

# Rewriting Techniques: TD 2

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## Exercise 1:

Let  $A$  and  $B$  be two terminating relations. Show that if  $AB \subseteq BA$  then  $A \cup B$  terminates using well-founded induction.

### Solution:

We prove that  $(A \cup B)^* \subseteq B^*A^*$  by well-founded induction on steps of  $(A \cup B)^*$ . The induction hypothesis is:  $t(\rightarrow_{A \cup B})^n t' \implies t \rightarrow_A^* \rightarrow_B^* t'$ . W.l.o.g. suppose  $t \rightarrow_{A \cup B}^{n+1} t'$  such that  $t \rightarrow_A t'' \rightarrow_B (\rightarrow_{A \cup B})^{n-1} t'$  for some  $n \in \mathbb{N}$ . Then by hypothesis  $AB \subseteq BA$  it holds that there exists  $t'''$  such that  $t \rightarrow_B t''' \rightarrow_A (\rightarrow_{A \cup B})^{n-1} t'$ . By inductive hypothesis, since  $t'''(\rightarrow_{A \cup B})^n t'$ ,  $t''' \rightarrow_B^* \rightarrow_A^* t'$  and therefore  $t \rightarrow_B^* \rightarrow_A^* t'$ . Since  $A$  and  $B$  are terminating relations,  $B^*A^*$  is a terminating relation. It follows that  $A \cup B$  is terminating.

Given a strict order  $>$  on a set  $A$ , we define the corresponding **multiset order**  $>_{\text{mul}}$  on  $\text{Mult}(A)$  as follows:  $M >_{\text{mul}} N$  if and only if there exist  $X, Y \in \text{Mult}(A)$  such that

1.  $\emptyset \neq X \subseteq M$ ;
2.  $N = (M \setminus X) \cup Y$ ;
3.  $\forall y \in Y \exists x \in X \ x > y$ .

## Exercise 2:

Prove that  $M >_{\text{mul}} N$  if and only if  $M \neq N$  and for all  $n \in N \setminus M$  there exists  $m \in M \setminus N$  such that  $m > n$ .

### Solution:

( $\implies$ ) Assume  $M >_{\text{mul}} N$ ,  $X$  and  $Y$  as in the definition.  $M \neq N$  follows from irreflexivity of  $>_{\text{mul}}$ . For the second conjunct, suppose  $y_1 \in N \setminus M = ((M \setminus X) \cup Y) \setminus M = ((M \cup Y) \setminus X) \setminus M = ((M \cup Y) \setminus M) \setminus X = Y \setminus X$ , where the second equality holds because  $X \subseteq M$ . Hence there is a  $y_2 \in X$  such that  $y_2 > y_1$ . Either  $y_2 \in X \setminus Y = (M \setminus (M \setminus X)) \setminus Y = M \setminus ((M \setminus X) \cup Y) = M \setminus N$ , in which case we are done, or  $y_2 \in X \cap Y$  (where  $(X \cap Y)(x) = \min(X(x), Y(x))$ ), in which case there is  $y_3 \in X$  such that  $y_3 > y_2$ . Because multisets are finite and  $>$  is a strict order, there is no infinite ascending chain  $y_1 < y_2 < \dots$  in  $X \cap Y$ . This process therefore terminates with some  $y_n \in X \setminus Y = M \setminus N$ . Transitivity yields  $y_n > y_1$ .

( $\impliedby$ ) Let  $N \setminus M = Y$  and  $M \setminus N = X$ . Since  $M \neq N$ , it cannot be that  $M = N = \emptyset$ . Moreover it holds that  $X \neq \emptyset$ . Indeed, suppose that  $M \setminus N$  is empty, then for all  $e \in M$   $M(e) \leq N(e)$  and since  $M \neq N$  it will hold that  $N \neq \emptyset$ , which will lead  $N \setminus M$  not empty and therefore (contradiction), for the existential in the second condition  $X$  cannot be empty. Moreover from its definition  $X \subseteq M$ . Moreover  $N = (M \setminus (M \setminus N)) \cup (N \setminus M)$  and so  $N = (M \setminus X) \cup Y$ . From the last hypothesis it holds that for all  $n \in Y$  there exists  $m \in X$  such that  $m > n$ . We conclude  $M >_{\text{mul}} N$ .

The **lexicographic order**  $>_{\text{lex}}$  for the Cartesian product  $\times$  of two domains  $(A, >_A)$  and  $(B, >_B)$  is defined as follows:  $(a_1, b_1) >_{\text{lex}} (a_2, b_2)$  if and only if  $a_1 > a_2$  or  $a_1 = a_2$  and  $b_1 > b_2$ . This order can be readily extended on Cartesian products of arbitrary length by recursively applying this definition, i.e. by observing that  $A \times B \times C = A \times (B \times C)$ .

In the following, let  $\Sigma$  be a finite signature,  $V$  a set of variables and  $T(\Sigma, V)$  the terms built from those sets.

Let  $\mathbf{status}(f) \in \{\text{mul}, \text{lex}\}$  a *status* function on  $\Sigma$  and let  $>$  be a strict order on  $\Sigma$ . The **recursive path order**  $>_{\text{rpo}}$  on  $T(\Sigma, V)$  induced by  $>$  is defined as follows.  $s >_{\text{rpo}} t$  if and only if one of the following holds:

1.  $t$  is a variable appearing in  $s$  and  $s \neq t$ , or

let  $s = f(s_1, \dots, s_m)$  and  $t = g(t_1, \dots, t_n)$ ,

2. there exists  $i \in [1, m]$  such that  $s_i \geq_{\text{rpo}} t$ , or
3.  $f > g$  and  $s >_{\text{rpo}} t_j$  for all  $j \in [1, n]$ , or
4.  $f = g$ , for all  $j \in [1, n]$  it holds  $s >_{\text{rpo}} t_j$  and  $(s_1, \dots, s_m)(>_{\text{rpo}})_{\mathbf{status}(f)}(t_1, \dots, t_m)$ .

The **lexicographic path order** is a recursive path order s.t. for all  $f \in \Sigma$ ,  $\mathbf{status}(f) = \text{lex}$ , whereas the **multiset path order** is a recursive path order s.t. for all  $f \in \Sigma$ ,  $\mathbf{status}(f) = \text{mul}$ , where we define  $(s_1, \dots, s_m)(>_{\text{rpo}})_{\text{mul}}(t_1, \dots, t_m)$  as  $\{\} s_1, \dots, s_m \{\} (>_{\text{rpo}})_{\text{mul}} \{\} t_1, \dots, t_m \{\}$ .

### Exercise 3 :

1. Prove the termination of the Ackermann's function by well-founded induction.

$$\begin{aligned} \text{Ack } 0 \ y &= y + 1 \\ \text{Ack } x \ 0 &= \text{Ack } (x - 1) \ 1 \\ \text{Ack } x \ y &= \text{Ack } (x - 1) (\text{Ack } x (y - 1)) \end{aligned}$$

The following rewrite system simulates this function.

$$\begin{aligned} \mathbf{a}(0, y) &\rightarrow \mathbf{s}(y) \\ \mathbf{a}(\mathbf{s}(x), 0) &\rightarrow \mathbf{a}(x, \mathbf{s}(0)) \\ \mathbf{a}(\mathbf{s}(x), \mathbf{s}(y)) &\rightarrow \mathbf{a}(x, \mathbf{a}(\mathbf{s}(x), y)) \end{aligned}$$

2. Consider the well-founded domain  $(\text{Mult}(\mathbb{N} \times \mathbb{N}), (>_{\text{lex}})_{\text{mul}})$ . Prove the termination using the following abstraction:

$$\begin{aligned} \phi : T(\{\mathbf{a}, \mathbf{s}\}, X) &\rightarrow \text{Mult}(\mathbb{N} \times \mathbb{N}) \\ t &\rightarrow \{\} (|u|, |v|) \mid t|_{p \in \text{Pos}(t)} = \mathbf{a}(u, v) \{\} \end{aligned}$$

where  $|0| = 1$ ,  $|\mathbf{a}(x, y)| = |x| + |y| + 1$  and  $|\mathbf{s}(x)| = |x| + 1$ .

3. Prove the termination using a RPO.

### Solution:

(1) Induction on  $(\mathbb{N} \times \mathbb{N}, >_{\text{lex}})$ . We prove that the calculus of  $\text{Ack } u \ v$  terminates by induction  $(u, v)$  ordered lexicographically on integers.

- Base cases:  $\text{Ack}$  terminates for  $(0, n)$ ,  $n \in \mathbb{N}$ ;
- We need to show that  $\text{Ack}$  terminates for  $(n, m)$ ,  $n > 0$ . Induction hypothesis:  $\text{Ack}$  terminates for all  $(j, k)$  such that  $j < n$  or  $(j = n \text{ and } k < m)$ . If  $m = 0$  then by induction hypothesis the function terminates since  $(n - 1, 1) < (n, m)$ . Instead, if  $m > 0$ , by induction hypothesis the function terminates on input  $(n, m - 1)$  with output  $r$  and terminates on input  $(n - 1, r)$ .

(2) Let  $s \rightarrow t$ ,

- if  $s = C[\mathbf{a}(0, t')]$  and  $t = C[\mathbf{s}(t')]$ , then  $\phi(t) = \phi(s) \setminus \{\} (1, |t'|) \{\}$ . Therefore it holds  $\phi(s)(>_{\text{lex}})_{\text{mul}}\phi(t)$ ;
- if  $s = C[\mathbf{a}(\mathbf{s}(t'), 0)]$  and  $t = C[\mathbf{a}(\mathbf{s}(t'), \mathbf{s}(0))]$ , then  $\phi(t) = \phi(s) \cup \{\} (|t'|, 2) \{\} \setminus \{\} (|t'| + 1, 1) \{\}$ ;

- if  $s = C[\mathbf{a}(\mathbf{s}(t'), \mathbf{s}(t''))]$  and  $t = C[\mathbf{a}(t', \mathbf{a}(\mathbf{s}(t'), t''))]$ , then

$$\phi(t) = \phi(s) \cup \{ (|t'|, |t'| + |t''| + 2), (|t'| + 1, |t''|) \} \setminus \{ (|t'| + 1, |t''| + 1) \}.$$

(3) Let  $>$  be such that  $\mathbf{a} > \mathbf{s}$  and let  $\text{status}(\mathbf{a}) = \text{status}(\mathbf{s}) = \text{lex}$ . It holds:

- $\mathbf{a}(0, t) >_{\text{rpo}} \mathbf{s}(t)$ , since  $\mathbf{a} > \mathbf{s}$  and  $\mathbf{a}(0, t) >_{\text{rpo}} t$ ;
- $\mathbf{a}(\mathbf{s}(t), 0) >_{\text{rpo}} \mathbf{a}(t, \mathbf{s}(0))$  since  $\mathbf{a}(\mathbf{s}(t), 0) > t$ ,  $\mathbf{a}(\mathbf{s}(t), 0) > \mathbf{s}(0)$  and  $(\mathbf{s}(t), 0) (>_{\text{rpo}})_{\text{lex}} (t, \mathbf{s}(0))$ ;
- $\mathbf{a}(\mathbf{s}(t), \mathbf{s}(t')) >_{\text{rpo}} \mathbf{a}(t, \mathbf{a}(\mathbf{s}(t), t'))$  since

$$\mathbf{a}(\mathbf{s}(t), \mathbf{s}(t')) >_{\text{rpo}} t, \quad \mathbf{a}(\mathbf{s}(t), \mathbf{s}(t')) >_{\text{rpo}} \mathbf{a}(\mathbf{s}(t), t'), \quad (\mathbf{s}(t), \mathbf{s}(t')) (>_{\text{rpo}})_{\text{lex}} (t, \mathbf{a}(\mathbf{s}(t), t'))$$

#### Exercise 4:

Show that is not possible to prove termination using lexicographic path ordering of the following term rewrite system:

$$\{ \mathbf{a}(\mathbf{a}(x)) \rightarrow \mathbf{s}(x), \quad \mathbf{s}(\mathbf{s}(x)) \rightarrow \mathbf{a}(x) \}$$

#### Solution:

Suppose  $\mathbf{a} > \mathbf{s}$ . Let  $s \rightarrow t$  with  $s = C[\mathbf{s}(\mathbf{s}(t'))]$  and  $t = C[\mathbf{a}(t')]$ . Then we need to prove that  $\mathbf{s}(\mathbf{s}(t')) >_{\text{rpo}} \mathbf{a}(t')$ , which does not hold. If instead  $\mathbf{s} > \mathbf{a}$ , then from the first rule we get  $\mathbf{a}(\mathbf{a}(t')) >_{\text{rpo}} \mathbf{s}(t')$  which, again, does not hold.

#### Exercise 5:

Show that the termination of the following rewriting system cannot be proven with lexicographic path order but can be proven with multiset path order.

$$\begin{array}{ll} 0 + x \rightarrow 0 & 0 \times x \rightarrow x \\ \mathbf{s}(x) + y \rightarrow \mathbf{s}(x + y) & \mathbf{s}(x) \times y \rightarrow (y \times x) + y \end{array}$$

#### Solution:

We need to impose the precedence  $\times > + > \mathbf{s}$ . With this, the lexicographic path order cannot orient the last rule as it does not hold that  $(\mathbf{s}(x), y) >_{\text{lex}} (y, x)$ . Instead, it holds  $\{ \mathbf{s}(x), y \} >_{\text{mul}} \{ y, x \}$  since  $\mathbf{s}(x)$  dominates  $x$ .

Let  $>$  be a strict order on  $\Sigma$  and  $w : \Sigma \cup V \rightarrow \mathbb{R}_0^+$  be a weight function  $w : \Sigma \cup V \rightarrow \mathbb{R}_0^+$ . The **Knuth-Bendix order** (KBO)  $>_{\text{kbo}}$  on  $T(\Sigma, V)$  induced by  $>$  and  $w$  is defined as follows: for  $s, t \in T(\Sigma, V)$  we have  $s >_{\text{kbo}} t$  if and only if  $|s|_x \geq |t|_x$  for all  $x \in V$  and  $w(s) \geq w(t)$ . Moreover, if  $w(s) = w(t)$  then one of the following properties must hold:

1. There are a unary function  $f$ ,  $x \in V$  and  $n \in \mathbb{N}^{\geq 1}$  s.t.  $s = f^n(x)$  and  $t = x$ , or
2. there exist function symbols  $f, g$  s.t.  $f > g$  and  $s = f(s_1, \dots, s_m)$  and  $t = g(t_1, \dots, t_n)$ , or
3. there exist a function symbol  $f$  such that  $s = f(s_1, \dots, s_m)$ ,  $t = f(t_1, \dots, t_m)$  and

$$(s_1, \dots, s_m) (>_{\text{kbo}})_{\text{lex}} (t_1, \dots, t_m).$$

A weight function  $w : \Sigma \cup V \rightarrow \mathbb{R}_0^+$  is called **admissible** if and only if it satisfy the following properties w.r.t. a strict order  $>$ :

1. There exists  $w_0 \in \mathbb{R}_0^+ \setminus \{0\}$  s.t.  $w(x) = w_0$  for all  $x \in V$  and  $w(c) \geq w_0$  for all constants  $c \in \Sigma$ .
2. If  $f \in \Sigma$  is a unary function symbol of weight  $w(f) = 0$  then  $f$  is the greatest element in  $\Sigma$ , i.e.  $f \geq g$  for all  $g \in \Sigma$ .

**Exercise 6 :**

Using a KBO, prove the termination of:

1.  $\{ \mathbf{1}(x) + (y + z) \rightarrow x + (\mathbf{1}(\mathbf{1}(y)) + z), \mathbf{1}(x) + (y + (z + w)) \rightarrow x + (z + (y + w)) \}$
2.  $\{ \mathbf{r}^n(\mathbf{1}^k(x)) \rightarrow \mathbf{1}^k(\mathbf{r}^m(x)) \}$ , where  $n, k > 0$  and  $m \geq 0$ .

**Solution:**

(1) Let  $\mathbf{1} > +$ ,  $w(s) = 0$ ,  $w(+)=w(x) > 0$  for each variable  $x$ . For both rules, it holds that the weight does not change after the rewrite step. To prove that  $\mathbf{1}(x) + (y + z) >_{\text{kbo}} x + (\mathbf{1}(\mathbf{1}(y)) + z)$  we therefore need to prove the third condition, which holds since  $\mathbf{1}(x) >_{\text{kbo}} x$ . Similarly, to prove  $\mathbf{1}(x) + (y + (z + w)) >_{\text{kbo}} x + (z + (y + w))$ , it is sufficient to show that  $\mathbf{1}(x) >_{\text{kbo}} x$ . Notice that it does not hold  $(y + (z + w)) >_{\text{kbo}} z + (y + w)$  because of the ordering of the variables.

(2) Let  $\mathbf{r} > \mathbf{1}$ ,  $w(\mathbf{r}) = 0$  and  $w(\mathbf{1}) = 1$ . It holds that  $w$  is admissible. Let  $s \rightarrow t$  with  $s = C[\mathbf{r}^n(\mathbf{1}^k(t'))]$  and  $t = C[\mathbf{1}^k(\mathbf{r}^m(t'))]$ . From the definition of  $w$ , it holds that  $w(s) = w(t)$  since the number of occurrences of the function symbol  $\mathbf{1}$  is the same in  $s$  and  $t$ . By applying the definition of  $>_{\text{kbo}}$ , we get that we need to show  $\mathbf{r}^n(\mathbf{1}^k(t')) >_{\text{kbo}} \mathbf{1}^k(\mathbf{r}^m(t'))$ , which holds since  $\mathbf{r} > \mathbf{1}$ .

A strict order  $>$  on  $T(\Sigma, V)$  is called a **rewrite order** if and only iff

1. is *compatible*: for all  $s_1, s_2 \in T(\Sigma, V)$ , all  $f \in \Sigma$ , if  $s_1 > s_2$  then

$$f(t_1, \dots, t_{i-1}, s_1, t_{i+1}, \dots, t_n) > f(t_1, \dots, t_{i-1}, s_2, t_{i+1}, \dots, t_n)$$

where  $n$  is the arity of  $f$ ;

2. is *closed under substitution*: for all  $s_1, s_2 \in T(\Sigma, V)$  and all substitutions  $\sigma : V \rightarrow T(\Sigma, V)$ , if  $s_1 > s_2$  then  $\sigma(s_1) > \sigma(s_2)$ .

A strict order  $>$  on  $T(\Sigma, V)$  satisfies the **subterm property** (and is called *simplification order*) if and only if it is a rewrite order such that for all terms  $t \in T(\Sigma, V)$  and all positions  $p \in \text{Pos}(t) \setminus \{\epsilon\}$  it holds  $t > t|_p$ .

**Exercise 7 :**

In the following, we refer to  $s$ ,  $t$  and  $w$  as in the definition of KBO. We will now prove some properties of this order to make it more clear.

1. Assume that  $f$  is of arity 1,  $w(f) = 0$  and that there is  $g$  such that  $f \not> g$ . Prove that under this conditions  $>_{\text{kbo}}$  does not satisfy the subterm property.

Prove that, if  $w$  is admissible for the strict order  $>$  then  $>_{\text{kbo}}$  on  $T(\Sigma, V)$  induced by  $>$  and  $w$  has the subterm property. To do so, prove the followings:

2. Assume that  $w(s) = w(t)$  and that  $t$  is a strict subterm of  $s$ . Prove that there exist a unary function  $f$  and a positive integer  $k$  such that  $w(f) = 0$  and  $s = f^k(t)$ ;
3. Prove that  $>_{\text{kbo}}$  is a strict order;
4. Prove that  $>_{\text{kbo}}$  is a rewrite order;
5. Conclude that  $>_{\text{kbo}}$  has the subterm property.

**Solution:**

(1) Let  $t = g(t_1, \dots, t_n)$  be an arbitrary term with root symbol  $g$ , define  $s = f(t)$  and let  $s \rightarrow t$ . Since  $w(f) = 0$  we have  $w(s) = w(t)$ . Obviously, the first and third condition of KBO cannot hold, so  $>_{\text{kbo}}$  holds if and only if the second condition holds. But this cannot happen since  $f \not> g$ .

(2) Proof by induction on the size of  $s$ . Since  $t$  is a strict subterm of  $s$ , there are an  $n \geq 1$  and an  $n$ -ary function symbol  $f$  such that  $s = f(s_1, \dots, s_n)$  and  $t$  is a subterm of  $s_i$  for some  $i \in [1, n]$ . First we show that  $n = 1$  and  $w(f) = 0$ :

- Assume  $n > 1$ . We have  $w(s) = w(f) + \sum_{j=1}^n w(s_j)$  and (since  $w$  admissible) we know that for all  $j$ ,  $w(s_j) \geq w_0 > 0$ . Thus,  $n > 1$  implies  $w(s) > w(s_i)$  and therefore  $w(s) > w(t)$ . This contradicts the hypothesis  $w(s) = w(t)$ .
- Assume  $w(f) > 0$ , then, even for  $n = 1$ ,  $w(s) = w(f) + \sum_{j=1}^n w(s_j) > w(s_i) \geq w(t)$ . This contradicts the hypothesis  $w(s) = w(t)$ .

This shows that  $s = f(s')$  where  $f$  is unary,  $w(f) = 0$  and  $s'$  has  $t$  as a subterm. For  $s' = t$  we are done. Otherwise we can apply the induction hypothesis since  $t$  is a strict subterm of  $s'$ ,  $w(s') = w(s) = w(t)$  and  $|s'| < |s|$ .

(3) Assume that  $>_{\text{kbo}}$  is not *irreflexive*. then  $s$  be a term of minimal size such that  $s >_{\text{kbo}} s$ . Since  $w(s) = w(s)$  and the root symbol is the same, then we obtain  $s_i >_{\text{kbo}} s_i$  for all  $i \in [1, n]$  where  $n$  is the arity of the root symbol of  $s$ . This contradicts the minimality of  $s$ . To show *transitivity* assume  $r >_{\text{kbo}} s$  and  $s >_{\text{kbo}} t$ , we prove  $r >_{\text{kbo}} t$  by induction on the size of  $r$ .

- From  $r >_{\text{kbo}} s$  and  $s >_{\text{kbo}} t$  we deduce that, for all variables  $x$ ,  $|r|_x \geq |s|_x$  and  $|s|_x \geq |t|_x$  hold, thus we have  $|r|_x \geq |t|_x$ . The variables condition is therefore satisfied.
- $r >_{\text{kbo}} s$  and  $s >_{\text{kbo}} t$  also yield  $w(r) \geq w(s)$  and  $w(s) \geq w(t)$ , which implies  $w(r) \geq w(t)$ . Moreover if  $w(r) > w(s)$  or  $w(s) > w(t)$  then  $w(r) > w(t)$  and we are done.

We can assume  $w(r) = w(s) = w(t)$ . Moreover the second point of the definition cannot hold for  $r >_{\text{kbo}} s$ , since  $s >_{\text{kbo}} t$  implies that  $s$  is not a variable. Therefore  $r$  and  $s$  have a function symbol as root, i.e.  $r = f(r_1, \dots, r_i)$  and  $s = g(s_1, \dots, s_m)$ , such that  $f \geq g$ .

1. If  $s >_{\text{kbo}} t$  satisfies the first condition then  $t = x$  for a variable  $x$  and  $|r|_x \geq |t|_x$  implies that  $x$  occurs in  $r$ . Since the root symbol of  $r$  is a function symbol we have  $r \neq x$  and from the previous point we have  $r >_{\text{kbo}} t$ .
2. If instead  $s >_{\text{kbo}} t$  satisfies the second or third condition, then we know that there exists a function symbol  $h$  such that  $g \geq h$  and  $t = h(t_1, \dots, t_n)$ . If  $f > g$  or  $g > h$  then we have  $f > h$  and by the second condition  $r >_{\text{kbo}} t$ . Otherwise, assume  $f = g = h$ . Then both  $r >_{\text{kbo}} s$  and  $s >_{\text{kbo}} t$  satisfy the third condition. By induction hypothesis, from the definition of  $(>_{\text{kbo}})_{\text{lex}}$  we get  $r >_{\text{kbo}} t$ .

(4) We first show that  $>_{\text{kbo}}$  is compatible. Assume  $s_1 >_{\text{kbo}} s_2$  and  $f$   $n$ -ary function symbol. We must show that the following holds

$$f(t_1, \dots, t_{i-1}, s_1, t_i, \dots, t_n) >_{\text{kbo}} f(t_1, \dots, t_{i-1}, s_2, t_i, \dots, t_n)$$

From  $s_1 >_{\text{kbo}} s_2$  we can deduce that  $|s_1|_x \geq |s_2|_x$  for all variables  $x$ . This obviously implies

$$|f(t_1, \dots, t_{i-1}, s_1, t_i, \dots, t_n)|_x \geq |f(t_1, \dots, t_{i-1}, s_2, t_i, \dots, t_n)|_x$$

Moreover, if  $w(s_1) > w(s_2)$  then

$$w(f(t_1, \dots, t_{i-1}, s_1, t_i, \dots, t_n)) > w(f(t_1, \dots, t_{i-1}, s_2, t_i, \dots, t_n))$$

and yields our thesis. Assume instead  $w(s_1) = w(s_2)$ . This implies that

$$w(f(t_1, \dots, t_{i-1}, s_1, t_i, \dots, t_n)) = w(f(t_1, \dots, t_{i-1}, s_2, t_i, \dots, t_n))$$

and since the root symbols of the two terms are the same, the thesis holds if and only if the third condition of KBO is satisfied. This is trivial since  $t_1 = t_1, \dots, t_{i-1} = t_{i-1}$  and  $s_1 >_{\text{kbo}} s_2$ . To show instead that  $>_{\text{kbo}}$  is closed under substitution, assume  $s_1 >_{\text{kbo}} s_2$  and let  $\sigma : V \rightarrow T(\Sigma, V)$  be a substitution. We show  $\sigma(s_1) >_{\text{kbo}} \sigma(s_2)$  by induction on the size of  $s_1$ . First, consider the variable condition. Let  $X$  be the set of variables appearing in  $s_1$ . Because of  $s_1 >_{\text{kbo}} s_2$  we know that  $|s_1|_x \leq |s_2|_x$  for all variables  $x$ . For an arbitrary variable  $x$  we have

$$|\sigma(s_1)|_x - |\sigma(s_2)|_x = \sum_{y \in X} |\sigma(y)|_x (|s_1|_y - |s_2|_y) \geq 0$$

Thus the variable condition is satisfied. A similar computation can be done for weights:

$$w(\sigma(s_1)) - w(\sigma(s_2)) = w(s_1) - w(s_2) + \sum_{y \in X} (|s_1|_y - |s_2|_y)(w(\sigma(y)) - w_0)$$

For all  $y \in X$ , it holds  $|s_1|_y - |s_2|_y \geq 0$  and  $w(\sigma(y)) - w_0 \geq 0$ . Consequently  $w(s_1) > w(s_2)$  implies  $w(\sigma(s_1)) > w(\sigma(s_2))$  which yields  $\sigma(s_1) >_{\text{kbo}} \sigma(s_2)$ . Assume instead that  $w(s_1) = w(s_2)$  and hence  $w(\sigma(s_1)) \geq w(\sigma(s_2))$ . If  $w(\sigma(s_1)) > w(\sigma(s_2))$ , then  $\sigma(s_1) >_{\text{kbo}} \sigma(s_2)$ . Otherwise we consider one subcase for each condition of KBO:

1. If  $s_1 >_{\text{kbo}} s_2$  holds for the first condition, then  $s_1 = f^k(x)$  and  $s_2 = x$  for a unary symbol  $f$  of weight 0 a variable  $x$  and a positive integer  $k$ . We show  $\sigma(s_1) >_{\text{kbo}} \sigma(s_2)$  by induction on the size of  $\sigma(x)$ . If  $\sigma(x) = y$  is a variable, then the result trivially holds for the first condition of KBO. Otherwise  $\sigma(x) = g(t_1, \dots, t_n)$  for a function symbol  $g$  of arity  $n$ . If  $f \neq g$  then  $f > g$  from the admissibility of  $w$  and thus  $\sigma(s_1) = f^k(g(t_1, \dots, t_n)) >_{\text{kbo}} g(t_1, \dots, t_n) = \sigma(s_2)$  holds from the second condition of KBO. If  $f = g$  then the third condition must apply and we need to prove that  $f^k(t_1) >_{\text{kbo}} t_1$ . By taking a substitution  $\sigma'$  such that  $\sigma'(x) = t_1$  then the induction hypothesis (applicable since  $\sigma'(x)$  is smaller than  $\sigma(x)$ ) yields  $f^k(t_1) = \sigma'(s_1) >_{\text{kbo}} \sigma'(s_2) = t_1$ .
2. If  $s_1 >_{\text{kbo}} s_2$  holds for the second condition, then the root symbol  $f$  of  $s_1$  and the root symbol  $g$  of  $s_2$  are such that  $f > g$ . Obviously  $\sigma(s_1)$  has root symbol  $f$  whereas  $\sigma(s_2)$  as root symbol  $g$ , and thus  $\sigma(s_1) >_{\text{kbo}} \sigma(s_2)$ .
3. If  $s_2 >_{\text{kbo}} s_1$  holds for the third condition then the root symbols for  $s_1$ ,  $s_2$ ,  $\sigma(s_1)$  and  $\sigma(s_2)$  are the same. Let  $s_1 = f(s_1, \dots, s_m)$  and  $s_2 = f(t_1, \dots, t_m)$ . It holds that there exists  $i \in [1, m]$  such that  $s_1 = t_1, \dots, s_{i-1} = t_{i-1}$  and  $s_i >_{\text{kbo}} t_i$ . This implies  $\sigma(s_1) = \sigma(t_1), \dots, \sigma(s_{i-1}) = \sigma(t_{i-1})$  and by induction  $\sigma(s_i) >_{\text{kbo}} \sigma(t_i)$  (since  $s_i$  is smaller than  $s_1$ ). Thus  $\sigma(s_1) >_{\text{kbo}} \sigma(s_2)$  holds.

(5) To show the subterm property, recall that  $s >_{\text{kbo}} x$  for all variables  $x$  and terms  $s \neq x$  that contain  $x$ . This, together with the fact that  $>_{\text{kbo}}$  is closed under substitutions, obviously implies the subterm property.