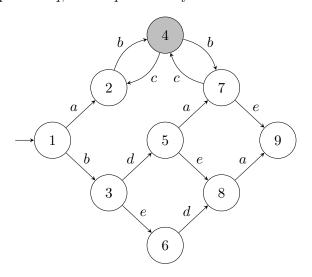
## TD 7: Emptiness Test for Büchi Automata, Partial-Order Reduction

**Exercise 1.** Consider the labeled Kripke structure  $\mathcal{K}$  shown below with actions  $\{a, b, c, d, e\}$  and one atomic proposition q, where q holds only on state 4.



1. Determine a maximal independence relation I.

(Recall that  $I \subseteq A \times A$  is an independence relation for  $\mathcal{K}$  if it is irreflexive, symmetric, and for all  $(a,b) \in I$  and  $s \in S$ , if  $a,b \in en(s)$ ,  $s \xrightarrow{a} t$ , and  $s \xrightarrow{b} u$ , then there exists  $v \in S$  such that  $a \in en(u)$ ,  $b \in en(t)$ ,  $t \xrightarrow{b} v$ , and  $u \xrightarrow{a} v$ .)

2. Determine the maximal invisibility set U.

(Recall that U is an invisibility set if for all  $a \in U$  and  $(s, a, s') \in A$ ,  $\nu(s) = \nu(s')$ .)

**Exercise 2** (Büchi Emptiness Test). Consider an execution of Algorithm 1 on some Büchi automaton  $\mathcal{B} = (\Sigma, S, s_0, \delta, F)$ .

At each point during the DFS, we define the search path as the sequence of visited states for which the DFS call has not yet terminated (in the order in which they are visited), and the explored graph of  $\mathcal B$  as the subgraph containing all visited states and explored transitions. We call an SCC of the explored graph active if the search path contains at least one of its states. A state is active if it is part of an active SCC in the explored graph (it is not necessary for the state itself to be on the search path). The active graph is the subgraph of the explored graph induced by the active states.

For all strongly connected component  $C \subseteq S$  of  $\mathcal{B}$ , we call root of C the state of C that is visited first during the DFS, i.e. the node  $r_C$  such that  $r_C.num = \min\{s.num \mid s \in C\}$  at the end of the DFS. We define similarly the root of an SCC in the explored graph.

## Algorithm 1 Depth-first-search

```
1. nr = 0;

2. hash = \{ \};

3. dfs(s_0);

4. exit;

dfs(s):

1. add s to hash;

2. nr = nr + 1;

3. s.num = nr;

4. for all t \in succ(s) do

5. if t not in hash then

6. dfs(t)

7. end if

8. end for
```

- 1. Show that an inactive SCC in the explored graph is also an SCC of  $\mathcal{B}$ .
- 2. Show that the roots of the SCCs in the active graph are a subsequence  $r_1 ldots r_m$  of the search path, and that an activated node s is in the active SCC of  $r_i$  if and only if i < m and  $r_i.num \le s.num < r_{i+1}.num$ , or i = m and  $r_i.num \le s.num$ .
- 3. Show that Algorithm 2 maintains the following invariants:
  - the stack W contains the sequence  $(r_1, C_1) \dots (r_m, C_m)$  where  $r_1 \dots r_m$  is the sequence of roots of the active graph, and  $C_i$  is the active SCC of  $r_i$ ,
  - for all nodes s, s. active is true if and only if s is active.
- 4. Show that Algorithm 2 returns *true* iff the language of the input Büchi automaton is empty, and that in that case, it terminates as soon as the explored graph contains a counterexample.
- 5. Adapt Algorithm 2 to test emptiness of a generalized Büchi automaton with acceptance sets  $F_1, \ldots, F_n$ .
- 6. Compare with the nested DFS algorithm from the lectures.

## Algorithm 2 Emptiness Test

```
1. nr = 0;
 2. hash = \{\};
 3. W = \{\};
 4. dfs(s_0);
 5. return true;
dfs(s):
 1. add s to hash;
 2. s.active = true;
 3. nr = nr + 1;
 4. s.num = nr;
 5. push (s, \{s\}) onto W;
 6. for all t \in \operatorname{succ}(s) do
      if t not in hash then
 7.
        dfs(t)
 8.
      else if t.active then
 9.
        D = \{ \};
10.
11.
        repeat
           pop (u, C) from W;
12.
13.
           if u is accepting then
             return false
14.
           end if
15.
           merge C into D;
16.
        until u.num \leq t.num;
17.
         push (u, D) onto W;
18.
      end if
19.
20. end for
21. if s is the top root in W then
      pop (s, C) from W;
22.
      for all t in C do
23.
24.
        t.active = false
      end for
25.
26. end if
```