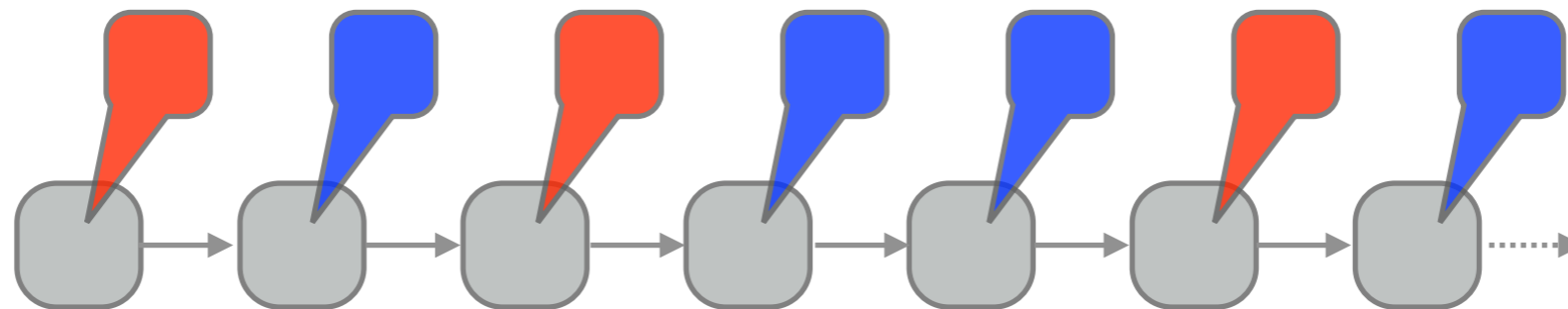
# Delayed Information Flow

Some uncertainty sources are difficult to embed at the design level

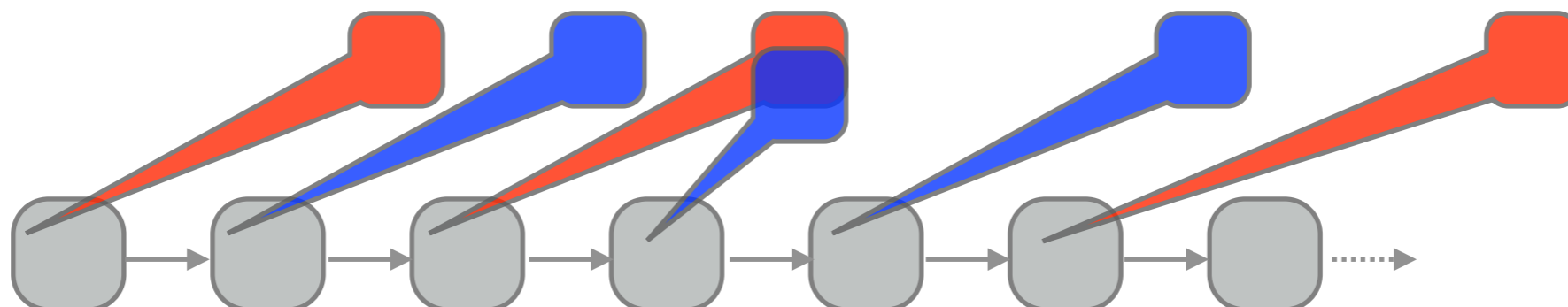
Example: can we cope with a flawed information delivery mechanism?

↪ Distributed games with delayed monitoring

Instant monitoring:



Delayed monitoring:



# Delayed Information Flow

For games s.t.: perfect information about the states,  
particular winning conditions,  
solvable

**Theorem** Winning strategies for the instant-monitoring game  
can be adapted to the delayed-monitoring variant.

**Key:** delayed-response strategies for repeated games  
[Fudenberg, Ishii, Kominers '14]



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# Looking at Information-Flow Patterns

Insights on interaction mechanisms:

- cooperation is intrinsically hard
  - consensus enough to cause undecidability
- shape information flow to ensure decidability
  - hierarchical information patterns
- method to cope with realistic communication failures
  - delayed response strategies

# What's next?

- Consensus game acceptors
  - beyond one decision
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  - remove restriction of observable winning condition  
(Recurring Hierarchical Information case)
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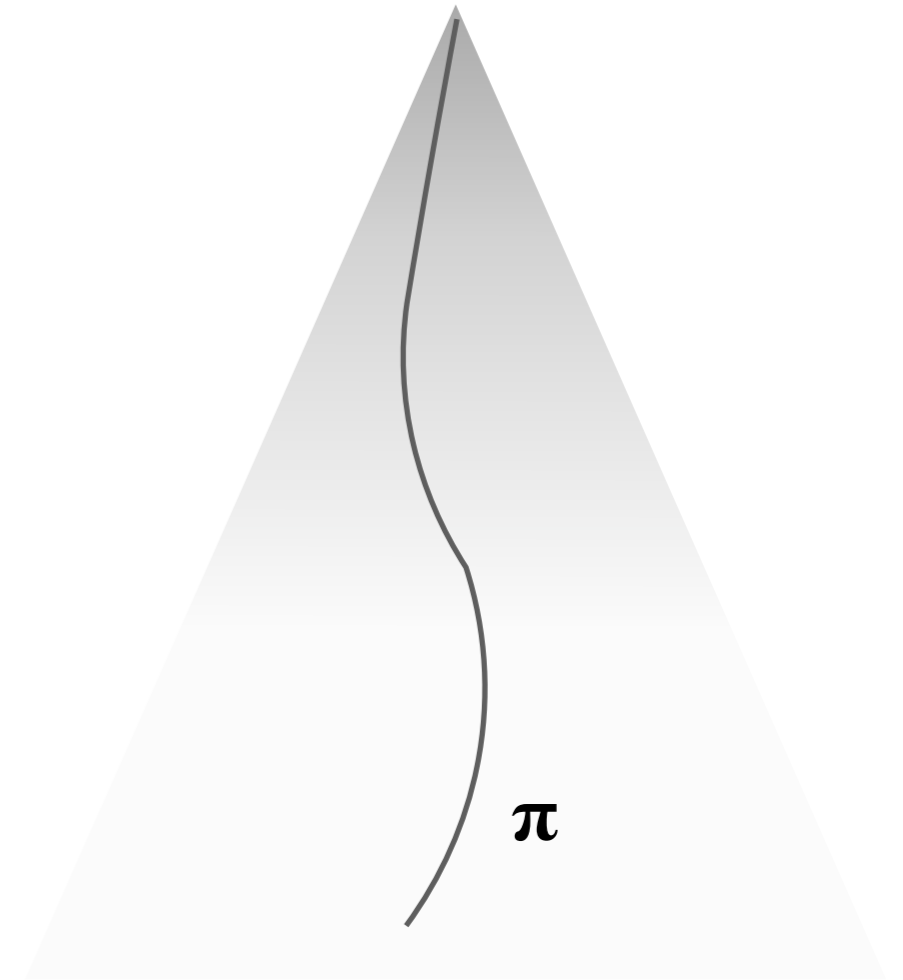
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  - remove restriction of observable winning condition  
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  - monitored* architectures
- Delayed Monitoring
  - relax perfect information about states assumption
  - extend class of winning conditions

# What's next?

## *Multi-level synthesis:*

- start with arbitrary game

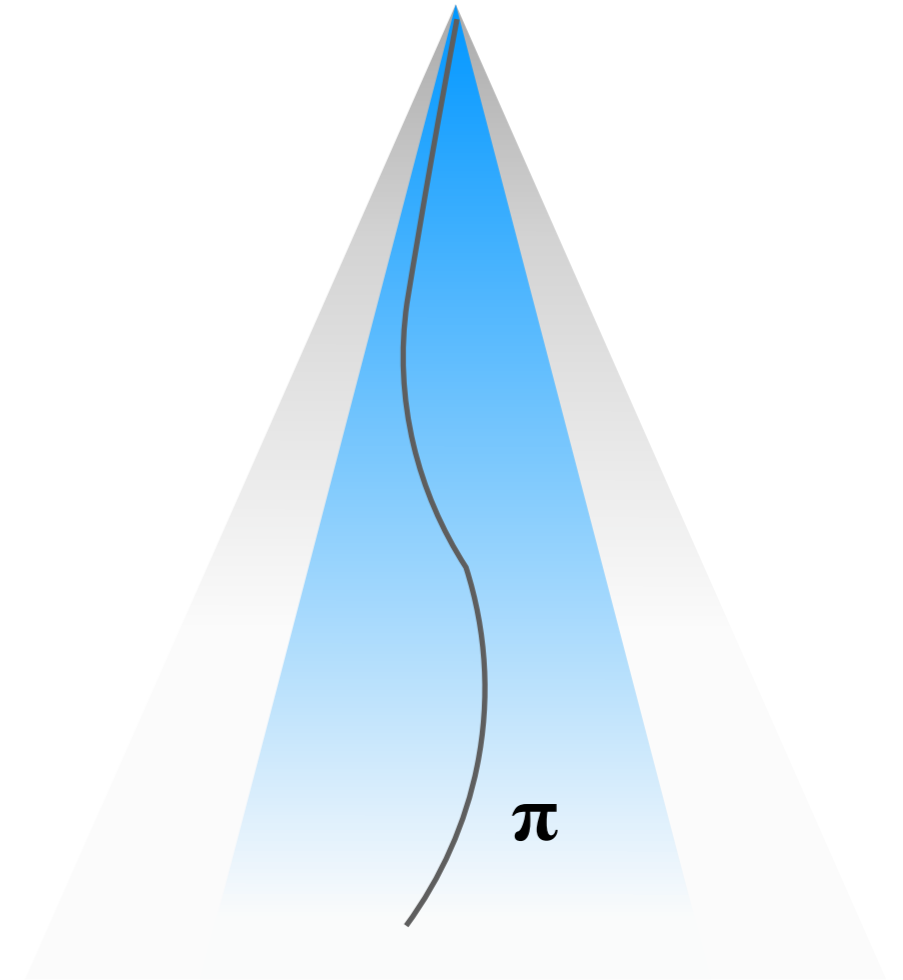


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find strategies to enforce pattern ensuring decidability



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## *Multi-level synthesis:*

- start with arbitrary game
- avoid propagation of uncertainty:

find strategies to enforce pattern ensuring decidability

- refine from there:

winning condition

actions and observations

