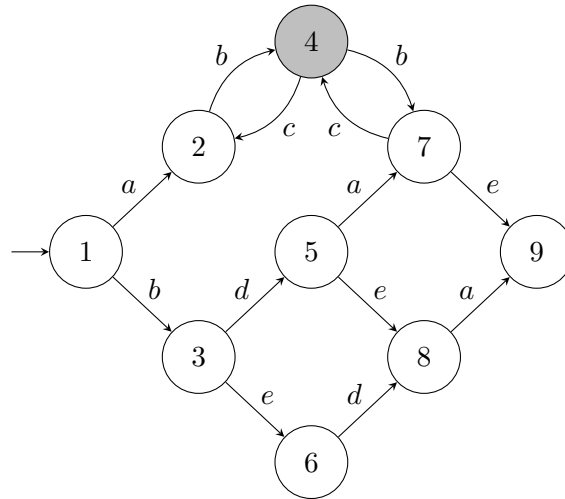


## TD 7: Emptiness Test for Büchi Automata, Partial-Order Reduction

**Exercise 1.** Consider the labeled Kripke structure  $\mathcal{K}$  shown below with actions  $\{a, b, c, d, e\}$  and one atomic proposition  $q$ , where  $q$  holds only on state 4.



1. Determine a maximal independence relation  $I$ .

(Recall that  $I \subseteq A \times A$  is an independence relation for  $\mathcal{K}$  if it is irreflexive, symmetric, and for all  $(a, b) \in I$  and  $s \in S$ , if  $a, b \in \text{en}(s)$ ,  $s \xrightarrow{a} t$ , and  $s \xrightarrow{b} u$ , then there exists  $v \in S$  such that  $a \in \text{en}(v)$ ,  $b \in \text{en}(v)$ ,  $t \xrightarrow{b} v$ , and  $u \xrightarrow{a} v$ .)

2. Determine the maximal invisibility set  $U$ .

(Recall that  $U$  is an invisibility set if for all  $a \in U$  and  $(s, a, s') \in \rightarrow$ ,  $\nu(s) = \nu(s')$ .)

**Exercise 2** (Stuttering and LTL(U)). Fix a set of atomic propositions AP, and  $\Sigma = 2^{\text{AP}}$ . Recall that  $\sigma, \rho \in \Sigma^\omega$  are *stuttering equivalent* when there exists infinite integer sequences  $0 = i_0 < i_1 < \dots$  and  $0 = k_0 < k_1 < \dots$  such that for all  $\ell \geq 0$ ,

$$\sigma(i_\ell) = \sigma(i_\ell + 1) = \dots = \sigma(i_{\ell+1} - 1) = \rho(k_\ell) = \rho(k_\ell + 1) = \dots = \rho(k_{\ell+1} - 1)$$

A language  $L \subseteq \Sigma^\omega$  is *stutter-invariant* if for all stuttering equivalent words  $\sigma, \rho \in \Sigma^\omega$ , we have  $\sigma \in L$  iff  $\rho \in L$ .

1. Prove that if  $\varphi$  is an LTL(AP, U) formula, then  $L(\varphi)$  is stutter-invariant.

2. A word  $\sigma = a_0a_1 \dots$  in  $\Sigma^\omega$  is *stutter-free* if, for all  $i$  in  $\mathbb{N}$ , either  $a_i \neq a_{i+1}$ , or  $a_i = a_j$  for all  $j \geq i$ . We note  $\text{sf}(L)$  for the set of stutter-free words in a language  $L$ .  
Show that, if  $L$  and  $L'$  are two stutter-invariant languages, then  $\text{sf}(L) = \text{sf}(L')$  iff  $L = L'$ .
3. Let  $\varphi$  be an LTL(AP, X, U) formula such that  $L(\varphi)$  is stutter-invariant. Construct inductively a formula  $\tau(\varphi)$  of LTL(AP, U) such that  $\text{sf}(L(\varphi)) = \text{sf}(L(\tau(\varphi)))$ , and thus such that  $L(\varphi) = L(\tau(\varphi))$  according to the previous question.

**Exercise 3** (Büchi Emptiness Test). Consider an execution of Algorithm 1 on some Büchi automaton  $\mathcal{B} = (\Sigma, S, s_0, \delta, F)$ .

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**Algorithm 1** Depth-first-search

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1.  $nr = 0$ ;
2.  $hash = \{ \}$ ;
3.  $\text{dfs}(s_0)$ ;
4. exit;

$\text{dfs}(s)$  :

1. add  $s$  to  $hash$ ;
  2.  $nr = nr + 1$ ;
  3.  $s.num = nr$ ;
  4. **for all**  $t \in \text{succ}(s)$  **do**
  5.   **if**  $t$  not in  $hash$  **then**
  6.      $\text{dfs}(t)$
  7.   **end if**
  8. **end for**
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1. At each point during the DFS, we define the *search path* as the sequence  $s_0s_1 \dots s_n$  of visited states for which the DFS call has not yet terminated (in the order in which they are visited).

Show that  $s_i.num < s_j.num$  iff  $i < j$ , and that for all  $i < j$ ,  $s_i \rightarrow^+ s_j$ .

For all strongly connected component  $C \subseteq S$  of  $\mathcal{B}$ , we call *root of C* the state of  $C$  that is visited first during the DFS, i.e. the node  $r_C$  such that  $r_C.num = \min\{s.num \mid s \in C\}$  at the end of the DFS. Note that it is also the last state in  $C$  from which the DFS backtracks, and, at that point, all states and edges in the component  $C$  have been considered.

The *explored graph* of  $\mathcal{B}$  denotes the subgraph containing all visited states and explored transitions. We call an SCC of the *explored graph* *active* if the search path contains at least one of its states. A state is *active* if it is part of an active SCC in the explored graph (it is not necessary for the state itself to be on the search path). The *active graph* is the subgraph of the explored graph induced by the active states.

3. Show that an inactive SCC in the explored graph is also an SCC of  $\mathcal{B}$ .
4. Show that the roots of the SCCs in the active graph are a subsequence of the search path.
5. Let  $s$  be an active state and  $t$  the root of its SCC in the active graph. Show that there is no active root  $u$  with  $t.num < u.num < s.num$ .
6. Show that if  $s, t$  are two active states with  $s.num \leq t.num$ , then  $s \rightarrow^* t$ .
7. Let  $C, C'$  be two active SCCs and  $t \in C, s \in C'$  such that  $t.num \leq s.num$ . Show that if an edge  $(s, t)$  is added to the explored graph, after the addition,  $C$  and  $C'$  are in the same SCC of the explored graph.
8. We modify Algorithm 1 to maintain a stack  $W$  with elements of the form  $(s, C)$ , where  $s$  is the root of an active SCC, and  $C$  is the set of states in the SCC of  $s$ . Show that Algorithm 2 returns *true* iff the language of the input Büchi automaton is empty.
9. Show that if  $L(\mathcal{B}) \neq \emptyset$ , Algorithm 2 terminates as soon as the explored graph contains a counterexample.
10. Adapt Algorithm 2 to test emptiness of a generalized Büchi automaton with acceptance sets  $F_1, \dots, F_n$ .
11. Compare with the nested DFS algorithm from the lectures.

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**Algorithm 2** Emptiness Test

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1.  $nr = 0$ ;  
2.  $hash = \{ \}$ ;  
3.  $W = \{ \}$ ;  
4.  $dfs(s_0)$ ;  
5. return true;  
  
dfs(s):  
1. add  $s$  to hash;  
2.  $s.active = true$ ;  
3.  $nr = nr + 1$ ;  
4.  $s.num = nr$ ;  
5. push  $(s, \{s\})$  onto  $W$ ;  
6. for all  $t \in succ(s)$  do  
7.   if  $t$  not in  $hash$  then  
8.      $dfs(t)$   
9.   else if  $t.active$  then  
10.     $D = \{ \}$ ;  
11.    repeat  
12.      pop  $(u, C)$  from  $W$ ;  
13.      if  $u$  is accepting then  
14.        return false  
15.      end if  
16.      merge  $C$  into  $D$ ;  
17.    until  $u.num \leq t.num$ ;  
18.    push  $(u, D)$  onto  $W$ ;  
19.  end if  
20. end for  
21. if  $s$  is the top root in  $W$  then  
22.  pop  $(s, C)$  from  $W$ ;  
23.  for all  $t$  in  $C$  do  
24.     $t.active = false$   
25.  end for  
26. end if
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