

# Automates d'arbre

## TD n°2 : Decision problems & tree homomorphisms

### Exercise 1 :

We consider the **(GII)** problem (ground instance intersection) :

**Instance** :  $t$  a term in  $T(\mathcal{F}, \mathcal{X})$  and  $\mathcal{A}$  a NFTA

**Question** : Is there at least one ground instance of  $t$  accepted by  $\mathcal{A}$  ?

1) Suppose that  $t$  is linear. Prove that **(GII)** is P-complete.

*hint : you may use ideas from exercise 3 of TD1. For the hardness, reduce the emptiness.*

2) Suppose that  $\mathcal{A}$  is deterministic. Prove that **(GII)** is NP-complete.

*hint : for the hardness, reduce **(SAT)**.*

3) Prove that **(GII)** is EXPTIME-complete.

*hint : for the hardness, reduce the intersection non-emptiness problem.*

4) Deduce that the complement problem :

**Instance** :  $t$  a term in  $T(\mathcal{F}, \mathcal{X})$  and linear terms  $t_1, \dots, t_n$

**Question** : Is there a ground instance of  $t$  which is not an instance of any  $t_i$  ?

is decidable.

### Exercise 2 :

A bottom-up tree transducer (NUTT) is a tuple  $U = (Q, \mathcal{F}, \mathcal{F}', Q_f, \Delta)$  where  $Q$  is a finite set (of states),  $\mathcal{F}$  and  $\mathcal{F}'$  are finite ranked sets (of input and output),  $Q_f \subseteq Q$  (final states) and  $\Delta$  is a finite set of rules of the form :

- $f(q_1(x_1), \dots, q_n(x_n)) \rightarrow q(u)$  where  $f \in \mathcal{F}$  and  $u \in T(\mathcal{F}', \{x_1, \dots, x_n\})$
- $q(x_1) \rightarrow q'(u)$  where  $u \in T(\mathcal{F}', \{x_1\})$ .

We say that  $U$  is linear when the right side of the rules of  $\Delta$  are. This defines a rewrite system  $\rightarrow_U$  on  $T(\mathcal{F} \cup \mathcal{F}' \cup Q)$ . The relation induced by  $U$  is then  $\mathcal{R}(U) = \{(t, t') \mid t \in T(\mathcal{F}), t' \in T(\mathcal{F}'), t \rightarrow_U^* q(t'), q \in Q_f\}$ .

- 1) Prove that tree morphisms are a special case of NUTT that is if  $\mu : T(\mathcal{F}) \rightarrow T(\mathcal{F}')$  is a morphism, then there exists a NUTT  $U_\mu$  such that  $\mathcal{R}(U_\mu) = \{(t, \mu(t)) \mid t \in T(\mathcal{F})\}$ . Be sure that if  $\mu$  is linear then  $U_\mu$  is too.
- 2) Prove that the domain of a NUTT  $U$ , that is  $\{t \in T(\mathcal{F}) \mid \exists t' \in T(\mathcal{F}'), (t, t') \in U\}$ , is recognizable.
- 3) Prove that the image of a recognizable tree language  $L$  by a linear NUTT  $U$ , that is  $\{t' \in T(\mathcal{F}') \mid \exists t \in L, (t, t') \in U\}$ , is recognizable.

### Exercise 3 :

1) We can see the set of runs of an NFTA  $\mathcal{A} = (Q, \mathcal{F}, Q_f, \Delta)$  as a tree language on  $\mathcal{F} \times Q = \{(f, q)(n) \mid f(n) \in \mathcal{F}, q \in Q\}$  as the smallest set  $Run(\mathcal{A})$  included in  $T(\mathcal{F} \times Q)$  such that :

- if  $a \rightarrow q \in \Delta$ , then  $(a, q) \in Run(\mathcal{A})$
- if  $f(q_1, \dots, q_n) \rightarrow q \in \Delta$  and  $t_1, \dots, t_n \in Run(\mathcal{A})$  with  $t_i(\epsilon) = (\_, q_i)$  then  $(f, q)(t_1, \dots, t_n) \in Run(\mathcal{A})$ .

Then the set of accepting runs can be seen as  $Acc(\mathcal{A}) = \{t \in Run(\mathcal{A}) \mid t(\epsilon) = (\_, q), q \in Q_f\}$ .

Prove that  $Acc(\mathcal{A})$  is in the smallest class **Stab** of sets which contains all the  $T(\mathcal{F})$  for any finite ranked set  $\mathcal{F}$  and which is stable by image of linear morphisms and inverse image of morphisms. For example, you should be able to prove that  $Acc(\mathcal{A}) = \beta^{-1}(\gamma(\delta^{-1}(T(\mathcal{F}'))))$  where  $\gamma$  is linear.

2) Deduce that **Stab = Rec**.