

Weighted automata with pebbles and weighted FO logic with transitive closures

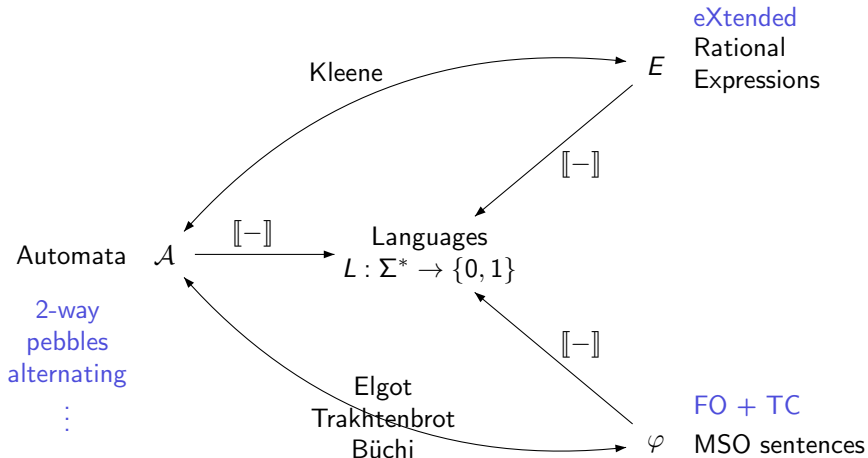
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LSV, ENS Cachan, CNRS, INRIA.

Dagstuhl
Dec. 13-17, 2010

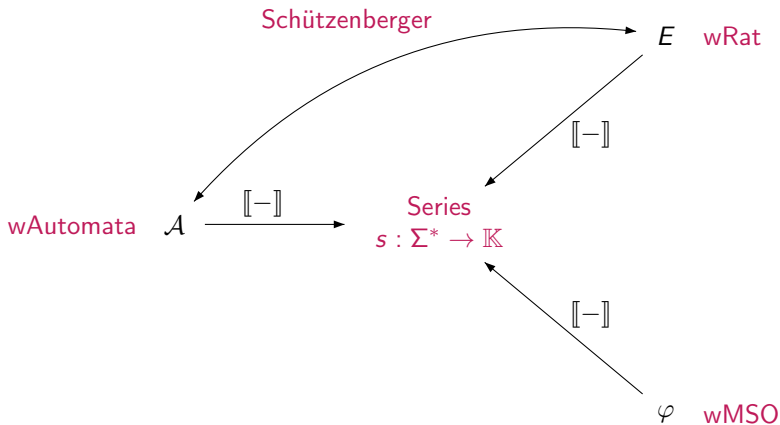
Preliminary version at ICALP'10

Motivation: The Paradise for weights



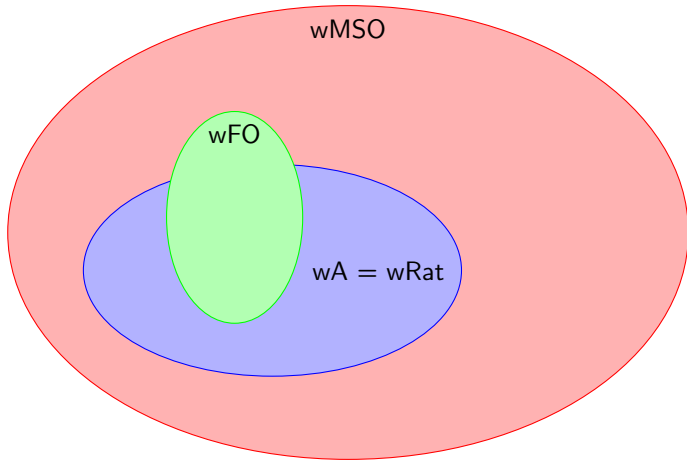
Boolean: $\mathbb{B} = (\{0, 1\}, \vee, \wedge, 0, 1)$

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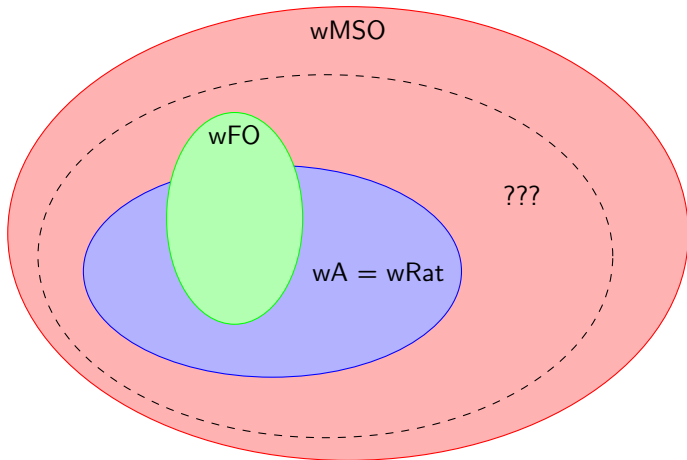


Quantitative: $\mathbb{K} = (K, +, \times, 0, 1)$

Expressivity in weighted setting



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Find a robust class containing both wFO and $wAutomata$.

Weighted automata

- ▶ Transitions carry weights from a semiring \mathbb{K} : $\mu : \Sigma \rightarrow \mathbb{K}^{Q \times Q}$.



- ▶ **Weight** of a run on $w = a_1 a_2 \cdots a_n$: **product** in the semiring.

$$\text{weight}(p_0 \xrightarrow{k_1 a_1} p_1 \xrightarrow{k_2 a_2} \cdots \xrightarrow{k_n a_n} p_n) = k_1 k_2 \cdots k_n$$

- ▶ **Value** of a word: **sum of all weights of runs** on this word.

$$[[\mathcal{A}]](w) = \sum_{\rho \text{ run of } \mathcal{A} \text{ on } w} \text{weight}(\rho) = \lambda \cdot \mu(w) \cdot \gamma$$

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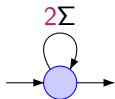
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Example: Semirings: $\mathbb{K} = (K, +, \times, 0, 1)$

- | | |
|---|---------------|
| ▶ $\mathbb{B} = (\{0, 1\}, \vee, \wedge, 0, 1)$ | Boolean |
| ▶ $\mathbb{P} = (\mathbb{R}^+, +, \times, 0, 1)$ | Probabilistic |
| ▶ $\mathbb{N} = (\mathbb{N}, +, \times, 0, 1)$ | Natural |
| ▶ $\mathbb{T} = (\mathbb{N} \cup \{\infty\}, \min, +, \infty, 0)$ | Tropical |

Examples of weighted automata

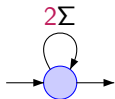
- ▶ Alphabet Σ , on $(\mathbb{N}, +, \times, 0, 1)$



$$\llbracket \mathcal{A} \rrbracket(u) = 2^{|u|} \quad (\text{deterministic})$$

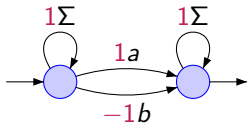
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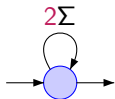
- ▶ Alphabet $\Sigma = \{a, b\}$, on $(\mathbb{Z}, +, \times, 0, 1)$



$$\llbracket \mathcal{A} \rrbracket(u) = |u|_a - |u|_b$$

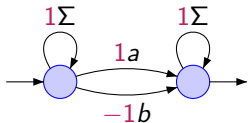
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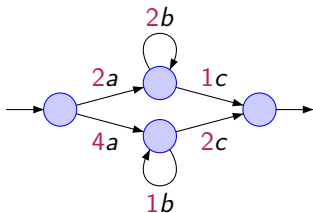
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- ▶ Alphabet $\{a, b, c\}$, on $(\mathbb{N} \cup \{\infty\}, \min, +, \infty, 0)$



$$\llbracket \mathcal{A} \rrbracket(ab^n c) = \min(3 + 2n, 6 + n)$$

Weighted automata cannot compute large weights

Remark

$\mathcal{A} = (Q, \mu)$ weighted automaton on \mathbb{N} . There exists M such that

$$\llbracket \mathcal{A} \rrbracket(u) = O(M^{|u|}).$$

- ▶ There are $|Q|^{|u|+1}$ runs on $u = a_1 a_2 \cdots a_n$,

$$\rho = p_0 \xrightarrow{k_1 a_1} p_1 \xrightarrow{k_2 a_2} \cdots \xrightarrow{k_n a_n} p_n$$

- ▶ The weight of a run is exponential in $|u|$:

$$\text{weight}(\rho) = k_1 k_2 \cdots k_n \leq (\max\{\mu(a)_{p,q} \mid a \in \Sigma \text{ and } p, q \in Q\})^{|u|}.$$

Weighted MSO

Definition: Syntax of wMSO

$$\varphi ::= k \mid P_a(x) \mid x \leq y \mid x \in X \mid \neg\varphi \mid \varphi \vee \psi \mid \varphi \wedge \psi \mid \exists x \varphi \mid \forall x \varphi \mid \exists X \varphi \mid \forall X \varphi$$

where $k \in K$, $a \in \Sigma$, x, y are first-order variables, X is a set variable.

Definition: Semantics

- ▶ A formula φ without free variables defines a mapping $\llbracket \varphi \rrbracket : \Sigma^+ \rightarrow K$.
- ▶ First order variables are interpreted as positions in the word.
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- ▶ $\llbracket \varphi_1 \vee \varphi_2 \rrbracket = \llbracket \varphi_1 \rrbracket + \llbracket \varphi_2 \rrbracket$ and $\llbracket \varphi_1 \wedge \varphi_2 \rrbracket = \llbracket \varphi_1 \rrbracket \times \llbracket \varphi_2 \rrbracket$.

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Remember: $\mathbb{B} = (\{0, 1\}, \vee, \wedge, 0, 1)$ and $\mathbb{K} = (K, +, \times, 0, 1)$.
- ▶ $\exists x \varphi$ interpreted as a **sum** over all positions.
- ▶ $\forall x \varphi$ interpreted as a **product** over all positions.

wMSO: examples

▶ $\llbracket \exists x P_a(x) \rrbracket(u) = \sum_{i \in \text{pos}(u)} \llbracket P_a(x) \rrbracket(u, i) = |u|_a$

recognizable

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- ▶ $\llbracket \exists x P_a(x) \rrbracket(u) = \sum_{i \in \text{pos}(u)} \llbracket P_a(x) \rrbracket(u, i) = |u|_a$ recognizable
- ▶ $\llbracket \forall y 2 \rrbracket(u) = \prod_{i \in \text{pos}(u)} \llbracket 2 \rrbracket(u, i) = 2^{|u|}$ recognizable

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w-Automata are **not closed** under **universal quantification**.

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w-Automata are **not closed** under **universal quantification**.

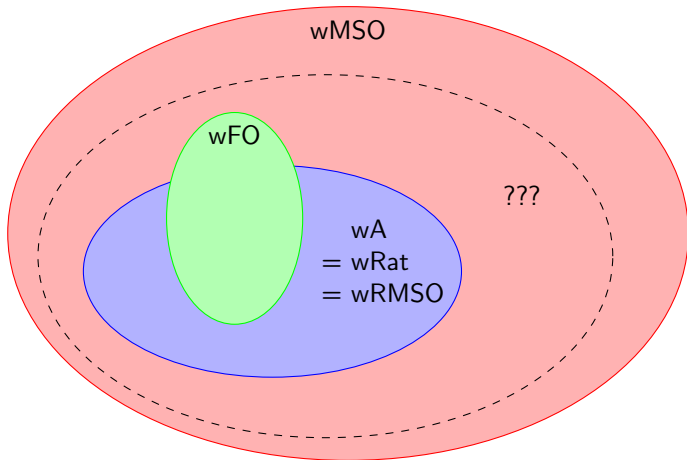
Theorem (Droste & Gastin'05)

$$\text{wAutomata} = \text{wRMSO}$$

wRMSO is a fragment of wMSO with

- ▶ $\forall X$ restricted to **boolean formulae**
- ▶ $\forall x$ restricted to $\vee \wedge$ of constants and boolean formulae

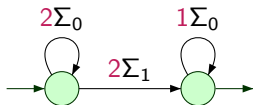
Extending instead of Restricting ?



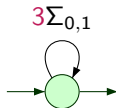
We aim at a robust class extending both wFO and wAutomata.

Nested automata (= 1-way pebble automata)

A 0-nested wA is a classical weighted automaton.



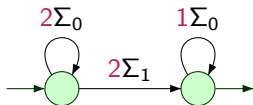
$$[[\mathcal{A}_1]](u) = 2^{i+1} \text{ if } u \in \Sigma_0^i \Sigma_1 \Sigma_0^*$$



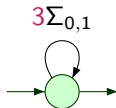
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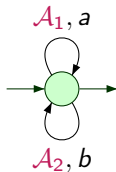
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Each transition $p \xrightarrow{a} q$ of an r -nested wA \mathcal{A} calls an $(r-1)$ -nested wA $\mathcal{A}_{p,a,q}$ with the current position i marked.

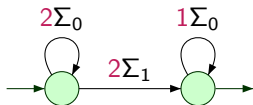
$\mathcal{A}_{p,a,q}$ restarts on (u, i) and computes the weight $p \xrightarrow{[[\mathcal{A}_{p,a,q}]](u,i)a} q$.



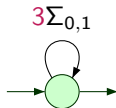
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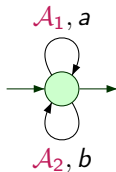
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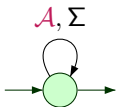


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An r -nested automaton does $1 + |u| + |u|^2 + \dots + |u|^r$ 1-way runs on a word u .

Nested automata are closed under $\exists \forall$

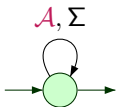
Proof: $\forall x \mathcal{A}(x)$



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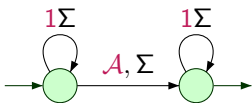
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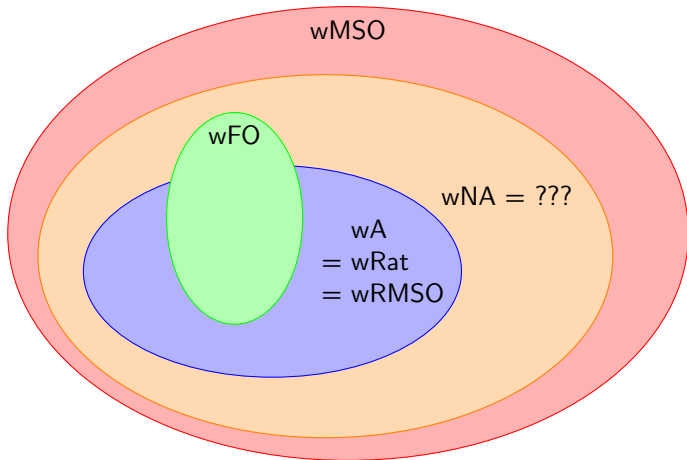
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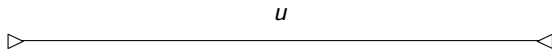
Nested weighted Automata vs wFO



We aim now at a logical characterization of w-Nested-Automata.

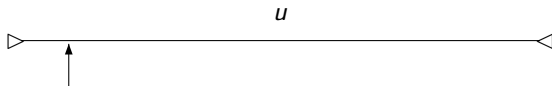
(2-way) Pebble weighted automata

- ▶ Automaton with 2-way mechanism and pebbles $\{1, \dots, r\}$.



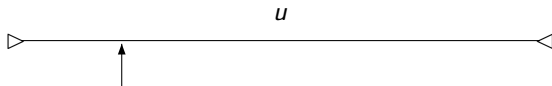
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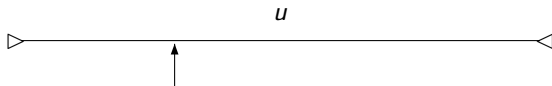
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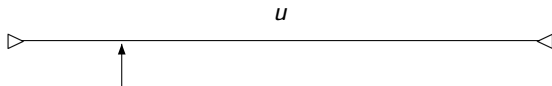
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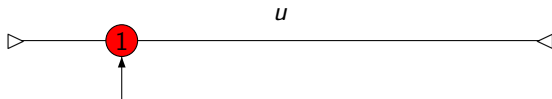
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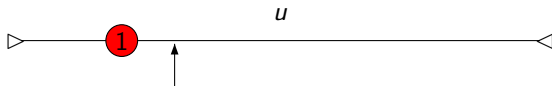
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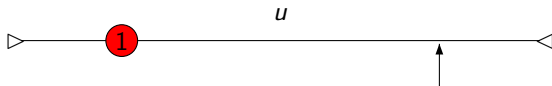
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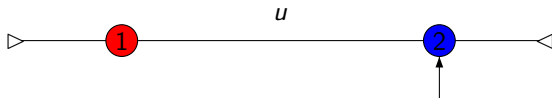
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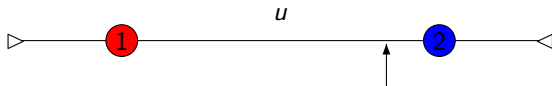
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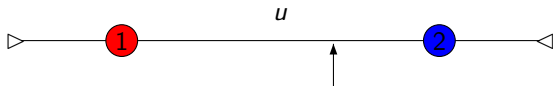
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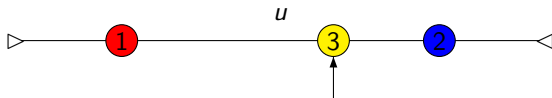
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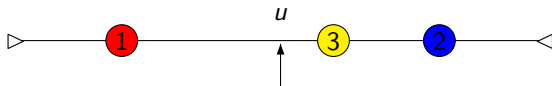
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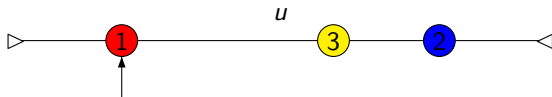
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- ▶ Applicable transitions depend on current (state, letter, pebbles).
($p, ka, \text{Pebbles}, D, q$), where $D \in \{\leftarrow, \rightarrow, \text{lift}, \text{drop}\}$.

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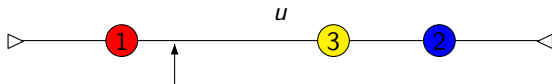
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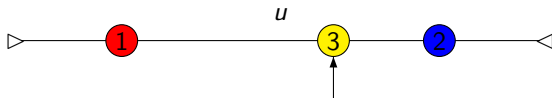
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- ▶ **Weak policy**: pebble may be lifted only when the head scans its position.

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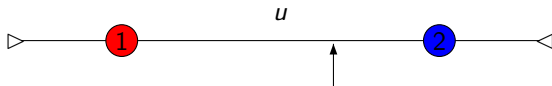
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- ▶ **Weak policy**: pebble may be lifted only when the head scans its position.

(2-way) Pebble weighted automata

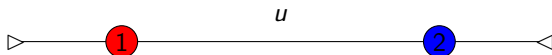
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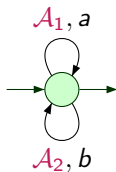
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- ▶ **Note**. For Boolean word automata, this does not add expressive power.

wPA can simulate wNA

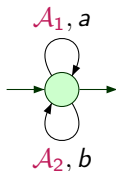
Proof by example: Consider the 1wNA



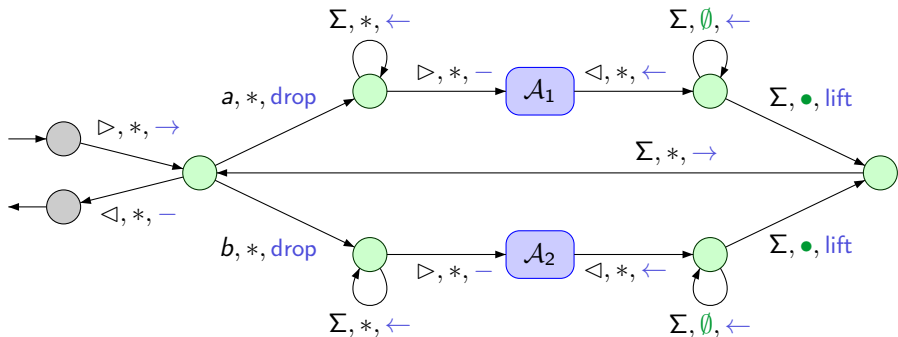
$$[\mathcal{A}](u) = 3^{|u|} |u|_b \cdot 2^{\sum \text{pos}(a, u)}$$

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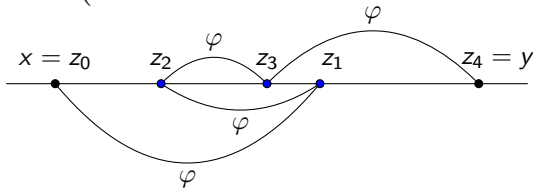


Transitive closure logics: TC and BTC

- ▶ For $\varphi(x, y)$ with (at least) two first order free variables, define

$$\varphi^1(x, y) = \varphi(x, y)$$

$$\varphi^n(x, y) = \exists z_0 \cdots \exists z_n \left(x = z_0 \wedge z_n = y \wedge \text{diff}(z_0, \dots, z_n) \wedge \left[\bigwedge_{1 \leq \ell \leq n} \varphi(z_{\ell-1}, z_\ell) \right] \right).$$

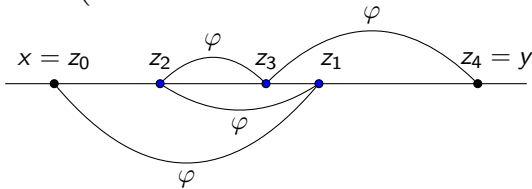


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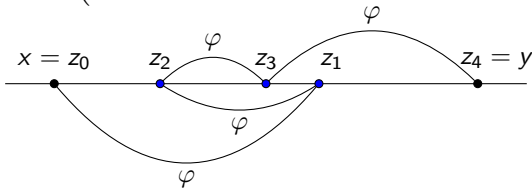
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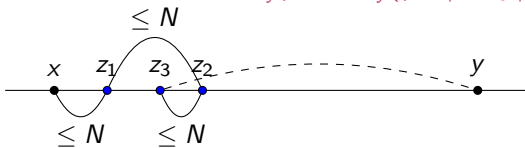
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- ▶ The transitive closure operator is defined by $\text{TC}_{xy}\varphi = \bigvee_{n \geq 1} \varphi^n$.
- ▶ Bounded transitive closure : $N\text{-TC}_{xy}\varphi = \text{TC}_{xy}(\varphi \wedge |x - y| \leq N)$



Bounded transitive closure and pebble automata

Express $N\text{-TC}_{xy}\varphi$ with 2 additional pebbles:

Given p -pebble automaton \mathcal{A} on Σ_{xy} recognizing $\llbracket\varphi\rrbracket$ and a word (u, i, j)



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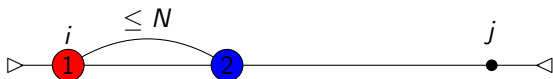


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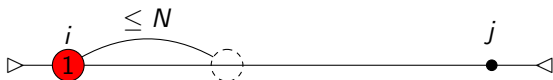


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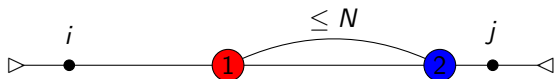


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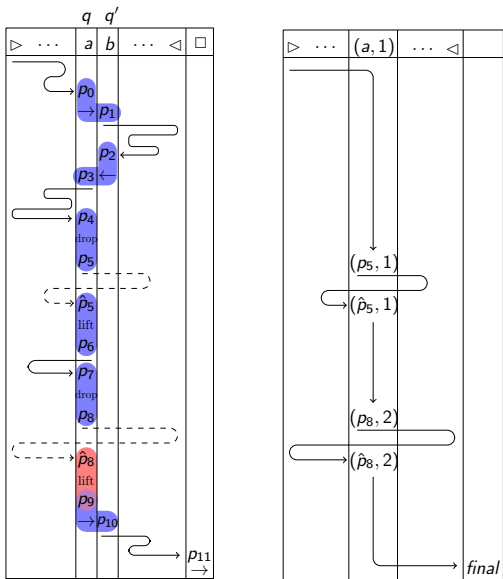
Expressiveness

Theorem (Bollig, Gastin, Monmege, Zeitoun)

$$w(\text{FO} + \text{BTC}) = w\text{PA} = w\text{NA}$$

- ▶ Proof of $w(\text{FO} + \text{BTC}) \subseteq w\text{PA}$ done in the previous slides
- ▶ Proof of $w\text{PA} \subseteq w\text{NA}$:
Generalization of the translation of 2-way automata to 1-way automata.
- ▶ Proof of $w\text{NA} \subseteq w(\text{FO} + \text{BTC})$:
Generalization of a proof showing that weighted automata are expressible with transitive closure.

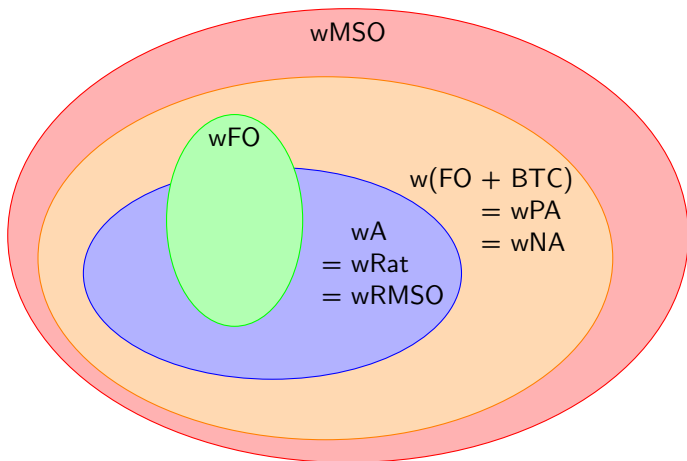
Flavor of the proof of $1\text{-pebble} \subseteq 1\text{-nested}$



Requires commutativity

Summary

- ▶ Pebbles and nesting add expressive power in weighted automata.
- ▶ 2-way $wA = 0$ -pebble $wA = 0$ -nested $wA = 1$ -way wA
- ▶ SAT of $w(\text{FO} + \text{BTC})$ is decidable for positive semiring



Open problems

Some closely related questions:

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2. **Weak** pebbles vs. **strong** pebbles?
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- ▶ **Main Theorem (almost):** $w\text{-Nested-DFSA} = w(\text{FO} + \text{BTC}^<)$

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- ▶ Quantitative query languages: wXPath, wXPath