Automates d'arbre

# TD n°5 : Hedges and Alternation

Charlie Jacomme

October 10, 2017

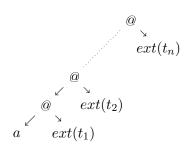
## **Exercise 1: Extensions**

#### **Definition 1 (extension encoding)**

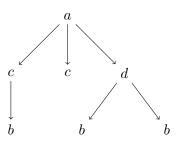
Let t be an unranked tree on  $\Sigma$ . Let  $\mathcal{F}_{ext}^{\Sigma} = \{@(2)\} \cup \{a(0) \mid a \in \Sigma\}$ . We define the ranked tree ext(t) by induction on the size of t by :

• for  $a \in \Sigma$ , ext(a) = a

• if  $t = a(t_1, ..., t_n)$  with  $n \ge 1$ ,  $ext(t) = @(ext(a(t_1, ..., t_{n-1})), ext(t_n))$ that is  $ext(a(t_1, ..., t_n))$  is equal to :



Give the extension encoding of :



# Exercise 2: The soundess of the extension

Let L be a language of unranked trees. Prove that L is recognizable by a NFHA iff ext(L) is recognizable by a NFTA.

## **Exercise 3: Complexity**

Show that the emptiness problem for NFHA(NFA) is in PTIME.

**Definition 2** If  $\mathcal{X}$  is a set of propositional variables, let  $\mathbb{B}(\mathcal{X})$  be the set of positive propositional formulae on  $\mathcal{X}$ , i.e., formulae generated by the grammar  $\phi ::= \bot | \top | \phi \lor \phi | \phi \land \phi$ .

**Definition 3** A AWA (Alternating Word Automata) is a tuple  $\mathcal{A} = (Q, \Sigma, Q_0, Q_f, \delta)$  where  $\Sigma$  is a finite set (alphabet), Q is a finite set (of states),  $Q_0 \subseteq Q$  (initial states),  $Q_f \subseteq Q$  (final states) and  $\delta$  is a function from  $Q \times \Sigma$  to  $\mathbb{B}(Q)$  (transition function). A run of  $\mathcal{A} = (Q, \Sigma, Q_0, Q_f, \delta)$  on a word w is a tree t labelled by  $Q \times \mathbb{N}$  such that :

- if  $w = \varepsilon$ , then  $t = (q_0, 0)$  with  $q_0 \in Q_0$ .
- if w = a.w', then  $t = (q_0, k)(t_1, \ldots, t_n)$  where k is the length of  $w, q_0 \in Q_0$  and such that for all i,  $t_i$  is a run of w' on  $(Q, \Sigma, \{q_i\}, Q_f, \delta)$  for some  $q_i$  satisfying  $\{q_1, \ldots, q_n\} \models \delta(q_0, a)$ .

**Definition 4** We say that a run is accepting if every leaf of the form (q, 0) satisfies that  $q \in Q_f$ . Notice that a run may have leaves of the form (q, i) with i > 0 if  $\emptyset \models \delta(q_0, a)$ . Those leaves are considered as 'success' leaves in this semantic. The language of a AWA is the set of words which have an accepting run.

1. Let  $\Sigma = \{0, 1\}$  and  $\mathcal{A} = (Q, \Sigma, Q_0, Q_f, \delta)$  the AWA such that  $Q = \{q_0, q_1, q_2, q_3, q_4, q'_1, q'_2\}, Q_f = \{q_0, q_1, q_2, q_3, q_4\}$  and :

| $\delta = \{$ | $q_0 0 \longrightarrow (q_0 \wedge q_1) \lor q_1'$ | $q_0 1 \longrightarrow q_0$    |
|---------------|--|--------------------------------|
|               | $q_1 0 \longrightarrow q_2$                        | $q_1 1 \longrightarrow \top$   |
|               | $q_2 0 \longrightarrow q_3$                        | $q_2 1 \longrightarrow q_3$    |
|               | $q_30 \longrightarrow q_4$                         | $q_31 \longrightarrow q_4$     |
|               | $q_40 \longrightarrow \top$                        | $q_41 \longrightarrow \top$    |
|               | $q_1' 0 \longrightarrow q_1'$                      | $q_1' 1 \longrightarrow q_2'$  |
|               | $q_2' 0 \longrightarrow q_2'$                      | $q_2'1 \longrightarrow q_1'\}$ |
|               |  |                                |

Give an example of an accepting computation of  $\mathcal{A}$  on w = 00101 and an example of a non accepting computation of  $\mathcal{A}$  on w.

2. Prove that for all AWA, we can compute in exponential time a non-deterministic automaton which accepts the same language.