Partial-Observation Stochastic Games: How to Win when Belief Fails

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&

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Outline

- Game model: example
- Challenges & Results: examples
- Solution insights: examples

Examples

- Poker
 - partial-observation
 - stochastic



Examples

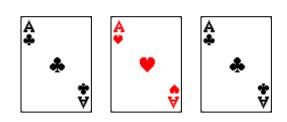
- Poker
 - partial-observation
 - stochastic



• Bonneteau

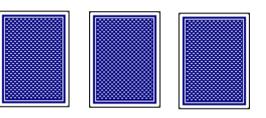


2 black card, 1 red card

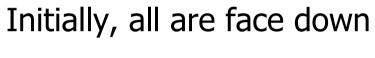




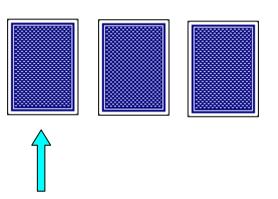
Initially, all are face down Goal: find the red card



2 black card, 1 red card



Goal: find the red card

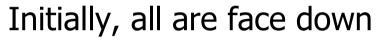


Rules:

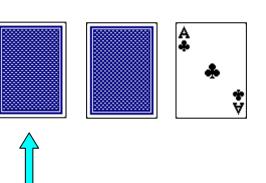
- 1. Player 1 points a card
- 2. Player 2 flips one remaining black card
- 3. Player 1 may change his mind, wins if pointed card is red



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Goal: find the red card



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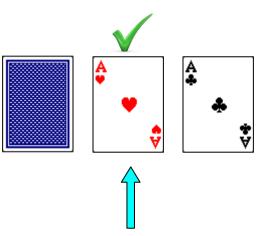
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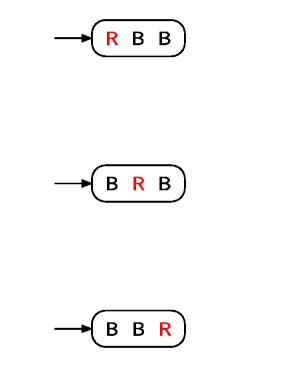


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Bonneteau: Game Model

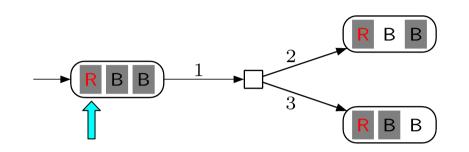


Bonneteau: Game Model

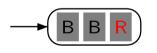


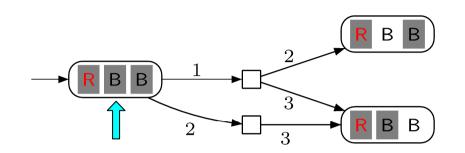






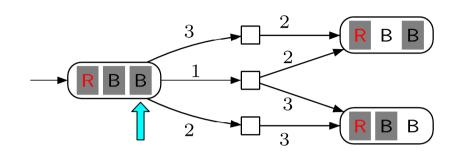






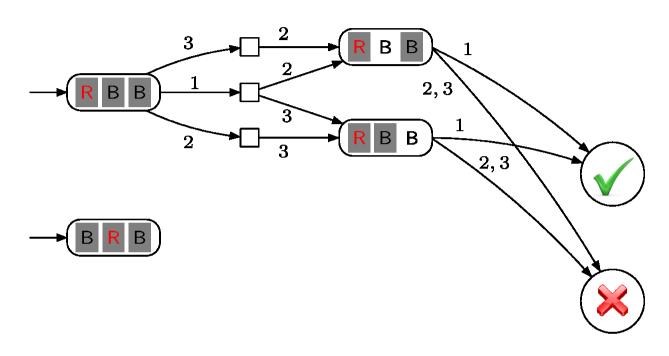


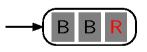


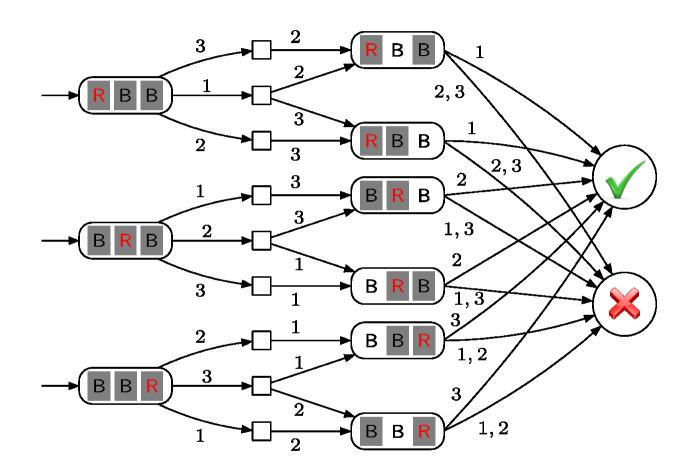


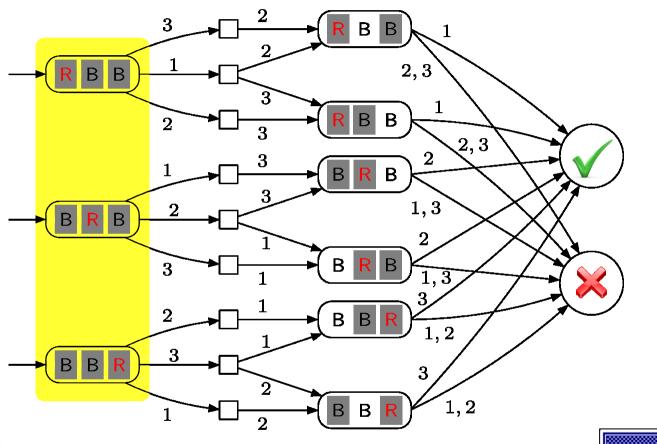


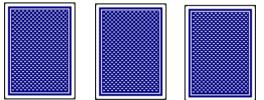


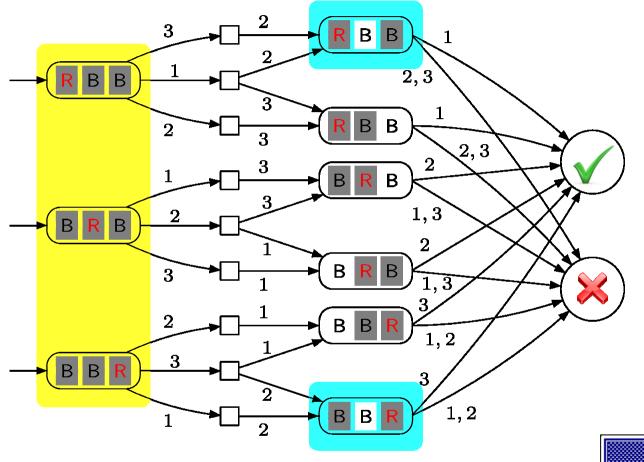




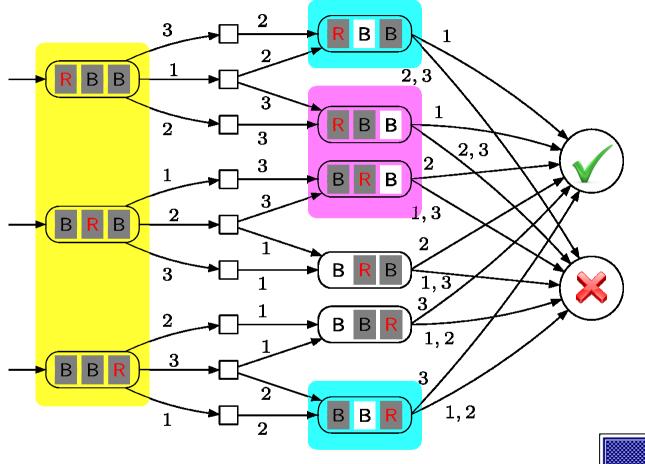


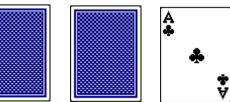


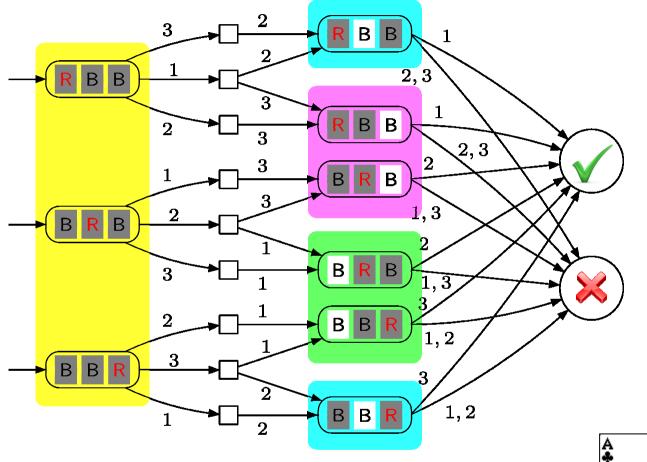


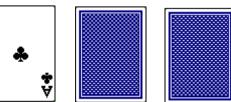


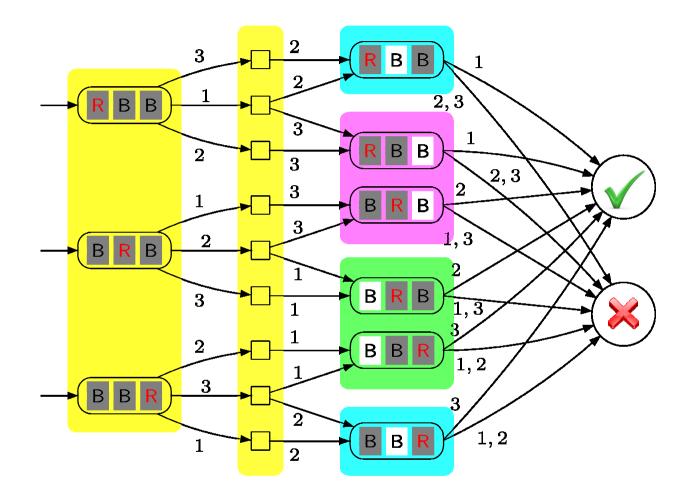




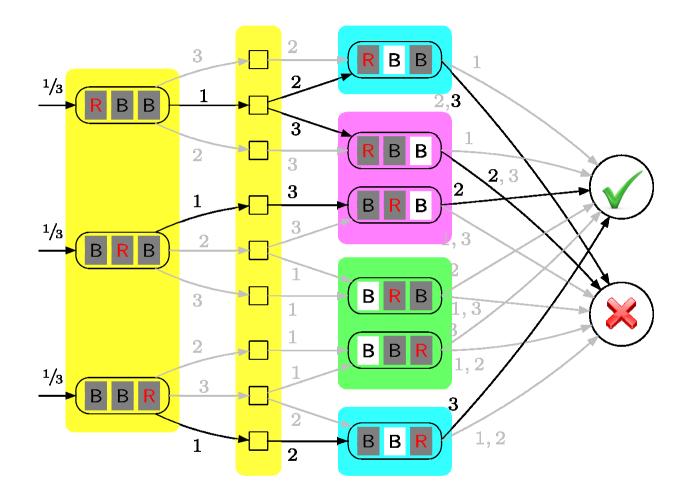






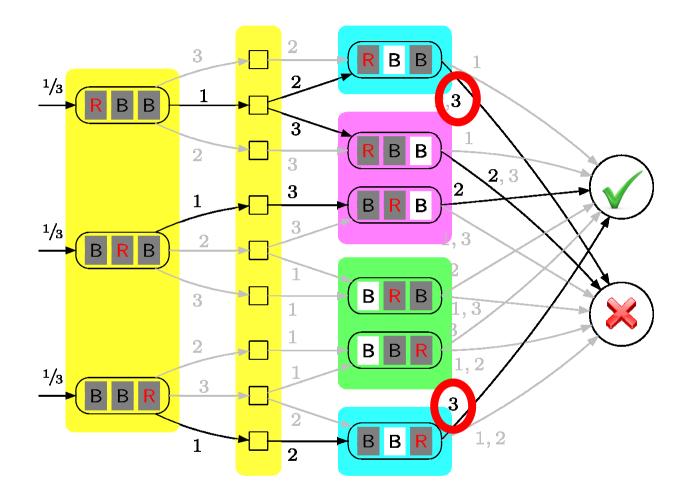


Observation-based strategy



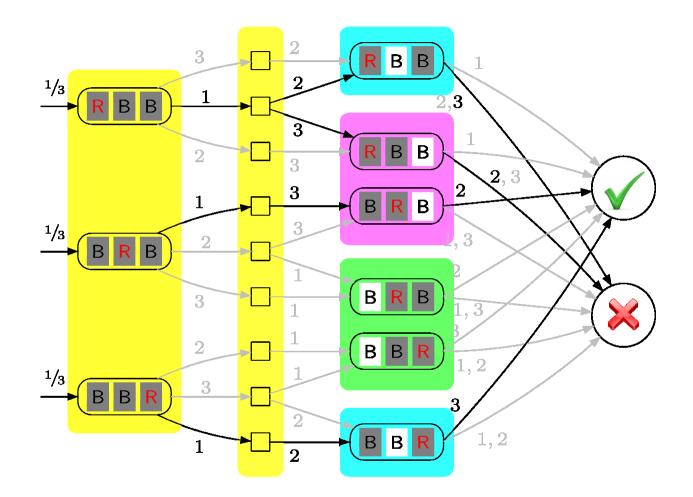
This strategy is observation-based, e.g. after _____, ____ it plays 3

Observation-based strategy



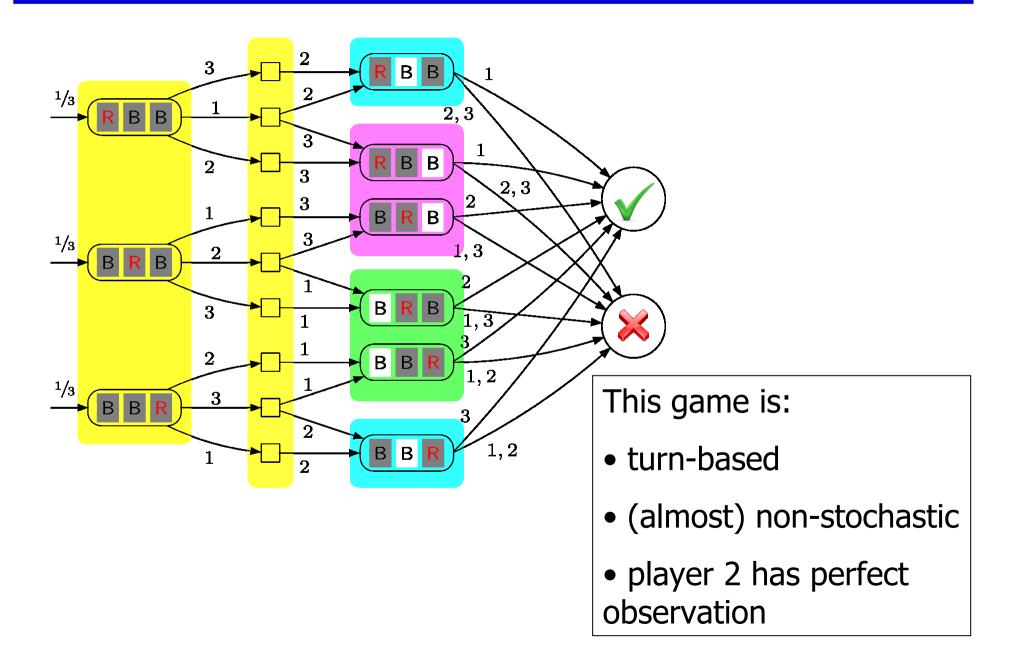
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Optimal ?



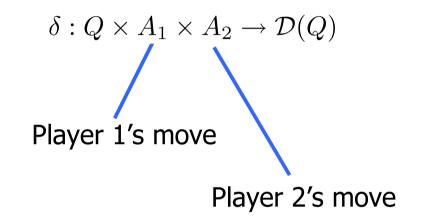
This strategy is winning with probability 2/3

Example



Interaction

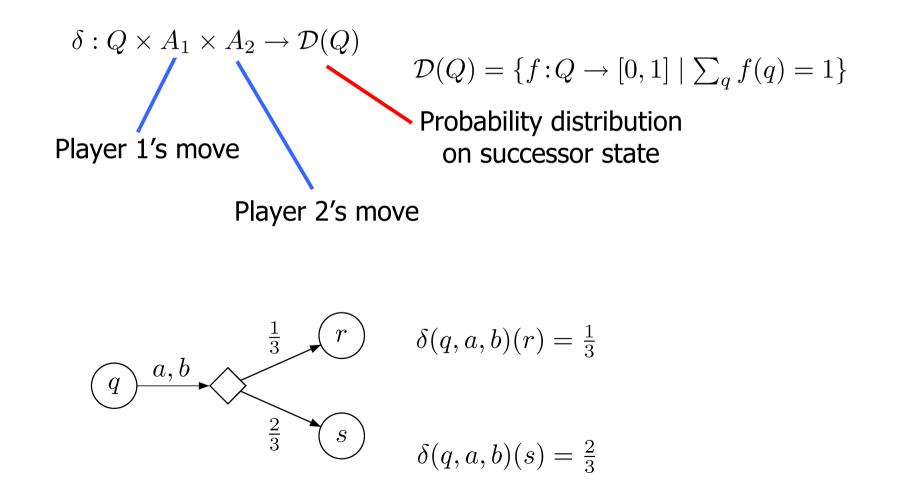
General case: concurrent & stochastic



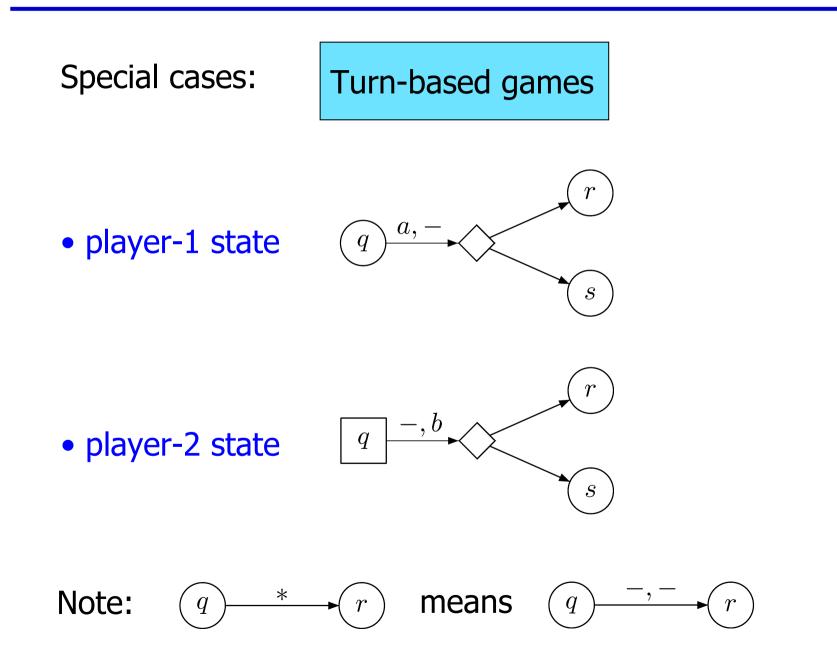
Players choose their moves simultaneously and independently

Interaction

General case: concurrent & stochastic



Interaction



Partial-observation

Observations: partitions induced by coloring

General case: 2-sided partial observation

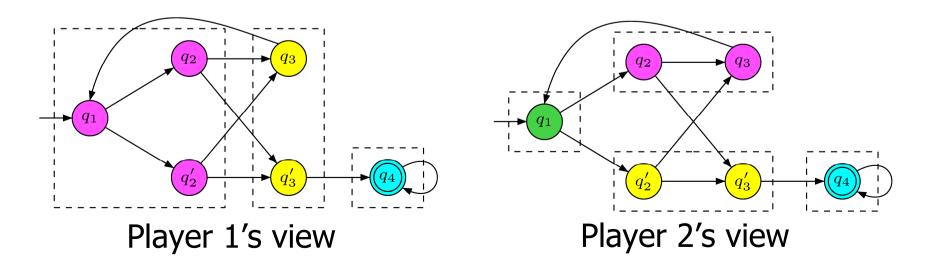
Two partitions $Obs_1 \subseteq 2^Q$ and $Obs_2 \subseteq 2^Q$

Partial-observation

Observations: partitions induced by coloring

General case: 2-sided partial observation

Two partitions $Obs_1 \subseteq 2^Q$ and $Obs_2 \subseteq 2^Q$

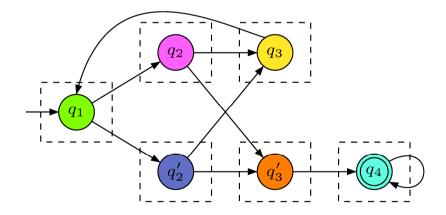


Partial-observation

Observations: partitions induced by coloring

Special case: 1-sided partial observation

 $\mathsf{Obs}_1 = \{\{q\} \mid q \in Q\} \text{ or } \mathsf{Obs}_2 = \{\{q\} \mid q \in Q\}$



View of perfect-observation player

A strategy for Player i is a function $\sigma_i : \operatorname{Obs}_i^+ \to \mathcal{D}(A_i)$ that maps histories (sequences of observations) to probability distribution over actions.

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Reachability objective: $\mathcal{T} \subseteq Q$

Winning probability of σ_1 : $\inf_{\sigma_2} Pr_{q_0}^{\sigma_1,\sigma_2}(\exists i \ge 0 : q_i \in \mathcal{T})$

Qualitative analysis

The following problem is undecidable: (already for probabilistic automata [Paz71])

Decide if there exists a strategy for player 1 that is winning with probability at least 1/2.

[Paz71] Paz. Introduction to Probabilistic Automata. Academic Press 1971.

Qualitative analysis

The following problem is undecidable: (already for probabilistic automata [Paz71])

Decide if there exists a strategy for player 1 that is winning with probability at least $\frac{1}{2}$.

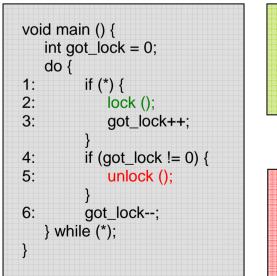
Qualitative analysis:

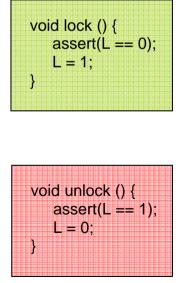
- Almost-sure: ... winning with probability 1
- **Positive:** ... winning with probability > 0

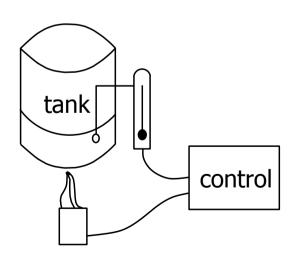
$$\exists \sigma_1 \cdot \forall \sigma_2 : Pr_{q_0}^{\sigma_1, \sigma_2} (\exists i \ge 0 : q_i \in \mathcal{T}) \begin{cases} = 1 \\ > 0 \end{cases}$$

Applications in verification

- Control with inaccurate digital sensors
- multi-process control with private variables
- multi-agent protocols
- planning with uncertainty/unknown



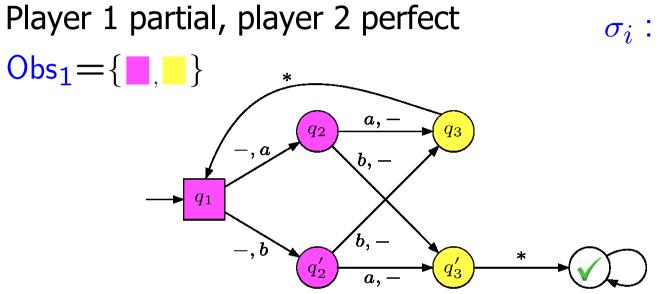




Outline

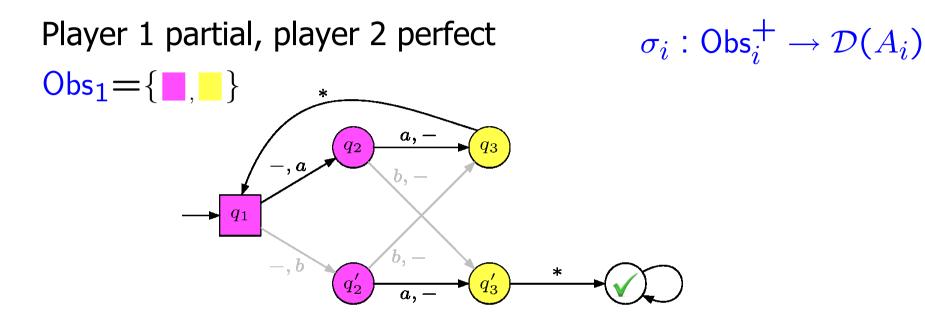
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- Solution insights: examples

Randomization is necessary



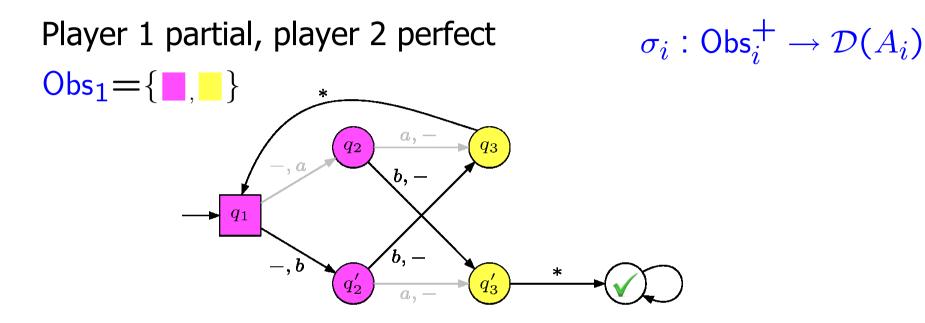
$$\sigma_i: \operatorname{Obs}_i^+ \to \mathcal{D}(A_i)$$

Randomization is necessary



No pure strategy of Player 1 is winning with probability 1 (example from [CDHR06]).

Randomization is necessary

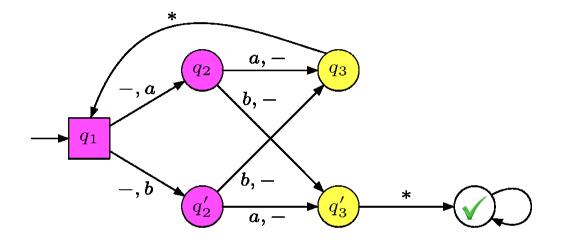


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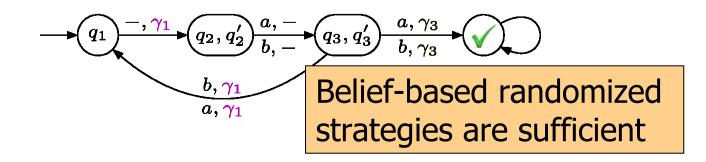
Memory and Randomization

Player 1 partial, player 2 perfect

 $\sigma_i: \operatorname{Obs}_i^+ \to \mathcal{D}(A_i)$



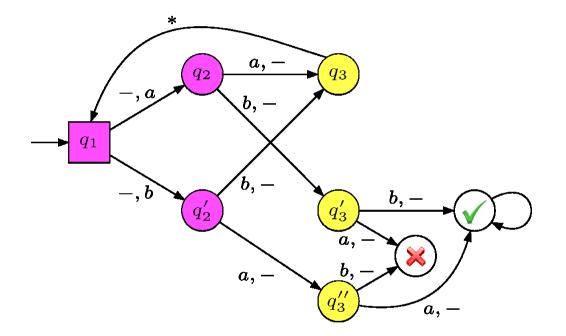
Player 1 wins with probability 1, and needs randomization



Example 2

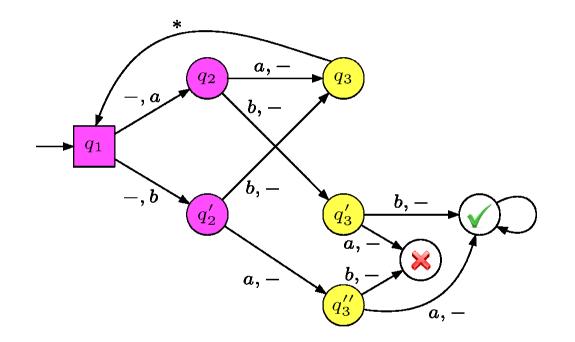
Player 1 partial, player 2 perfect

 $\sigma_i: \mathsf{Obs}_i^+ \to \mathcal{D}(A_i)$



Example 2

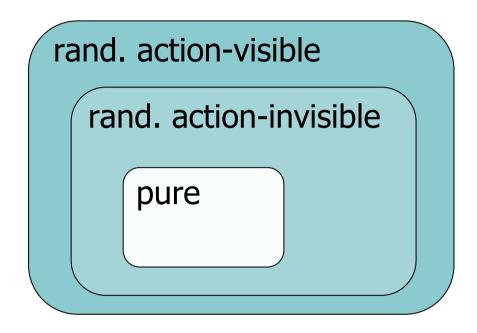
Player 1 partial, player 2 perfect



To win with probability 1, player 1 needs to observe his own actions. (example from [CDH10]).

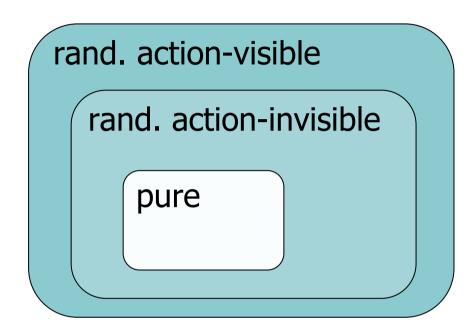
Randomized action-visible strategies: $\sigma_i : (Obs_i A_i)^* Obs_i \rightarrow \mathcal{D}(A_i)$

Classes of strategies



Classification according to the power of strategies

Classes of strategies

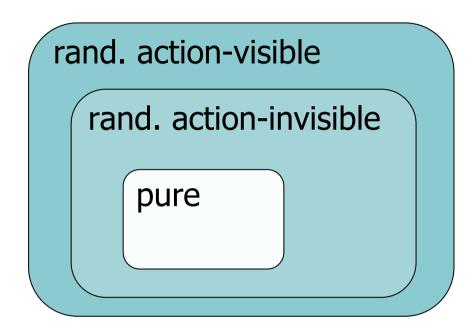


Classification according to the power of strategies

Poly-time reduction from decision problem of rand. act.-vis. to rand. act.-inv.

The model of rand. act.-inv. is more general

Classes of strategies



Classification according to the power of strategies

Computational complexity (algorithms)

Strategy complexity (memory)

Known results

Almost-sure	player 1 partial player 2 perfect	player 1 perfect player 2 partial	2-sided both partial
rand. actvis.			
rand. actinv.			
pure			

Known results

Reachability - Memory requirement (for player 1)

Almost-sure	player 1 partial	player 1 perfect	2-sided
	player 2 perfect	player 2 partial	both partial
rand. actvis.	exponential (belief)	memoryless	exponential (belief)
	[СDHR'06]	[BGG'09]	[BGG'09]
rand. actinv.	exponential (belief) [CDHR'06(remark), GS'09]		exponential (belief) [GS'09]
pure	?	?	?

[BGG09] Bertrand, Genest, Gimbert. *Qualitative Determinacy and Decidability of Stochastic Games with Signals*. LICS'09. [CDHR06] Chatterjee, Doyen, Henzinger, Raskin. *Algorithms for ω-Regular games with Incomplete Information*. CSL'06. [GS09] Gripon, Serre. *Qualitative Concurrent Stochastic Games with Imperfect Information*. ICALP'09.

Known results

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pure	?	?	?
Positive	player 1 partial player 2 perfect	player 1 perfect player 2 partial	2-sided both partial
rand. actvis.	memoryless	memoryless	memoryless
rand. actinv.	memoryless		memoryless
pure	?	?	?

About beliefs

Three prevalent beliefs:

- Belief is sufficient.
- Randomized action invisible or visible almost same.
- The general case memory is similar (or in some cases exponential blow up) as compared to the one-sided case.

Pure Strategies

Belief

• Belief is sufficient.

Proofs

• Doubts.

Pure Strategies

Belief

• Belief is sufficient.

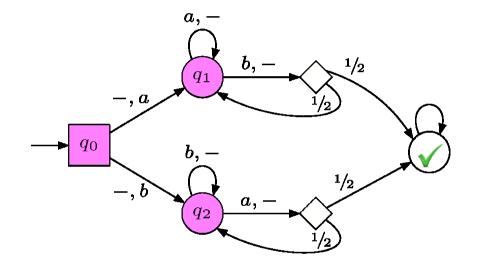
Proofs

• Doubts.

Lesson:

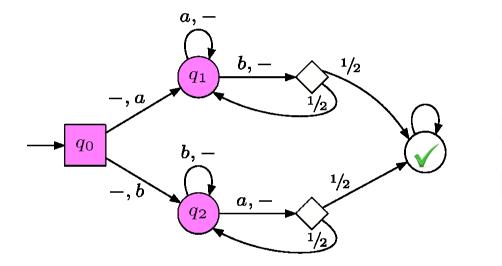
Doubt your belief and believe in your doubts !! See the unexpected.

Belief-based-only pure strategies are not sufficient, both for positive and for almost-sure winning



player 1 partial player 2 perfect

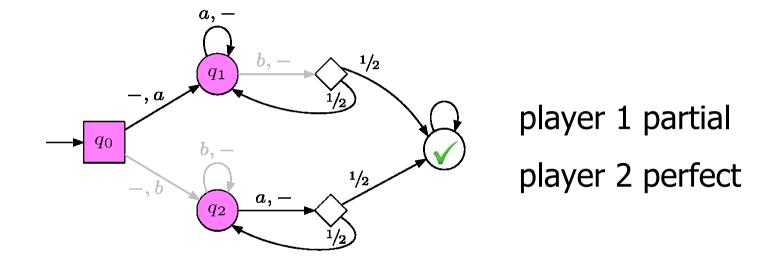
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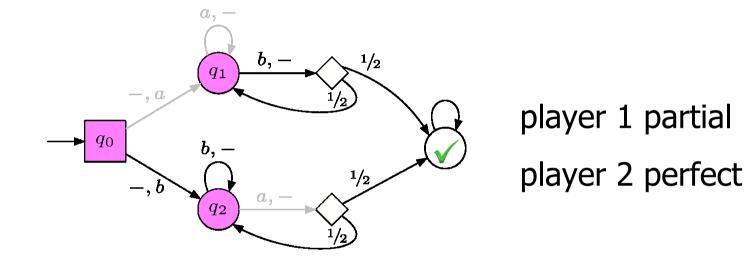
- 1. When belief is $\{q_1, q_2\}$, play a
- 2. When belief is $\{q_1, q_2\}$, play b

Belief-based-only pure strategies are not sufficient, both for positive and for almost-sure winning



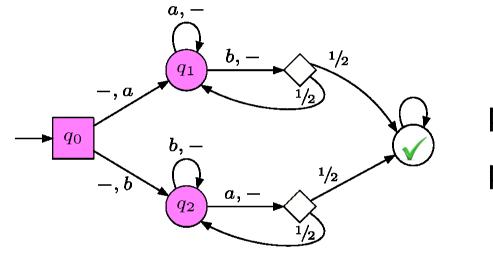
- 1. When belief is $\{q_1, q_2\}$, play a **not winning**
- 2. When belief is $\{q_1, q_2\}$, play b

Belief-based-only pure strategies are not sufficient, both for positive and for almost-sure winning

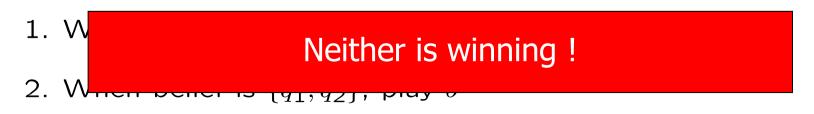


- 1. When belief is $\{q_1, q_2\}$, play a
- 2. When belief is $\{q_1, q_2\}$, play b **not winning**

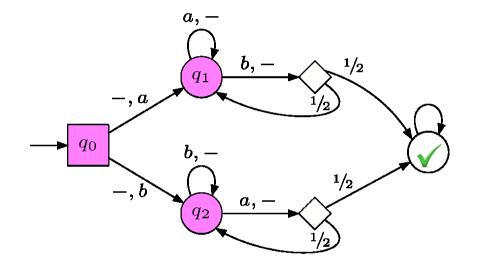
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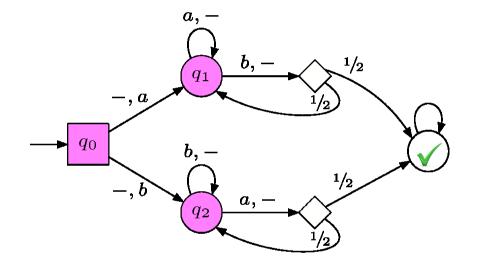
Belief-based-only pure strategies are not sufficient, both for positive and for almost-sure winning



player 1 partial player 2 perfect

When belief is $\{q_1, q_2\}$, alternate a and b

Belief-based-only pure strategies are not sufficient, both for positive and for almost-sure winning

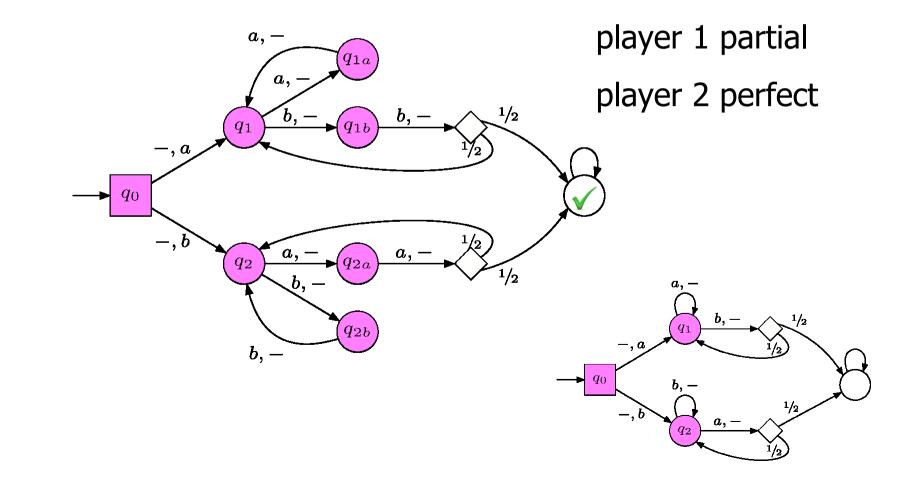


player 1 partial player 2 perfect

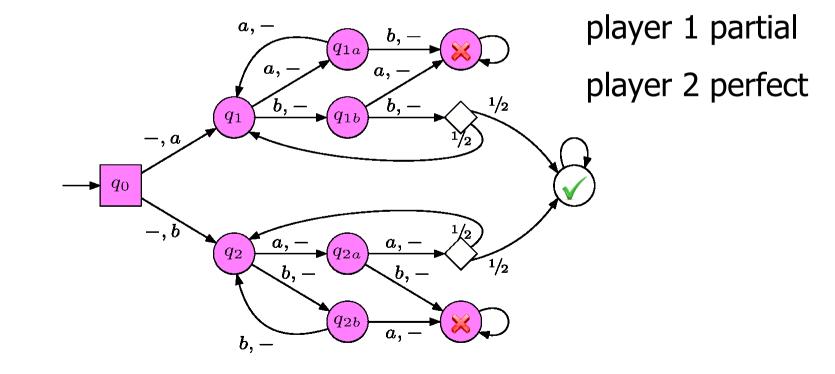
When belief is $\{q_1, q_2\}$, alternate a and b

This strategy is almost-sure winning !

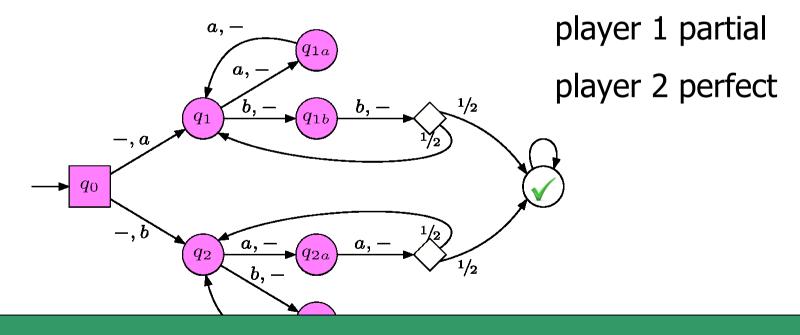
Using the trick of "repeated actions" we construct an example where belief-only randomized action-invisible strategies are not sufficient (for almost-sure winning)



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Almost-sure winning requires to play pure strategy, with more-than-belief memory !



Almost-sure	player 1 partial player 2 perfect	player 1 perfect player 2 partial	2-sided both partial
rand. actvis.	exponential (belief) [СDHR'06]	memoryless [BGG'09]	exponential (belief) [BGG'09]
rand. actinv.	exponential (belief) [CDHR'06(remark), GS'09]		exponential (belief) [GS'09]
pure	?	?	?
Positive	player 1 partial player 2 perfect	player 1 perfect player 2 partial	2-sided both partial
rand. actvis.	memoryless	memoryless	memoryless
rand. actinv.	memoryless		memoryless
pure	?	?	?

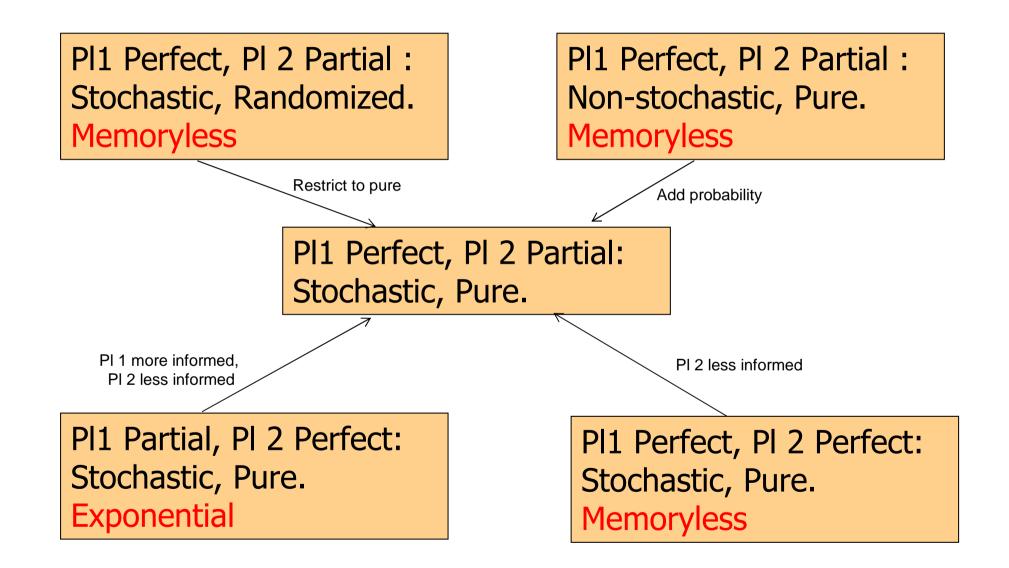


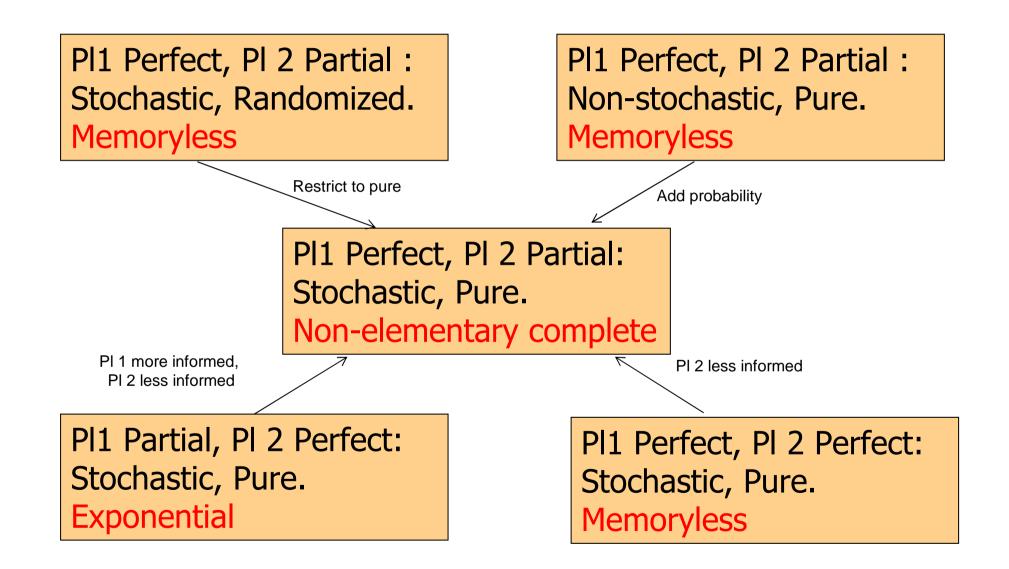
Almost-sure	player 1 partial player 2 perfect	player 1 perfect player 2 partial	2-sided both partial
rand. actvis.	exponential (belief) [СDHR'06]	memoryless [BGG'09]	exponential (belief) [BGG'09]
rand. actinv.	exponential (belief not sufficient)		?
pure	exponential (belief not sufficient)	?	?
Positive	player 1 partial player 2 perfect	player 1 perfect player 2 partial	2-sided both partial
rand. actvis.	memoryless	memoryless	memoryless
rand. actinv.	memoryless		memoryless
pure	exponential (belief not sufficient)	?	?

Pl1 Perfect, Pl 2 Partial : Stochastic, Randomized. Memoryless Pl1 Perfect, Pl 2 Partial : Non-stochastic, Pure. Memoryless

Pl1 Perfect, Pl 2 Partial: Stochastic, Pure.

Pl1 Partial, Pl 2 Perfect: Stochastic, Pure. Exponential Pl1 Perfect, Pl 2 Perfect: Stochastic, Pure. Memoryless





New results

Almost-sure	player 1 partial player 2 perfect	player 1 perfect player 2 partial	2-sided both partial
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rand. actinv.	exponential (belief not sufficient)		?
pure	exponential (belief not sufficient)	non-elementary complete	?
Positive	player 1 partial player 2 perfect	player 1 perfect player 2 partial	2-sided both partial
rand. actvis.	memoryless	memoryless	memoryless
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rand. actinv.	exponential (belief not sufficient)		?
pure	exponential (belief not sufficient)	non-elementary complete	?
Positive			om more states,
rand. actvis.	memoryless	ut needs more	memory !
rand. actinv.	memoryless		memoryless
pure	exponential (belief not sufficient)	non-elementary complete	?

Player 1 perfect, player 2 partial

Memory of **non-elementary** size for pure strategies

- lower bound: simulation of counter systems with increment and division by 2
- upper bound: positive: non-elementary counters simulate randomized strategies almost-sure: reduction to iterated positive

Counter systems with {+1,÷2} require nonelementary counter value for reachability

$$2^{\cdot^2}$$
 height n

Player 1 perfect, player 2 partial

More information:

- Win from more places.
- Winning strategy is very hard to implement.

Information is useful, but ignorance is bliss \bigcirc !

New results

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	player 2 perfect	player 2 partial	both partial
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pure	exponential (belief	non-elementary	finite (at least non-
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rand. actvis.	memoryless	memoryless	memoryless
rand. actinv.	memoryless		memoryless
pure	exponential (belief	non-elementary	finite (at least non-
	not sufficient)	complete	elementary)

Pure = randomized-invisible

Equivalence of the decision problems for almost-sure reach with **pure** strategies and **rand. act.-inv.** strategies

- Reduction of rand. act.-inv. to pure choice of a subset of actions (support of prob. dist.)
- Reduction of pure to rand. act.-inv. repeated-action trick (holds for almost-sure only)

It follows that the memory requirements for pure hold for rand. act.-inv. as well !

New results

Reachability - Memory requirement (for player 1)

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	player 2 perfect	player 2 partial	both partial
rand. actvis.	memoryless	memoryless	memoryless
rand. actinv.	memoryless		memoryless
pure	exponential (belief	non-elementary	finite (at least non-
	not sufficient)	complete	elementary)

Beliefs

Three prevalent beliefs:

- Belief is sufficient.
- Randomized action invisible or visible almost same.
- The general case memory is similar (or in some cases exponential blow up) as compared to the one-sided case.

Belief fails !

Summary of our results

Pure strategies (for almost-sure and positive):

- player 1 partial: exponential memory, belief not sufficient
- player 1 perfect: non-elementary memory (complete)
- 2-sided: finite, at least non-elementary memory (as compared to previously claimed <u>exponential</u> upper bound)

Randomized action-invisible strategies (for almost-sure) :

- player 1 partial: exponential memory, belief not sufficient
- 2-sided: finite, at least non-elementary memory

More results & open questions

Computational complexity for 1-sided:

- Player 1 partial: reduction to Büchi game, **EXPTIME-complete**
- Player 2 partial: non-elementary complexity (note: almost-sure Büchi is poly-time equivalent to almost-sure reachability, positive Büchi is undecidable [BBG08])

Open questions:

- Whether non-elementary size memory is sufficient in 2-sided
- Exact computational complexity



Details can be found in:

[CD11] Chatterjee, Doyen. *Partial-Observation Stochastic Games: How to Win when Belief Fails*. CoRR abs/1107.2141, July 2011.

Extended abstract @ LICS'12:

[CD12] Chatterjee, Doyen. *Partial-Observation Stochastic Games: How to Win when Belief Fails*. LICS'12, pp. 175-184, IEEE Press.

References

Details can be found in:

[CD11] Chatterjee, Doyen. *Partial-Observation Stochastic Games: How to Win when Belief Fails*. CoRR abs/1107.2141, July 2011.

Other references:

[BBG08] Baier, Bertrand, Grösser. On Decision Problems for Probabilistic Büchi automata. FoSSaCS'08.

[BGG09] Bertrand, Genest, Gimbert. *Qualitative Determinacy and Decidability of Stochastic Games with Signals*. LICS'09.

[CDHR06] Chatterjee, Doyen, Henzinger, Raskin. *Algorithms for ω-Regular* games with Incomplete Information. CSL'06.

[CDH10] Cristau, David, Horn. *How do we remember the past in randomised strategies?*. GANDALF'10.

[GS09] Gripon, Serre. Qualitative Concurrent Stochastic Games with Imperfect Information. ICALP'09.

[Paz71] Paz. Introduction to Probabilistic Automata. Academic Press 1971.

Outline

- Game Model: example
- Challenges & Results: examples
- Solution insights: examples

Some proof ideas

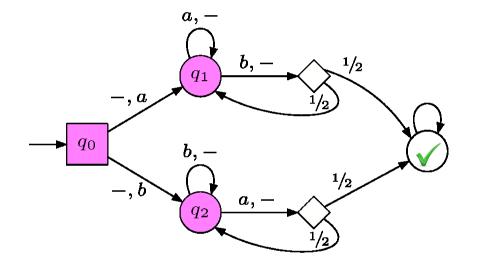


New results

Reachability - Memory requirement (for player 1)

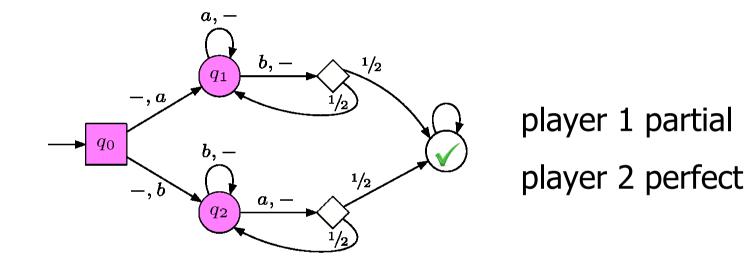
Almost-sure	player 1 partial player 2 perfect	player 1 perfect player 2 partial	2-sided both partial
rand. actvis.	exponential (belief) [CDHR'06]	memoryless [BGG'09]	exponential (belief) [BGG'09]
rand. actinv.	exponential (belief not sufficient)		finite (at least non- elementary)
pure	exponential (belief not sufficient)	non-elementary complete	finite (at least non- elementary)
Positive	player 1 partial player 2 perfect	player 1 perfect player 2 partial	2-sided both partial
Positive rand. actvis.			
	player 2 perfect	player 2 partial	both partial

Belief-based-only pure strategies are not sufficient, both for positive and for almost-sure winning

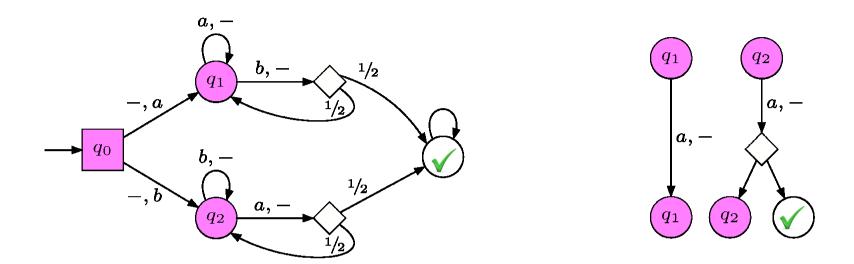


player 1 partial player 2 perfect

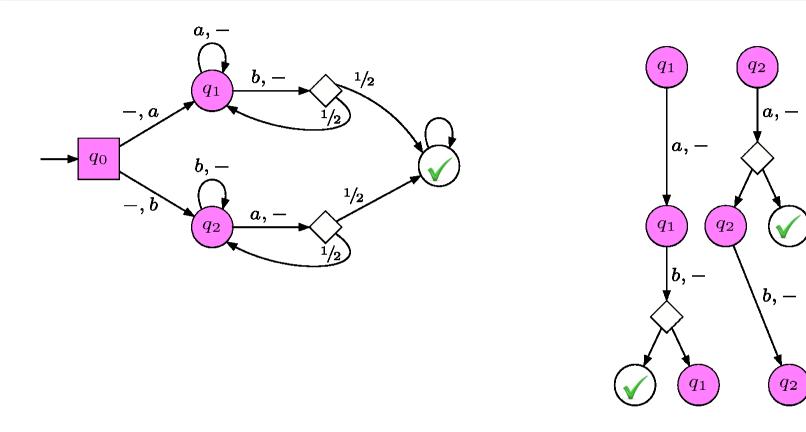
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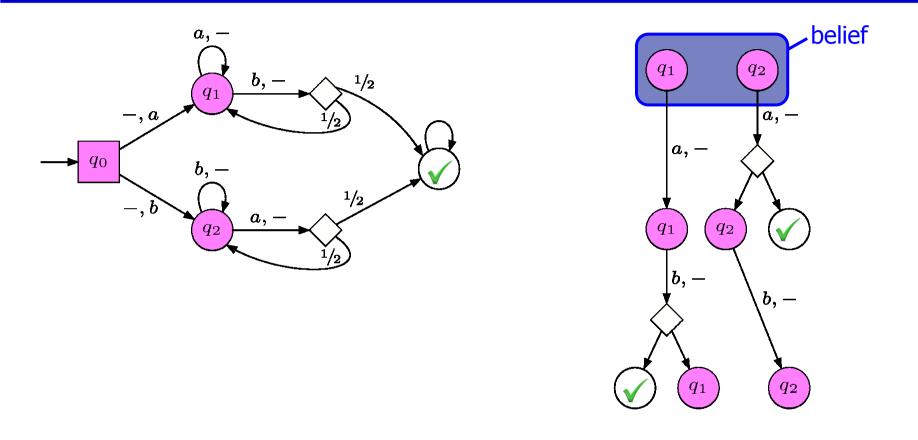




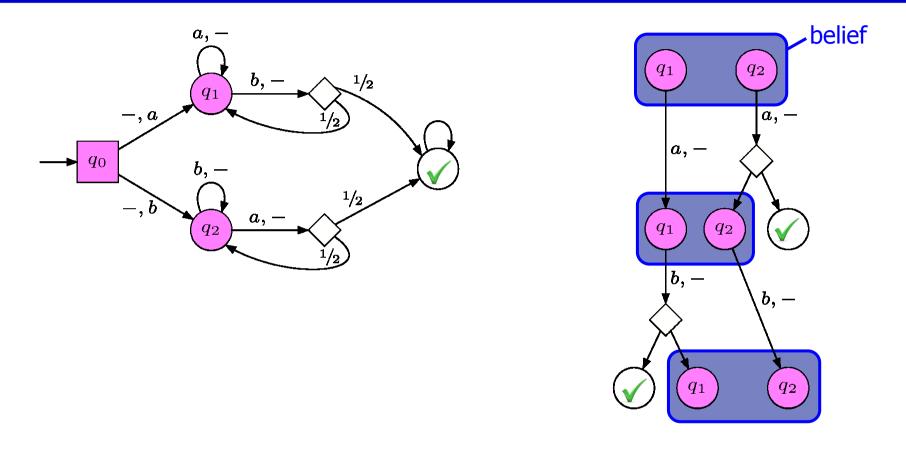






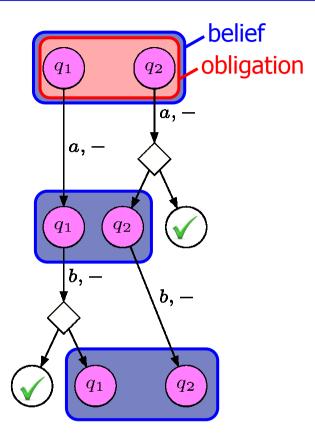




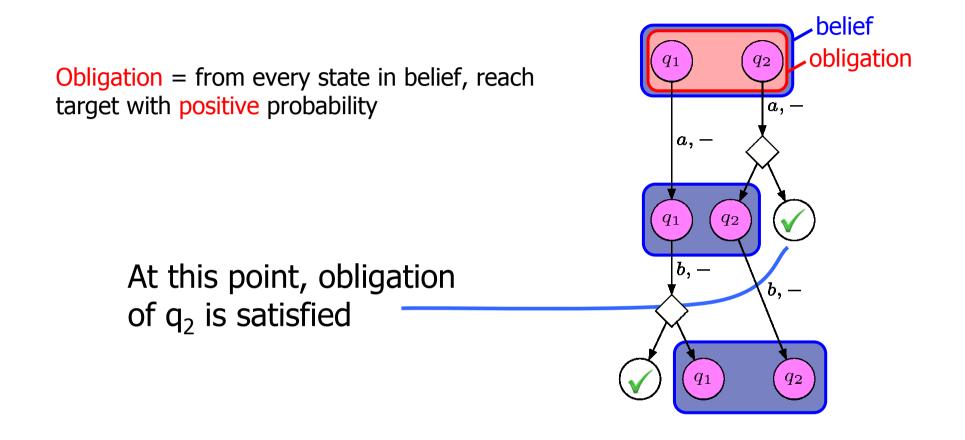




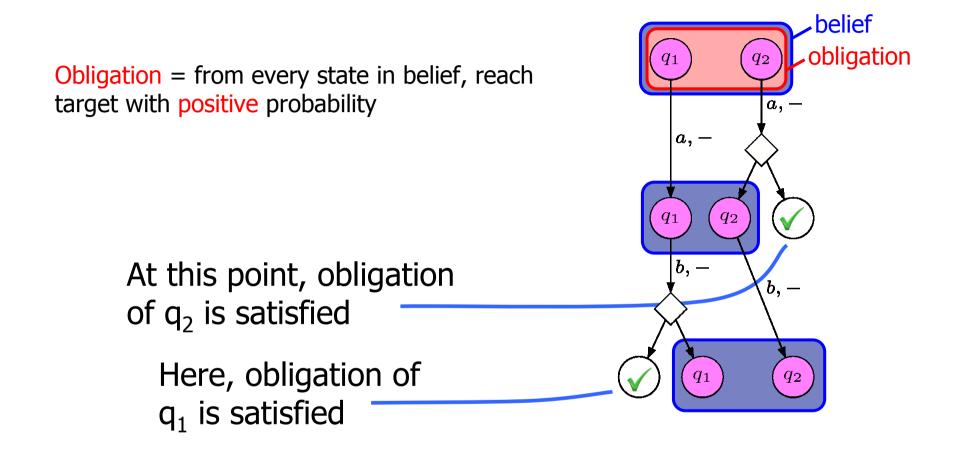
Obligation = from every state in belief, reach target with positive probability



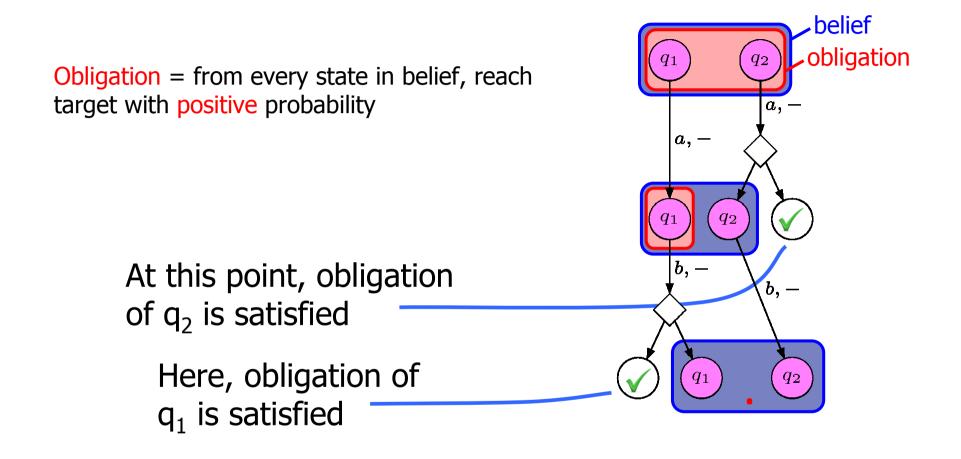




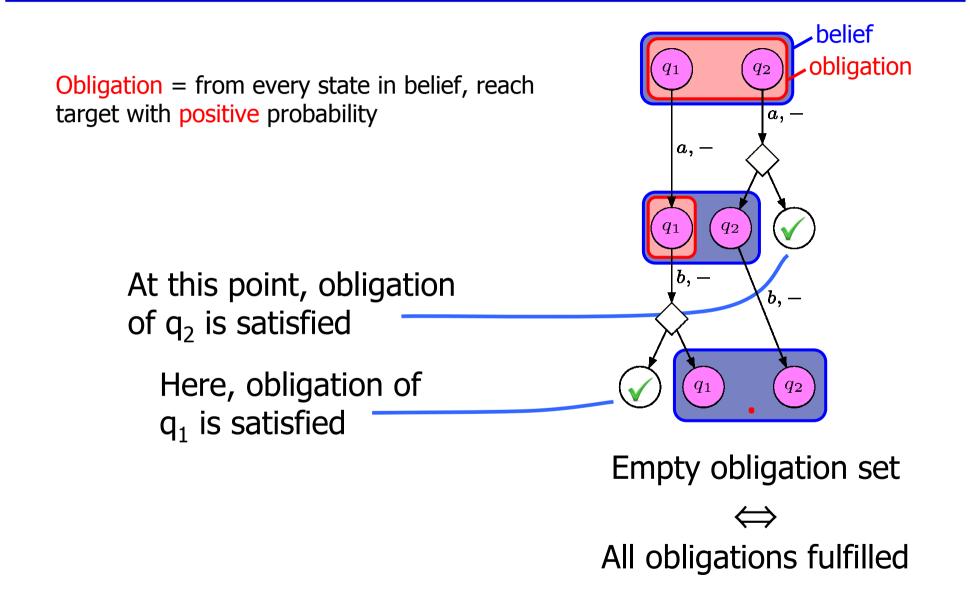










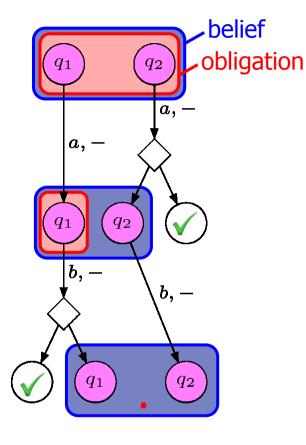


Positive reachability: ensure empty obligation once

Reachability condition

Almost-sure reachability: ensure empty obligation infinitely often (and recharge when empty)

Büchi condition



Empty obligation set

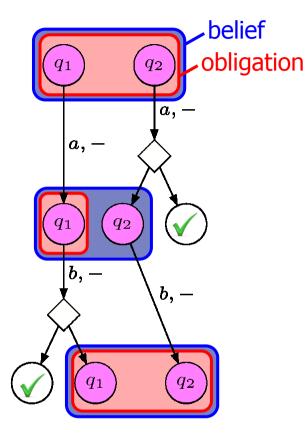


Positive reachability: ensure empty obligation once

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Almost-sure reachability: ensure empty obligation infinitely often (and recharge when empty)

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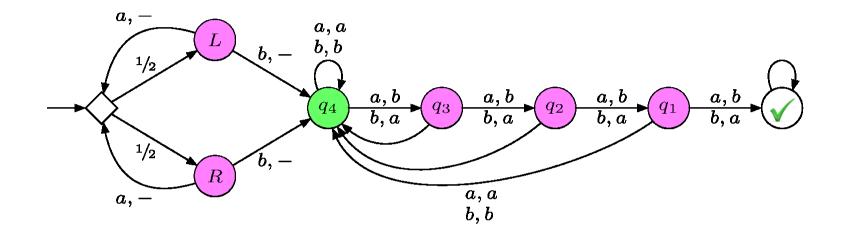
Empty obligation set

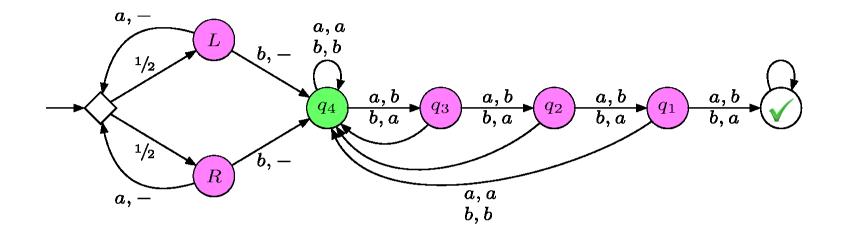




Reachability - Memory requirement (for player 1)

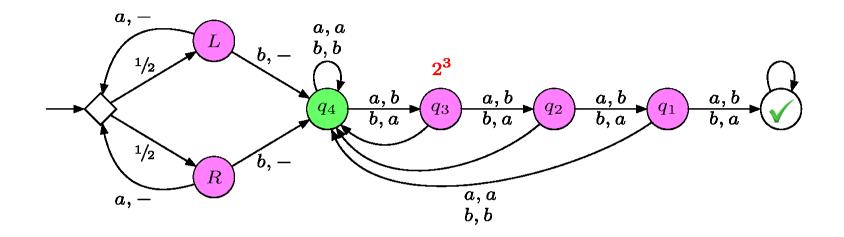
Almost-sure	player 1 partial player 2 perfect	player 1 perfect player 2 partial	2-sided both partial
rand. actvis.	exponential (belief) [CDHR'06]	memoryless [BGG'09]	exponential (belief) [BGG'09]
rand. actinv.	exponential (belief not sufficient)		finite (at least non- elementary)
pure	exponential (belief not sufficient)	non-elementary complete	finite (at least non- elementary)
Positive	player 1 partial player 2 perfect	player 1 perfect player 2 partial	2-sided both partial
Positive rand. actvis.		. , .	
	player 2 perfect	player 2 partial	both partial





- Play 3 times a to generate 2⁴ indistinguishable paths
 (with observation 2. Fray 0, then in g4 over half of the path <u>LLL</u> er the rest
- 3. In q_i , play analogously, and ensure 2^{i-1} paths $\frac{LLLR}{LLRL}$
- 4. Reach q_0 with positive probability

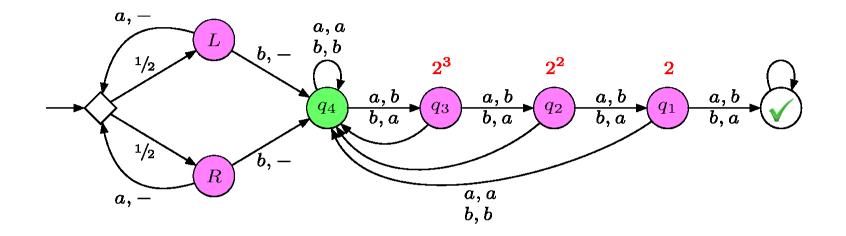
LLRRLRLL



- 1. Play 3 times a to generate 2^4 indistinguishable paths
- 2. Play b, then in q_4 play a over half of the paths, b over the rest
- 3. In q_i , play analogously, and ensure 2^{i-1} paths $LLLQ_4$
- 4. Reach q_0 with positive probability

LLLRq₄ LLRLq₄



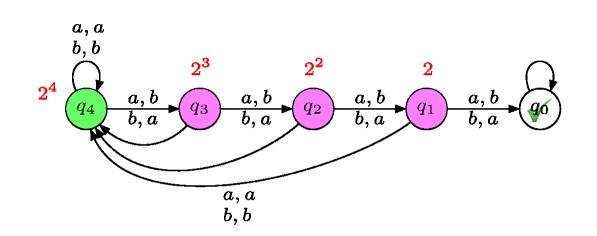


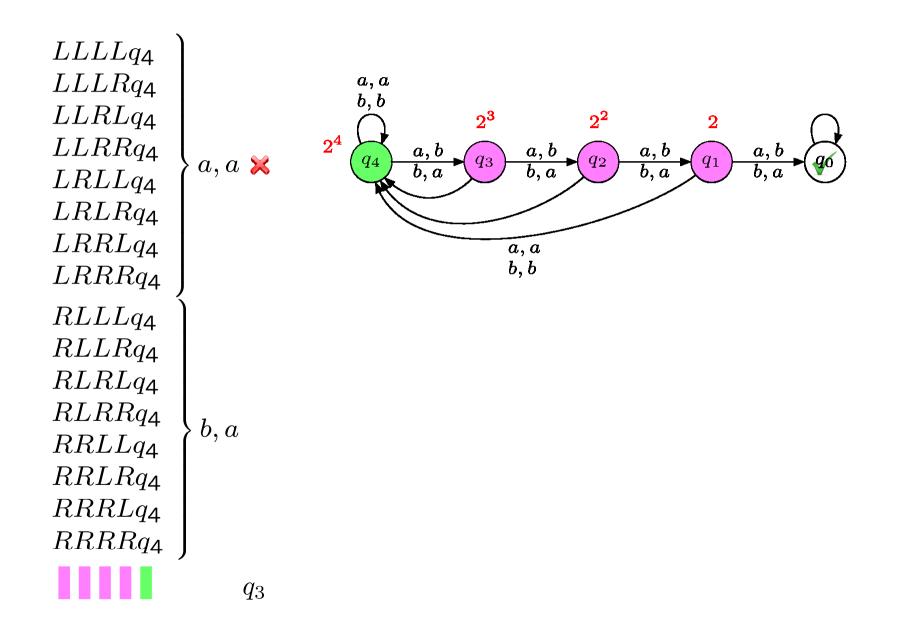
- 1. Play 3 times a to generate 2^4 indistinguishable paths
- 2. Play b, then in q_4 play a over half of the paths, b over the rest
- 3. In q_i , play analogously, and ensure 2^{i-1} paths in q_{i-1}
- 4. Reach q_0 with positive probability

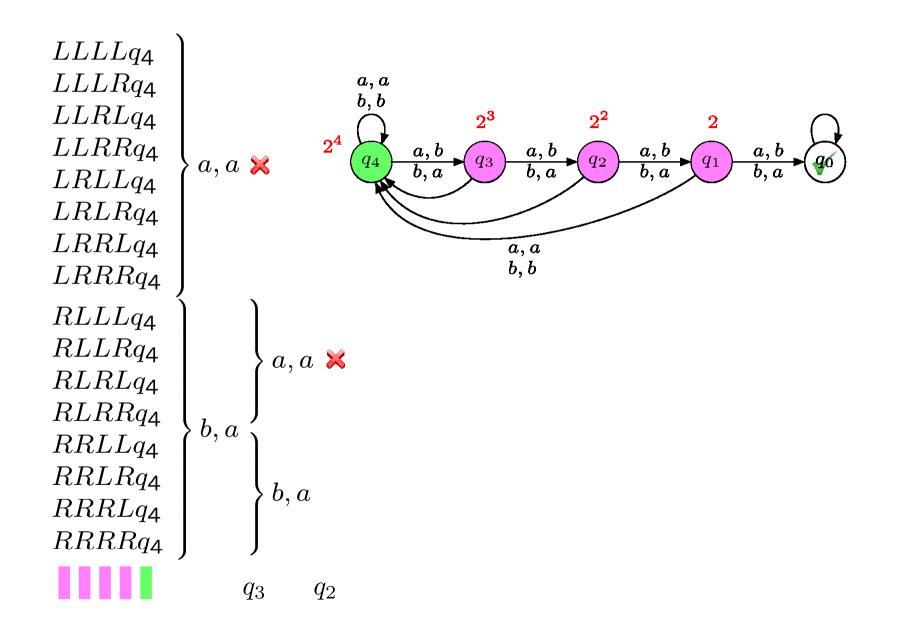
 $LLLLq_4$ $LLLRq_4$ $LLRLq_4$ $LLRRq_4$ $LRLLq_4$ $LRLRq_4$ $LRRLq_{4}$ $LRRRq_4$ $RLLLq_4$ $RLLRq_4$ $RLRLq_4$ $RLRRq_4$ $RRLLq_4$ $RRLRq_4$ $RRRLq_4$ $RRRRq_4$

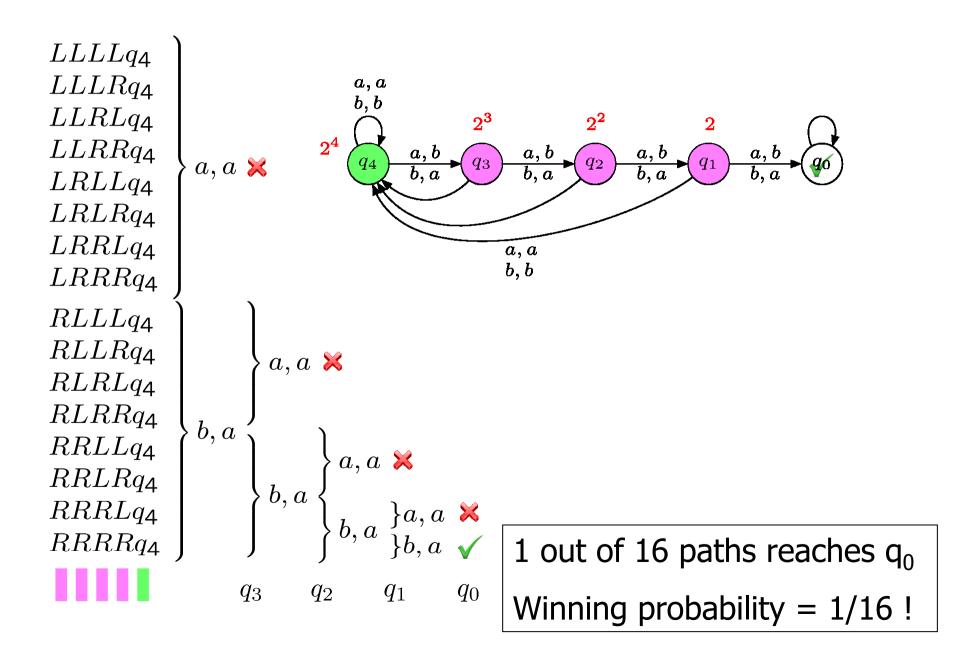
a

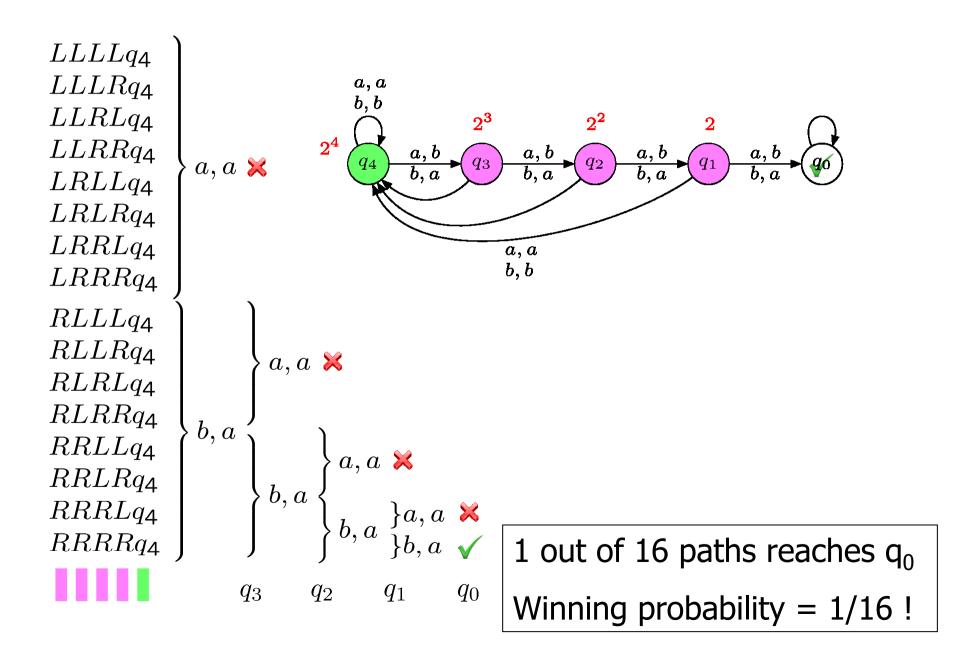
b

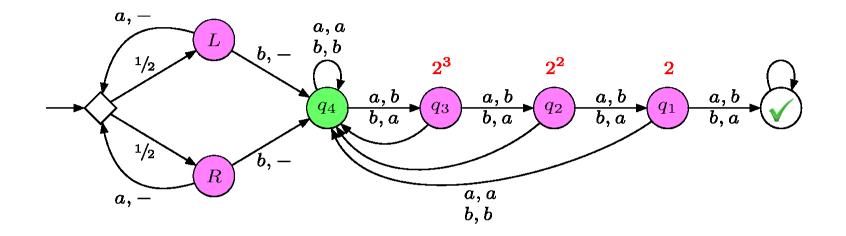




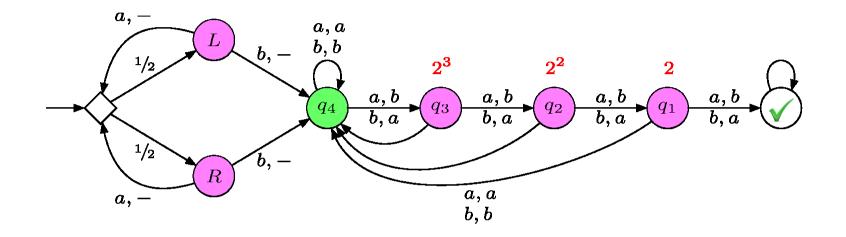




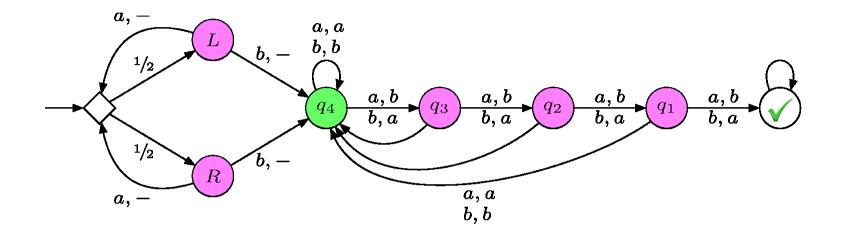




- 1. Play 3 times a to generate 2^4 indistinguishable paths
- 2. Play b, then in q_4 play a over half of the paths, b over the rest
- 3. In q_i , play analogously, and ensure 2^{i-1} paths in q_{i-1}
- 4. Reach q_0 with positive probability ...



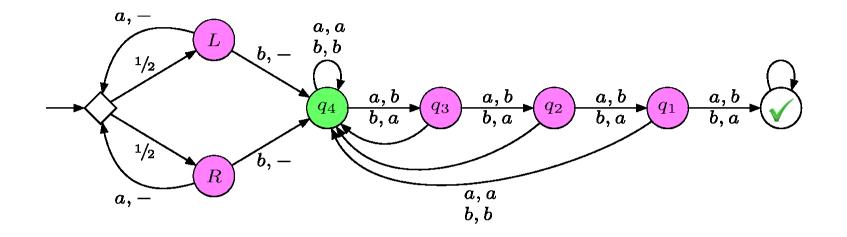
- 1. Play 3 times a to generate 2^4 indistinguishable paths
- 2. Play b, then in q_4 play a over half of the paths, b over the rest
- 3. In q_i , play analogously, and ensure 2^{i-1} paths in q_{i-1}
- 4. Reach q_0 with positive probability using exponential memory



Flavor of a counter system with:

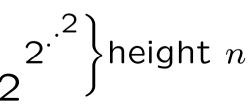
- increment,
- division by 2 (size of alphabet)

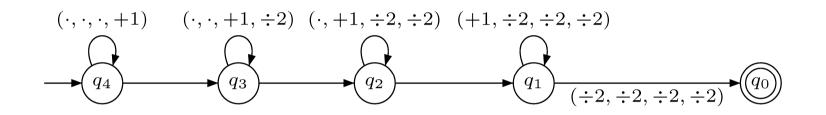
Player 1 perfect, player 2 partial

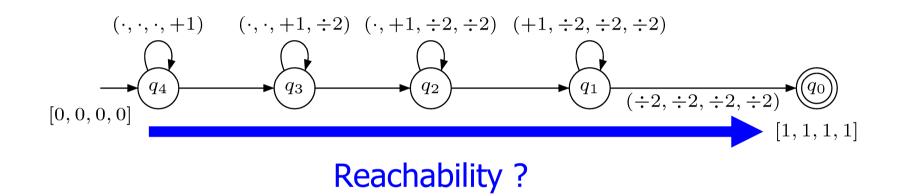


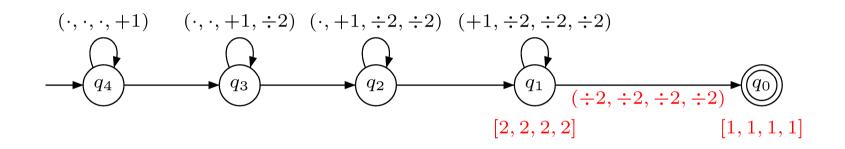
Show that:

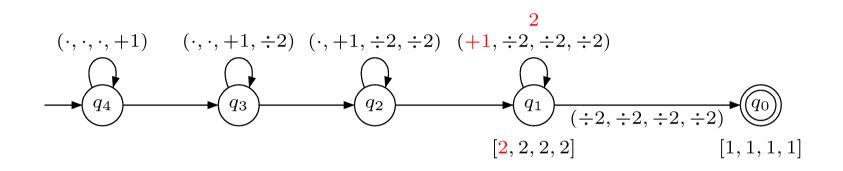
- 1. games can simulate increment and division by 2
- 2. Such counter systems require non-elementary counter value for reachability

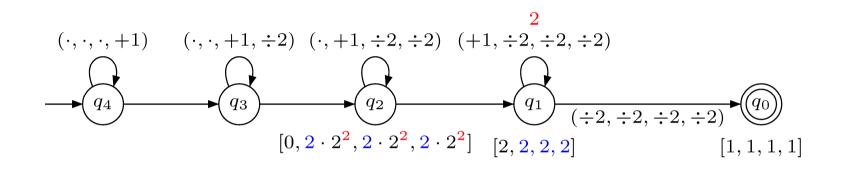


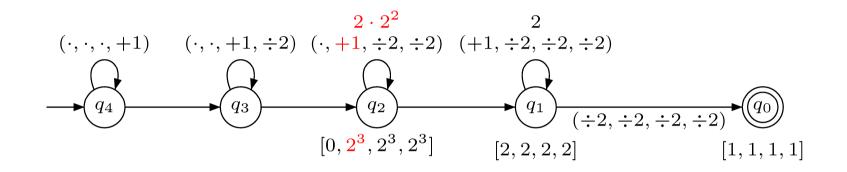


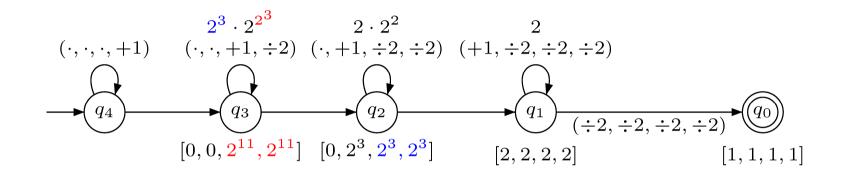


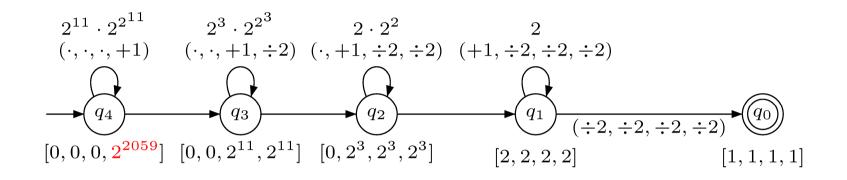


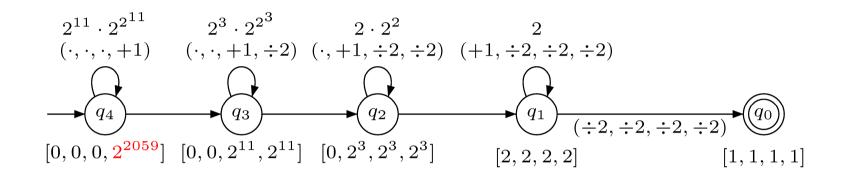






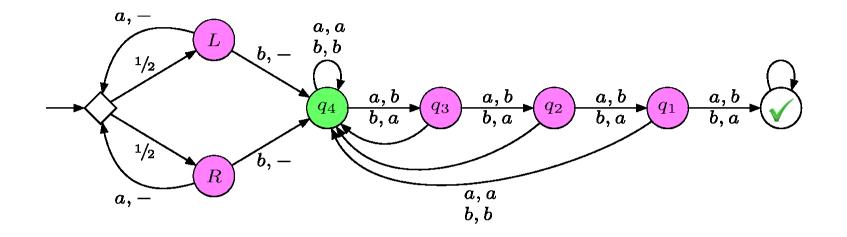






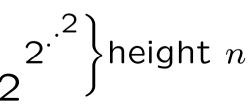
non-elementary growth !

Player 1 perfect, player 2 partial

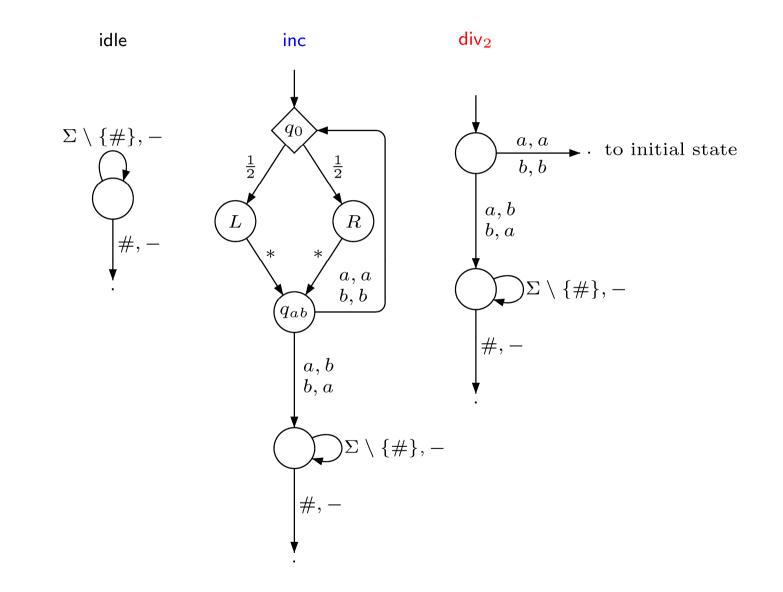


Show that:

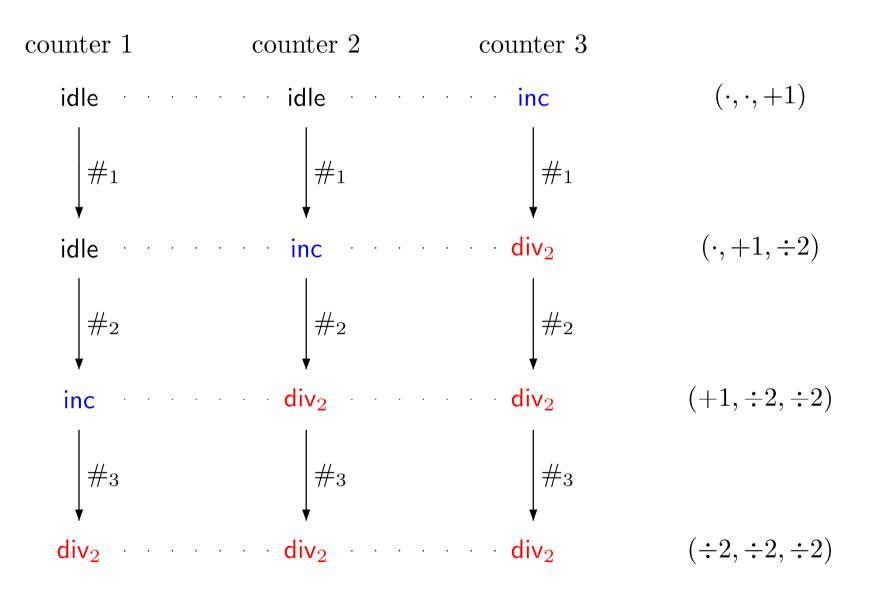
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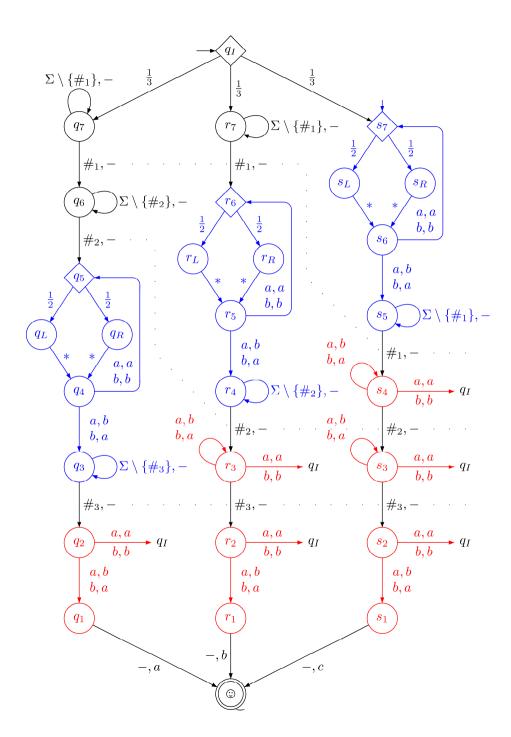


Game gadgets for {idle,+1,÷2}



Game gadgets for {idle,+1,÷2}





Game that simulates 3 counters...

Pl1 Perfect, Pl 2 Partial:Stochastic, Pure.Non-elementary lower bound

Player 1 perfect, player 2 partial

Memory of **non-elementary** size for pure strategies

- lower bound: simulation of counter systems with increment and division by 2
- upper bound: positive: non-elementary counters simulate randomized strategies almost-sure: reduction to iterated positive

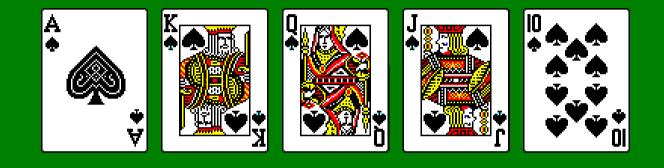
Counter systems with {+1,÷2} require nonelementary counter value for reachability

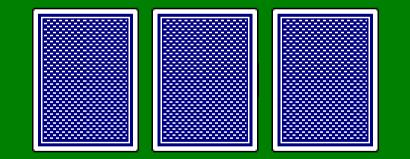
$$2^{\cdot^2}$$
 height n

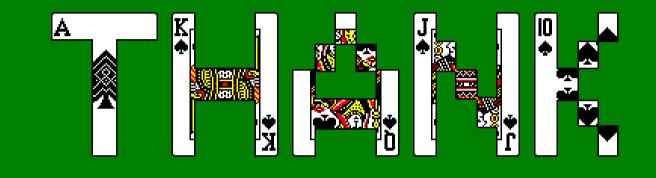
New results

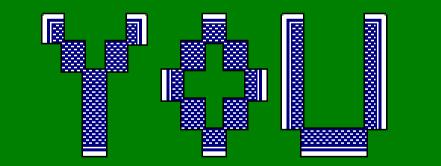
Reachability - Memory requirement (for player 1)

Almost-sure	player 1 partial	player 1 perfect	2-sided
	player 2 perfect	player 2 partial	both partial
rand. actvis.	exponential (belief)	memoryless	exponential (belief)
	[СDHR'06]	[BGG'09]	[BGG'09]
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pure	exponential (belief	non-elementary	finite (at least non-
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Positive	player 1 partial	player 1 perfect	2-sided
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rand. actvis.	memoryless	memoryless	memoryless
rand. actinv.	memoryless		memoryless
pure	exponential (belief	non-elementary	finite (at least non-
	not sufficient)	complete	elementary)









R

Thank you !



Questions ?