# How Much Memory is Needed to Win in Partial-Observation Games

Laurent Doyen LSV, ENS Cachan & CNRS

&

Krishnendu Chatterjee IST Austria

GAMES'11

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## Examples

- Poker
  - partial-observation
  - stochastic



# Examples

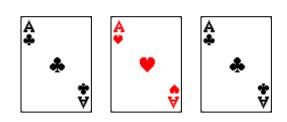
- Poker
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  - stochastic



#### • Bonneteau

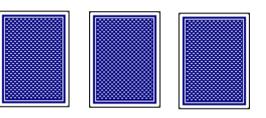


2 black card, 1 red card

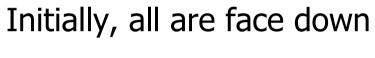




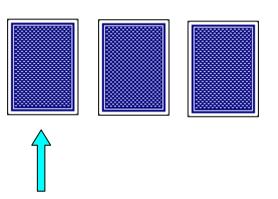
Initially, all are face down Goal: find the red card



2 black card, 1 red card



Goal: find the red card

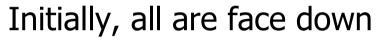


Rules:

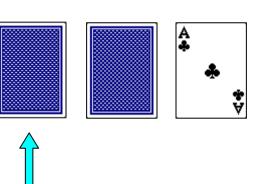
- 1. Player 1 points a card
- 2. Player 2 flips one remaining black card
- 3. Player 1 may change his mind, wins if pointed card is red



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Rules:

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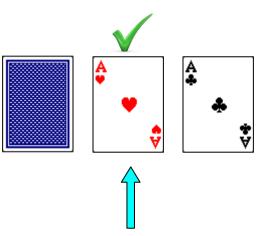
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2 black card, 1 red card

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Goal: find the red card

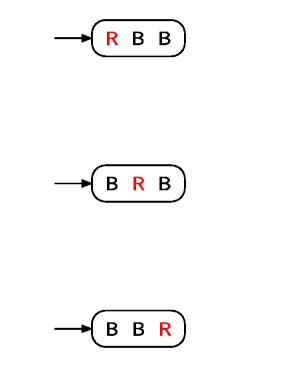


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#### **Bonneteau: Game Model**

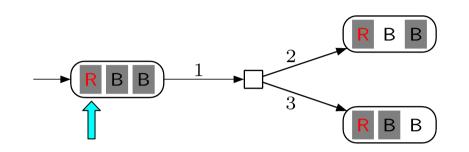


#### **Bonneteau: Game Model**

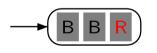


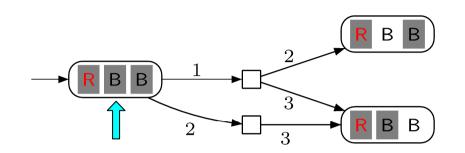






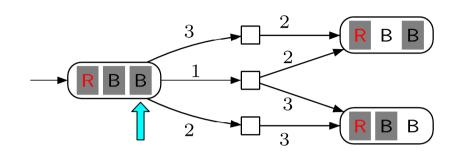






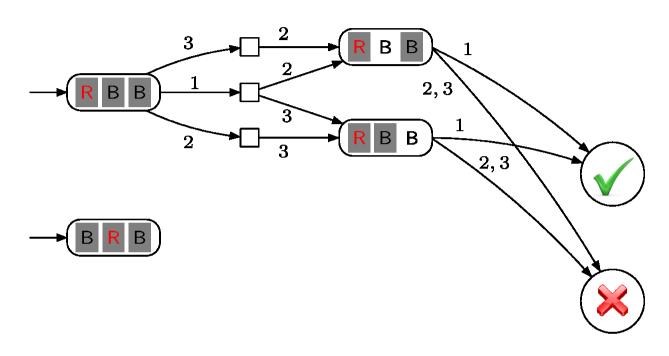


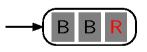


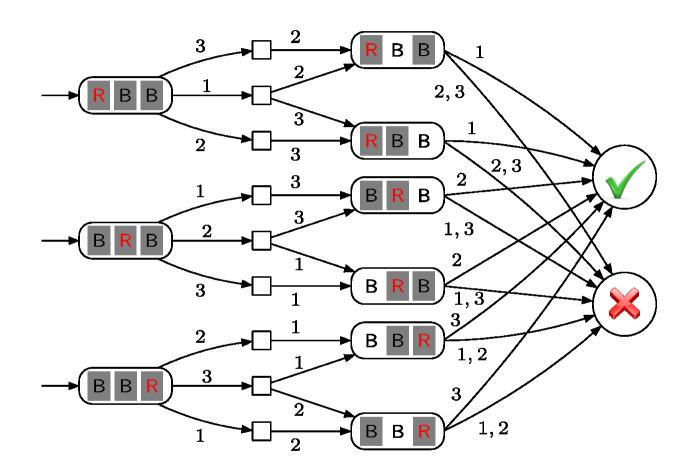


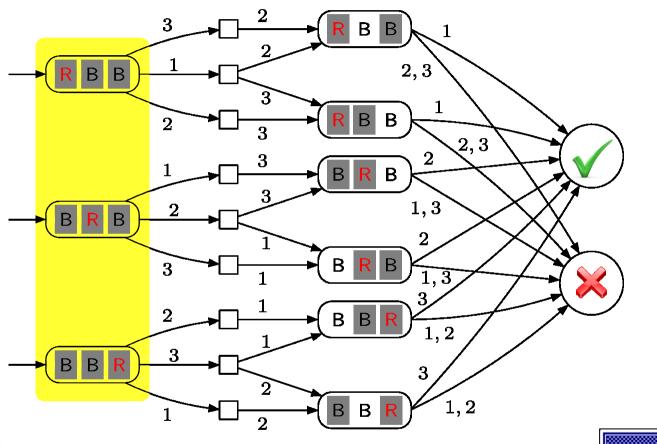


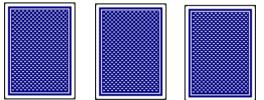


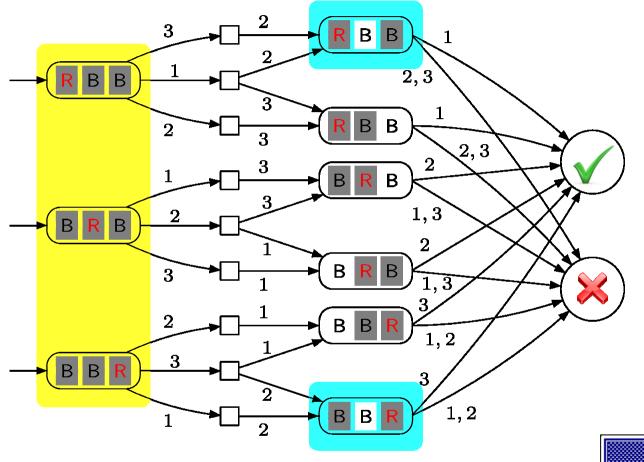




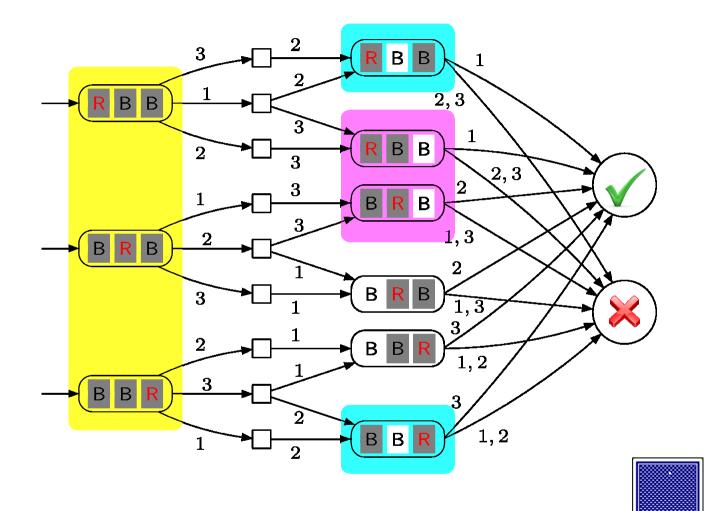


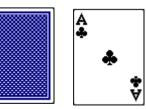


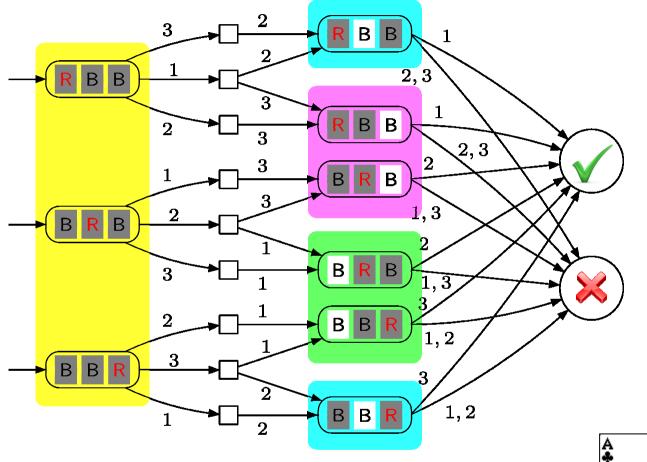


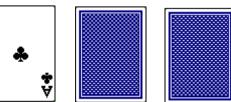


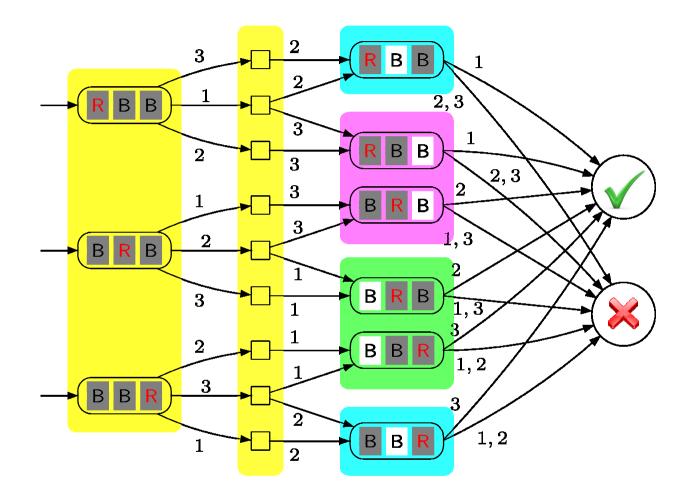




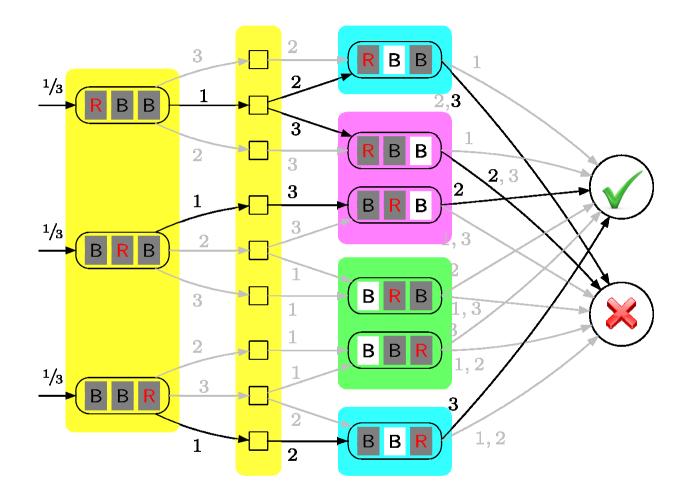






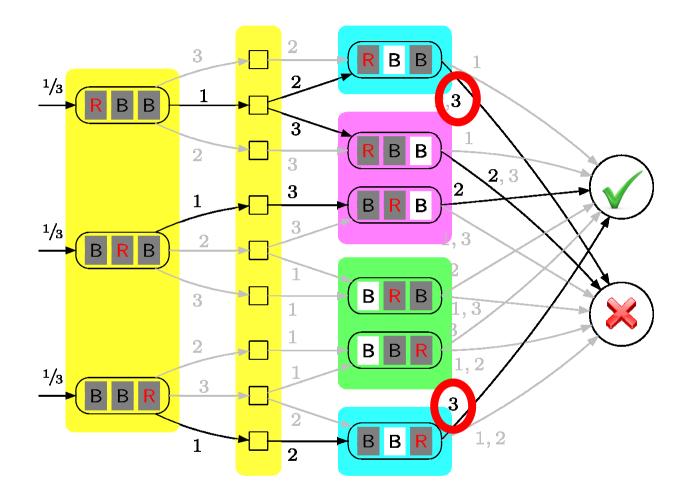


#### **Observation-based strategy**



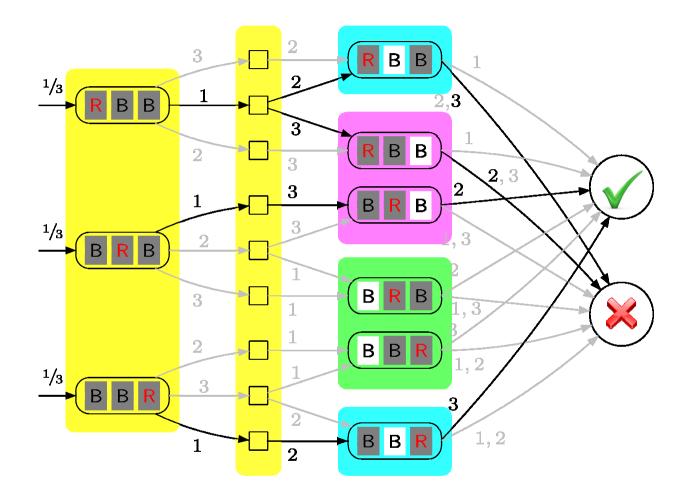
This strategy is observation-based, e.g. after \_\_\_\_, \_\_\_ it plays 3

#### **Observation-based strategy**

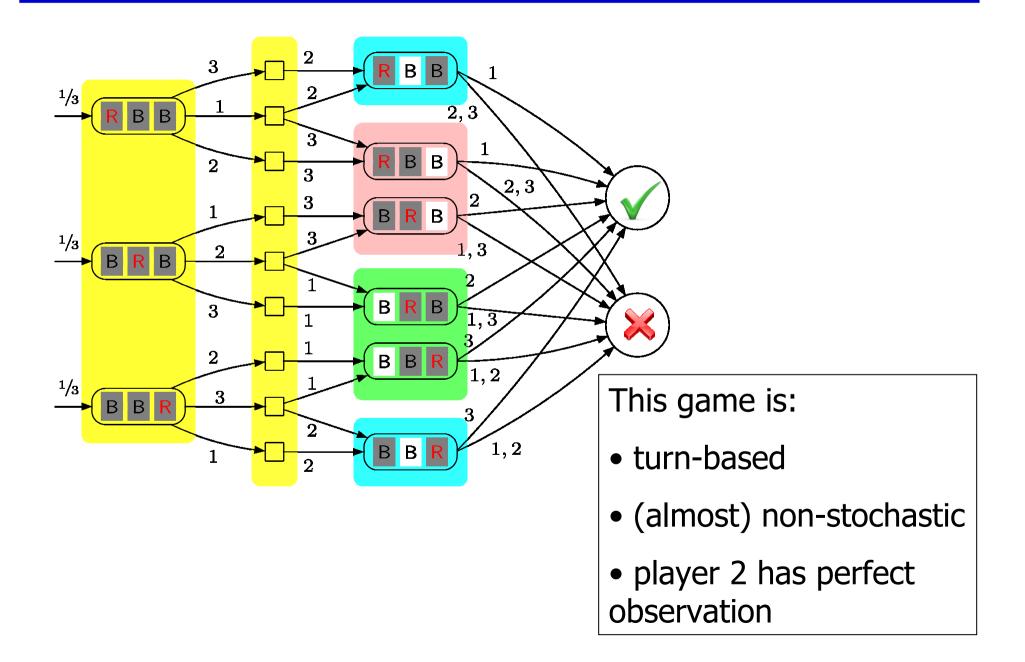


This strategy is observation-based, e.g. after \_\_\_\_, \_\_\_ it plays 3

## Optimal observation-based strategy

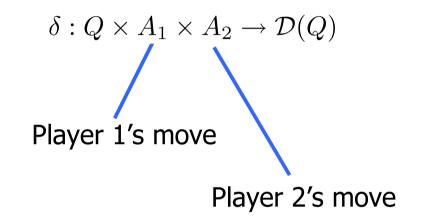


This strategy is winning with probability 2/3



## Interaction

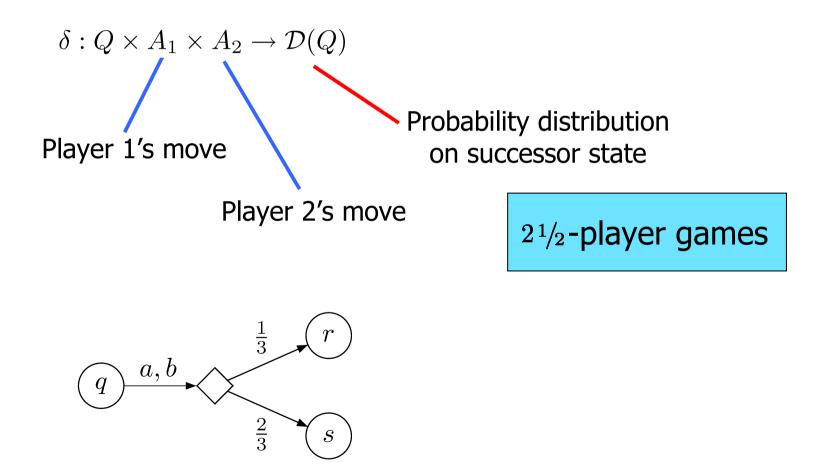
General case: concurrent & stochastic



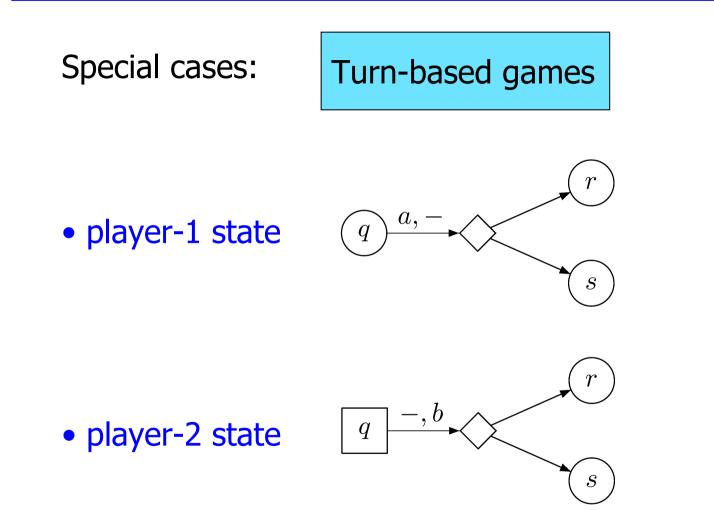
Players choose their moves simultaneously and independently

## Interaction

General case: concurrent & stochastic



## Interaction

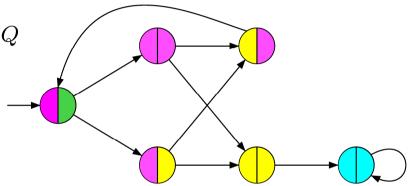


#### Partial-observation

Observations: partitions induced by coloring

General case: 2-sided partial observation

Two partitions  $\mathcal{O}_1 \subseteq 2^Q$  and  $\mathcal{O}_2 \subseteq 2^Q$ 

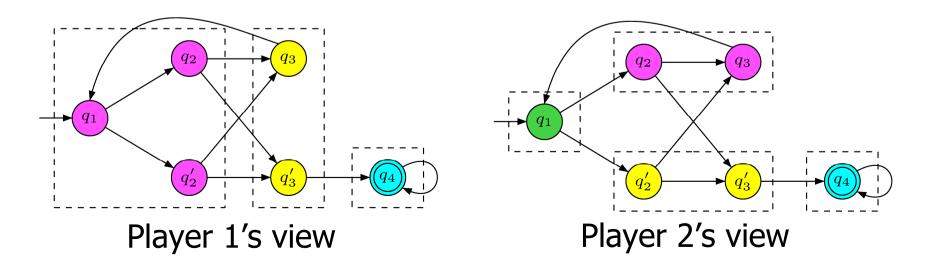


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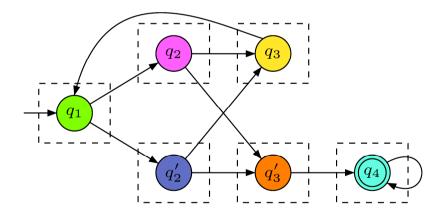


#### **Partial-observation**

Observations: partitions induced by coloring

Special case: 1-sided partial observation

 $\mathcal{O}_1 = \{\{q\} \mid q \in Q\} \quad \text{ or } \quad \mathcal{O}_2 = \{\{q\} \mid q \in Q\}$ 



A strategy for Player i is a function  $\sigma_i : \mathcal{O}_i^+ \to \mathcal{D}(A_i)$  that maps histories (sequences of observations) to probability distribution over actions.

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Reachability objective:  $\mathcal{T} \subseteq Q$ 

Winning probability:  $\inf_{\sigma_2} Pr_{q_0}^{\sigma_1,\sigma_2}(\exists i \ge 0 : q_i \in \mathcal{T})$ 

## Qualitative analysis

The following problem is undecidable: (already for probabilistic automata [Paz71])

Decide if there exists a strategy for player 1 that is winning with probability at least 1/2

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The following problem is undecidable: (already for probabilistic automata [Paz71])

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Qualitative analysis:

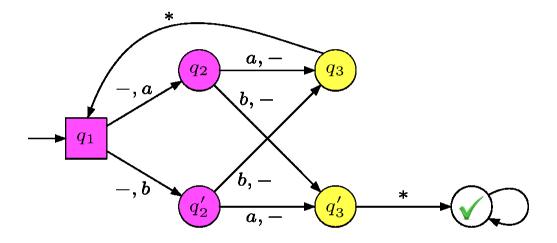
- Almost-sure: ... winning with probability 1
- **Positive:** ... winning with probability > 0

$$\exists \sigma_1 \cdot \forall \sigma_2 : Pr_{q_0}^{\sigma_1, \sigma_2} (\exists i \ge 0 : q_i \in \mathcal{T}) \begin{cases} = 1 \\ > 0 \end{cases}$$

# Example 1

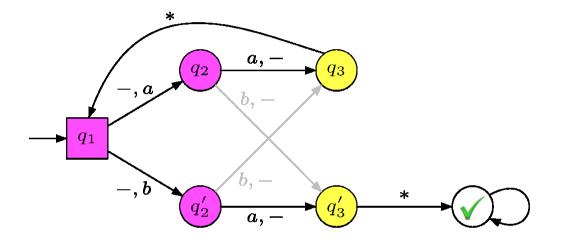
Player 1 partial, player 2 perfect

 $\sigma_i: \mathcal{O}_i^+ \to \mathcal{D}(A_i)$ 



Player 1 partial, player 2 perfect

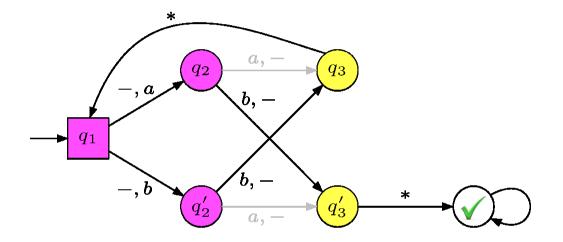
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No pure strategy of Player 1 is winning with probability 1

Player 1 partial, player 2 perfect

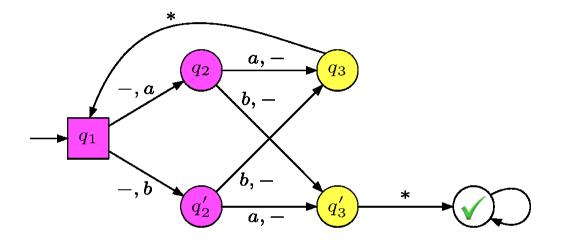
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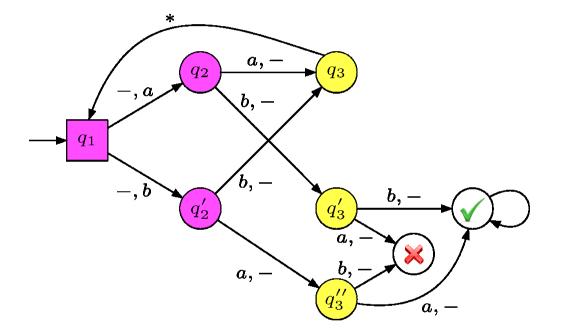


Player 1 wins with probability 1, and needs randomization

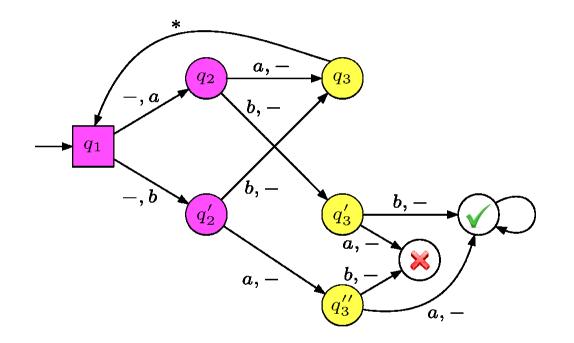
$$\begin{array}{c} \bullet q_{1} & \bullet, \gamma_{1} \\ \bullet & \bullet, \gamma_{1} \\ \bullet, \gamma_{1} \\ a, \gamma_{1} \end{array} \xrightarrow{a, -} q_{3}, q'_{3} \xrightarrow{a, \gamma_{3}} \bullet \\ \hline \\ Belief-based-only randomized \\ strategies are sufficient \end{array}$$

Player 1 partial, player 2 perfect

 $\sigma_i: \mathcal{O}_i^+ \to \mathcal{D}(A_i)$ 



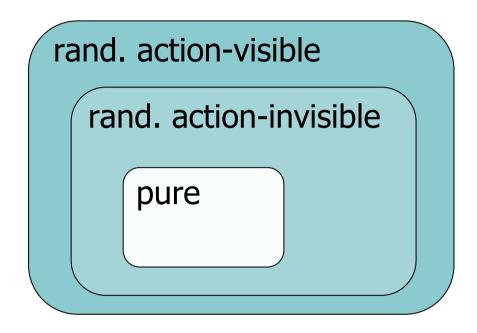
Player 1 partial, player 2 perfect



To win with probability 1, player 1 needs to observe his own actions.

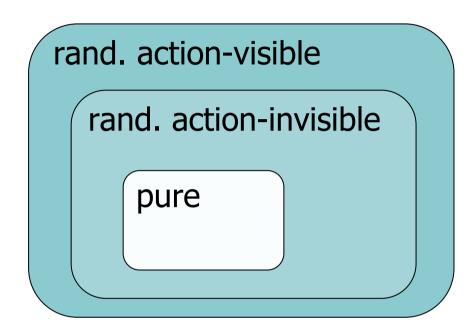
Randomized action-visible strategies:  $\sigma_i : (\mathcal{O}_i A_i)^* \mathcal{O}_i \to \mathcal{D}(A_i)$ 

#### **Classes of strategies**



Classification according to the power of strategies

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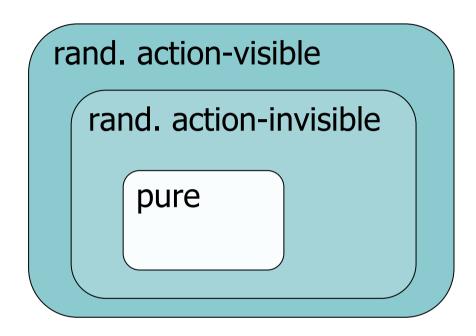


Classification according to the power of strategies

Poly-time reduction from decision problem of rand. act.-vis. to rand. act.-inv.

The model of rand. act.-inv. is more general

#### **Classes of strategies**



Classification according to the power of strategies

Computational complexity (algorithms)

Strategy complexity (memory)

#### Known results

Almost-sure	player 1 partial player 2 perfect	player 1 perfect player 2 partial	2-sided both partial
rand. actvis.			
rand. actinv.			
pure			

#### Known results

Reachability - Memory requirement (for player 1)

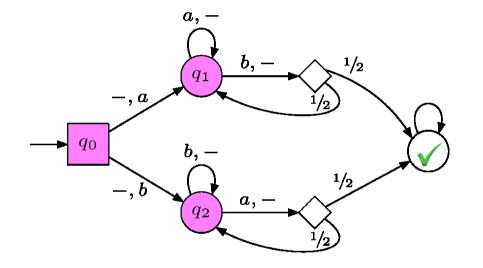
Almost-sure	player 1 partial	player 1 perfect	2-sided
	player 2 perfect	player 2 partial	both partial
rand. actvis.	exponential (belief)	memoryless	exponential (belief)
	[СDHR'06]	[BGG'09]	[BGG'09]
rand. actinv.	exponential (belief) [CDHR'06(remark), GS'09]		exponential (belief) [GS'09]
pure	?	?	?

[BGG09] Bertrand, Genest, Gimbert. *Qualitative Determinacy and Decidability of Stochastic Games with Signals*. LICS'09. [CDHR06] Chatterjee, Doyen, Henzinger, Raskin. *Algorithms for ω-Regular games with Incomplete Information*. CSL'06. [GS09] Gripon, Serre. *Qualitative Concurrent Stochastic Games with Imperfect Information*. ICALP'09.

#### Known results

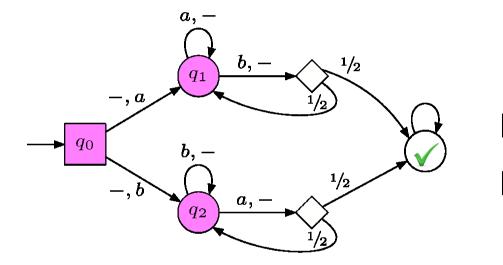
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pure	?	?	?
Positive	player 1 partial player 2 perfect	player 1 perfect player 2 partial	2-sided both partial
rand. actvis.	memoryless	memoryless	memoryless
rand. actinv.	memoryless		memoryless
pure	?	?	?

Belief-based-only pure strategies are not sufficient, both for positive and for almost-sure winning



player 1 partial player 2 perfect

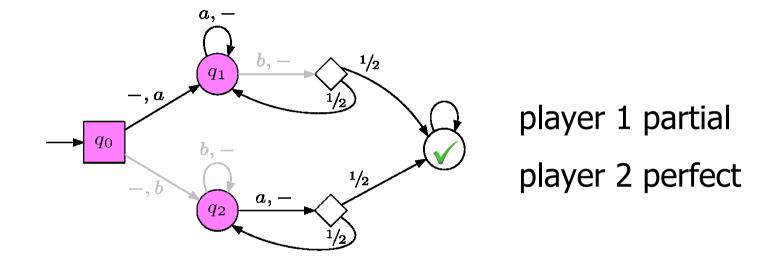
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player 1 partial player 2 perfect

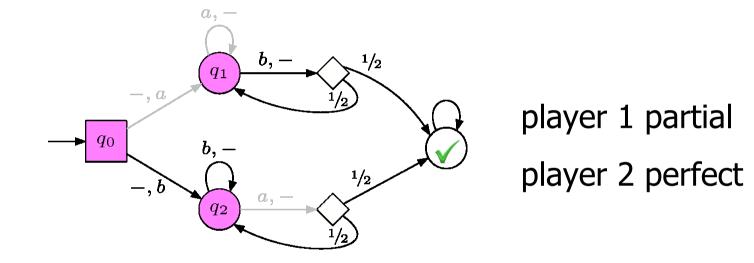
- 1. When belief is  $\{q_1, q_2\}$ , play a
- 2. When belief is  $\{q_1, q_2\}$ , play b

Belief-based-only pure strategies are not sufficient, both for positive and for almost-sure winning



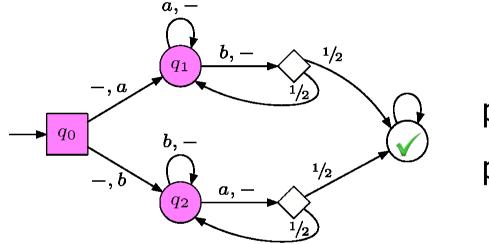
- 1. When belief is  $\{q_1, q_2\}$ , play a **not winning**
- 2. When belief is  $\{q_1, q_2\}$ , play b

Belief-based-only pure strategies are not sufficient, both for positive and for almost-sure winning

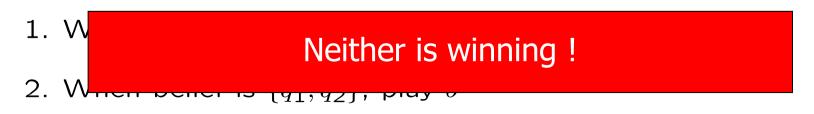


- 1. When belief is  $\{q_1, q_2\}$ , play a
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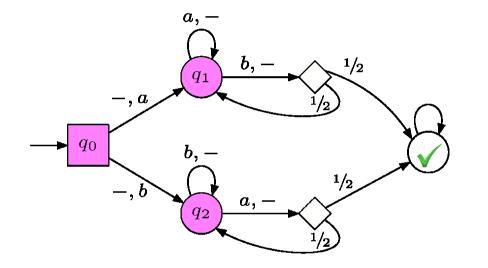
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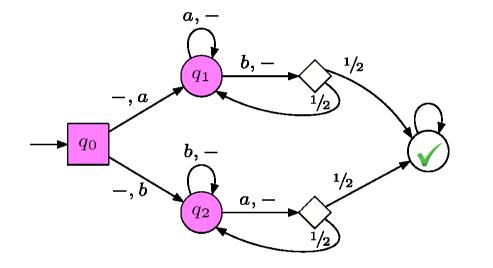
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player 1 partial player 2 perfect

When belief is  $\{q_1, q_2\}$ , alternate a and b

Belief-based-only pure strategies are not sufficient, both for positive and for almost-sure winning

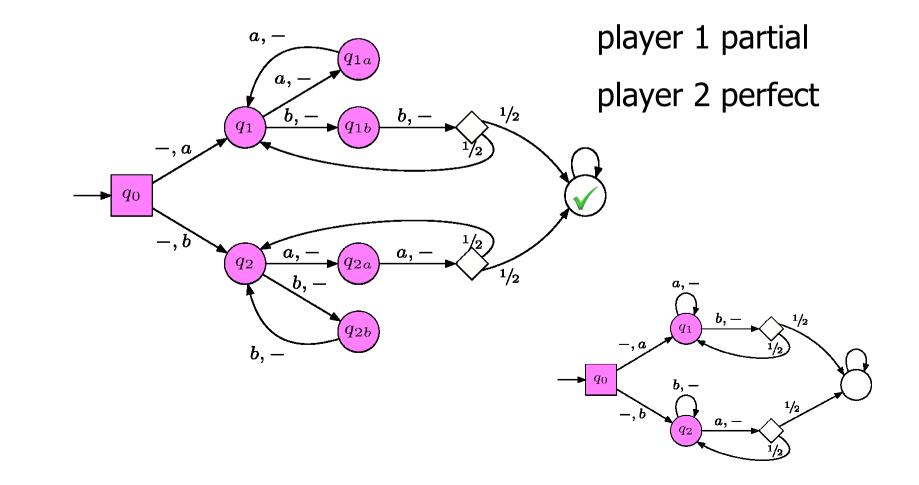


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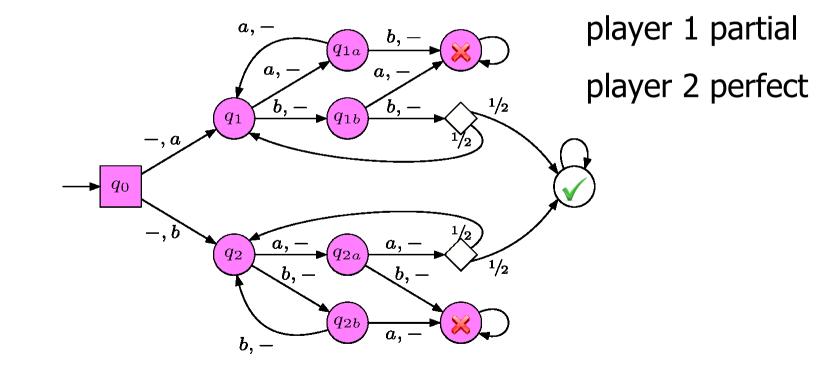
When belief is  $\{q_1, q_2\}$ , alternate a and b

This strategy is almost-sure winning !

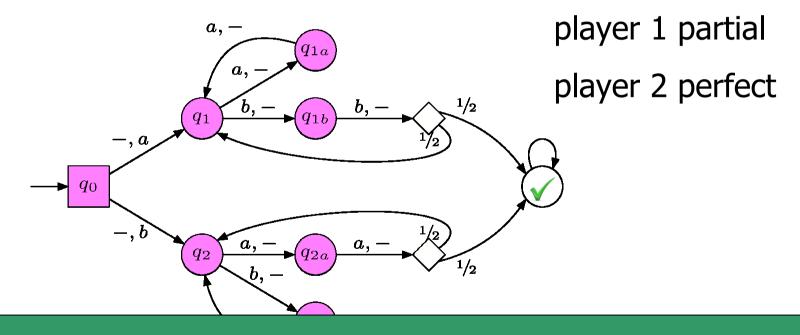
Using the trick of "repeated actions" we construct an example where belief-only randomized action-invisible strategies are not sufficient (for almost-sure winning)



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Almost-sure winning requires to play pure strategy, with more-than-belief memory !



Almost-sure	player 1 partial player 2 perfect	player 1 perfect player 2 partial	2-sided both partial
rand. actvis.	exponential (belief) [СDHR'06]	memoryless [BGG′09]	exponential (belief) [BGG'09]
rand. actinv.	exponential (belief) [CDHR'06(remark), GS'09]		exponential (belief) [GS'09]
pure	?	?	?
Positive	player 1 partial player 2 perfect	player 1 perfect player 2 partial	2-sided both partial
rand. actvis.	memoryless	memoryless	memoryless
rand. actinv.	memoryless		memoryless
pure	?	?	?



Almost-sure	player 1 partial player 2 perfect	player 1 perfect player 2 partial	2-sided both partial
rand. actvis.	exponential (belief) [СDHR'06]	memoryless [BGG'09]	exponential (belief) [BGG'09]
rand. actinv.	exponential (more than belief)		exponential (belief) [GS'09]
pure	exponential (more than belief)	?	?
Positive	player 1 partial player 2 perfect	player 1 perfect player 2 partial	2-sided both partial
rand. actvis.	memoryless	memoryless	memoryless
rand. actinv.	memoryless		memoryless
pure	exponential (more than belief)	?	?



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#### New results

Almost-sure	player 1 partial player 2 perfect	player 1 perfect player 2 partial	2-sided both partial
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rand. actinv.	exponential (more than belief)		exponential (belief)
pure	exponential (more than belief)	non-elementary complete	?
Positive	player 1 partial player 2 perfect	player 1 perfect player 2 partial	2-sided both partial
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rand. actinv.	exponential (more than belief)		exponential (belief)
pure	exponential (more than belief)	non-elementary complete	?
Positive			om more states,
rand. actvis.	memoryless	ut needs more	memory !
rand. actinv.	memoryless		memoryless
pure	exponential (more than belief)	non-elementary complete	?

# Player 1 perfect, player 2 partial

Memory of **non-elementary** size for pure strategies

- lower bound: simulation of counter systems with increment and division by 2
- upper bound: positive: non-elementary counters simulate randomized strategies almost-sure: reduction to iterated positive

Counter systems with {+1,÷2} require nonelementary counter value for reachability

$$2^{\cdot^2}$$
 height  $n$ 

#### New results

Almost-sure	player 1 partial	player 1 perfect	2-sided
	player 2 perfect	player 2 partial	both partial
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	[СDHR'06]	[BGG'09]	[BGG'09]
rand. actinv.	exponential (more than belief)		exponential (belief)
pure	exponential (more	non-elementary	finite (at least non-
	than belief)	complete	elementary)
Positive	player 1 partial	player 1 perfect	2-sided
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rand. actvis.	memoryless	memoryless	memoryless
rand. actinv.	memoryless		memoryless
pure	exponential (more	non-elementary	finite (at least non-
	than belief)	complete	elementary)

## Player 1 perfect, player 2 partial

Equivalence of the decision problems for almost-sure reach with **pure** strategies and **rand. act.-inv.** strategies

- Reduction of rand. act.-inv. to pure choice of a subset of actions (support of prob. dist.)
- Reduction of pure to rand. act.-inv. repeated-action trick (holds for almost-sure only)

It follows that the memory requirements for pure hold for rand. act.-inv. as well !

#### New results

Almost-sure	player 1 partial	player 1 perfect	2-sided
	player 2 perfect	player 2 partial	both partial
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pure	exponential (more	non-elementary	finite (at least non-
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Positive	player 1 partial	player 1 perfect	2-sided
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rand. actinv.	memoryless		memoryless
pure	exponential (more	non-elementary	finite (at least non-
	than belief)	complete	elementary)

#### Summary of our results

Pure strategies (for almost-sure and positive):

- player 1 partial: exponential memory, more than belief
- player 1 perfect: non-elementary memory (complete)
- 2-sided: finite, at least non-elementary memory

Randomized action-invisible strategies (for almost-sure) :

- player 1 partial: exponential memory, more than belief
- 2-sided: finite, at least non-elementary memory

#### More results & open questions

Computational complexity for 1-sided:

- Player 1 partial: reduction to Büchi game, **EXPTIME-complete**
- Player 2 partial: non-elementary complexity

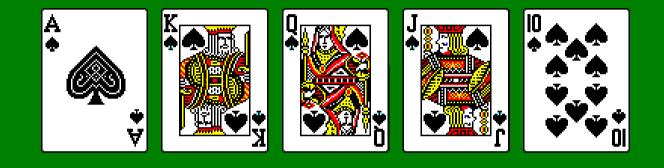
Open questions:

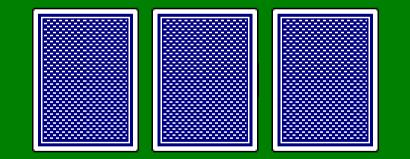
- Whether non-elementary size memory is sufficient in 2-sided
- Exact computational complexity

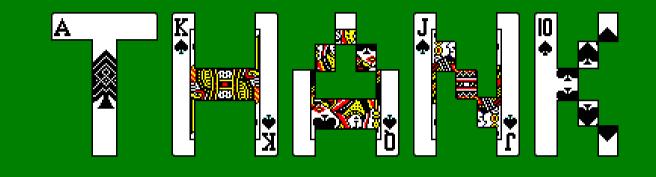


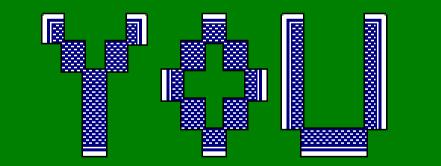
#### Details can be found in:

[CD11] Chatterjee, Doyen. *Partial-Observation Stochastic Games: How to Win when Belief Fails*. CoRR abs/1107.2141, July 2011.









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#### References

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[CD11] Chatterjee, Doyen. *Partial-Observation Stochastic Games: How to Win when Belief Fails*. CoRR abs/1107.2141, July 2011.

Other references:

[BGG09] Bertrand, Genest, Gimbert. *Qualitative Determinacy and Decidability of Stochastic Games with Signals*. LICS'09.
[CDHR06] Chatterjee, Doyen, Henzinger, Raskin. *Algorithms for ω-Regular games with Incomplete Information*. CSL'06.
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