

# AUTOMATIC VERIFICATION OF CRYPTOGRAPHIC PROTOCOLS

Hubert Comon-Lundh

LSV, CNRS

INRIA project SECSI

École Normale Supérieure de Cachan

[comon@lsv.ens-cachan.fr](mailto:comon@lsv.ens-cachan.fr)

# SUMMARY OF THE LECTURES

---

**Part 0: introduction**

**Part 1: local theories**

**Part 2: protocols**

**Part 3: algebraic properties**

# PART 0

# INTRODUCTION

# CRYPTOGRAPHIC PROTOCOLS

---

A model checking problem:

# CRYPTOGRAPHIC PROTOCOLS

---

A model checking problem:

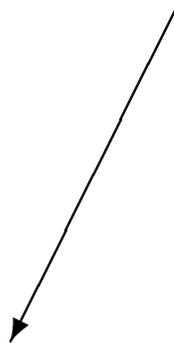
$$M_I \models \phi$$

# CRYPTOGRAPHIC PROTOCOLS

---

A model checking problem:

$$M_I \models \phi$$

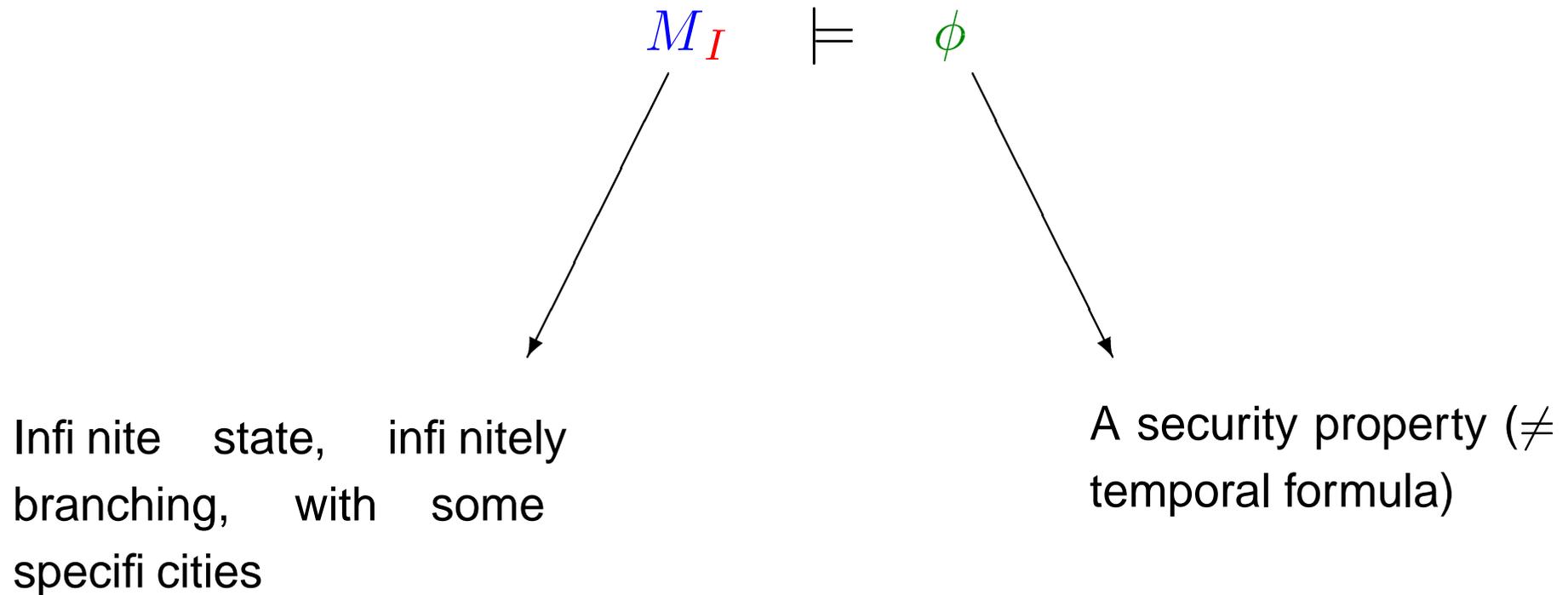


Infinite state, infinitely  
branching, with some  
specificities

# CRYPTOGRAPHIC PROTOCOLS

---

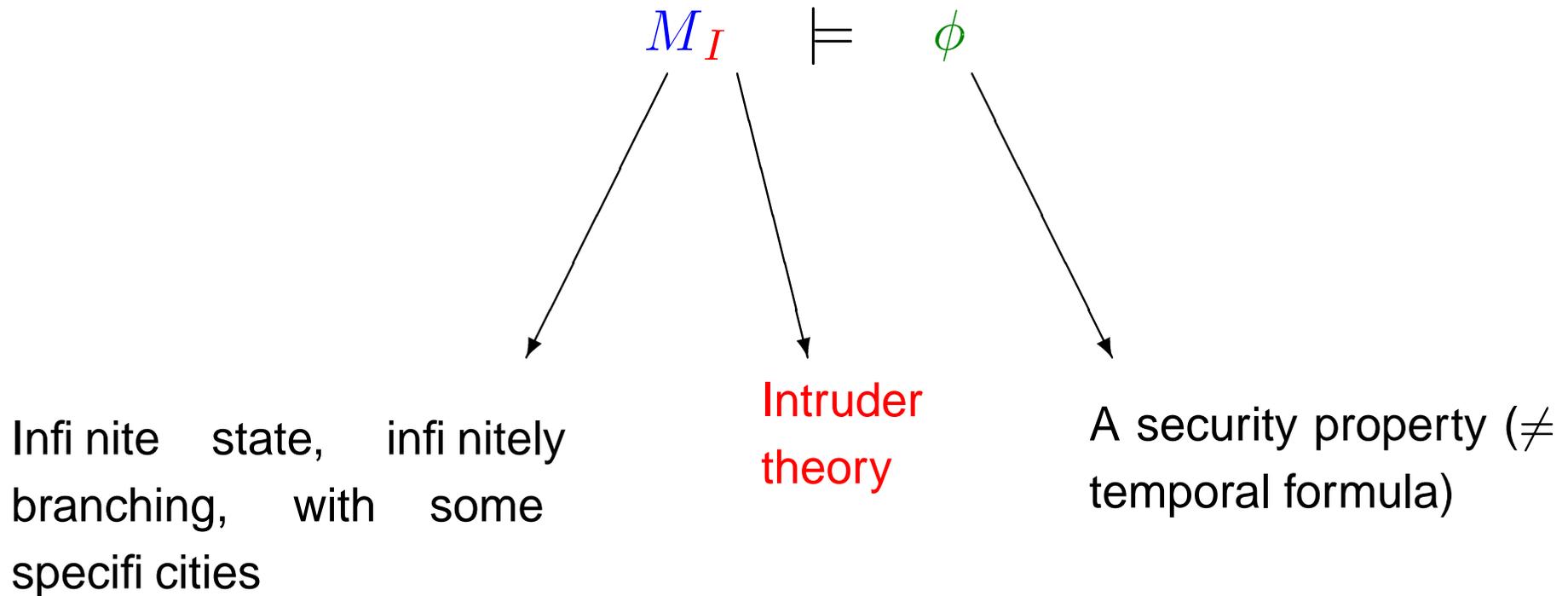
A model checking problem:



# CRYPTOGRAPHIC PROTOCOLS

---

A model checking problem:



# AUTOMATIC VERIFICATION

---

## Why automatic ?

- Verification of many small variants of a protocol. (Nonce implementation, memory constraints, bandwidth constraints,...)
- Refine the model: include more properties of the primitives, depending on the encryption algorithms (e.g. malleability, encryption and decryption commute... See F. Morain's lecture).

**Alternative:** use machine assisted proofs **Paulson 97 – 04.**

# THE TWO APPROACHES

---

The security problem is  $\Pi_1^1$ -hard: there is no decision and even no semi-decision algorithm.

This result holds even under strong additional hypotheses (see [Ramanujam lecture](#)).

The two approaches:

**Pessimistic** : try to find an attack

**Optimistic** : use upper approximations, trying to find a proof.

# THE OPTIMISTIC APPROACH

---

- ProVerif (See C. Fournet's lecture)
- The EVA project: LSV, VERIMAG, TRUSTED LOGIC.
- Many others CAPSL, ...

Many papers and results, using various techniques: Clauses, Set constraints, Tree automata,... (See Ramanujam lecture)

Weaknesses:

- A failure doesn't mean that there is an attack
- A success means no attack, assuming some hypothesis on the cryptographic primitives. Difficult to take algebraic properties into account.
- There is a huge variety of security properties, whose proofs can hardly be automatized

# BOUNDED NUMBER OF SESSIONS

---

We fix the number of protocol instances; no guarantee that the protocol is secure for more instances.

# BOUNDED NUMBER OF SESSIONS

---

We fix the number of protocol instances; no guarantee that the protocol is secure for more instances.

M. Rusinowitch and M. Turuani, 2001: security is co-NP-complete for a bounded number of sessions, *In the Dolev-Yao model* (perfect cryptography)

# BOUNDED NUMBER OF SESSIONS

---

We fix the number of protocol instances; no guarantee that the protocol is secure for more instances.

M. Rusinowitch and M. Turuani, 2001: security is co-NP-complete for a bounded number of sessions, *In the Dolev-Yao model* (perfect cryptography)

The PROUVÉ project: LSV, VERIMAG, LORIA, FRANCE TELECOM, CRIL

Case studies: Electronic money, Vote. Properties are not reduced to secrecy and authentication.

# BOUNDED NUMBER OF SESSIONS

---

We fix the number of protocol instances; no guarantee that the protocol is secure for more instances.

M. Rusinowitch and M. Turuani, 2001: security is co-NP-complete for a bounded number of sessions, *In the Dolev-Yao model* (perfect cryptography)

The PROUVÉ project: LSV, VERIMAG, LORIA, FRANCE TELECOM, CRIL

Case studies: Electronic money, Vote. Properties are not reduced to secrecy and authentication.

Many tools based on model checking, bound the number of sessions and often also the instances: CSP/FDR, ATHENA, CASRUL, AVISPA, ...

# GOALS OF THE LECTURES

---

Design proof strategies which are

- Refutation complete
- complete for a fixed number of sessions
- work for various intruder theories
- can take into account several algebraic theories for cryptographic primitives

# EXAMPLES OF PROTOCOLS

---

TMN:

1.  $A \rightarrow S : A, B, \{K_A\}_{pub(S)}$
2.  $S \rightarrow B : A$
3.  $B \rightarrow S : A, \{K_B\}_{pub(S)}$
4.  $S \rightarrow A : B, K_B \oplus K_A$

NS:

1.  $A \rightarrow B : \{ \langle A, N_A \rangle \}_{pub(B)}$
2.  $B \rightarrow A : \{ \langle N_A, N_B \rangle \}_{pub(A)}$
3.  $A \rightarrow B : \{ N_B \}_{pub(B)}$

**SPORE** – the protocol library

[//www.lsv.ens-cachan.fr/spore/](http://www.lsv.ens-cachan.fr/spore/)

# SUMMARY OF THE LECTURES

---

## Part 0: introduction

## Part 1: local theories

1. Tractable Decision problems HORNSAT
2. Tractable inference systems: LOCAL THEORIES. [Mc Allester 93](#)
3. Examples of local theories: the Dolev-Yao intruder deduction systems
4. Exercises

## Part 2: proof normalization

1. Protocols: A quick reminder of the trace semantics
2. Proof systems; the particular case of a bounded number of sessions
3. Protocols rules as intruder oracles
4. A normal proof result in the simplest case
5. co-NP completeness in the case of a bounded number of sessions.  
[Rusinowitch and Turuani, 2001](#)
6. Extensions to other intruder theories

# SUMMARY OF THE LECTURES (CNTD)

---

## Part 3: algebraic properties

1. Basic on rewriting and narrowing
2. Another local theory
3. Computing variants
4. Locality and variants.

# PART 1: LOCAL THEORIES

# THE HORNSAT DECISION PROBLEM

---

**Data** : a finite set of propositional **Horn clauses**: there is at most one positive literal in each clause

**Question** : is the set of clauses satisfiable ?

**Theorem 1** *HORNSAT is decidable in linear time and is PTIME-complete*

Many equivalent problems (under constant space reductions):

- AND/OR graph reachability
- Tree automata emptiness

# PROOF OF THE THEOREM (I)

---

Reduce first the problem to a fixed point computation, separating the purely negative clauses from the others.

# PROOF OF THE THEOREM (I)

---

Reduce first the problem to a fixed point computation, separating the purely negative clauses from the others.

Assume the data are organized in two arrays:

- $A_1$  is indexed by propositional variables and  $A_1[P] = (s(P), LC(P))$  where  $s(P)$  is a status flag and  $LC(P)$  is the list of clauses in which  $P$  occurs negatively.
- $A_2$  is indexed by clauses and  $A_2[C] = (n(C), H(C))$  where  $n(C)$  is an integer, initially set to the number of distinct negative literals in  $C$ .  $H(C)$  is the literal in the head.

# PROOF OF THE THEOREM (I)

---

Reduce first the problem to a fixed point computation, separating the purely negative clauses from the others.

Assume the data are organized in two arrays:

- $A_1$  is indexed by propositional variables and  $A_1[P] = (s(P), LC(P))$  where  $s(P)$  is a status flag and  $LC(P)$  is the list of clauses in which  $P$  occurs negatively.
- $A_2$  is indexed by clauses and  $A_2[C] = (n(C), H(C))$  where  $n(C)$  is an integer, initially set to the number of distinct negative literals in  $C$ .  $H(C)$  is the literal in the head.

The array computation can be done in linear time. (Note: numbers can be written in base 1).

In addition, we consider a list  $M$ , which is initially empty (the least model) and a stack  $\sigma$ .

# PROOF OF THEOREM (II)

---

First scan  $A_2$  once:  
for every clause do

if  $n(C) = 0$  then

let  $P = H(C)$  in

if  $s(P) = 0$  then push  $P$  on  $\sigma$ ; set  $s(P)$  to 1

# PROOF OF THE THEOREM (III)

---

while  $\sigma$  is not empty do

    Pop a proposition  $P$  from  $\sigma$

    For every  $C \in LC(P)$ ,

        decrement  $n(C)$

        if  $n(C) = 0$  then

            let  $P = H(C)$  in if  $s(P) = 0$  then

                push  $P$  on  $\sigma$

                set  $s(P)$  to 1.

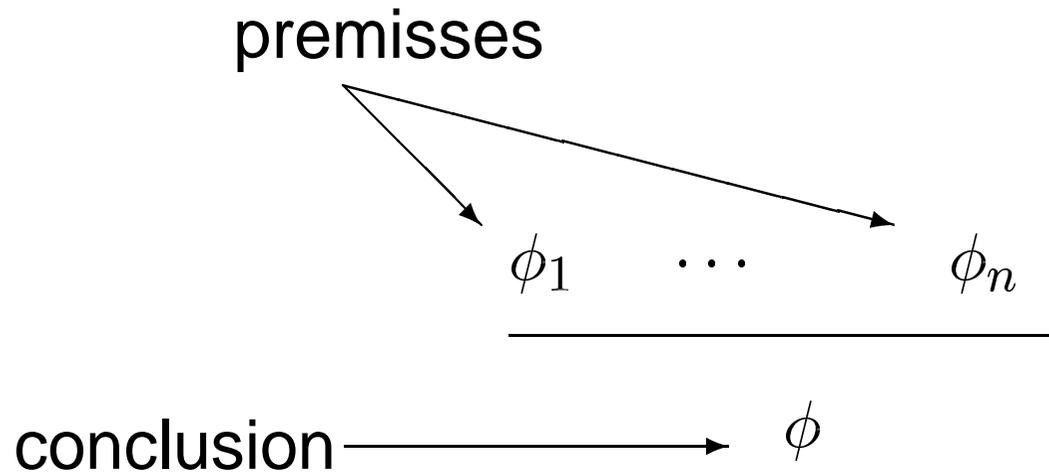
**Exercise 1** (level 2): show that every variable is pushed at most once on the stack. Conclude that the algorithm works in linear time (assuming decrementation can be done in constant time).

# INFERENCE SYSTEMS

---

# INFERENCE SYSTEMS

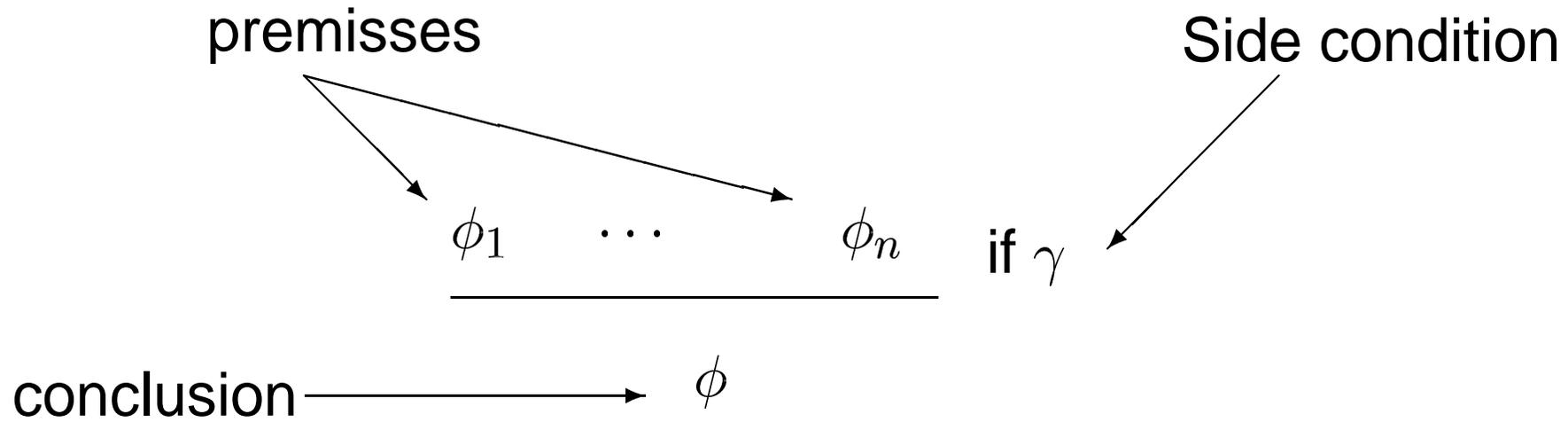
---



$\phi_1, \dots, \phi_n, \phi$  are formulas in a term algebra  $T(\mathcal{F}, X)$ .

# INFERENCE SYSTEMS

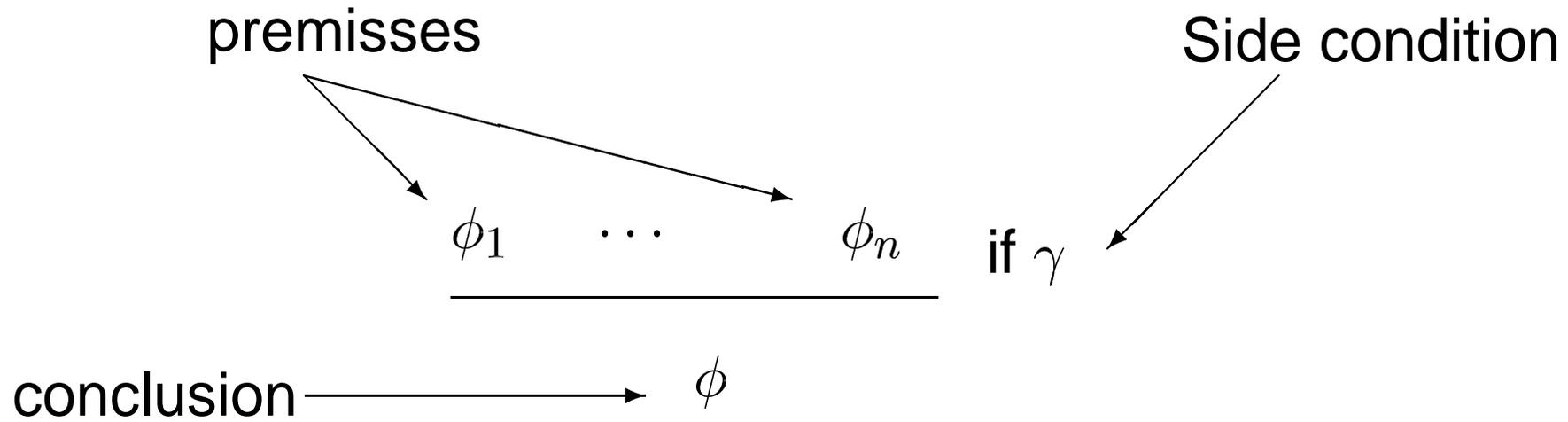
---



$\phi_1, \dots, \phi_n, \phi$  are formulas in a term algebra  $T(\mathcal{F}, X)$ .

# INFERENCE SYSTEMS

---



$\phi_1, \dots, \phi_n, \phi$  are formulas in a term algebra  $T(\mathcal{F}, X)$ .

$\psi$  is **one step derivable** from  $\psi_1, \dots, \psi_n$  if there is a  $\theta$  such that  $\phi_i\theta = \psi_i$ ,  $\phi\theta = \psi$  and  $\theta \models \gamma$ .

# LOCALITY

---

$\mathcal{I}$  is a finite set of inference rules,  $\vdash_{\mathcal{I}}$  the (many-steps) deduction relation.

Given a function  $F : 2^{T(\mathcal{F})} \rightarrow 2^{T(\mathcal{F})}$  An inference system  $\mathcal{I}$  is  **$F$ -local** if, for every formula  $\phi$  such that  $\phi_1, \dots, \phi_n \vdash_{\mathcal{I}} \phi$ , there is a proof of  $\phi$ , which only involves formulas of  $F(\{\phi_1, \dots, \phi_n, \phi\})$ .

# ORDER OF AN INFERENCE RULE

---

An inference rule  $r$  has *order*  $k \in \mathbb{N}$  if there are expressions  $e_1, \dots, e_k$  such that each  $e_i$  is a subexpression of some formula in  $r$  and every (meta)-variable of  $r$  occurs in some  $e_i$ .

The inference rule

$$\frac{T \vdash k^{-1} \quad T \vdash \{x\}_k}{T \vdash x}$$

has order

# ORDER OF AN INFERENCE RULE

---

An inference rule  $r$  has *order*  $k \in \mathbb{N}$  if there are expressions  $e_1, \dots, e_k$  such that each  $e_i$  is a subexpression of some formula in  $r$  and every (meta)-variable of  $r$  occurs in some  $e_i$ .

The inference rule

$$\frac{T \vdash k^{-1} \quad T \vdash \{x\}_k}{T \vdash x}$$

has order **1 (and any larger integer)**

# TRACTABILITY OF LOCAL INFERENCE SYSTEMS

---

The **size** of a term (resp. a set of terms) is the number of its distinct subterms.

**Theorem 2:** If

- $F$  is computable in linear time (resp. polynomial time),
- $\mathcal{I}$  is  $F$ -local and
- every rule as order  $k$

then, given a finite set of formulas  $S$  and a formula  $\phi$ , we can decide whether  $S \vdash_{\mathcal{I}} \phi$  in time  $O(n^k)$ . (resp.  $O(n^k)$ ), where  $n = |S| + |\phi|$ .

# TRACTABILITY OF LOCAL INFERENCE SYSTEMS

---

The **size** of a term (resp. a set of terms) is the number of its distinct subterms.

**Theorem 2:** If

- $F$  is computable in linear time (resp. polynomial time),
- $\mathcal{I}$  is  $F$ -local and
- every rule as order  $k$

then, given a finite set of formulas  $S$  and a formula  $\phi$ , we can decide whether  $S \vdash_{\mathcal{I}} \phi$  in time  $O(n^k)$ . (resp.  $O(n^k)$ ), where  $n = |S| + |\phi|$ .

**Proof:** Compute  $T = F(S \cup \{\phi\})$ , each of them is a propositional variable. Compute for each inference rule the  $O(n^k)$  Horn clauses obtained by solving the  $k$  matching equations for every  $t \in T$ . Use HORN SAT

# TRACTABILITY OF LOCAL INFERENCE SYSTEMS

---

The **size** of a term (resp. a set of terms) is the number of its distinct subterms.

**Theorem 2:** If

- $F$  is computable in linear time (resp. polynomial time),
- $\mathcal{I}$  is  $F$ -local and
- every rule as order  $k$

then, given a finite set of formulas  $S$  and a formula  $\phi$ , we can decide whether  $S \vdash_{\mathcal{I}} \phi$  in time  $O(n^k)$ . (resp.  $O(n^{m \times k})$ ), where  $n = |S| + |\phi|$ .

**Proof:** Compute  $T = F(S \cup \{\phi\})$ , each of them is a propositional variable. Compute for each inference rule the  $O(n^k)$  Horn clauses obtained by solving the  $k$  matching equations for every  $t \in T$ . Use HORN SAT

## EXERCISE 2 (LEVEL 1)

---

Theorem 2 essentially assumes that there are no side conditions in the inference rules. What must be changed if we allow side conditions ?

# DOLEV-YAO LIKE THEORIES

---

$\mathcal{F}$  be  $\text{pub}(\_)$ ,  $\text{priv}(\_)$ ,  $\{\_\}_\_$ ,  $\langle \_, \_ \rangle$ ,  $[\_\]_\_$  and constants.

$$\frac{x \quad y}{\langle x, y \rangle}$$

$$\frac{x \quad y}{\{x\}_y}$$

$$\frac{x \quad y}{[x]_y}$$

$$\frac{\langle x, y \rangle}{x}$$

$$\frac{\langle x, y \rangle}{y}$$

$$\frac{[x]_y \quad y}{x}$$

$$\frac{\{x\}_{\text{pub}(y)} \quad \text{priv}(y)}{x}$$

$$\frac{x}{\text{pub}(x)}$$

# DOLEV-YAO RULES ARE $F$ -LOCAL

---

**Theorem** Let  $F(T)$  be the set of subterms of  $T$ . Then the set of Dolev-Yao rules is  $F$ -local.

# DOLEV-YAO RULES ARE $F$ -LOCAL

---

**Theorem** Let  $F(T)$  be the set of subterms of  $T$ . Then the set of Dolev-Yao rules is  $F$ -local.

We divide the rules into two sets: the *constructor rules*, which build new terms and the *decomposition rules*, which consist of the other 5 rules. We prove, by induction on the length of a minimal size proof that, if  $T \vdash_{\mathcal{I}} t$  then

1. if the last rule is a construction rule, then all terms in the proof are in  $F(T) \cup F(\{t\})$
2. otherwise, all terms in the proof are in  $F(T)$ .

In case the proof contains no inference step,  $t \in T$  and all terms in the proof are in  $F(T)$ .

# LOCALITY PROOF (CNTD)

---

- If the last inference rule is a construction rule, use induction hypothesis.

$$\frac{\frac{\Pi_1}{t_1} \quad \dots \quad \frac{\Pi_n}{t_n}}{f(t_1, \dots, t_n)}$$

# LOCALITY PROOF (CNTD)

---

- If the last inference rule is a construction rule, use induction hypothesis.

$$\frac{\frac{\Pi_1}{t_1} \quad \dots \quad \frac{\Pi_n}{t_n}}{f(t_1, \dots, t_n)}$$

- If it is unpairing, then the last rule of  $\Pi$  cannot be a pairing rule:

$$\frac{\frac{\Pi_1}{u} \quad \frac{\Pi_2}{v}}{\langle u, v \rangle}}{u}$$

is not minimal in size:  $\Pi_1$  is a shorter proof of the same term. Then we use induction hypothesis.

The other unpairing rule yields a similar proof.

# LOCALITY PROOF (CNTD)

---

- If it is a symmetric decryption:

$$\frac{\frac{\Pi_1}{[u]_v} \quad \frac{\Pi_2}{v}}{u}$$

The last rule of  $\Pi_1$  is not a construction. We use induction hypothesis twice and closure of  $F(T)$  by subterm.

# LOCALITY PROOF (CNTD)

---

- If it is a symmetric decryption:

$$\frac{\frac{\Pi_1}{[u]_v} \quad \frac{\Pi_2}{v}}{u}$$

The last rule of  $\Pi_1$  is not a construction. We use induction hypothesis twice and closure of  $F(T)$  by subterm.

- If it is an asymmetric decryption of  $\{u\}_{\text{pub}(v)}$ :

$$\frac{\frac{\Pi_1}{\{u\}_{\text{pub}(v)}} \quad \frac{\Pi_2}{\text{priv}(v)}}{u}$$

# LOCALITY PROOF (CNTD)

---

- If it is a symmetric decryption:

$$\frac{\frac{\Pi_1}{[u]_v} \quad \frac{\Pi_2}{v}}{u}$$

The last rule of  $\Pi_1$  is not a construction. We use induction hypothesis twice and closure of  $F(T)$  by subterm.

- If it is an asymmetric decryption of  $\{u\}_{\text{pub}(v)}$ :

$$\frac{\frac{\Pi_1}{\{u\}_{\text{pub}(v)}} \quad \frac{\Pi_2}{\text{priv}(v)}}{u}$$

The last rule of  $\Pi_1$  is not a construction rule. By induction hypothesis, all terms in  $\Pi_1$  belong to  $F(T)$ . In particular,  $u, \text{pub}(v) \in F(T)$ . Next, there is no construction rule yielding  $\text{priv}(v)$ , hence apply the induction hypothesis.

# PASSIVE ATTACKS ARE EASY TO FIND

---

**Corollary** Deducibility can be decided in linear time for the Dolev-Yao rules.

**Exercise 3** (level 2) In early papers, the following procedure was proposed for the intruder deduction problem: given  $t_1, \dots, t_n, t$

1. First decompose as much as possible  $t_1, \dots, t_n$ : compute the fixed point by decryption and unpairing.
2. Next try to build the term  $t$  using encryption and pairing from the set obtained in the first step

Why is this procedure incomplete (Give an example) ? Under which additional hypotheses is it complete ?

# PASSIVE ATTACKS ARE EASY TO FIND

---

**Corollary** Deducibility can be decided in linear time for the Dolev-Yao rules.

**Exercise 3** (level 2) In early papers, the following procedure was proposed for the intruder deduction problem: given  $t_1, \dots, t_n, t$

1. First decompose as much as possible  $t_1, \dots, t_n$ : compute the fixed point by decryption and unpairing.
2. Next try to build the term  $t$  using encryption and pairing from the set obtained in the first step

Why is this procedure incomplete (Give an example) ? Under which additional hypotheses is it complete ?

**Solution:** Take  $T = \{\{c\}_{\langle a, b \rangle}, a, b\}$ . The procedure is complete only when keys are atomic

# MORE EXERCISES

---

**Exercise 4** (level 2) Assume we add the following rule

$$\frac{\{x\}_{\text{priv}(y)} \quad \text{pub}(y)}{x}$$

Show that this yields also a local theory (possibly using another function  $F$ )

**Exercise 5** (level 3)

Assume we add the following rule, which is assumed to model some kind of cipher-block chaining property:

$$\frac{\{\langle x, y \rangle\}_z}{\{x\}_z}$$

Again, show that we get a local theory.

# MORE EXERCISES

---

**Exercise 4** (level 2) Assume we add the following rule

$$\frac{\{x\}_{\text{priv}(y)} \quad \text{pub}(y)}{x}$$

Show that this yields also a local theory (possibly using another function  $F$ )

**Solution:** Consider  $F(T) = \text{Sub}(T)$ : + for every  $\text{priv}(s) \in \text{Sub}(T)$ , the term  $\text{pub}(s)$ .

**Exercise 5** (level 3)

Assume we add the following rule, which is assumed to model some kind of cipher-block chaining property:

$$\frac{\{\langle x, y \rangle\}_z}{\{x\}_z}$$

Again, show that we get a local theory.

# MORE EXERCISES

---

**Exercise 4** (level 2) Assume we add the following rule

$$\frac{\{x\}_{\text{priv}(y)} \quad \text{pub}(y)}{x}$$

Show that this yields also a local theory (possibly using another function  $F$ )

**Solution:** Consider  $F(T) = \text{Sub}(T)$ : + for every  $\text{priv}(s) \in \text{Sub}(T)$ , the term  $\text{pub}(s)$ .

**Exercise 5** (level 3)

Assume we add the following rule, which is assumed to model some kind of cipher-block chaining property:

$$\frac{\{\langle x, y \rangle\}_z}{\{x\}_z}$$

Again, show that we get a local theory.

**Solution:** Take for  $F(T)$  the set obtained by saturating  $F(T)$  with the inference rule. Consider the inference rule as a decomposition. Change the size definition of a proof (first the usual size, then the number of applications of the new rules)

# MORE EXERCISES (CNTD)

---

## Exercise 6 (level 3)

Show that, if  $S$  is a recognizable tree language, then the set of terms deducible from  $S$  in the DY inference system is also a recognizable tree language.

# MORE EXERCISES (CNTD)

---

## Exercise 6 (level 3)

Show that, if  $S$  is a recognizable tree language, then the set of terms deducible from  $S$  in the DY inference system is also a recognizable tree language.

**Solution:** Assume a single final state  $q_f$ . Complete the tree automaton, using for instance the following rule:

$$\frac{\{q_1\}_{\text{pub}(q_2)} \xrightarrow{\mathcal{A}}^* q_f \quad \text{priv}(q_3) \xrightarrow{\mathcal{A}}^* q_f}{q_1 \xrightarrow{\mathcal{A}} q_f} \quad \text{If } L_{\mathcal{A}}(q_2) \cap L_{\mathcal{A}}(q_3) \neq \emptyset$$

# EXCLUSIVE OR AXIOMS

---

$$\begin{array}{lcl} x \oplus x \oplus y & \rightarrow & y \\ x \oplus x & \rightarrow & 0 \\ x \oplus 0 & \rightarrow & x \end{array} \qquad \begin{array}{lcl} x \oplus (y \oplus z) & = & (x \oplus y) \oplus z \\ x \oplus y & = & y \oplus x \end{array}$$

The rewrite system is AC-convergent: there are unique normal forms  $t \downarrow$ , up to AC.

# EXTENDING DY WITH EXCLUSIVE OR

---

Add to DY the following rule(s):

$$\frac{x_1 \quad \dots \quad x_n}{(x_1 \oplus \dots \oplus x_n) \downarrow}$$

**Exercise 7** (level 4). Show that the new inference system, with exclusive or, is  $F$ -local. (Ind: consider for  $F$  the set of subterms, when  $\oplus$  is viewed as a varyadic symbol).

# EXTENDING DY WITH EXCLUSIVE OR

---

Add to DY the following rule(s):

$$\frac{x_1 \quad \dots \quad x_n}{(x_1 \oplus \dots \oplus x_n) \downarrow}$$

**Exercise 7** (level 4). Show that the new inference system, with exclusive or, is  $F$ -local. (Ind: consider for  $F$  the set of subterms, when  $\oplus$  is viewed as a varyadic symbol).

**Solution:** Gather together the  $\oplus$  rules. Use induction, assuming that the  $\oplus$  rule is a construction if it yields a term headed with  $\oplus$ . It is a decomposition otherwise. At the induction step for  $\oplus$ , premisses are split among those, which are headed with  $\oplus$  (hence obtained by decomposition) and those which are not headed with  $\oplus$ . The latter can either be removed or are subterms of a premiss of the first form.

# PART 2

# PROOF NORMALIZATION

# PROTOCOL SYNTAX

---

A finite number of roles:

$A(x_1, \dots, x_k)$	role name and parameters
$\nu N_1, \dots, N_k$	name generation (nonces)
$u_1 \rightarrow v_1$	} protocol rules
$\dots$	
$u_n \rightarrow v_n$	

$u_1, \dots, u_n, v_1, \dots, v_n$  are terms **with variables**; variables stand for nonces generated by other roles, or encrypted data, which cannot be decrypted.

$u_i, v_i$  can be empty ( $\epsilon$ ). Terms are untyped.

$Var(v_i) \subseteq \{x_1, \dots, x_k\} \cup Var(u_1, \dots, u_i)$ .

# MODELS OF PROTOCOLS

---

A state is composed of a set of terms  $I$  (intruder knowledge) and for each agent a local state, which maps each integer out of a finite set to

- a role name
- a binding for the parameters of that role
- a step number
- a binding for variables introduced before that step
- possibly a list of terms (for agreement properties)

# MODELS OF PROTOCOLS (CNTD)

---

The set of agents names is divided into honest agents and dishonest agents. All private data and newly generated data from dishonest agents belong to  $I$ .

A transition between two states is given by a local state change of a single agent:

- either starting a new session: add to the local state of some agent a new entry with initial values
- or else: increase the step number of a local entry, add the new bindings

and increase the intruder knowledge with the appropriate instance  $v_i\sigma$ . The transition can only occur if  $u_i\theta$  can be deduced from  $I$  for a substitution  $\theta$  which is compatible with  $\sigma$ .

# EXAMPLE OF TRANSITIONS

$$A(B) : \nu N_A. \quad 0 \rightarrow \{ \langle A, N_A \rangle \}_{pub(B)}$$

$$2. \quad \{ \langle N_A, x \rangle \}_{pub(A)} \rightarrow \{ x \}_{pub(B)}$$

$$B(A) : \nu N_B. \quad 1. \quad \{ \langle A, x \rangle \}_{pub(B)} \rightarrow \{ \langle x, N_B \rangle \}_{pub(A)}$$

$$2. \quad \{ N_B \}_{pub(B)} \rightarrow 0$$

$$\left( \begin{array}{l} a : \\ b : \\ c : \\ I : a, b, c, \text{priv}(c) \end{array} \right) \rightarrow \left( \begin{array}{l} a(1) = (A, 1, B = c) \\ b : \\ I : a, b, c, \text{priv}(c), \{ \langle a, N_A(1) \rangle \}_{pub(c)} \end{array} \right)$$

$$\rightarrow \left( \begin{array}{l} a(1) = (A, 1, B = c) \\ b(2) = (B, 1, A = a, x = N_A(1)) \\ I : a, b, c, \text{priv}(c), \{ \langle a, N_A(1) \rangle \}_{pub(c)}, \\ \{ \langle N_A(1), N_B(2) \rangle \}_{pub(a)} \end{array} \right)$$

# EXAMPLE OF TRANSITIONS (CNTD)

$$A(B) : \nu N_A. \quad 1. \quad 0 \rightarrow \{ \langle A, N_A \rangle \}_{pub(B)}$$

$$2. \quad \{ \langle N_A, x \rangle \}_{pub(A)} \rightarrow \{ x \}_{pub(B)}$$

$$B(A) : \nu N_B. \quad 1. \quad \{ \langle A, x \rangle \}_{pub(B)} \rightarrow \{ \langle x, N_B \rangle \}_{pub(A)}$$

$$2. \quad \{ N_B \}_{pub(B)} \rightarrow 0$$

$$\left( \begin{array}{l} a(1) = (A, 1, B = c) \\ b(2) = (B, 1, A = a, x = N_A(1)) \\ I : a, b, c, \text{priv}(c), \\ \{ \langle a, N_A(1) \rangle \}_{pub(c)}, \\ \{ \langle N_A(1), N_B(2) \rangle \}_{pub(a)} \end{array} \right) \rightarrow \left( \begin{array}{l} a(1) = (A, 2, B = c, x = N_B(2)) \\ b(2) = (B, 1, A = a, x = N_A(1)) \\ I : a, b, c, \text{priv}(c), \\ \{ \langle a, N_A(1) \rangle \}_{pub(c)}, \\ \{ \langle N_A(1), N_B(2) \rangle \}_{pub(a)} \\ \{ N_B(2) \}_{pub(c)} \end{array} \right)$$

violated property: “ $\forall n, b, a, k$  such that  $a, b$  are honest and  $b(n) = (B, k, y = a, \dots), N_B(n)$  remains unknown to the intruder”.

# A SMALL SIMPLIFICATION RESULT

---

**Theorem (CL,Cortier 03):**

*If there is an attack, then there is an attack two **identities** are sufficient.  
( $k + 1$  **identities** for properties requiring  $k$  variables).*

We can always assume a fixed number of agents: a honest one and a dishonest one (2 in what follows).

# THE BOUNDED NUMBER OF SESSIONS CASE

---

We have two role instances in the attack:  $R_1, R_2$ :

$$\begin{array}{ll}
 R_1 : & A = a, B = c \text{ in} \\
 & \{ \langle N_1, y \rangle \}_{\text{pub}(A)} \\
 & \Rightarrow \{ \langle A, N_1 \rangle \}_{\text{pub}(B)} \\
 & \Rightarrow \{ y \}_{\text{pub}(B)} \\
 R_2 : & B = a, A = a \text{ in} \\
 & \{ \langle A, x \rangle \}_{\text{pub}(B)} \\
 & \Rightarrow \{ \langle x, N_2 \rangle \}_{\text{pub}(A)} \\
 & \{ N_2 \}_{\text{pub}(B)} \Rightarrow \cdot
 \end{array}$$

$$\begin{aligned}
 & \left( \begin{array}{l} x_1^a = 0, x_2^a = 0 \\ I : a, c, \text{priv}(c) \end{array} \right) \rightarrow \left( \begin{array}{l} x_1^a = 1, x_2^a = 0 \\ I : a, c, \text{priv}(c), \{ \langle a, N_1 \rangle \}_{\text{pub}(c)} \end{array} \right) \\
 & \rightarrow \left( \begin{array}{l} x_1^a = 1, x_2^a = 1, x = N_1 \\ I : a, c, \text{priv}(c), \{ \langle a, N_1 \rangle \}_{\text{pub}(c)}, \{ \langle N_1, N_2 \rangle \}_{\text{pub}(a)} \end{array} \right) \\
 & \rightarrow \left( \begin{array}{l} x_1^a = 2, x_2^a = 1, x = N_1, y = N_2 \\ I : a, c, \text{priv}(c), \{ \langle a, N_1 \rangle \}_{\text{pub}(c)}, \\ \{ \langle N_1, N_2 \rangle \}_{\text{pub}(a)}, \{ N_2 \}_{\text{pub}(c)} \end{array} \right)
 \end{aligned}$$

From which  $N_2$  can be retrieved.

# HORN CLAUSE APPROACH

---

Guess the role instances and the interleaving of the rules.

Protocol rules:

$$I_k(u_k) \Rightarrow I_{k+1}(v_k)$$

The intruder's knowledge is increasing:

$$I_k(x) \Rightarrow I_{k+1}(x)$$

Initial knowledge:

$$I_0(t)$$

$n$  copies of each inference rule.

$$\neg I_n(s)$$

Is this set of clauses satisfiable ?

# HORN CLAUSE APPROACH (2)

---

$$\begin{array}{lcl}
 & I_0(a) & \\
 & I_0(\text{priv}(c)) & \\
 & I(1)(\{\langle a, N_1 \rangle\}_{\text{pub}(c)}) & \\
 I_1(\{\langle a, x \rangle\}_{\text{pub}(a)}) \Rightarrow & I_2(\{\langle x, N_2 \rangle\}_{\text{pub}(a)}) & I_0(x) \Rightarrow I_1(x) \\
 I_2(\{\langle N_1, y \rangle\}_{\text{pub}(a)}) \Rightarrow & I_3(\{y\}_{\text{pub}(c)}) & I_1(x) \Rightarrow I_2(x) \\
 & & I_2(x) \Rightarrow I_3(x) \\
 & & I_3(N_2) \Rightarrow \perp
 \end{array}$$

$$\begin{array}{lcl}
 I_k(x), I_k(y) \Rightarrow & I_k(\langle x, y \rangle) & I_k(\langle x, y \rangle) \Rightarrow I_k(x) \\
 I_k(x), I_k(y) \Rightarrow & I_k(\{x\}_y) & I_k(\langle x, y \rangle) \Rightarrow I_k(y) \\
 I_k(\{x\}_{\text{pub}(y)}), I_k(\text{priv}(y)) \Rightarrow & I_k(x) &
 \end{array}$$

for  $k = 0, \dots, 3$ .

This set of clauses is unsatisfiable.

# HORN CLAUSE APPROACH (3)

---

**Exercise 8** (level 3) Give a protocol example (together with role instances and interleaving of rules) for which the set of clauses is unsatisfiable, while there is no attack.

# HORN CLAUSE APPROACH (3)

---

**Exercise 8** (level 3) Give a protocol example (together with role instances and interleaving of rules) for which the set of clauses is unsatisfiable, while there is no attack.

To solve this problem: *Rigidify* the protocol clauses

# THE REWRITING APPROACH

---

The deducibility problem of  $s$ , given  $t_1, \dots, t_n$  is stated as

$$\text{Find } C \text{ such that } C[t_1, \dots, t_n] \xrightarrow[\mathcal{R}]^* s$$

$\mathcal{R}$  encodes decomposition rules (see part 3):

$$\text{dec}(\{x\}_{\text{pub}(y)}, \text{priv}(y)) \xrightarrow[\mathcal{R}] y$$

For a bounded number of sessions:  $C_0, C_1, \dots, C_{n+1}$  and assignments for variables of  $u_1, \dots, u_n$  such that

$$\left\{ \begin{array}{ll} C_0[t_1, \dots, t_k] & \xrightarrow[\mathcal{R}]^* u_1 \\ C_1[v_1, t_1, \dots, t_k] & \xrightarrow[\mathcal{R}]^* u_2 \\ \vdots & \\ C_n[v_1, \dots, v_n, t_1, \dots, t_k] & \xrightarrow[\mathcal{R}]^* s \end{array} \right.$$

# THE CONSTRAINT SOLVING APPROACH (1)

---

Find a substitution  $\sigma$ , which satisfies the system:

$$\left\{ \begin{array}{l} t_1, \dots, t_k \Vdash u_1 \\ v_1, t_1, \dots, t_k \Vdash u_2 \\ \vdots \\ v_1, \dots, v_n, t_1, \dots, t_k \Vdash s \end{array} \right.$$

$\sigma$  is a solution of  $T \Vdash u$  if  $T\sigma \vdash_I u\sigma$ .

# THE CONSTRAINT SOLVING APPROACH (2)

---

$R_1$	$T \Vdash u$	$\rightsquigarrow$	$\top$	If $T \cup \{x \mid T' \Vdash x \in C, T' \subseteq T, T' \neq T\} \vdash u$
$R_2$	$C \wedge T \Vdash u$	$\rightsquigarrow_\sigma$	$C\sigma \wedge T\sigma \Vdash u\sigma$	If $\sigma = mgu(t, u), t \in F(T), t \neq u, t, u$ non variable
$R_3$	$C \wedge T \Vdash u$	$\rightsquigarrow_\sigma$	$C\sigma \wedge T\sigma \Vdash u\sigma$	If $\sigma = mgu(t_1, t_2), t_1, t_2 \in F(T), t_1 \neq t_2, t_1, t_2$ no variable
$R_4$	$T \Vdash \{u\}_v$	$\rightsquigarrow$	$T \Vdash u \wedge T \Vdash v$	
$R_5$	$T \Vdash \langle u, v \rangle$	$\rightsquigarrow$	$T \Vdash u \wedge T \Vdash v$	
$R_6$	$T \Vdash u$	$\rightsquigarrow$	$\perp$	If $T = \emptyset$ or else $Var(T, u) = \emptyset$ and $T \not\vdash u$

Assumes that  $F$  is the set of subterms and deduction uses the DY theory. (for simplicity, we only consider symmetric encryption).

# EXERCISE 9 (LEVEL 5)

---

Assume we have a conjunction of constraints  $T_i \Vdash u_i$  such that  $T_i \subseteq T_{i+1}$  and if  $x \in \text{Var}(T_i)$ , then there is an index  $j < i$  such that  $x \in \text{Var}(u_j)$ .

1. Show that the above conditions are invariant by the constraint solving rules
2. Show that, if  $C \rightsquigarrow_{\sigma} C'$ , then, for every solution  $\theta$  of  $C'$ ,  $\sigma\theta$  is a solution of  $C$ .
3. Show that the constraint solving rules terminate.
4. A *solved form* is a constraint such that every right member is a variable. Show that every solved form has at least one solution.
5. Show that every constraint which is not in solved form can be reduced using one of the constraint solving rules.
6. Explain why the constraint solving rules solve the insecurity decision problem.
7. What is the complexity of the resulting decision procedure ?

# PROTOCOLS AS ORACLES

---

**Intruder's point of view:** there is an additional deduction rule:

$$\frac{u_i \sigma}{v_i \sigma}$$

if some agent reached step  $i - 1$  in the role to which belongs the rule  $u_i \rightarrow v_i$  and  $\sigma$  is compatible with the partial bindings at this stage.

Confidentiality is then a deducibility problem in some formal proof system.

# LIFTING INTRUDER RULES

---

We consider constrained formulas  $u \llbracket E \rrbracket$ . For arbitrary rules in the offline theory:

$$\frac{u_1 \quad \dots \quad u_n}{u}$$

# LIFTING INTRUDER RULES

---

We consider constrained formulas  $u \llbracket E \rrbracket$ . For arbitrary rules in the offline theory:

$$\frac{u'_1 \llbracket E_1 \rrbracket \quad \dots \quad u'_n \llbracket E_n \rrbracket}{u \llbracket E_1 \wedge \dots \wedge E_n \wedge R \rrbracket}$$

$u'_i$  is the linearized version of the term and  $R$  are the co-reference constraints.

# LIFTING INTRUDER RULES

---

We consider constrained formulas  $u \llbracket E \rrbracket$ . For arbitrary rules in the offline theory:

$$\frac{u'_1 \llbracket E_1 \rrbracket \quad \cdots \quad u'_n \llbracket E_n \rrbracket}{u \llbracket E_1 \wedge \dots \wedge E_n \wedge R \rrbracket}$$

$u'_i$  is the linearized version of the term and  $R$  are the co-reference constraints.

$$\frac{\{x\}_{\text{pub}(y)} \llbracket E_1 \rrbracket \quad \text{priv}(z) \llbracket E_2 \rrbracket}{x \llbracket E_1 \wedge E_2 \wedge y = z \rrbracket}$$

# THE PROTOCOL RULES AS ORACLES

---

$$\frac{u \llbracket E \wedge x_{s,A,k-1} = 1 \rrbracket}{w_k \llbracket E \wedge x_{s,A,k} = 1 \wedge u = v_k \rrbracket}$$

$v_k \rightarrow w_k$  is the  $k$ th rule of the  $s$  instance of role  $A$ .

# A FORMAL PROOF SYSTEM (CNTD)

---

Instanciación:

$$\frac{C[x_1, \dots, x_n] \llbracket E \wedge x_1 = u_1 \wedge \dots \wedge x_n = u_n \rrbracket}{C[u_1, \dots, u_n] \llbracket E \wedge x_1 = u_1 \wedge \dots \wedge x_n = u_n \rrbracket}$$

For every (strict) abstraction  $C$  of the intruder inference premisses.

For DY-like system  $C$  is empty of the context  $\{x\}_-$ ; we have the two rules

$$\frac{x \llbracket x = u \wedge E \rrbracket}{u \llbracket x = u \wedge E \rrbracket}$$

$$\frac{\{x\}_y \llbracket y = u \wedge E \rrbracket}{\{x\}_u \llbracket y = u \wedge E \rrbracket}$$

# A FORMAL PROOF SYSTEM (CNTD)

---

Constraint solving rule:  $E \mapsto E'$  if  $E'$  is a solved form of  $E$ . (DAG representation).

Weakening:

$$\frac{x \llbracket E_1 \rrbracket \quad y \llbracket E_2 \rrbracket}{x \llbracket E_1 \wedge E_2 \rrbracket}$$

# PROOF EXAMPLE: NS ATTACK

---

$A$ :(with  $a, c$ )

$$0 \Rightarrow \{ \langle a, N_1 \rangle \}_{\text{pub}(c)}$$

$$\{ \langle N_1, x \rangle \}_{\text{pub}(a)} \Rightarrow \{ x \}_{\text{pub}(c)}$$

$B$  (with  $a, a$ )

$$\{ \langle a, y \rangle \}_{\text{pub}(a)} \Rightarrow \{ \langle y, N_2 \rangle \}_{\text{pub}(a)}$$

$$\{ N_2 \}_{\text{pub}(a)} \Rightarrow 0$$

$$T \supseteq \{0, \text{pub}(a), a, \text{priv}(c)\}$$

# PROOF EXAMPLE: NS ATTACK

---

$A$ :(with  $a, c$ )

$$0 \Rightarrow \{ \langle a, N_1 \rangle \}_{\text{pub}(c)}$$

$$\{ \langle N_1, x \rangle \}_{\text{pub}(a)} \Rightarrow \{ x \}_{\text{pub}(c)}$$

$B$  (with  $a, a$ )

$$\{ \langle a, y \rangle \}_{\text{pub}(a)} \Rightarrow \{ \langle y, N_2 \rangle \}_{\text{pub}(a)}$$

$$\{ N_2 \}_{\text{pub}(a)} \Rightarrow 0$$

$$\frac{0 \llbracket \emptyset \rrbracket}{\{ \langle a, N_1 \rangle \}_{\text{pub}(c)} \llbracket x_A = 1 \rrbracket}$$

$$T \supseteq \{0, \text{pub}(a), a, \text{priv}(c)\}$$

# PROOF EXAMPLE: NS ATTACK

$A$ :(with  $a, c$ )

$$0 \Rightarrow \{ \langle a, N_1 \rangle \}_{\text{pub}(c)}$$

$$\{ \langle N_1, x \rangle \}_{\text{pub}(a)} \Rightarrow \{ x \}_{\text{pub}(c)}$$

$B$  (with  $a, a$ )

$$\{ \langle a, y \rangle \}_{\text{pub}(a)} \Rightarrow \{ \langle y, N_2 \rangle \}_{\text{pub}(a)}$$

$$\{ N_2 \}_{\text{pub}(a)} \Rightarrow 0$$

$$\frac{\text{priv}(c) \llbracket \emptyset \rrbracket \quad \frac{0 \llbracket \emptyset \rrbracket}{\{ \langle a, N_1 \rangle \}_{\text{pub}(c)} \llbracket x_A = 1 \rrbracket}}{\langle a, N_1 \rangle \llbracket x_A = 1 \rrbracket}}$$

$$T \supseteq \{0, \text{pub}(a), a, \text{priv}(c)\}$$

# PROOF EXAMPLE: NS ATTACK

$A$ :(with  $a, c$ )

$$0 \Rightarrow \{ \langle a, N_1 \rangle \}_{\text{pub}(c)}$$

$$\{ \langle N_1, x \rangle \}_{\text{pub}(a)} \Rightarrow \{ x \}_{\text{pub}(c)}$$

$B$  (with  $a, a$ )

$$\{ \langle a, y \rangle \}_{\text{pub}(a)} \Rightarrow \{ \langle y, N_2 \rangle \}_{\text{pub}(a)}$$

$$\{ N_2 \}_{\text{pub}(a)} \Rightarrow 0$$

$$\frac{\text{priv}(c) \llbracket \emptyset \rrbracket \quad \frac{0 \llbracket \emptyset \rrbracket}{\{ \langle a, N_1 \rangle \}_{\text{pub}(c)} \llbracket x_A = 1 \rrbracket}}{\langle a, N_1 \rangle \llbracket x_A = 1 \rrbracket \quad \text{pub}(a) \llbracket \emptyset \rrbracket}}{\{ \langle a, N_1 \rangle \}_{\text{pub}(a)} \llbracket x_A = 1 \rrbracket}}$$

$$T \supseteq \{0, \text{pub}(a), a, \text{priv}(c)\}$$

# PROOF EXAMPLE: NS ATTACK

$A$ :(with  $a, c$ )

$$0 \Rightarrow \{ \langle a, N_1 \rangle \}_{\text{pub}(c)}$$

$$\{ \langle N_1, x \rangle \}_{\text{pub}(a)} \Rightarrow \{ x \}_{\text{pub}(c)}$$

$B$  (with  $a, a$ )

$$\{ \langle a, y \rangle \}_{\text{pub}(a)} \Rightarrow \{ \langle y, N_2 \rangle \}_{\text{pub}(a)}$$

$$\{ N_2 \}_{\text{pub}(a)} \Rightarrow 0$$

$$\frac{\text{priv}(c) \llbracket \emptyset \rrbracket \quad \frac{0 \llbracket \emptyset \rrbracket}{\{ \langle a, N_1 \rangle \}_{\text{pub}(c)} \llbracket x_A = 1 \rrbracket}}{\langle a, N_1 \rangle \llbracket x_A = 1 \rrbracket \quad \text{pub}(a) \llbracket \emptyset \rrbracket}}{\{ \langle a, N_1 \rangle \}_{\text{pub}(a)} \llbracket x_A = 1 \rrbracket} \quad \frac{\{ \langle a, N_1 \rangle \}_{\text{pub}(a)} \llbracket x_A = 1 \rrbracket \quad \text{pub}(a) \llbracket \emptyset \rrbracket}}{\{ \langle y, N_2 \rangle \}_{\text{pub}(a)} \llbracket x_A = 1 \wedge x_B = 1 \wedge \{ \langle a, y \rangle \}_{\text{pub}(a)} = \{ \langle a, N_1 \rangle \}_{\text{pub}(a)} \rrbracket}}$$

$$T \supseteq \{0, \text{pub}(a), a, \text{priv}(c)\}$$

# PROOF EXAMPLE: NS ATTACK

$A$ :(with  $a, c$ )

$$0 \Rightarrow \{ \langle a, N_1 \rangle \}_{\text{pub}(c)}$$

$$\{ \langle N_1, x \rangle \}_{\text{pub}(a)} \Rightarrow \{ x \}_{\text{pub}(c)}$$

$B$  (with  $a, a$ )

$$\{ \langle a, y \rangle \}_{\text{pub}(a)} \Rightarrow \{ \langle y, N_2 \rangle \}_{\text{pub}(a)}$$

$$\{ N_2 \}_{\text{pub}(a)} \Rightarrow 0$$

$$\frac{\text{priv}(c) \llbracket \emptyset \rrbracket \quad \frac{0 \llbracket \emptyset \rrbracket}{\{ \langle a, N_1 \rangle \}_{\text{pub}(c)} \llbracket x_A = 1 \rrbracket}}{\langle a, N_1 \rangle \llbracket x_A = 1 \rrbracket \quad \text{pub}(a) \llbracket \emptyset \rrbracket}}{\frac{\{ \langle a, N_1 \rangle \}_{\text{pub}(a)} \llbracket x_A = 1 \rrbracket}{\{ \langle y, N_2 \rangle \}_{\text{pub}(a)} \llbracket x_A = 1 \wedge x_B = 1 \wedge y = N_1 \rrbracket}}}$$

$$T \supseteq \{0, \text{pub}(a), a, \text{priv}(c)\}$$

# PROOF EXAMPLE: NS ATTACK

A:(with  $a, c$ )

$$0 \Rightarrow \{ \langle a, N_1 \rangle \}_{\text{pub}(c)}$$

$$\{ \langle N_1, x \rangle \}_{\text{pub}(a)} \Rightarrow \{ x \}_{\text{pub}(c)}$$

B (with  $a, a$ )

$$\{ \langle a, y \rangle \}_{\text{pub}(a)} \Rightarrow \{ \langle y, N_2 \rangle \}_{\text{pub}(a)}$$

$$\{ N_2 \}_{\text{pub}(a)} \Rightarrow 0$$

$$\begin{array}{c}
 \frac{0 \llbracket \emptyset \rrbracket}{\text{priv}(c) \llbracket \emptyset \rrbracket \quad \{ \langle a, N_1 \rangle \}_{\text{pub}(c)} \llbracket x_A = 1 \rrbracket} \\
 \frac{\langle a, N_1 \rangle \llbracket x_A = 1 \rrbracket \quad \text{pub}(a) \llbracket \emptyset \rrbracket}{\{ \langle a, N_1 \rangle \}_{\text{pub}(a)} \llbracket x_A = 1 \rrbracket} \\
 \frac{\{ \langle a, N_1 \rangle \}_{\text{pub}(a)} \llbracket x_A = 1 \rrbracket}{\{ \langle y, N_2 \rangle \}_{\text{pub}(a)} \llbracket x_A = 1 \wedge x_B = 1 \wedge y = N_1 \rrbracket} \\
 \frac{\{ \langle y, N_2 \rangle \}_{\text{pub}(a)} \llbracket x_A = 1 \wedge x_B = 1 \wedge y = N_1 \rrbracket}{\{ x \}_{\text{pub}(c)} \llbracket x_A = 2 \wedge x_B = 1 \wedge y = N_1 \wedge \{ \langle N_1, x \rangle \}_{\text{pub}(a)} = \{ \langle y, N_2 \rangle \}_{\text{pub}(a)} \rrbracket}
 \end{array}$$

$$T \supseteq \{0, \text{pub}(a), a, \text{priv}(c)\}$$

# PROOF EXAMPLE: NS ATTACK

$A$ :(with  $a, c$ )

$$0 \Rightarrow \{ \langle a, N_1 \rangle \}_{\text{pub}(c)}$$

$$\{ \langle N_1, x \rangle \}_{\text{pub}(a)} \Rightarrow \{ x \}_{\text{pub}(c)}$$

$B$  (with  $a, a$ )

$$\{ \langle a, y \rangle \}_{\text{pub}(a)} \Rightarrow \{ \langle y, N_2 \rangle \}_{\text{pub}(a)}$$

$$\{ N_2 \}_{\text{pub}(a)} \Rightarrow 0$$

$$\begin{array}{c}
 \frac{0 \llbracket \emptyset \rrbracket}{\text{priv}(c) \llbracket \emptyset \rrbracket \quad \{ \langle a, N_1 \rangle \}_{\text{pub}(c)} \llbracket x_A = 1 \rrbracket} \\
 \frac{\langle a, N_1 \rangle \llbracket x_A = 1 \rrbracket \quad \text{pub}(a) \llbracket \emptyset \rrbracket}{\{ \langle a, N_1 \rangle \}_{\text{pub}(a)} \llbracket x_A = 1 \rrbracket} \\
 \frac{\{ \langle a, N_1 \rangle \}_{\text{pub}(a)} \llbracket x_A = 1 \rrbracket}{\{ \langle y, N_2 \rangle \}_{\text{pub}(a)} \llbracket x_A = 1 \wedge x_B = 1 \wedge y = N_1 \rrbracket} \\
 \frac{\{ \langle y, N_2 \rangle \}_{\text{pub}(a)} \llbracket x_A = 1 \wedge x_B = 1 \wedge y = N_1 \rrbracket}{\{ x \}_{\text{pub}(c)} \llbracket x_A = 2 \wedge x_B = 1 \wedge y = N_1 \wedge x = N_2 \rrbracket}
 \end{array}$$

$$T \supseteq \{0, \text{pub}(a), a, \text{priv}(c)\}$$

# PROOF EXAMPLE: NS ATTACK

$A$ :(with  $a, c$ )

$$0 \Rightarrow \{ \langle a, N_1 \rangle \}_{\text{pub}(c)}$$

$$\{ \langle N_1, x \rangle \}_{\text{pub}(a)} \Rightarrow \{ x \}_{\text{pub}(c)}$$

$B$  (with  $a, a$ )

$$\{ \langle a, y \rangle \}_{\text{pub}(a)} \Rightarrow \{ \langle y, N_2 \rangle \}_{\text{pub}(a)}$$

$$\{ N_2 \}_{\text{pub}(a)} \Rightarrow 0$$

$$\begin{array}{c}
 \frac{0 \llbracket \emptyset \rrbracket}{\text{priv}(c) \llbracket \emptyset \rrbracket \quad \{ \langle a, N_1 \rangle \}_{\text{pub}(c)} \llbracket x_A = 1 \rrbracket} \\
 \frac{\langle a, N_1 \rangle \llbracket x_A = 1 \rrbracket \quad \text{pub}(a) \llbracket \emptyset \rrbracket}{\{ \langle a, N_1 \rangle \}_{\text{pub}(a)} \llbracket x_A = 1 \rrbracket} \\
 \frac{\{ \langle a, N_1 \rangle \}_{\text{pub}(a)} \llbracket x_A = 1 \rrbracket}{\{ \langle y, N_2 \rangle \}_{\text{pub}(a)} \llbracket x_A = 1 \wedge x_B = 1 \wedge y = N_1 \rrbracket} \\
 \frac{\{ \langle y, N_2 \rangle \}_{\text{pub}(a)} \llbracket x_A = 1 \wedge x_B = 1 \wedge y = N_1 \rrbracket \quad \text{priv}(c) \llbracket \emptyset \rrbracket}{\{ x \}_{\text{pub}(c)} \llbracket x_A = 2 \wedge x_B = 1 \wedge y = N_1 \wedge x = N_2 \rrbracket} \\
 \frac{\{ x \}_{\text{pub}(c)} \llbracket x_A = 2 \wedge x_B = 1 \wedge y = N_1 \wedge x = N_2 \rrbracket}{x \llbracket x_A = 2 \wedge x_B = 1 \wedge y = N_1 \wedge x = N_2 \rrbracket}
 \end{array}$$

$$T \supseteq \{0, \text{pub}(a), a, \text{priv}(c)\}$$

# EXAMPLE OF DEDUCTION (2)

---

$$\begin{array}{l} A(B) : \nu s. \\ B(A) : \end{array} \quad \begin{array}{l} \Rightarrow \{ \langle A, \{s\}_{\text{pub}(B)} \rangle \}_{\text{pub}(B)} \\ \{ \langle A, \{x\}_{\text{pub}(B)} \rangle \}_{\text{pub}(B)} \Rightarrow \{ \langle B, \{x\}_{\text{pub}(A)} \rangle \}_{\text{pub}(A)} \end{array}$$

$s$  has to remain secret (when generated by a honest agent for an honest agent).

**Exercise 10** (level 4) Give an attack and its proof in the deduction system (Ind: use 1 instance of the first role and 3 instances of the second role).

# ADEQUACY OF THE DEDUCTION SYSTEM

---

**Theorem 3** There is an attack on confidentiality iff the secret can be deduced from the intruder initial knowledge, using the extended inference system.

This is true also for an unbounded number of sessions.

# BACK TO $F$ -LOCALITY

---

$R$ : (renamed) protocol rules,  $L$ : abstractions of left sides of  $R$ .  $D$ : right sides of  $R$ .  $F: 2^{T(F,X)} \rightarrow 2^{T(F,X)}$ ,  $S \subseteq T(F, X)$

$$T_R^F(S) = \{g\theta \mid g \in L, \forall x.x\theta \in F(S) \cup F(D)\}$$

If  $E$  is a solvable constraint,  $\sigma_E$  is the mgu of  $E$ .

The extended deduction system is  $F$ -local if, whenever there is a proof of  $s \llbracket E \rrbracket$  with hypotheses  $S$ , then there is a proof of  $s' \llbracket E' \rrbracket$  with the same hypotheses and such that

- All intermediate constrained formulas  $u \llbracket E \rrbracket$  are such that  $u \in T_R^F(S) \cup F(\{s'\})$  and equations in  $E$  are in  $(F(L) \cup F(D) \cup F(T))^2$
- $s\sigma_E = s'\sigma_{E'}$

# LOCALITY OF EXTENDED SYSTEMS

---

Let  $F$  be the set of subterms (possibly with a  $\text{pub}(\_)$  in front).

**Theorem 4**[Rusinowitch, Turuani, 2001]. Dolev-Yao extended systems are  $F$ -local.

# PROOF OF THEOREM 4 (I)

---

first step: delay instantiations and weakenings as much as possible.

$$\frac{\frac{\frac{\Pi_1}{x \llbracket x = s \rrbracket}}{s \llbracket x = s \rrbracket}}{\langle s, t \rangle \llbracket x = s \wedge E \rrbracket} \quad \frac{\Pi_2}{t \llbracket E \rrbracket}}{\langle x, t \rangle \llbracket x = s \rrbracket} \Rightarrow \frac{\frac{\Pi_1}{x \llbracket x = s \rrbracket}}{\langle x, t \rangle \llbracket x = s \rrbracket} \quad \frac{\Pi_2}{t \llbracket E \rrbracket}}{\langle x, t \rangle \llbracket x = s \rrbracket}$$

Instantiations are followed by decompositions and weakenings are followed by weakening of protocol rules. We group instantiations with the following decomposition. Weakenings are also grouped with the following protocol rule.

# PROOF OF THEOREM 4 (II)

---

By induction the size of the proof  $\Pi$  : If  $S \vdash t \llbracket E \rrbracket$  then there is a proof  $S \vdash t \llbracket E_0 \rrbracket$  such that:

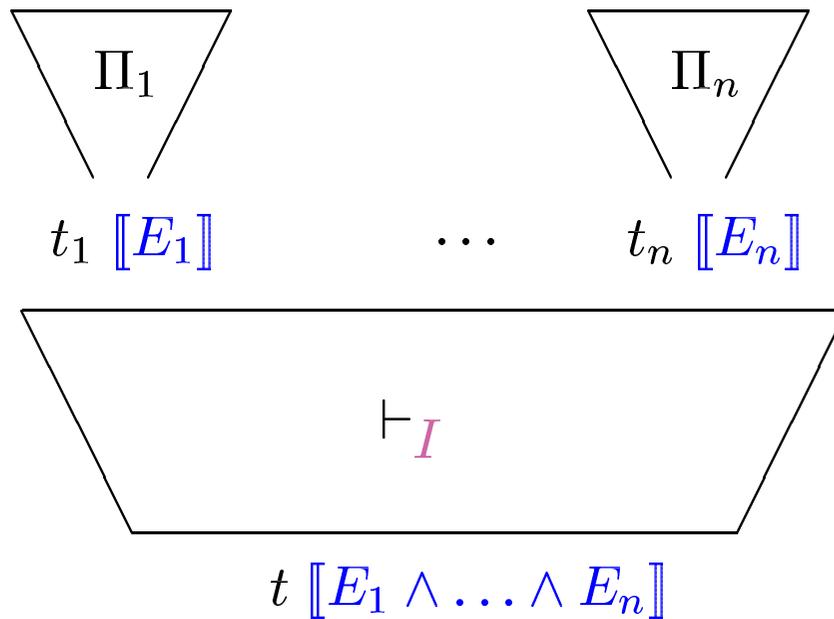
- All terms in the proofs belong to  $T_R^F \cup F(\{t\})$
- If the last rule is not a construction or a weakening, then the conclusion is in  $T_R^F$
- Every (solved) constraint in the proof is a conjunction of  $x = k$  and  $x = v$  with  $v \in F(L) \cup F(D) \cup F(S)$
- $E_0$  is obtained from  $E$  by replacing right hand sides  $s$  of  $E$  which are not in  $T_R^F$  with  $\perp$ . For such  $s$  there are subproofs of  $\Pi$  yielding  $s \llbracket E' \rrbracket$ , ending with a construction rule and such that  $E \models E'$ .

The base case is straightforward

# PROOF OF THEOREM 4 (III)

---

Case 1: intruder rules

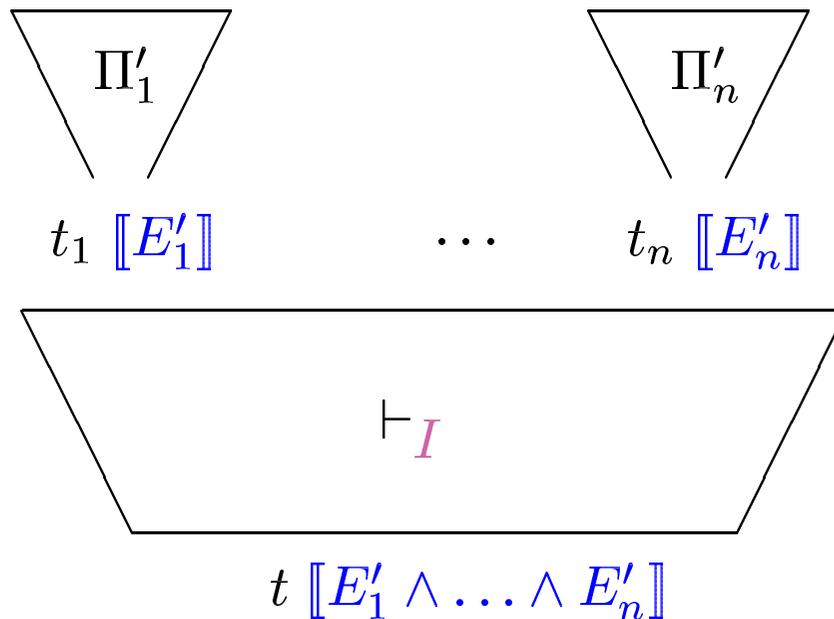


The  $\vdash_I$  part is maximal.

# PROOF OF THEOREM 4 (III)

---

Case 1: intruder rules



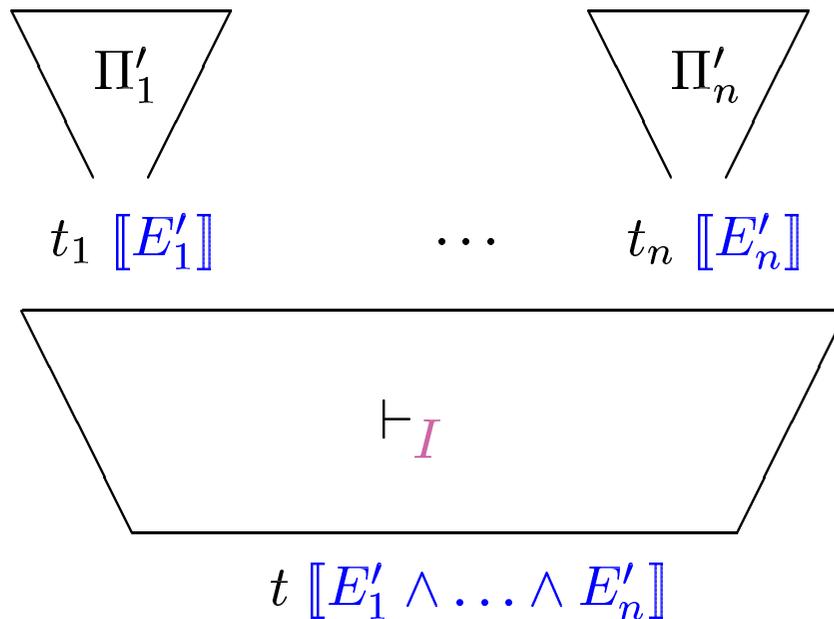
The  $\vdash_I$  part is maximal.

Apply the induction hypothesis

# PROOF OF THEOREM 4 (III)

---

Case 1: intruder rules



The  $\vdash_I$  part is maximal.

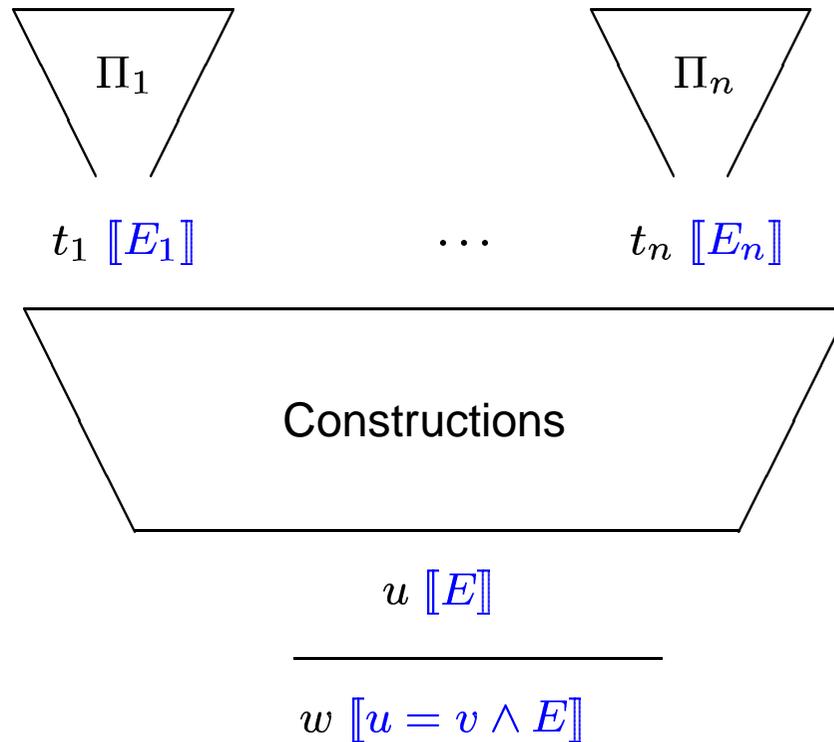
Apply the induction hypothesis

Apply the locality property of  $\vdash_I$

# PROOF OF THEOREM 4 (IV)

---

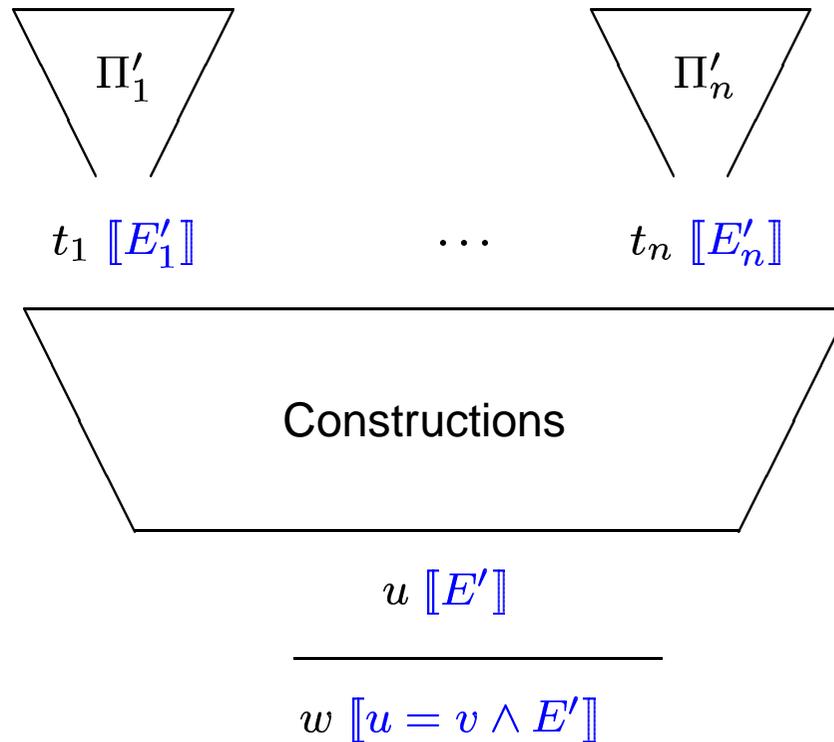
case 2: protocol rule



# PROOF OF THEOREM 4 (IV)

---

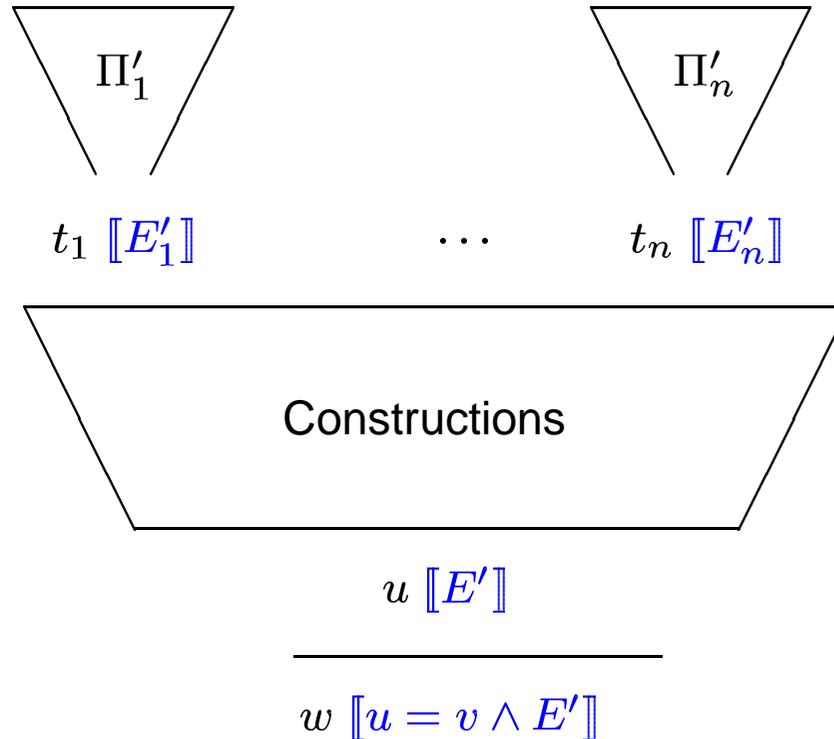
case 2: protocol rule



Apply the induction hypothesis

# PROOF OF THEOREM 4 (IV)

case 2: protocol rule

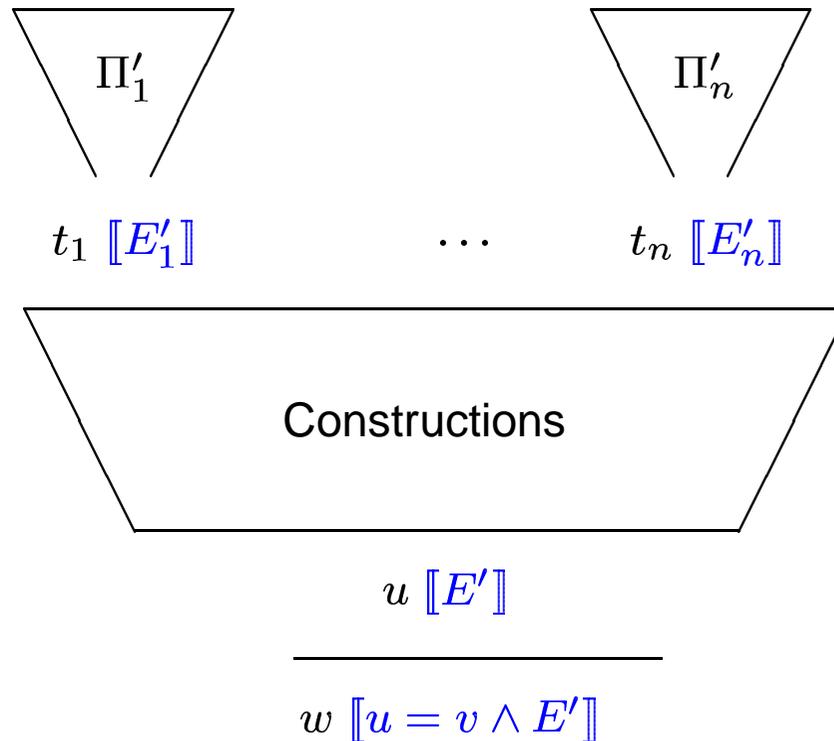


Apply the induction hypothesis

Subcase 1: equation  $x = s$  in the solved form of  $u = v$  is such that  $s$  is a subterm of  $v$  or  $s \in F(D) \cup F(S)$

# PROOF OF THEOREM 4 (IV)

case 2: protocol rule



Apply the induction hypothesis

Subcase 1: equation  $x = s$  in the solved form of  $u = v$  is such that  $s$  is a subterm of  $v$  or  $s \in F(D) \cup F(S)$

Subcase 2:  $x = s$  is such that  $s \notin F(L) \cup F(D) \cup F(S)$ : we replace  $s$  with 0. Possible, by induction on the size of the construction part.

# PROOF OF THEOREM 4 (V)

---

case 3: instantiation

$$\frac{\frac{\Pi}{x \llbracket x = s \wedge E \rrbracket}}{\langle u, v \rangle \llbracket x = \langle u, v \rangle \wedge E \rrbracket}}{u \llbracket x = \langle u, v \rangle \wedge E \rrbracket}}$$

When  $\langle u, v \rangle$  is too large, there is a simpler proof of  $\langle u, v \rangle$  (with more general constraints), by induction hypothesis.

# CONSEQUENCES

---

**Corollary:** The security problem for DY theories for a bounded number of sessions is in co-NP.

Simply guess the terms in  $T_R^F$ .

# EXTENSIONS

---

- More general definition of constructions
- Conditions on decomposition/instanciation
- Considering Associative and commutative symbols  
Relies on the finiteness of equivalence classes.  
Generalizes both **[CL, Shmatikov, 03]** **[Chevalier et al., 03]**.

# PART 3

# INTRODUCING ALGEBRAIC PROPERTIES

# THE GOALS

---

- Go beyond the perfect cryptography assumption
- Get rid of algebraic properties (modifying the protocol and deduction rules): be back to perfect cryptography.

# EXAMPLE 1: INVERSE KEY

---

$$\begin{cases} \{\{x\}_k\}_{k^{-1}} = x \\ (k^{-1})^{-1} = k \end{cases}$$

# EXAMPLE 1: INVERSE KEY

---

$$\begin{cases} \{\{x\}_k\}_{k^{-1}} = x \\ (k^{-1})^{-1} = k \end{cases}$$

$$\begin{aligned} A(B) : \nu K_{AB}. & \Rightarrow \{ \langle A, \{K_{AB}\}_{\text{pub}(A)^{-1}} \rangle \}_{\text{pub}(B)} \\ B(A) : \nu s. \quad \{ \langle A, x \rangle \}_{\text{pub}(B)} & \Rightarrow [s] \{x\}_{\text{pub}(A)} \end{aligned}$$

# EXAMPLE 1: INVERSE KEY

---

$$\begin{cases} \{\{x\}_k\}_{k^{-1}} = x \\ (k^{-1})^{-1} = k \end{cases}$$

$$\begin{aligned} A(B) : \nu K_{AB}. & \Rightarrow \{ \langle A, \{K_{AB}\}_{\text{pub}(A)^{-1}} \rangle \}_{\text{pub}(B)} \\ B(A) : \nu s. \quad \{ \langle A, x \rangle \}_{\text{pub}(B)} & \Rightarrow [s]_{\{x\}_{\text{pub}(A)}} \end{aligned}$$

One instance of role  $B$  with  $B = b$ ,  $A = a$  and  $x = 0$ . Yields  $[s]_{\{0\}_{\text{pub}(a)}}$ .

# EXAMPLE 2: EXPLICIT DECRYPTION

---

Don't consider the decomposition inference rules. Instead:

$$\left\{ \begin{array}{l} \text{dec}(\{x\}_k, k^{-1}) = x \\ \pi_1(\langle x, y \rangle) = x \\ \pi_2(\langle x, y \rangle) = y \end{array} \right.$$

# EXAMPLE 2: EXPLICIT DECRYPTION

---

Don't consider the decomposition inference rules. Instead:

$$\left\{ \begin{array}{l} \text{dec}(\{x\}_k, k^{-1}) = x \\ \pi_1(\langle x, y \rangle) = x \\ \pi_2(\langle x, y \rangle) = y \\ \{\text{dec}(x, k)\}_{k^{-1}} = x \end{array} \right.$$

# EXAMPLE 2: EXPLICIT DECRYPTION

---

Don't consider the decomposition inference rules. Instead:

$$\left\{ \begin{array}{l} \text{dec}(\{x\}_k, k^{-1}) = x \\ \pi_1(\langle x, y \rangle) = x \\ \pi_2(\langle x, y \rangle) = y \\ \{\text{dec}(x, k)\}_{k^{-1}} = x \end{array} \right.$$

$$\begin{array}{l} A(B, S) : \nu N. \quad \Rightarrow \quad \langle A, B, \{N\}_{\text{shr}(A, S)} \rangle \\ S() : \quad \langle x, y, z \rangle \Rightarrow \langle x, y, \{\text{dec}(z, \text{shr}(x, S)^{-1})\}_{\text{shr}(y, S)} \rangle \\ B(A, S) : \nu s \quad \langle A, B, x \rangle \Rightarrow \{s\}_{\text{dec}(x, \text{shr}(B, S)^{-1})} \rangle \end{array}$$

# EXAMPLE 3: EXCLUSIVE OR

---

$$\begin{array}{lcl} x \oplus x \oplus y & \rightarrow & y \\ x \oplus x & \rightarrow & 0 \\ x \oplus 0 & \rightarrow & x \end{array} \qquad \begin{array}{lcl} x \oplus (y \oplus z) & = & (x \oplus y) \oplus z \\ x \oplus y & = & y \oplus x \end{array}$$

# EXAMPLE 4: MODULAR EXPONENTIATION

---

$$\begin{array}{l} \mathcal{AG} \\ \mathcal{DH} \end{array} \left\{ \begin{array}{l} x * y = y * x \\ x * (y * z) = (x * y) * z \\ (x^{-1})^{-1} = x \\ 1 * x = x \\ 1^{-1} = 1 \\ x * x^{-1} = 1 \\ (x * y)^{-1} = x^{-1} * y^{-1} \\ x * y * y^{-1} = x \\ \exp(x, 1) = x \\ \exp(\exp(x, y), z) = \exp(x, y * z) \end{array} \right.$$

(group DH : see Madhura)

# HOMOMORPHISMS

---

$$h(\langle x, y \rangle) = \langle h(x), h(y) \rangle$$

$$\begin{aligned} h(x \oplus y) &= h(x) \oplus h(y) \\ h(0) &= 0 \end{aligned}$$

$$\{x \oplus y\}_k = \{x\}_k \oplus \{y\}_k$$

# CLASSICAL TERM REWRITING

---

$s \xrightarrow[l \rightarrow r]{} t$  if there is a position  $p$  in  $s$  and a substitution  $\sigma$  such that  $s|_p = l\sigma$  and  $t = s[r\sigma]_p$ .

If  $\mathcal{R}$  is a set of rewrite rules, this defines a reduction relation  $\xrightarrow{\mathcal{R}}$ .

Termination: no infinite sequence of reductions.

Confluence:  $\xrightarrow{\mathcal{R}}^* \circ \xrightarrow{\mathcal{R}}^* \subseteq \xrightarrow{\mathcal{R}}^* \circ \xrightarrow{\mathcal{R}}^*$

Confluence + Termination  $\Rightarrow$  the normal forms exist and are unique.

then  $=_{\mathcal{R}} = \xrightarrow{\mathcal{R}}^* \circ \xrightarrow{\mathcal{R}}^*$

# AC-REWRITING

$AC$ -rewriting:  $s \xrightarrow{AC \setminus l \rightarrow r} t$  if there is a position  $p$  in  $s$  and a substitution  $\sigma$  such that  $s|_p =_{AC} l\sigma$  and  $t = s[r\sigma]_p$ .

$\mathcal{R}$  is **AC-convergent** if

•  $\xrightarrow{AC \setminus \mathcal{R}} \circ =_{AC}$  is terminating,

•  $=_{AC} \circ \xrightarrow{AC \setminus \mathcal{R}} \subseteq \xrightarrow{AC \setminus \mathcal{R}}^* \circ =_{AC}$  (local coherence)

•  $\xleftarrow{AC \setminus \mathcal{R}} \circ \xrightarrow{AC \setminus \mathcal{R}} \subseteq \xrightarrow{AC \setminus \mathcal{R}}^* \circ =_{AC} \circ \xleftarrow{AC \setminus \mathcal{R}}^*$  (local confluence)

For  $AC$ -convergent systems, normal forms are unique, up to  $AC$  equality.

All above-mentioned systems are  $AC$ -convergent when orienting the (non  $AC$ ) axioms from left to right.

# VARIANTS

---

$E' \subseteq E$ .  $E$  converted into an  $E'$ -convergent rewrite system  $\mathcal{R}$ .

$S$  is a **finite set of variants** of  $t$  if

For every normalized substitution  $\sigma$ , there is a  $u \in S$  and a substitution  $\theta$  s.t.  $t\sigma \downarrow_{\mathcal{R}=E'} u\theta$

$E$  has **the finite variant property (modulo  $E'$ )** if, for every term  $t$ , we can compute a finite set of variants.

**Equivalent property:**

$$\forall t. \exists n. \forall \sigma \in \Sigma_N. t\sigma \xrightarrow[AC \setminus \mathcal{R}]{\leq n} t\sigma \downarrow$$

$\Sigma_N$  is the set of normalized substitutions.

# WHY IS IT IMPORTANT ?

---

**Theorem 5** Assume that  $E$  has the  $(AC)$ -finite variant property. Let  $R$  be a set of protocol rules And  $\mathcal{I}$  be a set of intruder deduction rules.

let  $R_1, \dots, R_n$  be the variants of  $R$  and  $\mathcal{I}'$  be the union of variants of inference rules in  $\mathcal{I}$ .

$$\left. \begin{array}{l} \text{Attack with} \\ R, \mathcal{I}, E \end{array} \right\} \Leftrightarrow \exists i. \left\{ \begin{array}{l} \text{Attack with} \\ R_i, \mathcal{I}', (AC) \end{array} \right.$$

# ALGEBRAIC THEORIES SATISFYING THE PROPERTY

---

**Theorem 6:** The theories of Inverse Keys, explicit decryption, exclusive or, Abelian Groups, Abelian Group+DH, have the  $(AC)$ -finite variant property.

**Theorem 7:** Exclusive or + Homomorphism does not have the  $AC$  finite variant property.

# STANDARD NARROWING: EXAMPLES

---

$$\left\{ \begin{array}{l} \text{dec}(\{x\}_k, k^{-1}) \rightarrow x \\ \pi_1(\langle x, y \rangle) \rightarrow x \\ \pi_2(\langle x, y \rangle) \rightarrow y \\ \{\text{dec}(x, k)\}_{k^{-1}} \rightarrow x \end{array} \right.$$

$$\text{dec}(x, y) \rightsquigarrow \{x \mapsto \{z\}_k; y \mapsto k^{-1}\} \mathcal{Z}$$

# STANDARD NARROWING: EXAMPLES

---

$$\left\{ \begin{array}{l} \text{dec}(\{x\}_k, k^{-1}) \rightarrow x \\ \pi_1(\langle x, y \rangle) \rightarrow x \\ \pi_2(\langle x, y \rangle) \rightarrow y \\ \{\text{dec}(x, k)\}_{k^{-1}} \rightarrow x \end{array} \right.$$

$$\text{dec}(x, y) \rightsquigarrow_{\{x \mapsto \{z\}_k; y \mapsto k^{-1}\}} \mathcal{Z}$$

$$h(\langle x, y \rangle) \rightarrow \langle h(x), h(y) \rangle$$

$$\begin{aligned} h(x_0) &\rightsquigarrow_{\{x_0 \mapsto \langle x_1, x_2 \rangle\}} \langle h(x_1), h(x_2) \rangle \\ &\rightsquigarrow_{\{x_1 \mapsto \langle x_2, y_2 \rangle\}} \langle \langle h(x_2), h(y_2) \rangle, h(y_1) \rangle \\ &\dots \end{aligned}$$

# COMPUTING A NEW INFERENCE SYSTEM

---

$$\left\{ \begin{array}{l} \text{dec}(\{x\}_k, k^{-1}) \rightarrow x \\ \pi_1(\langle x, y \rangle) \rightarrow x \\ \pi_2(\langle x, y \rangle) \rightarrow y \\ \{\text{dec}(x, k)\}_{k^{-1}} \rightarrow x \end{array} \right.$$

$x$	$x$	$x \quad y$
$\pi_1(x)$	$\pi_2(x)$	$\text{dec}(x, y)$
$x \quad y$	$x$	$x \quad y$
$\{x\}_y$	$\text{pub}(x)$	$\langle x, y \rangle$

$\Rightarrow$

# COMPUTING A NEW INFERENCE SYSTEM

---

$$\left\{ \begin{array}{l} \text{dec}(\{x\}_k, k^{-1}) \rightarrow x \\ \pi_1(\langle x, y \rangle) \rightarrow x \\ \pi_2(\langle x, y \rangle) \rightarrow y \\ \{\text{dec}(x, k)\}_{k^{-1}} \rightarrow x \end{array} \right.$$

$$\begin{array}{c} \frac{x}{\pi_1(x)} \\ \frac{x \ y}{\{x\}_y} \end{array}
 \quad
 \begin{array}{c} \frac{x}{\pi_2(x)} \\ \frac{x}{\text{pub}(x)} \end{array}
 \quad
 \begin{array}{c} \frac{x \ y}{\text{dec}(x, y)} \\ \frac{x \ y}{\langle x, y \rangle} \end{array}$$

$$\Rightarrow \frac{\langle x_1, x_2 \rangle}{x_1}$$

# COMPUTING A NEW INFERENCE SYSTEM

$$\left\{ \begin{array}{l} \text{dec}(\{x\}_k, k^{-1}) \rightarrow x \\ \pi_1(\langle x, y \rangle) \rightarrow x \\ \pi_2(\langle x, y \rangle) \rightarrow y \\ \{\text{dec}(x, k)\}_{k^{-1}} \rightarrow x \end{array} \right. \quad \begin{array}{c} \frac{x}{\pi_1(x)} \quad \frac{x}{\pi_2(x)} \quad \frac{x \quad y}{\text{dec}(x, y)} \\ \frac{x \quad y}{\{x\}_y} \quad \frac{x}{\text{pub}(x)} \quad \frac{x \quad y}{\langle x, y \rangle} \end{array}$$

$$\Rightarrow \frac{\langle x_1, x_2 \rangle}{x_1} \quad \frac{\langle x_1, x_2 \rangle}{x_2}$$

# COMPUTING A NEW INFERENCE SYSTEM

---

$$\left\{ \begin{array}{l}
 \text{dec}(\{x\}_k, k^{-1}) \rightarrow x \\
 \pi_1(\langle x, y \rangle) \rightarrow x \\
 \pi_2(\langle x, y \rangle) \rightarrow y \\
 \{\text{dec}(x, k)\}_{k^{-1}} \rightarrow x
 \end{array} \right.
 \begin{array}{ccc}
 \frac{x}{\pi_1(x)} & \frac{x}{\pi_2(x)} & \frac{x \quad y}{\text{dec}(x, y)} \\
 \\
 \frac{x \quad y}{\{x\}_y} & \frac{x}{\text{pub}(x)} & \frac{x \quad y}{\langle x, y \rangle}
 \end{array}$$

$$\Rightarrow \frac{\langle x_1, x_2 \rangle}{x_1} \quad \frac{\langle x_1, x_2 \rangle}{x_2} \quad \frac{\{x_1\}_k \quad k^{-1}}{x_1}$$

# EXCLUSIVE OR EXAMPLE

---

In  $\forall t. \exists n \forall \sigma \in \Sigma_N. t\sigma \xrightarrow[AC \setminus \mathcal{R}]{\leq n} t\sigma \downarrow$

we can choose  $n = 2 \times |t|_{\oplus}$ .

# EXCLUSIVE OR EXAMPLE

---

In  $\forall t. \exists n \forall \sigma \in \Sigma_N. t\sigma \xrightarrow[AC \setminus \mathcal{R}]{\leq n} t\sigma \downarrow$

we can choose  $n = 2 \times |t|_{\oplus}$ .

$$\frac{x_1 \quad x_2}{(x_1 \oplus x_2) \downarrow}$$

becomes

$$\frac{x_1 \quad x_2}{x_1 \oplus x_2} \quad \frac{x_3 \oplus x_2 \quad x_2}{x_3} \quad \frac{x_3 \oplus x_4 \quad x_4 \oplus x_5}{x_3 \oplus x_5}$$

# EXCLUSIVE OR EXAMPLE

---

In  $\forall t. \exists n \forall \sigma \in \Sigma_N. t\sigma \xrightarrow[AC \setminus \mathcal{R}]{\leq n} t\sigma \downarrow$

we can choose  $n = 2 \times |t|_{\oplus}$ .

$$\frac{x_1 \quad x_2}{(x_1 \oplus x_2) \downarrow}$$

becomes

$$\frac{x_1 \quad x_2}{x_1 \oplus x_2} \quad \frac{x_3 \oplus x_2 \quad x_2}{x_3} \quad \frac{x_3 \oplus x_4 \quad x_4 \oplus x_5}{x_3 \oplus x_5}$$

The new system is also  $F$ -local: **this is always the case replacing with variants:  $F$ -local systems yield  $F$ -local systems.**

# THE ABELIAN GROUP CASE

---

$$\begin{aligned}x * y &= y * x \\x * (y * z) &= (x * y) * z \\(x^{-1})^{-1} &\rightarrow x \\1 * x &\rightarrow x \\1^{-1} &\rightarrow 1 \\x * x^{-1} &\rightarrow 1 \\(x * y)^{-1} &\rightarrow x^{-1} * y^{-1} \\x * y * y^{-1} &\rightarrow x\end{aligned}$$

The system is *AC*-convergent. However  $t = x^{-1}$ ,  $\sigma = \{x \mapsto a_1 * \dots * a_n\}$ :

$$t\sigma \xrightarrow[\cancel{AC \setminus \mathcal{R}}]{\leq \log n} a_1^{-1} * \dots * a_n^{-1}$$

# THE ABELIAN GROUP CASE (CNTD)

---

$$\begin{aligned}x * y &= y * x \\(x^{-1})^{-1} &\rightarrow x \\1^{-1} &\rightarrow 1 \\(x * y)^{-1} &\leftarrow x^{-1} * y^{-1}\end{aligned}$$

$$\begin{aligned}x * (y * z) &= (x * y) * z \\1 * x &\rightarrow x \\x * x^{-1} &\rightarrow 1 \\x * y * y^{-1} &\rightarrow x\end{aligned}$$

# THE ABELIAN GROUP CASE (CNTD)

---

$$\begin{array}{ll} x * y & = y * x \\ (x^{-1})^{-1} & \rightarrow x \\ 1^{-1} & \rightarrow 1 \\ (x * y)^{-1} & \leftarrow x^{-1} * y^{-1} \\ (x^{-1} * y)^{-1} & \rightarrow x * y^{-1} \\ x * (x * y)^{-1} & \rightarrow y^{-1} \end{array} \qquad \begin{array}{ll} x * (y * z) & = (x * y) * z \\ 1 * x & \rightarrow x \\ x * x^{-1} & \rightarrow 1 \\ x * y * y^{-1} & \rightarrow x \\ z * x^{-1} * y^{-1} & \rightarrow z * (x * y)^{-1} \\ z * x * (x * y)^{-1} & \rightarrow z * y^{-1} \end{array}$$

is *AC*-convergent.

# THE ABELIAN GROUP CASE (CNTD)

---

$$\begin{array}{ll}
 x * y & = y * x \\
 (x^{-1})^{-1} & \rightarrow x \\
 1^{-1} & \rightarrow 1 \\
 (x * y)^{-1} & \leftarrow x^{-1} * y^{-1} \\
 (x^{-1} * y)^{-1} & \rightarrow x * y^{-1} \\
 x * (x * y)^{-1} & \rightarrow y^{-1}
 \end{array}
 \qquad
 \begin{array}{ll}
 x * (y * z) & = (x * y) * z \\
 1 * x & \rightarrow x \\
 x * x^{-1} & \rightarrow 1 \\
 x * y * y^{-1} & \rightarrow x \\
 z * x^{-1} * y^{-1} & \rightarrow z * (x * y)^{-1} \\
 z * x * (x * y)^{-1} & \rightarrow z * y^{-1}
 \end{array}$$

is *AC*-convergent. Narrowing still does not terminate:

$$x^{-1} \rightsquigarrow_{\{x \mapsto x_1^{-1} * y_1\}} x_1 * y_1^{-1} \rightsquigarrow \dots$$

# THE ABELIAN GROUP CASE (CNTD)

---

$$\begin{array}{ll}
 x * y & = y * x \\
 (x^{-1})^{-1} & \rightarrow x \\
 1^{-1} & \rightarrow 1 \\
 (x * y)^{-1} & \leftarrow x^{-1} * y^{-1} \\
 (x^{-1} * y)^{-1} & \rightarrow x * y^{-1} \\
 x * (x * y)^{-1} & \rightarrow y^{-1}
 \end{array}
 \qquad
 \begin{array}{ll}
 x * (y * z) & = (x * y) * z \\
 1 * x & \rightarrow x \\
 x * x^{-1} & \rightarrow 1 \\
 x * y * y^{-1} & \rightarrow x \\
 z * x^{-1} * y^{-1} & \rightarrow z * (x * y)^{-1} \\
 z * x * (x * y)^{-1} & \rightarrow z * y^{-1}
 \end{array}$$

is *AC*-convergent. Narrowing still does not terminate:

$$x^{-1} \rightsquigarrow_{\{x \mapsto x_1^{-1} * y_1\}} x_1 * y_1^{-1} \rightsquigarrow \dots$$

However we can choose  $n = 2 \times |t|$  in

$$\forall t. \exists n \forall \sigma \in \Sigma_N. t\sigma \xrightarrow[AC \setminus \mathcal{R}]{\leq n} t\sigma \downarrow$$

# CONCLUSION: WHAT DOESN'T WORK ?

---

# CONCLUSION: WHAT DOESN'T WORK ?

---

- On slide 73

# CONCLUSION: WHAT DOESN'T WORK ?

---

- On slide 73 Current conditions are not met for the AG-variant inference system

# CONCLUSION: WHAT DOESN'T WORK ?

---

- On slide 73 Current conditions are not met for the AG-variant inference system
- Homomorphisms ?