# Polynomial Interrupt Timed Automata 

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## Motivations for Interrupt Clocks

- Theoretical: investigate subclasses of hybrid automata with stopwatches, to obtain decidability results in view of negative results, among them:
- Henzinger et al. 1998: The reachability problem is decidable for rectangular initalized automata, but becomes undecidable for slight extensions, e.g. adding one stopwatch to timed automata.
- Cassez, Larsen 2000: Linear hybrid automata and automata with stopwatches (and unobservable delays) are equally expressive.
- Bouyer, Brihaye, Bruyère, Markey, Raskin 2006: Model checking timed automata with stopwatch observers is undecidable for WCTL (a weighted extension of CTL).
- Practical: Many real-time systems include interruptions (as in processors). An interrupt clock can be seen as a restricted type of stopwatch.


## Interruptions and Real-Time

## Several levels with exactly one active clock at each level



## Motivation for Polynomials

Landing a rocket
First stage (lasting $x_{1}$ ): from distance $d$, the rocket approaches the land using gravitation $g$;
Second stage (lasting $x_{2}$ ): the rocket approaches the land with constant deceleration $h<0$;
Third stage: the rocket must reach the land with small positive speed (less than $\varepsilon$ ).


For all $g \in[7,10]$ does there exist an $h \in[-3,-1]$ such that the rocket is landing?

## Outline

(1) Polita

Abstraction for Timed Systems

Abstraction for PollTA

Extensions

Conclusion and Perspectives

## The Model of PolITA

## A short history

- Interrupt Timed Automa (ITA) were introduced in (FOSSACS 2009 Bérard,H) with decision procedures for reachability and expressiveness results.
- The complexity of the decision procedure is improved (NEXPTIME and PTIME with a fixed number of clocks) and model checking is studied in (FMSD 2012 Bérard,H,Sassolas).
- ITA are enlarged with additive and multiplicative parameters while reachability remains decidable (2EXPSPACE and PSPACE with a fixed number of clocks) in (RP 2013 Bérard,H,Jovanovic,Lime).


## Polynomial Interrupt Timed Automata (PoIITA) in a nutshell

- clocks are ordered along hierarchical levels;
- their flows are restricted to $\dot{x} \in\{0,1\}$ (stopwatches);
- guards and updates can be polynomials of clocks.

Main results. Reachability (and some quantitative model checking) is decidable in 2EXPTIME and PTIME with a fixed number of clocks.

## PolITA: Syntax (1)

## $\mathcal{A}=\left(\Sigma, Q, q_{0}, X, \lambda, \Delta\right)$

- $\Sigma$, an alphabet;
- $Q$, a finite set of states with initial state $q_{0}$;
- $X=\left\{x_{1}, \ldots, x_{n}\right\}$, a set of clocks with $x_{k}$ for level $k$;
- $\lambda: Q \rightarrow\{1, \ldots, n\}$ state level, with $x_{\lambda(q)}$ the active clock in state $q$;
- Transitions in $\Delta$ :

- Guards: conjunctions of constraints $P \bowtie 0$ with $\bowtie$ in $\{<, \leq,=, \geq,>\}$ and $P \in \mathbb{Q}\left[x_{1}, \ldots, x_{k}\right]$ at level $k$.



## PolITA: Syntax (2)

## A transition increasing level $k$ to level $k^{\prime} \geq k$

- If $i>k$ then $x_{i}$ is reset;
- If $i<k$ then $x_{i}$ is unchanged;
- $x_{k}$ is unchanged or is updated by some $P \in \mathbb{Q}\left[x_{1}, \ldots, x_{k-1}\right]$.


## A transition decreasing level $k$ to level $k^{\prime}<k$

- If $i>k^{\prime}$ then $x_{i}$ is reset;
- Otherwise $x_{i}$ is unchanged.



## Semantics of PollTA

## A transition system $\mathcal{T}_{\mathcal{A}}=\left(S, s_{0}, \rightarrow\right)$

- $S=Q \times \mathbb{R}^{n}$, a set of configurations where a configuration is a pair $(q, v)$ of a state $q$ and a clock valuation $v=\left(v\left(x_{1}\right), \ldots, v\left(x_{n}\right)\right)$;
- $s_{0}=\left(q_{0}, v_{0}\right)$, the initial configuration with $v_{0}=\mathbf{0}=(0, \ldots, 0) \in \mathbb{R}^{n}$;
- Discrete step: $(q, v) \xrightarrow{e}\left(q^{\prime}, v^{\prime}\right)$ for a transition $e: q \xrightarrow{g, a, u} q^{\prime}$ if $v$ satisfies the guard $g$ and $v^{\prime}=v[u]$;
- Time step: from $q$ at level $k:(q, v) \xrightarrow{d}\left(q, v+_{k} d\right)$, with all clock values in $v+_{k} d$ unchanged except $\left(v+_{k} d\right)\left(x_{k}\right)=v\left(x_{k}\right)+d$.

An execution alternates time and discrete steps:

$$
\left(q_{0}, v_{0}\right) \xrightarrow{d_{0}}\left(q_{0}, v_{0}+_{\lambda\left(q_{0}\right)} d_{0}\right) \xrightarrow{e_{0}}\left(q_{1}, v_{1}\right) \xrightarrow{d_{1}}\left(q_{1}, v_{1}+_{\lambda\left(q_{1}\right)} d_{1}\right) \xrightarrow{e_{1}} \cdots
$$

An Execution


$$
\left(q_{0}, 0,0\right) \xrightarrow{1.2}\left(q_{0}, 1.2,0\right) \xrightarrow{a}\left(q_{1}, 1.2,0\right) \xrightarrow{1.1}\left(q_{1}, 1.2,1.1\right) \xrightarrow{b}\left(q_{2}, 1.2,1.1\right)
$$

An Execution


$$
\left(q_{0}, 0,0\right) \xrightarrow{1.2}\left(q_{0}, 1.2,0\right) \xrightarrow{a}\left(q_{1}, 1.2,0\right) \xrightarrow{1.1}\left(q_{1}, 1.2,1.1\right) \xrightarrow{b}\left(q_{2}, 1.2,1.1\right)
$$

An Execution

$\left(q_{0}, 0,0\right) \xrightarrow{1.2}\left(q_{0}, 1.2,0\right) \xrightarrow{a}\left(q_{1}, 1.2,0\right)$

An Execution

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An Execution


$$
\left(q_{0}, 0,0\right) \xrightarrow{1.2}\left(q_{0}, 1.2,0\right) \xrightarrow{a}\left(q_{1}, 1.2,0\right) \xrightarrow{1.1}\left(q_{1}, 1.2,1.1\right) \xrightarrow{b}\left(q_{2}, 1.2,1.1\right)
$$

An Execution


$$
\begin{aligned}
& \left(q_{0}, 0,0\right) \xrightarrow{1.2}\left(q_{0}, 1.2,0\right) \xrightarrow{a}\left(q_{1}, 1.2,0\right) \xrightarrow{1.1}\left(q_{1}, 1.2,1.1\right) \xrightarrow{b}\left(q_{2}, 1.2,1.1\right) \\
& \xrightarrow{0.3}\left(q_{2}, 1.2,1.4\right) \xrightarrow{c}\left(q_{1}, 1.2,1.4\right) \cdots
\end{aligned}
$$

An Execution


$$
\begin{aligned}
& \left(q_{0}, 0,0\right) \xrightarrow{1.2}\left(q_{0}, 1.2,0\right) \xrightarrow{a}\left(q_{1}, 1.2,0\right) \xrightarrow{1.1}\left(q_{1}, 1.2,1.1\right) \xrightarrow{b}\left(q_{2}, 1.2,1.1\right) \\
& \xrightarrow{0.3}\left(q_{2}, 1.2,1.4\right) \xrightarrow{c}\left(q_{1}, 1.2,1.4\right) \cdots
\end{aligned}
$$

An Execution


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& \left(q_{0}, 0,0\right) \xrightarrow{1.2}\left(q_{0}, 1.2,0\right) \xrightarrow{a}\left(q_{1}, 1.2,0\right) \xrightarrow{1.1}\left(q_{1}, 1.2,1.1\right) \xrightarrow{b}\left(q_{2}, 1.2,1.1\right) \\
& \xrightarrow{0.3}\left(q_{2}, 1.2,1.4\right) \xrightarrow{c}\left(q_{1}, 1.2,1.4\right) \cdots
\end{aligned}
$$

An Execution


$$
\begin{aligned}
& \left(q_{0}, 0,0\right) \xrightarrow{1.2}\left(q_{0}, 1.2,0\right) \xrightarrow{a}\left(q_{1}, 1.2,0\right) \xrightarrow{1.1}\left(q_{1}, 1.2,1.1\right) \xrightarrow{b}\left(q_{2}, 1.2,1.1\right) \\
& \xrightarrow{0.3}\left(q_{2}, 1.2,1.4\right) \xrightarrow{c}\left(q_{1}, 1.2,1.4\right) \cdots
\end{aligned}
$$

## An Execution



$$
\begin{aligned}
& \left(q_{0}, 0,0\right) \xrightarrow{1.2}\left(q_{0}, 1.2,0\right) \xrightarrow{a}\left(q_{1}, 1.2,0\right) \xrightarrow{1.1}\left(q_{1}, 1.2,1.1\right) \xrightarrow{b}\left(q_{2}, 1.2,1.1\right) \\
& \xrightarrow{0.3}\left(q_{2}, 1.2,1.4\right) \xrightarrow{c}\left(q_{1}, 1.2,1.4\right) \cdots
\end{aligned}
$$

Blue and green curves meet at real roots of $-2 x_{1}^{5}+x_{1}^{4}+20 x_{1}^{3}-10 x_{1}^{2}-50 x_{1}+26$.

## Outline

## PollTA

(2) Abstraction for Timed Systems

## Abstraction for PollTA

## Extensions

Conclusion and Perspectives

## Reachability Analysis: the Key Idea

The number of (reachable) configurations is infinite (and even uncountable). So one wants to partition configurations into regions such that:

1. Two configurations in a region allow the same transitions and the new configurations belong to the same region.
2. If a configuration in a region letting time elapse reaches a new region every other configuration may reach the same region by time elapsing.
3. There is a finite representation of a region such that the discrete and time successors of the region are computable.
4. The number of regions is finite.

## Regions for Timed Automaton (TA)



## Building the Region Automaton for TA

The "elimination" stage

- depends on the model;
- extracts the maximal constant.

The "lifting" stage

- depends on the class of TA and the elimination;
- partitions clocks space into regions.

The "synchronization" stage

- depends on the model and the lifting;
- performs some product of the model and the partition.


## Regions for ITA



$$
x_{1}-\frac{12}{5}=\frac{12}{5}\left(\left(\frac{2}{3} x_{1}+1\right)-\left(\frac{1}{4} x_{1}+2\right)\right)
$$

## Building the Region Automaton for ITA

The elimination stage produces a family of sets $\left\{E_{k}\right\}_{k \leq n}$ of linear expressions initialized to $\left\{0, x_{k}\right\}$ by decreasing order:

- adding to $E_{k}$ at level $k$ all constraints $\sum_{i<k} a_{i} x_{i}+b$ that are compared to $x_{k}$ or 0 at level at least $k$.
- enlarging this set by applying updates until saturation.
- producing at levels less than $k$ linear expressions by difference between pairs in $E_{k}$ taking into account possible updates.
The lifting stage produces a tree of total preorders for $E_{k}$ using the preorder of the ancestors.


The synchronization stage takes into account the level of the current state.

# Outline 

## Pollta

## Abstraction for Timed Systems

(3) Abstraction for PolITA

## Extensions

Conclusion and Perspectives

## The Cylindrical Decomposition

The cylindrical decomposition was the first elementary decision method for the first-order theory of reals (2EXPTIME). It proceeds by elimination and lifting.

Given a family $\left\{\mathcal{P}_{i}\right\}_{i \leq n}$ where $\mathcal{P}_{i}$ is a finite subset of $\mathbb{Q}\left[x_{1}, \ldots, x_{i}\right]$, it builds a tree of cells with depth $n$ and root $\perp=\mathbb{R}^{0}$ fulfilling:

- A cell of depth $i$ is a connected subset of $\mathbb{R}^{i}$;
- Every cell $C$ of depth less than $n$ has an odd number (say $2 k+1$ ) of children which constitute a partition of $C \times \mathbb{R}$;
- If $k>0$ then there exist continuous mappings $f_{1}<\cdots<f_{k}$ from $C$ to $\mathbb{R}$ such that the children of $C$ are:
$\left\{(x, y) \mid x \in C, y<f_{1}(x)\right\},\left\{\left(x, f_{1}(x)\right) \mid x \in C\right\}$, $\left\{(x, y) \mid x \in C, f_{1}(x)<y<f_{2}(x)\right\}, \ldots,\left\{\left(x, f_{k}(x)\right) \mid x \in C\right\}$, $\left\{(x, y) \mid x \in C, y>f_{k}(x)\right\}$;
- All $P \in \mathcal{P}_{i}$ has a constant sign inside a cell of depth $j \geq i$.

Observation: The construction for ITA is a cylindrical decomposition appropriate for polynomials of degree 1 .

## Elimination Stage for PolITA (1)

Let $P, Q \in \mathbb{Q}\left[x_{1}\right]\left[x_{2}\right]$.


When $x_{1}$ :

- belongs to the gray interval, $P$ has no root and $Q$ has a single root;
- belongs to the yellow interval, $P$ has two roots and the single root of $Q$ is greater than these roots;
- is the red point, $P$ has two roots and the single root of $Q$ is equal to the smaller root of $P$.

How to characterize such intervals and points?

## Elimination Stage for PollTA (2)

The input. A family of sets $\left\{\mathcal{Q}_{k}\right\}_{k \leq n}$ with $\mathcal{Q}_{k} \subseteq \mathbb{Q}\left[x_{1}, \ldots, x_{k-1}\right]\left[x_{k}\right]$ including $x_{k}$ and those occurring in guards and updates.

The output. A family of sets $\left\{\mathcal{P}_{k}\right\}_{k \leq n}$ with $\mathcal{Q}_{k} \subseteq \mathcal{P}_{k}$ that fulfills a semantical property:
When the sign of all $P \in \mathcal{P}_{k}$ in a connected set $C \subseteq \mathbb{R}^{k}$ is constant, then for all $z, z^{\prime}$ in $C$ and $P, Q \in \mathcal{P}_{k+1}$ :

- the number of roots of the polynomials $P(z)$ and $P\left(z^{\prime}\right)$ in $\mathbb{R}\left[x_{k+1}\right]$ are equal;
- The order between the roots of $P Q(z)$ and $P Q\left(z^{\prime}\right)$ is the same.

The key concept. The subresultant sRes $(P, Q)$ of two polynomials $P, Q \in \mathbb{D}[X]$ is a $\mathbb{D}$-vector that can be computed by operations in the ring $\mathbb{D}$.

A syntactical sufficient condition. For all $P, Q \in \mathcal{P}_{k+1}$, with possible respective truncations $R, S$, the following polynomials should be in $\mathcal{P}_{k}$ :

- the coefficients of $P, Q$;
- the items of $\operatorname{sRes}\left(R, R^{\prime}\right)$ and $s \operatorname{Res}(R, S)$.


## Subresultants: Definition and Example

$\operatorname{Sres}_{j}(P, Q)$ is the determinant of the matrix:

- whose lines are coefficients of $X^{q-1-j} P, \ldots, P, Q, \ldots, X^{p-1-j} Q$,
- truncated to its first $p+q-2 j$ columns.

$$
\text { Let } P=X^{3}+X^{2}+\alpha X+\beta \text { and } Q=X^{2}-1 .
$$

$$
\begin{gathered}
\operatorname{sRes}_{0}(P, Q)=\left|\begin{array}{ccccc}
1 & 1 & \alpha & \beta & 0 \\
0 & 1 & 1 & \alpha & \beta \\
0 & 0 & 1 & 0 & -1 \\
0 & 1 & 0 & -1 & 0 \\
1 & 0 & -1 & 0 & 0
\end{array}\right|=\left|\begin{array}{cccc}
1 & 1 & \alpha & \beta \\
0 & 1 & 0 & -1 \\
1 & 0 & -1 & 0 \\
-1 & -1-\alpha & -\beta & 0
\end{array}\right| \\
=\left|\begin{array}{ccc}
1 & 0 & -1 \\
-1 & -1-\alpha & -\beta \\
-\alpha & \alpha-\beta & \beta
\end{array}\right|=\left|\begin{array}{cc}
-1-\alpha & -1-\beta \\
\alpha-\beta & \beta-\alpha
\end{array}\right|=(\alpha-\beta)(\alpha+\beta+2) \\
\operatorname{sRes}_{1}(P, Q)=\left|\begin{array}{ccc|c}
1 & 1 & \alpha \\
0 & 1 & 0 & -1=-1-\alpha \\
1 & 0 & -1
\end{array}\right| 0 \\
s \operatorname{Res}_{0}(P, Q)=0 \text { iff } \operatorname{deg}(g c d(P, Q)) \geq 1 \\
s \operatorname{Res}_{0}(P, Q)=0 \wedge \operatorname{secs}(P, Q)=0 \text { iff } \operatorname{deg}(g c d(P, Q)) \geq 2
\end{gathered}
$$

## A Property of Subresultants

$$
\operatorname{sRes}_{0}(P, Q)=0 \wedge \cdots \wedge s \operatorname{Res}_{j-1}(P, Q)=0 \text { iff } \operatorname{deg}(g c d(P, Q)) \geq j
$$

## Sketch of proof.

$\operatorname{sRes}_{j}(P, Q)=0$ iff $\exists U, V \neq 0$ with $\operatorname{deg}(U)<q-j, \operatorname{deg}(V)<p-j$ and $\operatorname{deg}(U P+V Q)<j$.

- Assume that $\operatorname{deg}(\operatorname{gcd}(P, Q)) \geq j$.

Then $\operatorname{deg}(\operatorname{lcm}(P, Q)) \geq p+q-j$.
So there exist $U, V \neq 0$ with $\operatorname{deg}(U) \leq q-j, \operatorname{deg}(V) \leq p-j$ and $U P=-V Q$.
This implies that for $k<j, \operatorname{Res}_{j}(P, Q)=0$.

- The other direction is established by induction.

Base. $s \operatorname{Res}_{0}(P, Q)=0$
$\Rightarrow \exists U, V \neq 0$ with $U P+V Q=0, \operatorname{deg}(U)<q$ and $\operatorname{deg}(V)<p \Rightarrow \operatorname{deg}(\operatorname{gcd}(P, Q)) \geq 1$.
Induction. $s \operatorname{Res}_{0}(P, Q)=\cdots=s \operatorname{Res}_{j}(P, Q)=0$
By induction, $\operatorname{sRes}_{0}(P, Q)=\cdots=\operatorname{Res}_{j-1}(P, Q)=0 \Rightarrow \operatorname{deg}(\operatorname{gcd}(P, Q)) \geq j$.
$\operatorname{sRes}_{j}(P, Q)=0 \Rightarrow \exists U, V \neq 0$ with $\operatorname{deg}(U)<q-j, \operatorname{deg}(V)<p-j$ and $\operatorname{deg}(U P+V Q)<j$.
$\operatorname{gcd}(P, Q) \mid U P+V Q \Rightarrow U P+V Q=0 \Rightarrow \operatorname{deg}(\operatorname{lcm}(P, Q))<p+q-j \Rightarrow \operatorname{deg}(\operatorname{gcd}(P, Q)) \geq j+1$.

## Continuity of roots

Let $P \in \mathbb{C}\left[X_{1}, \ldots, X_{k-1}\right]\left[X_{k}\right], S \subseteq \mathbb{C}^{k-1}$ with $\operatorname{deg}(P(x))$ constant over $x \in S$. Let $a \in S$ such that $\left\{z_{i}\right\}_{i \leq m}$ are the roots of $P(a)$ with multiplicities $\left\{\mu_{i}\right\}_{i \leq m}$. Let $0<r<\min _{i \neq j}\left(\left|z_{i}-z_{j}\right| / 2\right)$.
Then there exists an neighborhood $U$ of $a$ such that for $x \in U$, $P(x)$ has exactly $\mu_{i}$ roots counted with multiplicities in $D\left(z_{i}, r\right)$ for all $i \leq m$.

## Proof.

- Let $P=X^{\mu}$ and $Q=X^{\mu}-\sum_{i<\mu} b_{i} X^{i}$ with $\delta=\max _{i<\mu}\left|b_{i}\right|<\frac{\min \left(1, r^{\mu}\right)}{\mu}$. Let $z$ be a root of $Q, \delta<\frac{1}{\mu} \Rightarrow|z|<1$. $z^{\mu}=\sum_{i<\mu} b_{i} z^{i} \Rightarrow\left|z^{\mu}\right| \leq \mu \delta<r^{\mu} \Rightarrow|z|<r$.
- Let $\varphi(Q, R)=Q R$ where $Q, R$ have degree $q$ and $r$, are monic and coprime. $\varphi$ is differentiable with Jacobian $\pm \operatorname{Sres}_{0}(Q, R) . \varphi$ locally admits a differentiable inverse. So there exist neighborhoods $\mathcal{V}_{Q}, \mathcal{V}_{R}$ of $Q$ and $R$, such that:

$$
\mathcal{V}=\varphi\left(\mathcal{V}_{Q} \times \mathcal{V}_{R}\right) \text { is a neighborhood of } Q R
$$

- By induction, $P_{0}=\left(X-z_{1}\right)^{\mu_{1}} \cdots\left(X-z_{m}\right)^{\mu_{m}}$ admits an open neighborhood $\mathcal{V}$ such that for all monic $P_{1} \in \mathcal{V}$ :

$$
P_{1}=Q_{1} \ldots Q_{m} \text { with all } Q_{i} \text { of degree } \mu_{i} \text { and whose roots belong to } D\left(z_{i}, r\right)
$$

- Since the coefficients of $P$ are rational functions of $X_{1}, \ldots X_{k-1}$ and so continuous, there is an appropriate neighborhood $U$ of $a$.


## Root mappings (1)

Let $P_{1}, \ldots, P_{s} \in \mathbb{R}\left[X_{1}, \ldots, X_{k-1}\right]\left[X_{k}\right], S \subseteq \mathbb{R}^{k-1}$ connected with for all $i, j$, $\operatorname{deg}\left(P_{i}(x)\right)>-\infty, \operatorname{deg}\left(\operatorname{gcd}\left(P_{i}(x), P_{j}(x)\right), \operatorname{deg}\left(g c d\left(P_{i}(x), P_{i}^{\prime}(x)\right)\right.\right.$ are constant. Then there exist continuous functions $f_{1}<\cdots<f_{\ell}$ from $S$ to $\mathbb{R}$ such that the set of real roots of $\prod_{j \leq s} P_{j}(x)$ is $\left\{f_{1}(x), \ldots, f_{\ell}(x)\right\}$.
Moreover for all $i, j$, the multiplicity of $f_{i}(x)$ for $P_{j}(x)$ is constant.

## Proof.

Let $a \in S$ and $\left\{z_{i}(a)\right\}_{i \leq m}$ be roots of $\prod_{j \leq s} P_{j}(a)$ with $\mu_{i}^{j}$, multiplicity of $z_{i}(a)$ for $P_{j}(a)$. Let $R_{j k}(a)=\operatorname{gcd}\left(P_{j}(a), P_{k}(a)\right), \operatorname{deg}\left(R_{j k}(a)\right)=\sum_{i \leq m} \min \left(\mu_{i}^{j}, \mu_{i}^{k}\right)$ and $\min \left(\mu_{i}^{j}, \mu_{i}^{k}\right)$ is the multiplicity of $z_{i}(a)$ for $R_{j k}(a)$.
$\operatorname{deg}\left(P_{j}(x)\right.$ and $\operatorname{deg}\left(\operatorname{gcd}\left(P_{j}(x), P_{j}^{\prime}(x)\right)\right.$ constant implies the number of distinct roots of $P_{j}(x)$ is constant.
One root of $\prod_{j \leq s} P_{j}(x)$ in the neighborhood of $z_{i}(a)$

- Pick $r>0$ such that $D\left(z_{i}(a), r\right)$ are disjoint.
- Let $i, j$ such that $\mu_{i}^{j}>0$, there is a neighborhood $U$ of $a$ such that for all $x \in U$, $D\left(z_{i}(a), r\right)$ contains exactly a root, denoted $z_{i}^{j}(x)$, of $P_{j}(x)$ with multiplicity $\mu_{i}^{j}$.
- Assume there exists $k \neq j$ with $\mu_{i}^{k}>0$, since $\operatorname{deg}\left(R_{j k}(x)\right)$ is constant, $z_{i}^{j}(x)=z_{i}^{k}(x)$ for all $x \in U$. So we can omit the superscript $j$ in $z_{i}^{j}(x)$ (defined when $\mu_{i}^{j}>0$ ).


## Root mappings (2)

## Proof (continued.)

$z_{i}(a)$ real if and only if $z_{i}(x)$ real

- $z_{i}(a)$ real $\Rightarrow z_{i}(x)$ real otherwise its conjugate would be another root in $D\left(z_{i}(a), r\right)$.
- $z_{i}(a)$ complex $\Rightarrow \overline{z_{i}(a)}$ root.
$D\left(z_{i}(a), r\right)$ and $D\left(\overline{z_{i}(a)}, r\right)$ disjoint implies $z_{i}(x)$ complex.
The number of real roots of $\prod_{j \leq s} P_{j}(x)$ is globally constant.
- Hence the number of real roots of $\prod_{j \leq s} P_{j}(x)$ is constant over $x \in U$.
$S$ is connected implies the number of real roots of $\prod_{j \leq s} P_{j}(x)$ is constant over $S$, say $\ell$.
The real roots of $\prod_{j \leq s} P_{j}(x)$ are continuous mappings of $x$.
- Let $f_{i}(x)$, for $i \leq \ell$ be the function that associates with $x$ the $i^{t h}$ real root of $\prod_{j \leq s} P_{j}(x)$ in increasing order.
Since $r$ could be chosen arbitrarily small, $f_{i}$ is continuous.
The multiplicity of a real root of $\prod_{j \leq s} P_{j}(x)$ w.r.t. any $P_{j}(x)$ is globally constant.
As the multiplicity of $f_{i}(x)$ w.r.t. any $P_{j}(x)$ is locally constant, it is constant over $x \in S$.


## Thom Encodings (1)

Let $P \in \mathbb{R}[X]$ of degree $p$ and $x \in \mathbb{R}$, the $P$-code of $x$ is: $\left(\operatorname{sign}(P(x)), \operatorname{sign}\left(P^{\prime}(x)\right), \ldots, \operatorname{sign}\left(P^{(p)}(x)\right)\right)$ whose basic properties are:

- The values associated with a $P$-code are either an open interval or a point. (thus $P$-codes of roots of $P$ are "identifiers")
- Given two $P$-codes, one can decide which corresponding values are bigger.

$\left(s_{p}, \ldots, s_{0}\right) \prec\left(s_{p}^{\prime}, \ldots, s_{0}^{\prime}\right)$ if there exists $i$ with:
- for all $j<i, s_{j}=s_{j}^{\prime}$;
- $\left(s_{i-1}>0\right.$ and $\left.s_{i}<s_{i}^{\prime}\right)$ or $\left(s_{i-1}<0\right.$ and $\left.s_{i}>s_{i}^{\prime}\right)$.


## Thom Encodings (2)

## Effectiveness properties.

Given $P, Q \in \mathbb{D}[X]$ where $\mathbb{D} \subseteq \mathbb{R}$ is sign-effective, one can compute:

- the number of roots of $P$;
- the $Q$-encodings of the roots of $P$.

Thus one can merge and order the roots of $P$ and $Q$.

## Cauchy Index

Let $P, Q \in \mathbb{D}[X]$. Then the Cauchy index of $Q / P$ is defined by:

$$
\operatorname{Ind}(Q / P)=\frac{1}{2} \sum_{z \in \operatorname{Pole}(Q / P)} \operatorname{sign}\left((Q / P)\left(z^{+}\right)\right)-\operatorname{sign}\left((Q / P)\left(z^{-}\right)\right)
$$

where $\operatorname{sign}\left((Q / P)\left(z^{+}\right)\right)$and $\left.\operatorname{sign}\left((Q / P)\left(z^{-}\right)\right)\right)$denote respectively the sign of the rational function $Q / P$ at the right and at the left of $z$.
Let $Q / P=\frac{1}{(X+2.5)(X+1.5)(X-0.5)^{2}}$. Then $\operatorname{Ind}(Q / P)=0$.


## Tarsky Query

Let $P, Q \in \mathbb{D}[X]$. Then the Tarsky query of $(Q, P)$ is defined by:

$$
T a Q(Q, P)=\sum_{z \in \operatorname{Zer}(P)} \operatorname{sign}(Q(z))
$$

Let $P, Q \in \mathbb{D}[X]$. Then: $\operatorname{TaQ}(Q, P)=\operatorname{Ind}\left(P^{\prime} Q / P\right)$

## Proof.

Let $z$ be a root of $P$ with multiplicity $\mu$.
Then $P^{\prime} Q / P=Q\left(\frac{\mu}{X-z}+R\right)$ with $R$ a rational function with no pole at $z$.
If $Q(z)=0$ then $P^{\prime} Q / P$ has no pole in $z$. Otherwise $\operatorname{sign}\left(\left(P^{\prime} Q / P\right)\left(z^{+}\right)\right)=\operatorname{sign}(Q(z))$ and $\operatorname{sign}\left(\left(P^{\prime} Q / P\right)\left(z^{-}\right)\right)=-\operatorname{sign}(Q(z))$.

Let $P=(X+2.5)(X+1.5)(X-0.5)^{2}$. Then $\operatorname{TaQ}\left(P^{\prime}, P\right)=3$.


## Root Counters

Let $P, Q \in \mathbb{D}[X]$. Then:

- $\mathbf{n b}_{P}(Q)[-1]=|\{z \in \operatorname{Zer}(P) \mid Q(z)<0\}| ;$
- $\mathbf{n b}_{P}(Q)[0]=|\{z \in \operatorname{Zer}(P) \mid Q(z)=0\}|$.
- $\mathbf{n b}_{P}(Q)[1]=|\{z \in \operatorname{Zer}(P) \mid Q(z)>0\}| ;$

The Tarski queries and root counters are related by:

- $T a Q(1, P)=\mathbf{n b}_{P}(Q)[-1]+\mathbf{n b}_{P}(Q)[0]+\mathbf{n b}_{P}(Q)[1]$;
- $T a Q(Q, P)=-\mathbf{n b}_{P}(Q)[-1]+\mathbf{n b}_{P}(Q)[1]$;
- $T a Q\left(Q^{2}, P\right)=\mathbf{n b}_{P}(Q)[-1]+\mathbf{n b}_{P}(Q)[1]$.

$$
\left(\begin{array}{c}
T a Q(1, P) \\
T a Q(Q, P) \\
T a Q\left(Q^{2}, P\right)
\end{array}\right)=\mathbf{M}_{1}\left(\begin{array}{c}
\mathbf{n b}_{P}(Q)[-1] \\
\mathbf{n b}_{P}(Q)[0] \\
\mathbf{n b}_{P}(Q)[1]
\end{array}\right) \text { with } \mathbf{M}_{1}=\left(\begin{array}{ccc}
1 & 1 & 1 \\
-1 & 0 & 1 \\
1 & 0 & 1
\end{array}\right)
$$

## Generalized Counters and Tarski Queries

Let $P \in \mathbb{D}[X]$ and $\mathcal{Q}=\left(Q_{1}, \ldots, Q_{m}\right)$ be a finite sequence of $\mathbb{D}[X]$. Then: $\mathbf{n b}_{P}(\mathcal{Q})$ is an integer vector whose support is $\{-1,0,1\}^{\{1, \ldots, m\}}$ such that:

$$
\mathbf{n b}_{P}(\mathcal{Q})\left[i_{1}, \ldots, i_{m}\right]=\left|\left\{z \in \operatorname{Zer}(P) \mid \forall j \leq m \operatorname{sign}\left(Q_{j}(z)\right)=i_{j}\right\}\right|
$$

$\operatorname{TaQ}_{P}(\mathcal{Q})$ is an integer vector whose support is $\{0,1,2\}^{\{1, \ldots, m\}}$ such that:

$$
\begin{gathered}
\operatorname{TaQ}_{P}(\mathcal{Q})\left[i_{1}, \ldots, i_{m}\right]=\operatorname{TaQ}\left(Q_{1}^{i_{1}} \cdots Q_{m}^{i_{m}}\right) \\
\operatorname{TaQ}_{P}(\mathcal{Q})=\mathbf{M}_{m} \cdot \mathbf{n b}_{P}(\mathcal{Q}) \text { where } \mathbf{M}_{m}=\mathbf{M}_{1} \otimes \cdots \otimes \mathbf{M}_{1}
\end{gathered}
$$

A useful application.
The $Q$-code of the roots of $P$ is simply deduced from $\mathbf{n b}_{P}\left(Q, Q^{\prime}, \ldots, Q^{(q)}\right)$.

## Computing the Cauchy Index

Let $s=\left(s_{p}, \ldots, s_{0}\right)$ be a list of reals such that $s_{p} \neq 0$. Define $s^{\prime}$ as the shortest list such that $s=\left(s_{p}, 0, \ldots, 0\right) \cdot s^{\prime}$. Then we inductively define:
$\operatorname{PmV}(s)= \begin{cases}0 & \text { if } s^{\prime}=\emptyset \\ \operatorname{PmV}\left(s^{\prime}\right)+\varepsilon_{p-q} \operatorname{sign}\left(s_{p} s_{q}\right) & \text { if } s^{\prime}=\left(s_{q}, \ldots, s_{0}\right) \text { and } p-q \text { is odd } \\ \operatorname{PmV}\left(s^{\prime}\right) & \text { otherwise }\end{cases}$
where $\varepsilon_{i}=(-1)^{\frac{i(i-1)}{2}}$

$$
\begin{gathered}
\text { Let } P, Q \in \mathbb{D}[X] \text { with } p=\operatorname{deg}(P)>q=\operatorname{deg}(Q) \text {. Then: } \\
\operatorname{PmV}(\operatorname{sRes}(P, Q))=\operatorname{Ind}(Q / P)
\end{gathered}
$$

Once again the subresultants!

## Triangular Systems

A triangular system $\left(n_{i}, P_{i}\right)_{i \leq k}$ with $n_{i} \in \mathbb{N}$ and $P_{i} \in \mathbb{Q}\left[x_{1}, \ldots, x_{i}\right]$ represents the algebraic point $\alpha$ in $\mathbb{R}^{k}$ if:

- $\alpha_{1}$ is the $n_{1}^{t h}$ root of $P_{1} \in \mathbb{Q}\left[x_{1}\right]$;
- for all $i<k, \alpha_{i+1}$ is the $n_{i+1}^{t h}$ root of $P_{i+1}\left(\alpha_{1}, \ldots, \alpha_{i}\right) \in \mathbb{Q}\left[\alpha_{1}, \ldots, \alpha_{i}\right]\left[x_{i+1}\right]$.


## Effectiveness properties.

- One can decide whether $\left(n_{i}, P_{i}\right)_{i \leq k}$ is a triangular system;
- One can decide the sign of an item of $\mathbb{Q}\left[\alpha_{1}, \ldots, \alpha_{k}\right]$ when $\alpha$ is given by a triangular system;
- or equivalently the sign of $P(\alpha)$ for $P \in \mathbb{Q}\left[x_{1}, \ldots, x_{k}\right]$.


## Lifting Stage for PollTA: General Overview

The tree is built top-down as follows.
Due to the invariance property of cells w.r.t. the sign of polynomials, a cell is represented by a sample point given by a triangular system.
Let $C$ be a cell at depth $k<n$ represented by $\left(\alpha_{1}, \ldots, \alpha_{k}\right)$. In order to find its children in $\mathbb{Q}\left[\alpha_{1}, \ldots, \alpha_{k}\right]$,

- one determines the (number of) roots of the polynomials in $\mathcal{P}_{k+1}$;
- one globally orders them;
- an interval $](i, P),(j, Q)\left[\right.$ is represented by an appropriate root of $(P Q)^{\prime}$;
- an interval $]-\infty,(1, P)[($ resp. $](i, P), \infty[)$ is represented by $\left(1, P\left[x_{k+1} \leftarrow x_{k+1}+1\right]\right)\left(\right.$ resp. $\left(i, P\left[x_{k+1} \leftarrow x_{k+1}-1\right]\right)$ );
- The triangular system associated with the children is the original one extended by the root corresponding to the children.


## Synchronization Stage for PollTA

The synchronization can be done on-the-fly during the lifing stage.

This may produce considerable time and space savings.

However the elimination stage is already doubly exponential.

# Outline 

## PollTA

## Abstraction for Timed Systems

## Abstraction for PollTA

4 Extensions

Conclusion and Perspectives

## Decidability and Extensions

The PolITA model may be extended while reachability remains decidable.

- Parameters may be modelled by low level additional clocks.
- A set of auxiliary clocks may be added per level with restrictions. This model is strictly more expressive than the original one.
- Updates of clocks with a lower level than the current one may be allowed.
- A PoliTA may be "synchonized" with a TA: the PolITA interrupts the TA.


# Outline 

## PollTA

## Abstraction for Timed Systems

## Abstraction for PollTA

## Extensions

(5) Conclusion and Perspectives

## Conclusion and Perspectives

## Summary of results

- Another model of hybrid systems (extending TA) with parameters.
- Decidability of the reachability and quantitative model checking problems.


## Perspectives

- Experimentations since a prototype already exists. Thanks to Rémi Garnier and Mathieu Huot, L3 students of ENS Cachan!
- Adapting more efficient methods for first-order theory of reals to (subclasses of) PolITA.
- Extensions of expressions in o-minimal decidable theories.

