

# Diagnosis in Infinite-State Probabilistic Systems

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Faults and/or failures are unavoidable for some systems:

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Necessity of a **reactive** and **sound** diagnoser.

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## Infinite number of states

- ▶ Open systems (requests, threads, etc.);
- ▶ Dynamic data structures (stack, queue, etc.).

# Outline

Diagnosability specifications

Characterising diagnosability for infinite-state systems

Deciding diagnosability of visibly pushdown models

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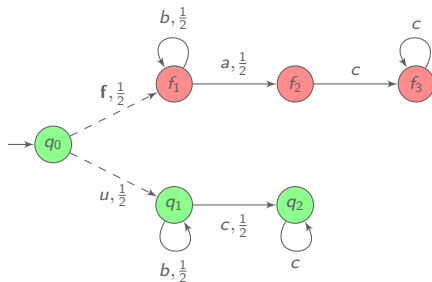
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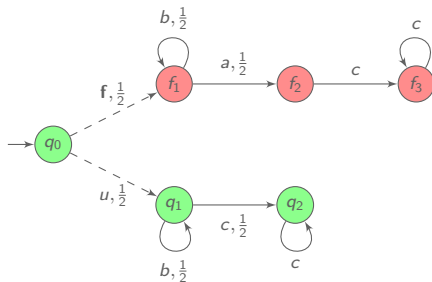
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*Diagnoser:* must tell whether a fault  $\mathbf{f}$  occurred, based on observations.



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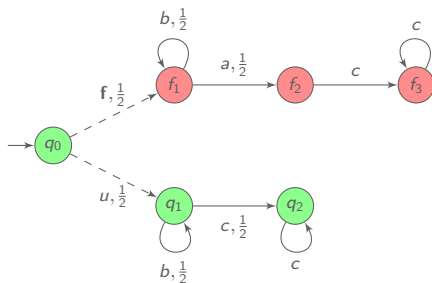
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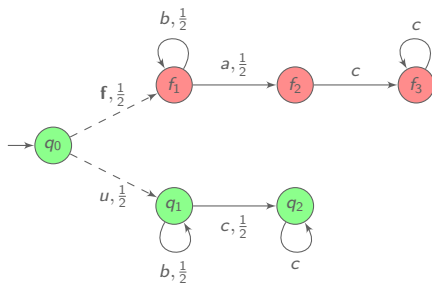
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- ?  $b$  is ambiguous since  $\mathcal{P}^{-1}(b) = \{q_0 \mathbf{f} f_1 b f_1, q_0 u q_1 b q_1\}$ .

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Diagnoser requirements:

- ▶ **Soundness:** if a fault is claimed, a fault occurred
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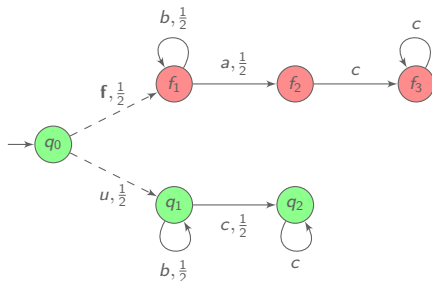
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A sound and reactive diagnoser: claim a fault when  $a$  occurs.

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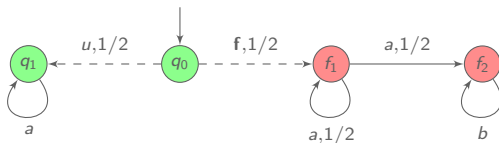
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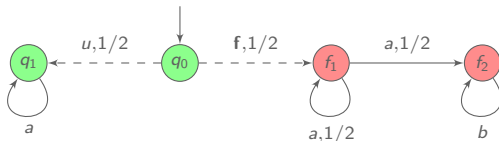


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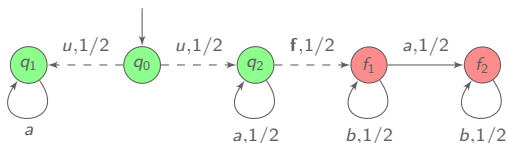
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2. Consider infinite observed sequences or their finite prefixes?



Infinite sequence  $a^\omega$  is surely correct.  
For every  $n$ ,  $a^n$  is ambiguous, and has probability greater than  $\frac{1}{2}$ .

# Four diagnosability specifications

[BHL 14]

[BHL 14] Bertrand, Haddad and Lefaucheu, *Foundation of Diagnosis and Predictability in Probabilistic Systems*, FSTTCS'14

Diagnosability	All runs		Faulty runs
Finite prefixes	FA	$\Rightarrow$ $\not\Leftarrow$	FF
	$\Downarrow \Uparrow$		$\Downarrow \Uparrow^*$
Infinite sequences	IA	$\Rightarrow$ $\not\Leftarrow$	IF

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All diagnosability problems are PSPACE-complete.  
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What about infinite-state probabilistic systems?

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Characterising diagnosability for infinite-state systems

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# Quest for a characterisation

**Objective:** a simple qualitative characterisation independent of probability values

$\mathcal{N}$  is diagnosable iff  $\mathbb{P}_{\mathcal{N}}(B) \bowtie p$ , where:

- ▶  $p \in \{0, 1\}$ ,  $\bowtie \in \{<, =, >\}$ ;
- ▶  $(\star)$   $B$  belongs to a low level of Borel hierarchy and
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Definitions are not directly applicable:

- IA       $\mathbb{P}(\text{Amb}_{\infty}) = 0$        $\text{Amb}_{\infty}$  analytic set, a priori not Borel
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- FA       $\lim_{n \rightarrow \infty} \mathbb{P}(\text{Amb}_n) = 0$        $(\text{Amb}_n)_{n \in \mathbb{N}}$  family of Borel sets
- FF       $\lim_{n \rightarrow \infty} \mathbb{P}(\text{FAmb}_n) = 0$        $(\text{FAmb}_n)_{n \in \mathbb{N}}$  family of Borel sets

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$\mathcal{N}$  is FF-diagnosable iff  $\mathcal{N} \models \mathbb{P}^0(\Diamond\Box(\mathcal{U} \wedge f))$ .

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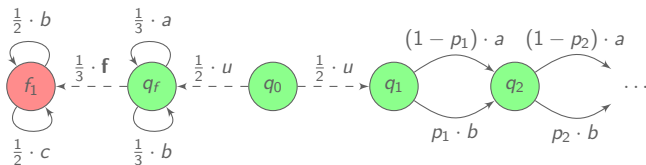
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- ▶  $\mathfrak{W}(\rho) \equiv$  last observation does not change time of earliest possible fault

$\mathcal{N}$ , finitely branching, is IA-diagnosable iff  $\mathcal{N} \models \mathbb{P}^0(\diamond\Box(\mathfrak{U} \wedge \mathfrak{W}))$ .

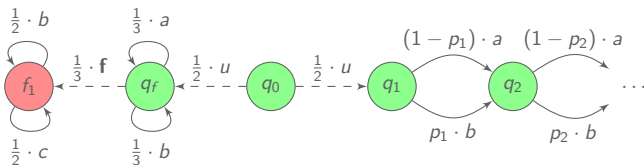
# About expressiveness of the FA-diagnosability

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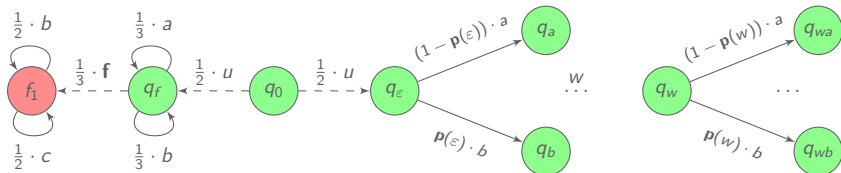


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There does not exist a Borel set  $B$  only depending on the underlying LTS such that  $\mathbb{P}(B) > 0$  characterises FA-diagnosability.



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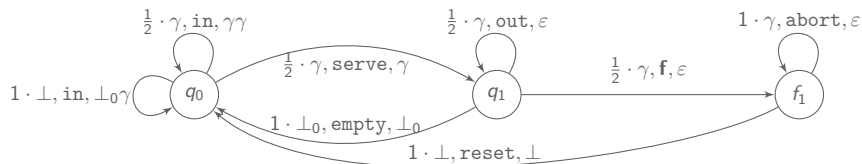
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Equip the model with atomic propositions reflecting the path formulae.

# Probabilistic Visibly Pushdown Automata (pVPA)

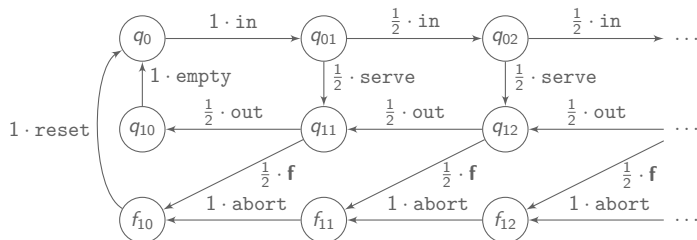


The action determines the operation on the stack.  
i.e. the size of the stack is always known.

## Iterative behaviour of a server.

1. A server takes an arbitrary list of requests.
2. It starts serving them until
  - 2.1 all of them are satisfied.
  - 2.2 or an error occurred then it drops all the following requests.

# Semantics of pVPA



Observation of pop events:  $\mathcal{P}(\text{out}) = \mathcal{P}(\mathbf{f}) = \mathcal{P}(\text{abort}) = \text{pop}$ .

$$\begin{aligned}
 (q_0, |\perp_0|) &\xrightarrow{\text{in}} (q_0, \left| \begin{array}{c} \gamma \\ \perp_0 \end{array} \right|) \xrightarrow{\text{in}} (q_0, \left| \begin{array}{c} \gamma \\ \gamma \\ \perp_0 \end{array} \right|) \xrightarrow{\text{serve}} (q_1, \left| \begin{array}{c} \gamma \\ \gamma \\ \perp_0 \end{array} \right|) \xrightarrow{\text{out}} (q_1, \left| \begin{array}{c} \gamma \\ \perp_0 \end{array} \right|) \xrightarrow{\text{out}} (q_1, |\perp_0|) \xrightarrow{\text{empty}} (q_0, |\perp_0|) \\
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- ▶ translation of path formulae into atomic propositions;
- ▶ model checking of qualitative pLTL formulae. [EY 12]

[EY 12] Etesami and Yannakakis, *Model checking recursive probabilistic systems*, ACMToCL 2012

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FF-diagnosability, IF-diagnosability and IA-diagnosability  
are decidable in EXPSPACE for pVPA.

# Details on the determinisation

- ▶ Inspired by original determinisation of [AM 04]
- ▶ With tags customized for diagnosis borrowed from [HHMS 13]

[AM 04] Alur and Madhusudan. *Visibly pushdown languages*, STOC'04

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**stack symbol** = set of tuples  $\frac{\gamma, X, q}{\gamma^-, X^-, q^-}$  corresponding to possible runs:

- states  $q, q^-$ :  $q$  reached after the last action;  
 $q^-$  reached after the last push;
- tags  $X, X^-$ :  $X$  status after last action  
 $U = \text{correct}, V = \text{recent fault}, W = \text{old fault};$   
 $X^-$  status after the last push
- original stack symbols  $\gamma, \gamma^-$ :  $\gamma$  the top stack symbol;  
 $\gamma^-$  last but top stack symbol

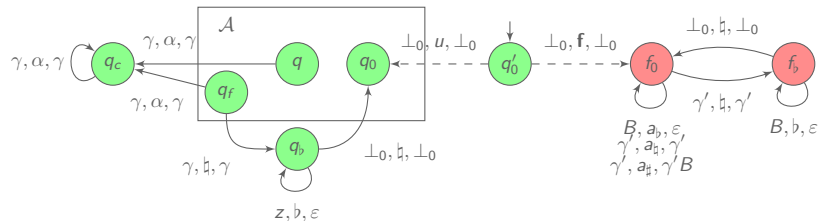
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# Hardness of diagnosis

Diagnosability is EXPTIME-hard for pVPA.

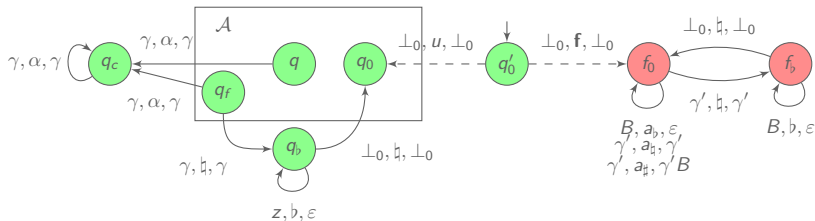
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Reduction from the universality problem for VPA.



Diagnosability is undecidable for probabilistic pushdown automata.

Reduction from the Post Correspondence Problem.

Already holds for restricted classes of pPDA (two phases).

# Conclusion

## Summary of contributions

- ▶ Characterisation of diagnosability via qualitative probabilistic formulae;
- ▶ Lower and upper bounds for diagnosis of visibly pushdown systems.



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## Future work

- ▶ Reduction of the complexity gap between lower and upper bounds;
- ▶ Diagnosis of other infinite state stochastic systems;
- ▶ Diagnosis for continuous-time stochastic systems.

# Enlarging the pVPA

## Ad-hoc determinised VPA.

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- $q, q^- \in Q$   
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- $X, X^- \in \{U, V, W\}$   
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 $U$  for a correct run,  $V$  for a young faulty run and  $W$  for an old faulty run;
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## The enlarged pVPA is a synchronized product of:

- the determinised VPA and
- the original pVPA with fault memory.

# From runs to observation

$$\begin{array}{c}
 (q_0, |\perp_0|) \xrightarrow{\text{in}} (q_0, \left| \begin{array}{c} \gamma \\ \perp_0 \end{array} \right\rangle) \xrightarrow{\text{in}} (q_0, \left| \begin{array}{c} \gamma \\ \perp_0 \end{array} \right\rangle) \xrightarrow{\text{serve}} (q_1, \left| \begin{array}{c} \gamma \\ \perp_0 \end{array} \right\rangle) \xrightarrow{\text{out}} (q_1, |\perp_0|) \xrightarrow{\text{out}} (q_1, |\perp_0|) \xrightarrow{\text{empty}} (q_0, |\perp_0|) \\
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 \end{array}$$

with  $\mathcal{P}(\text{out}) = \mathcal{P}(\mathbf{f}) = \mathcal{P}(\text{abort}) = \text{pop}$ .

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 (\left\{ \left\{ \begin{array}{c} \text{U}, q_1 \\ \perp_0, \text{U}, q_0 \end{array} \right\}, \left\{ \begin{array}{c} \perp_0, \text{U}, q_0 \\ \perp_0, \text{U}, q_0 \end{array} \right\} \right\}) \xleftarrow{\text{pop}} (\text{run}, \left| \left\{ \begin{array}{c} \gamma, \text{U}, q_1 \\ \perp_0, \text{U}, q_0 \\ \gamma, \text{W}, f_1 \\ \perp_0, \text{U}, q_0 \\ \perp_0, \text{U}, q_0 \end{array} \right\} \right\rangle) \xleftarrow{\varepsilon} (\left\{ \left\{ \begin{array}{c} \text{U}, q_1 \\ \gamma, \text{U}, q_0 \end{array} \right\}, \left\{ \begin{array}{c} \text{W}, f_1 \\ \gamma, \text{U}, q_0 \end{array} \right\} \right\}, \left| \left\{ \begin{array}{c} \gamma, \text{U}, q_0 \\ \perp_0, \text{U}, q_0 \\ \perp_0, \text{U}, q_0 \end{array} \right\} \right\rangle) \\
 \downarrow \varepsilon \quad \text{empty} \rightarrow (\text{run}, \left| \left\{ \begin{array}{c} \perp_0, \text{U}, q_0 \\ \perp_0, \text{U}, q_0 \end{array} \right\} \right\rangle) \\
 (\text{run}, \left| \left\{ \begin{array}{c} \perp_0, \text{U}, q_1 \\ \perp_0, \text{U}, q_0 \\ \perp_0, \text{W}, f_1 \\ \perp_0, \text{U}, q_0 \end{array} \right\} \right\rangle) \xrightarrow{\text{reset}} (\text{run}, \left| \left\{ \begin{array}{c} \perp_0, \text{W}, q_0 \\ \perp_0, \text{U}, q_0 \end{array} \right\} \right\rangle)
 \end{array}$$

# Deciding diagnosability

The atomic proposition  $U$  and  $W$  allows to express the path formulae  $f$ ,  $\mathcal{U}$  and  $\mathcal{W}$ .

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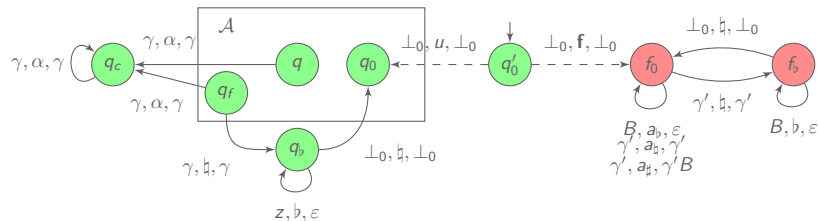
FF-diagnosability, IF-diagnosability and IA-diagnosability are decidable in EXPSPACE for pVPA.

- Boils down to pLTL model checking; [EY-TOCL12]
- Enlarged pVPA exponential in size.

# Hardness of diagnosability for pushdown systems

Diagnosability is EXPTIME-hard for pVPA.

Reduction from the universality problem for VPA.

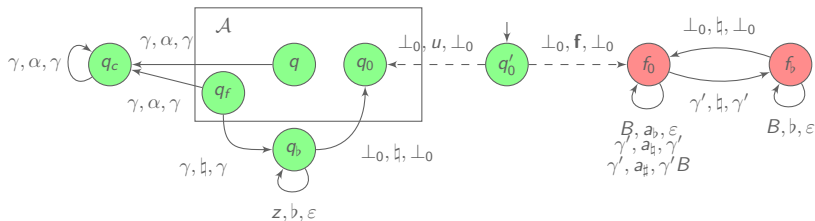




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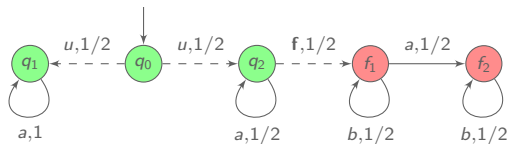
Reduction from the universality problem for VPA.



Diagnosability is undecidable for probabilistic pushdown automata.

Reduction from the Post Correspondence Problem to restricted classes of probabilistic pushdown automata.

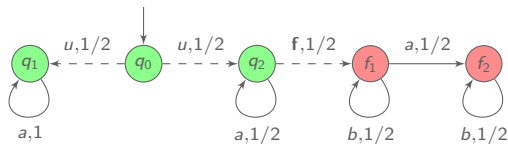
# Some useful path formulae



$f(\rho) = \mathbf{true}$  if  $\rho$  is faulty.

$f(\varepsilon) = \mathbf{false}$ .

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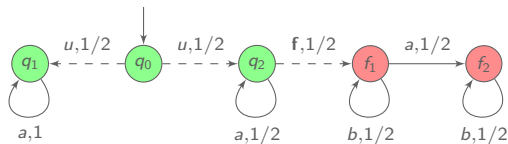
$f(\rho) = \mathbf{true}$  if  $\rho$  is faulty.

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$\mathcal{L}(\rho) = \mathbf{true}$  if there exists a correct run  $\rho'$  with  $\mathcal{P}(\rho') = \mathcal{P}(\rho)$ .

$\mathcal{L}(q_0 u q_2 f f_1 a f_2) = \mathbf{true}$ .

## Some useful path formulae



$f(\rho) = \mathbf{true}$  if  $\rho$  is faulty.

$f(\varepsilon) = \mathbf{false}$ .

$\mathcal{U}(\rho) = \mathbf{true}$  if there exists a correct run  $\rho'$  with  $\mathcal{P}(\rho') = \mathcal{P}(\rho)$ .

$\mathcal{U}(q_0 u q_2 \mathbf{f} f_1 a f_2) = \mathbf{true}$ .

$\mathcal{W}(\rho a q)$  is **true** if an oldest fault of  $\mathcal{P}(\rho)$  is also a fault in  $\mathcal{P}(\rho a)$ .

The only faulty run of observed sequence  $a$  is  $\rho = q_0 u q_2 \mathbf{f} f_1 a f_2$ .

$\rho$  is necessarily followed by a  $b$ .

So  $\mathcal{W}(q_0 u q_1 (a q_1)^2) = \mathbf{false}$ .