# Information-hiding Protocols as Opaque Channels 

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Supported by
INRIA/DREI project PRINTEMPS and INRIA/ARC project ProNoBiS

## Plan of the talk

- Motivation
- Protocols as channels
- Preliminary notions of Information Theory
- Opacity as converse of channel capacity
- Intended leak of information
- Relation with other notions in literature
- Computing the capacity of the protocol/channel
- Statistical inference and Bayesian risk
- Conclusion and future work


## Information-hiding Privacy

- Ability of an individual or group to stop information about themselves from becoming known to people other than those they choose to give the information to [Wikipedia]
- Protection of private data (credit card number, personal info etc.)
- Anonymity: protection of identity
- Unlinkability: protection of link between information and user
- Unobservability: impossibility to determine what the user is doing

More precise definition @ www.freehaven.net/anonbib/cache/terminology.pdf

## Privacy in Global/Pervasive Computing

- Issue of privacy protection exacerbated by orders of magnitude:
- Electronic devices and their continuous interaction with users $\Rightarrow$ possibility to gather and store a huge amount of information
- Profiling / data mining techniques $\Rightarrow$ precise definition of the individual's preferences
- Personal information on consumers perceived as asset $\Rightarrow$ often subject matter of commercial transactions
- Result:
- A tremendous amount of information on the individual is gathered, processed, exchanged, used
- The individual often has not consented to this processing
- In the worst scenario, he is not even aware of it


## RFID tags may be everywhere..



## ... and at stake

## Personal data Gathering <br> Tracking



Can't kill the RFID tag when dealing with tagged cash...

## Example: the dining cryptographers



## Information-hiding protocols as opaque channels

$$
\begin{aligned}
& \text { Master }=\sum_{i=0}^{2} \tau . \bar{m}_{i} \mathrm{p} . \bar{m}_{i \oplus 1} \mathrm{n} . \bar{m}_{i \oplus 2} \mathrm{n} .0 \\
& +\tau \cdot \bar{m}_{0} \mathrm{n} \cdot \bar{m}_{1} \mathrm{n} \cdot \bar{m}_{2} \mathrm{n} .0 \\
& \text { Crypt }_{i}=m_{i}(x) \cdot c_{i, i}(y) \cdot c_{i, i \oplus 1}(z) . \\
& \text { if } x=\mathrm{p} \\
& \text { then } \overline{p a y}_{i} \text {. if } y=z \\
& \text { then } \overline{\text { out }}_{i} \text { disagree } \\
& \text { else } \overline{\text { out }}_{i} \text { agree } \\
& \text { else if } y=z \\
& \text { then } \overline{o u t}_{i} \text { agree } \\
& \text { else } \overline{\text { out }}_{i} \text { disagree } \\
& \text { Coin }_{i}=p_{h} \tau . \text { Head }_{i}+p_{t} \tau . \text { Tail }_{i} \\
& \text { Head }_{i}=\bar{c}_{i, i} \text { head. } \bar{c}_{i \ominus 1, i} \text { head. } 0 \\
& \text { Tail }_{i}=\bar{c}_{i, i} \text { tail. } \bar{c}_{i \ominus 1, i} \text { tail. } 0 \\
& D C P=(\nu \vec{m})(\text { Master } \\
& \left.\mid(\nu \vec{c})\left(\Pi_{i=0}^{2} \text { Crypt }_{i} \mid \Pi_{i=0}^{2} \operatorname{Coin}_{i}\right)\right)
\end{aligned}
$$

## Crowds

- A crowd is a group of n nodes
- The initiator selects randomly a node (called forwarder) and forwards the request to it
- A forwarder:
- With prob. I-Df selects randomly a new node and forwards the request to him
- With prob. pf sends the request to the server



## Common features of information-hiding protocols

- There is information that we want to keep hidden
- the user who pays in D.C.
- the user who initiates the request in Crowds
- There is information that is revealed
- agree/disagree in D.C.
- the users who forward messages to a corrupted user in Crowds
- Protocols often use randomization to hide the link between anonymous and observable events
- coin tossing in D.C.
- random forwarding in Crowds to a corrupted user in Crowds

Information-hiding protocols as opaque channels


## Protocols as channels

Information-hiding protocols as opaque channels


## Protocols as noisy channels



## The protocol of the dining cryptographers

## Protocols as noisy channels

- We consider a probabilistic approach
- Inputs: elements of a random variable A
- Outputs: elements of a random variable O
- For each input $\mathrm{a}_{\mathrm{i}}$, the probability that we obtain an observable $o_{j}$ is given by $p\left(o_{j} \mid a_{i}\right)$
- We assume that the protocol receives exactly one input at each session
- We want to define the degree of protection independently from the input's distribution, i.e. the users

Information-hiding protocols as opaque channels


## The conditional probabilities

Information-hiding protocols as opaque channels


The channel is completely characterized by the array of conditional probabilities

## Preliminaries of Information Theory

- The entropy $H(A)$ measures the uncertainty about the anonymous events:

$$
H(A)=-\sum_{a \in \mathcal{A}} p(a) \log p(a)
$$

- The conditional entropy $H(A \mid O)$ measures the uncertainty about $A$ after we know the value of $O$ (after the execution of the protocol).
- The mutual information $I(A ; O)$ measures how much uncertainty about $A$ we lose by observing $O$ :

$$
I(A ; O)=H(A)-H(A \mid O)
$$

## Opacity

- Necessity to give a quantitative measure of the degree of protection provided by a protocol
- We define Opacity as the converse of the Capacity of the channel:

$$
C=\max _{p(a)} I(A ; O)
$$

- Note that this definition is independent from the distribution on the inputs, as desired


## Relative privacy

- Some information about A may be revealed intentionally
- Example: elections

- We model the revealed information with a third random variable R

$$
R=\text { number of users who voted for } c
$$

## Relative privacy

- We use the notion of conditional mutual information

$$
I(A ; O \mid R)=H(A \mid R)-H(A \mid R, O)
$$

- And define the conditional capacity similarly

$$
C_{R}=\max _{p(a)} I(A ; O \mid R)
$$

## Partitions: a special case of relative privacy

- We say that $R$ partitions $\mathcal{X}$ iff $p(r \mid x)$ is either 0 or 1 for every $r, x$
- Examples: elections, group anonymity


## Theorem

If $R$ partitions $\mathcal{A}$ and $\mathcal{O}$ then the transition matrix of the protocol is of the form

|  | $\mathcal{O}_{1}$ | $\mathcal{O}_{2}$ | $\ldots$ | $\mathcal{O}_{l}$ |
| ---: | :---: | :---: | :---: | :---: |
| $\mathcal{A}_{1}$ | $M_{1}$ | 0 | $\ldots$ | 0 |
| $\mathcal{A}_{2}$ | 0 | $M_{2}$ | $\ldots$ | 0 |
| $\vdots$ | $\vdots$ | $\vdots$ | $\ddots$ | $\vdots$ |
| $\mathcal{A}_{l}$ | 0 | 0 | $\ldots$ | $M_{l}$ |

and

$$
C_{R} \leq d \quad \Leftrightarrow \quad C_{i} \leq d, \forall i \in 1 . . l
$$

where $C_{i}$ is the capacity of matrix $M_{i}$.

## Information-hiding protocols as opaque channels

## Relation with existing notions

Strong probabilistic anonymity

$$
\begin{array}{ll}
p(a)=p(a \mid o) \quad \forall a, o \quad & \text { [Chaum, 88], aka "condit } \\
\text { anonymity" [Halpern and O'Neill, } \\
p\left(o \mid a_{i}\right)=p\left(o \mid a_{j}\right) \quad \forall o, i, j & {[\text { Bhargava and Palamidessi, 05] }}
\end{array}
$$

## Proposition

An anonymity protocol satisfies strong probabilistic anonymity iff $C=0$.

Example: Dining cryptographers

|  | 100 | 010 | 001 | 111 |
| :--- | :--- | :--- | :--- | :--- |
| $a_{1}$ | $1 / 4$ | $1 / 4$ | $1 / 4$ | $1 / 4$ |
| $a_{2}$ | $1 / 4$ | $1 / 4$ | $1 / 4$ | $1 / 4$ |
| $a_{3}$ | $1 / 4$ | $1 / 4$ | $1 / 4$ | $1 / 4$ |

## How to compute the capacity of the channel associated to a protocol

- Express the protocol in your favorite formalism
- Establish the anonymous events (inputs) and the observable events (outputs)
- The matrix of the channel (i.e. the conditional probabilities) is completely determined by the protocol and can be computed either by hand or by model checking
- The capacity is completely determined by the matrix and can be approximated by using the Arimoto-Blahut algorithm. In some particular cases is given by a formula

Example: D.C. in the probabilistic asynchronous $\pi$-calculus

$$
\begin{aligned}
& \begin{array}{c|c}
\begin{array}{c}
\text { Master }=\sum_{i=0}^{2} \tau \cdot \bar{m}_{i} \mathrm{p} \cdot \bar{m}_{i \oplus 1} \mathrm{n} \cdot \bar{m}_{i \oplus 2} \mathrm{n} \cdot 0 \\
+\tau \cdot \bar{m}_{0} \mathrm{n} \cdot \bar{m}_{1} \mathrm{n} \cdot \bar{m}_{2} \mathrm{n} \cdot 0
\end{array} & \begin{array}{l}
\text { Nondeterministic } \\
\text { choice }
\end{array} \\
\begin{array}{cc}
\text { Crypt }_{i}= & m_{i}(x) \cdot c_{i, i}(y) \cdot c_{i, i \oplus 1}(z) .
\end{array} &
\end{array} \\
& \text { if } x=\mathrm{p} \\
& \text { Anonymous actions } \\
& \text { then } \overline{p a y}_{i} \text { if } y=z \\
& \text { then out }{ }_{i} \text { disagree } \\
& \text { else if } y=z \\
& \text { then out }{ }_{i} \text { agree } \\
& \text { else } \overline{o u t}_{i} \text { disagree } \\
& \text { Coin }_{i}=p_{h} \tau^{--} . \text {Héad }_{i}+\overline{p_{t}} \tau^{-} . \text {Tail }_{i} \text { Probabilistic choice } \\
& \text { Head }_{i}=\bar{c}_{i, i} \text { head } . \bar{c}_{i \ominus 1, i} \text { head } .0 \\
& \text { Tail }_{i}=\bar{c}_{i, i} \text { tail. } \bar{c}_{i \ominus 1, i} \text { tail. } 0 \\
& D C P=(\nu \vec{m})(\text { Master } \\
& \left.\mid(\nu \vec{c})\left(\Pi_{i=0}^{2} \text { Crypt }_{i} \mid \Pi_{i=0}^{2} \operatorname{Coin}_{i}\right)\right)
\end{aligned}
$$

## Probabilistic automaton associated to the probabilistic $\pi$ program for the D.C.



## Examples of channel matrices

- Dining cryptographers, while varying the probability $p$ of the coins to give heads
- $p=0.5$

|  | $d a a$ | $a d a$ | $a a d$ | $d d d$ | $a a a$ | $d d a$ | $d a d$ | $a d d$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $c_{1}$ | $1 / 4$ | $1 / 4$ | $1 / 4$ | $1 / 4$ | 0 | 0 | 0 | 0 |
| $c_{2}$ | $1 / 4$ | $1 / 4$ | $1 / 4$ | $1 / 4$ | 0 | 0 | 0 | 0 |
| $c_{3}$ | $1 / 4$ | $1 / 4$ | $1 / 4$ | $1 / 4$ | 0 | 0 | 0 | 0 |
| $m$ | 0 | 0 | 0 | 0 | $1 / 4$ | $1 / 4$ | $1 / 4$ | $1 / 4$ |

- $p=0.7$

|  | $d a a$ | $a d a$ | $a a d$ | $d d d$ | $a a a$ | $d d a$ | $d a d$ | $a d d$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $c_{1}$ | 0.37 | 0.21 | 0.21 | 0.21 | 0 | 0 | 0 | 0 |
| $c_{2}$ | 0.21 | 0.37 | 0.21 | 0.21 | 0 | 0 | 0 | 0 |
| $c_{3}$ | 0.21 | 0.21 | 0.37 | 0.21 | 0 | 0 | 0 | 0 |
| $m$ | 0 | 0 | 0 | 0 | 0.37 | 0.21 | 0.21 | 0.21 |

## Computing the capacity from the matrix

- General case: using the Arimoto-Blahut algorithm
- Approximates the capacity to a given precision
- In particular cases we can exploit the protocol's symmetries
- Symmetric channel: all rows and all columns are permutations of each other
- In a symmetric channel: $C=\log |\mathcal{O}|-H(\mathbf{r})$
- Can be extended to weaker notions of symmetry


## Test-case: dining cryptographers

- Fair coins: the protocol is strongly anonymous ( $\mathrm{C}=0$ )
- Totally biased coins: the payer can be always identified (maximum capacity $\mathrm{C}=\log 3$ )



## Privacy and Statistical Inference

- Opacity as converse of Capacity.

Ok, it seems 'reasonable'.
But is it the most natural notion?

- An uncontroversially natural notion is be the 'probability of error' of an adversary trying to infer the hidden information (input) from the observables (output)


## Statistical inference

- $O=\mathrm{O}_{1}, \mathrm{O}_{2}, \ldots, \mathrm{o}_{\mathrm{n}}:$ a sequence of n observations
- $f$ : the function used by the adversary to infer the input from a sequence of observations
- Error region of $f$ for input $a: \quad E_{f}(a)=\left\{\boldsymbol{o} \in \mathcal{O}^{n} \mid f(\boldsymbol{o}) \neq a\right\}$
- Probability of error for input $a$ : $\quad \eta(a)=\sum_{o \in E_{f}(a)} p(\boldsymbol{o} \mid a)$
- Probability of error for $f$ :

$$
P_{f_{n}}=\sum_{a \in A} p(a) \eta(a)
$$

## MAP decision functions

- MAP: Maximum Aposteriory Probability
- Applicable when the input's distribution is known.

Use Bayes theorem:

$$
\mathrm{p}(a \mid o)=(\mathrm{p}(o \mid a) \mathrm{p}(a)) / \mathrm{p}(o)
$$

- $f$ is a MAP decision function if $f(\boldsymbol{O})=a$ implies

$$
\mathrm{p}(O \mid a) \mathrm{p}(a)>=\mathrm{p}\left(O \mid a^{\prime}\right) \mathrm{P}\left(a^{\prime}\right) \quad \text { for all } a, a^{\prime} \text { and } O
$$

- Proposition: the MAP decision functions minimize the probability of error (which in this case is called Bayesian risk)


## Independence from the input distribution

- Under certain conditions, for large sequences of observations the input distribution becomes negligible:
- Proposition: A MAP decision function $f$ can be approximated by a function $g$ such that $g(O)=a$ implies

$$
\mathrm{p}(o \mid a)>\mathrm{p}\left(o \mid a^{\prime}\right) \quad \text { for all } a, a^{\prime} \text { and } o
$$

- "approximated" means that the more observations we make, the smaller is the difference in the error probability of $f$ and $g$


## Bayesian Risk and Information Theory

- Object of study since decades
- Philosophical and practical motivations

- Relation with Conditional Entropy $\mathrm{H}(\mathrm{A} \mid \mathrm{O})$
- Bounds by Rény '66, Hellman-Raviv '70, Santhi-Vardy '06
- Tighter bound obtained by studying the 'corner points'


## What about the relation between the Probability of error and Capacity ?

- p(a|o) vs $\mathrm{H}(\mathrm{A} \mid \mathrm{O})$
- $p(a \mid o) / p(a)$ vs $H(A \mid O)-H(A)$ ?


## Future work

- Explore more in depth the relation between the capability of inferring info about the input and the capacity, or other quantitative notions depending on the channel's matrix.
- Inference of the input distribution without the power of forcing the input to remain the same through the observations
- Characterizations of other (weaker) notions of privacy which are easy to model check, in the sense that they do not require to analyze the capacity as a function of the input distribution
- Develop a logic for efficient model checking


## Thank you!

