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## The true colors of memory: A tour of chromatic-memory strategies in zero-sum games on graphs

## Patricia Bouyer

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Line of works developed together with Mickael Randour and Pierre Vandenhove. Some works are co-authors with other people: Antonio Casares, Nathanaël Fijalkow, Stéphane Le Roux, Youssouf Oualhadj.





# Motivation

# The setting

#### System



#### System





#### System





#### System







#### System













#### System









 $\sqrt[n]{}$ 







#### System









 $\sqrt[n]{}$ 





Model-checking algorithm

$$\varphi = \mathbf{AG} \neg \operatorname{crash} \land \left( \mathbb{P}(\mathbf{F}_{\leq 2h} \operatorname{arr}) \geq 0, 9 \right)$$

#### System



### Properties





 $\checkmark$ 





# **Control or synthesis**

#### System



Properties





 $\checkmark$ 



No/Yes/How?

### Strategy synthesis for two-player games

Find good and simple controllers for systems interacting with an antagonistic environment

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Find good and simple controllers for systems interacting with an antagonistic environment

## Good?

Performance w.r.t. objectives / payoffs / preference relations

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Minimal information for deciding the next steps

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## Simple?

Minimal information for deciding the next steps

When are simple strategies sufficient to play optimally?

## Our general approach

[Tho95] On the synthesis of strategies in infinite games (STACS'95).

[Tho02] Thomas. Infinite games and verification (CAV'02).

[GU08] Grädel, Ummels. Solution concepts and algorithms for infinite multiplayer games (New Perspectives in Games and Interactions, 2008).

[BCJ18] Bloem, Chatterjee, Jobstmann. Graph games and reactive synthesis (Handbook of Model-Checking).

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 Use graph-based game models (state machines) to represent the system and its evolution

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# Our general approach

- Use graph-based game models (state machines) to represent the system and its evolution
- Use **game theory concepts** to express admissible situations
  - Winning strategies
  - (Pareto-)Optimal strategies
  - Nash equilibria
  - Subgame-perfect equilibria
  - ...

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## Games What they often are















Interaction

 Model and analyze (using math. tools) situations of interactive decision making





### Wide range of applicability

« [...] it is a context-free mathematical toolbox. »

- Social science: e.g. social choice theory
- Theoretical economics: e.g. models of markets, auctions
- Political science: e.g. fair division
- Biology: e.g. evolutionary biology
- ...



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• ...

[MSZ13] Maschler, Solan, Zamir. Game theory (2013).

+ Computer science





*s*<sub>0</sub>



$$s_0 \rightarrow s_2$$

1.  $P_1$  chooses the edge  $(s_0, s_1)$ 



$$s_0 \rightarrow s_1 \rightarrow s_4$$

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Players use **strategies** to play. A strategy for  $P_i$  is  $\sigma_i : S^*S_i \to E$ 



 $C = \{ a, b \}$  set of colors  $E \subseteq S \times C \times S$ 



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- Preference relation:  $\sqsubseteq_i \subseteq C^{\omega} \times C^{\omega}$  (total preorder)



Zero-sum assumption

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• Winning objective for  $P_i: W_i \subseteq C^\omega$ , e.g.  $W_1 = C^* \cdot b \cdot C^\omega$ 

$$W_2 = W_1^c$$

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### **Objectives for the players**



Zero-sum assumption

 $C = \{a, b\}$  set of colors  $E \subseteq S \times C \times S$ 

 $\blacktriangleright$  Winning objective for  $P_i: W_i \subseteq C^{\omega}$  , e.g.  $W_1 = C^* \cdot b \cdot C^{\omega}$ 

We focus on winning objectives, and write W for  $W_1$ 

• Preference relation:  $\sqsubseteq_i \subseteq C^{\omega} \times C^{\omega}$  (total preorder)



 $W_2 = W_1^c$ 

# What does it mean to win a game?

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► Play  $\rho = s_0 s_1 s_2 \dots$  is compatible with  $\sigma_i$  whenever  $s_j \in S_i$  implies  $(s_j, s_{j+1}) = \sigma_i (s_0 s_1 \dots s_j)$ . We write  $Out(\sigma_i)$ .





#### ► Strategy *σ*



- ▶ Strategy *o*
- ► Out(\sigma) has two plays, which are both winning







#### ► Strategy *o*



- ► Strategy σ
- $Out(\sigma)$  has infinitely many plays, some of them are not winning



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- $\sigma_i$  is **winning** if all plays compatible with  $\sigma_i$  belong to  $W_i$  $\sigma_i$  is **optimal** if it is winning or if the initial state is losing

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#### Martin's determinacy theorem

Turn-based zero-sum games are determined for Borel winning objectives: in every game, either  $P_1$  or  $P_2$  has a winning strategy.







• Can  $P_1$  win the game, i.e. does  $P_1$  have a winning strategy?



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► Is there an effective (efficient) way of winning?



• Can  $P_1$  win the game, i.e. does  $P_1$  have a winning strategy?

- ► Is there an effective (efficient) way of winning?
- How complex is it to win?



- Players alternate
- Each player can take one or two sticks
- The player who takes the last one wins
- $P_1$  starts



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All states are winning for  $P_1$ 



One state is not winning for  $P_1$  It is winning for  $P_2$ 

### What we do not consider

- Concurrent games
- Stochastic games and strategies
  - Values
  - Determinacy of Blackwell games
- Partial information





### **Families of strategies**







### **Families of strategies**



### **General strategies**

$$\sigma_i: S^*S_i \to E$$

- May use any information of the past execution
- Information used is therefore potentially infinite
- Not adequate if one targets implementation

#### From $\sigma_i : S^*S_i \to E$ to $\sigma_i : S_i \to E$

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#### **Example: mean-payoff**



[Ohl21] Ohlmann. Monotonic graphs for Parity and Mean-Payoff games (PhD thesis).
•  $P_1$  maximizes,  $P_2$  minimizes





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 $\overline{\mathsf{MP}} = \limsup_{n} \frac{\sum_{i \neq n} c_i}{n}$  $W = (\overline{\mathsf{MP}} \ge 0)$ 



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#### Do we need more?



« See infinitely often both a and b » Büchi $(a) \land$  Büchi(b)



« See infinitely often both a and b » Büchi $(a) \land$  Büchi(b)

#### Winning strategy

- At each visit to s<sub>1</sub>, loop once in s<sub>1</sub> and then go to s<sub>2</sub>
- At each visit to s<sub>2</sub>, loop once in s<sub>2</sub> and then go to s<sub>1</sub>
- Generates the sequence  $(acbc)^{\omega}$



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 $^{\rm *}$  Reach the target with energy level 0 »  $FG~({\rm EL}=0)$ 



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 $^{\rm *}$  Reach the target with energy level 0 »  $FG~({\rm EL}=0)$ 

#### Winning strategy

- Loop five times in  $s_0$
- Then go to the target
- ▶ Generates the sequence of colors
  1 1 1 1 1 − 5 0 0 0...



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#### Winning strategy

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#### Winning strategy

- Loop five times in  $s_0$
- Then go to the target
- Generates the sequence of colors  $1 \ 1 \ 1 \ 1 \ 1 \ 5 \ 0 \ 0 \ 0...$

These two strategies require only **finite** memory

# Example: multi-dimensional mean-payoff



« Have a (limsup) mean-payoff  $\geq 0$ on both dimensions » So-called *multi-dimensional mean-payoff* 

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#### Winning strategy

- After k-th switch between  $s_1$  and  $s_2$ , loop 2k-1 times and then switch back
- Generates the sequence (-1, -1)(-1, +1)(-1, -1)(+1, -1)(+1, -1)(+1, -1)(-1, -1)(-1, -1)(-1, +1)(-1, +1)(-1, +1)(-1, +1)(-1, -1)(-

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This strategy requires **infinite** memory, and this is unavoidable

#### We focus on finite memory!



Memory skeleton

$$\mathcal{M} = (M, m_{\text{init}}, \alpha_{\text{upd}})$$
 with  $m_{\text{init}} \in M$  and  $\alpha_{\text{upd}} : M \times C \to M$ 





#### Memory skeleton

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Not yet a strategy!  $\sigma_i: S^*S_i \to E$ 



Memory skeleton

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<u>Remark</u>: memoryless strategies are  $\mathcal{M}_{triv}$ -strategies, where  $\mathcal{M}_{triv}$  is





Memory skeleton



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Example





Example





• Let W be an objective and  $i \in \{1,2\}$ 

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- A skeleton  $\mathscr{M}$  suffices to win for  $P_1$  (resp.  $P_2$ ) for W if  $P_1$  (resp.  $P_2$ ) has an optimal\* strategy based on  $\mathscr{M}$  in any game ( $\mathscr{A}, W$ ) (resp.  $(\mathscr{A}, W^c)$ )

• Let W be an objective and  $i \in \{1,2\}$ 

in finite arenas in one-player arenas

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- ${\scriptstyle \bullet } \hspace{0.1 cm} W$  is  ${\mathscr M}$  -determined if  ${\mathscr M}$  suffices to win for both players for W

\* That is, it is winning whenever it is possible to win

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- Memoryless determined =  $\mathcal{M}_{triv}$ -determined
- Finite-memory determined =  $\exists \mathcal{M} \text{ s.t. } \mathcal{M}$ -determined
- W is half-positional =  $\mathscr{M}_{\mathsf{triv}}$  suffices to play optimally for  $P_1$  for W

\* That is, it is winning whenever it is possible to win





#### *M*-determinacy requires

- Chromatic memory: the skeleton is based on colors
- Arena-independent memory: the same memory skeleton is used in all arenas (of the designed class)



« See infinitely often both a and b » Büchi $(a) \land$  Büchi(b)

#### Winning strategy

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- Loop five times in  $s_0$
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#### Winning strategy

- At each visit to  $s_1$ , loop once in  $s_1$  and then go to  $s_2$ 
  - There is an arena-independent memory based on a skeleton

• Generates the sequence  $(acbc)^{\omega}$ 



 $^{\rm *}$  Reach the target with energy level 0 »  $FG~({\rm EL}=0)$ 



These two strategies require only **finite** memory

Ind
Understand well low-memory specifications

#### Understand well low-memory specifications

#### Memoryless / finite-memory determinacy

Is it the case that memoryless (resp. finite-memory) strategies suffice to win when winning strategies exist?

#### Understand well low-memory specifications

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Is it the case that memoryless (resp. finite-memory) strategies suffice to win when winning strategies exist?

Finite vs infinite games





#### Characterizing positional and chromatic finite-memory determinacy in finite games



### A fundamental reference: [GZ05]

#### Sufficient conditions

- Sufficient conditions to guarantee memoryless optimal strategies for both players [GZ04, AR17]
- Sufficient conditions to guarantee half-positional optimal strategies
  [Kop06,Gim07,GK14]

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#### Sufficient conditions

- Sufficient conditions to guarantee memoryless optimal strategies for both players [GZ04, AR17]
- Sufficient conditions to guarantee half-positional optimal strategies
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- Characterization of winning objectives ensuring memoryless determinacy in finite games
- Fundamental reference: [GZØ5]

• Let  $W \subseteq C^{\omega}$  be an objective

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- ► W is **monotone** whenever:



- Let  $W \subseteq C^{\omega}$  be an objective
- ► W is **monotone** whenever:



► *W* is **selective** whenever:



### **Two characterizations**

#### Let W be an objective

#### Characterization - Two-player games

The two following assertions are equivalent:

- 1. W is memoryless-determined in finite arenas;
- 2. Both W and  $W^c$  are monotone and selective.

### Two characterizations

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#### Characterization - One-player games

The two following assertions are equivalent:

- 1. W is memoryless-determined in finite  $P_1$ -arenas;
- 2. W is monotone and selective.

Assume all  $P_1$ -games have optimal memoryless strategies.

Assume all  $P_1$ -games have optimal memoryless strategies.

lf 🗾

is winning

Assume all  $P_1$ -games have optimal memoryless strategies.

lf

is winning



Assume all  $P_1$ -games have optimal memoryless strategies.

lf

is winning







Assume all  $P_1$ -games have optimal memoryless strategies.

lf

is winning



is winning



W is selective

Assume W is monotone and selective.

Assume W is monotone and selective.

The case of one-player arenas

E

Assume W is monotone and selective.

The case of one-player arenas







No memory required at *t*!

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#### Characterization - One-player games

The two following assertions are equivalent:

- 1. W is memoryless-determined in finite  $P_1$ -arenas;
- 2. W is monotone and selective.

## Applications

#### Lifting theorem

Memoryless strategies suffice for W for  $P_i$  (i = 1,2) in finite  $P_i$ -arenas

 $\downarrow$ 

W is memoryless-determined in finite arenas

## Applications

#### Lifting theorem

Memoryless strategies suffice for W for  $P_i$  (i = 1,2) in finite  $P_i$ -arenas  $\Downarrow$ W is memoryless-determined in finite arenas

#### Very powerful and extremely useful in practice

- Easy to analyse the one-player case (graph reasoning)
  - Mean-payoff, average-energy [BMRLL15]
- Lift to two-player games via the theorem

### **Discussion of examples**

- Reachability, safety:
  - Monotone (though not prefix-independent)
  - Selective
- Parity, mean-payoff:
  - Prefix-independent hence monotone
  - Selective
- Average-energy games [BMRLL15]
  - Lifting theorem!!



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 $P_1$  wins but requires infinite memory


### Chromatic memory

#### Memory skeleton

$$\mathcal{M} = (M, m_{\text{init}}, \alpha_{\text{upd}})$$
 with  $m_{\text{init}} \in M$  and  $\alpha_{\text{upd}} : M \times C \to M$ 



<u>Remark</u>: memoryless strategies are  $\mathcal{M}_{triv}$ -strategies, where  $\mathcal{M}_{triv}$  is



 ${\scriptstyle \bullet}\,$  Let W be a winning objective and  ${\mathscr M}$  be a memory skeleton

- Let W be a winning objective and  $\mathscr{M}$  be a memory skeleton
- ► W is *M*-monotone whenever:



- Let W be a winning objective and  $\mathscr{M}$  be a memory skeleton
- ► W is *M*-monotone whenever:



► W is *M*-selective whenever:



### **Two characterizations**

Let W be a winning objective and  $\mathscr{M}$  be a memory skeleton

#### Characterization - Two-player games

The two following assertions are equivalent:

- 1. W is  $\mathcal{M}$ -determined in finite arenas;
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 $\rightarrow$  We recover [GZ05] with  $\mathcal{M} = \mathcal{M}_{\text{triv}}$ 

If the arena has enough information from  $\mathscr{M}$  , then memoryless strategies will be sufficient

Covered arenas = same properties as product arenas

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Hence one can apply a [GZ05]-like reasoning to *M*-covered arenas

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## Applications

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Strategies based on  $\mathscr{M}_i$  suffice for W for  $P_i$  in finite  $P_i$ -arenas  $\psi$ W is  $(\mathscr{M}_1 \otimes \mathscr{M}_2)$ -determined in finite arenas

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- Easy to analyse the one-player case (graph analysis)
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 $\rightarrow$  Memory  $\mathscr{M} \otimes \mathscr{M}'$  is sufficient for both players in all finite games

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- Complete characterization of winning objectives (and even preference relations) that ensure (chromatic) finite-memory determinacy (for both players)
- One-to-two-player lifts (requires chromatic finite memory determinacy in one-player games for both players; ensures chromatic finite memory determinacy in two-players games for both players)





#### Characterizing positional and chromatic finite-memory determinacy in infinite games



### The case of mean-payoff

- Objective for  $P_1$ : get non-negative (limsup) mean-payoff
- ► In finite games: **memoryless** strategies are sufficient to win
- ► In infinite games: **infinite memory** is required to win



• Let W be a prefix-independent objective.

[CN06] Colcombet and Niwiński. On the positional determinacy of edge-labeled games (ICALP'06). [Zie98] Zielonka. Infinite games on finitely coloured graphs with applications to automata on infinite trees (TCS).

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#### Characterization - Two-player games

The two following assertions are equivalent:

1. Positional optimal strategies are sufficient for W in all (infinite) games for both players;

#### 2. *W* is a parity condition That is, there are $n \in \mathbb{N}$ and $\gamma : C \to \{0, 1, ..., n\}$ such that $W = \{c_1 c_2 \dots \in C^{\omega} \mid \limsup_i \gamma(c_i) \text{ is even}\}$

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# Some language theory (1)

• Let  $L \subseteq C^*$  be a language of finite words

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#### Myhill-Nerode Theorem

- L is regular if and only if  $\sim_L$  has finite index;
  - There is an automaton whose states are classes of  $\sim_L$ , which recognizes L.

# Some language theory (2)

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#### Link with $\omega$ -regularity?

- If W is  $\omega$ -regular, then  $\sim_W$  has finite index;
  - The automaton  $\mathcal{M}_W$  based on  $\thicksim_W$  is a prefix-classifier;
- The converse does not hold (e.g. all prefix-independent languages are such that  $\sim_W$  has only one element).

### **Characterization** [BRV22]

• Let  $W \subseteq C^{\omega}$  be an objective.

[CN06] Colcombet, Niwiński. On the positional determinacy of edge-labeled games (Theor. Comp. Science). [BRV22] Bouyer, Randour, Vandenhove. Characterizing Omega-Regularity through Finite-Memory Determinacy

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► The proof of ⇐ is given by [EJ91, Zie98]

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### **Proof idea for** $\Rightarrow$

Assume W is  $\mathcal{M}$ -determined. Then:

- $\mathcal{M}_W$  is finite (which implies that W is  $\mathcal{M}_W$ -prefix-independent);
- W is  $\mathcal{M}$ -cycle-consistent: after a finite word u, if  $(w_i)_i$  are winning cycles of  $\mathcal{M}$  (after u), then  $uw_1w_2w_3\cdots$  is winning; Idem for losing cycles
- $\to W \text{ is } (\mathscr{M} \otimes \mathscr{M}_W) \text{-prefix-independent and } (\mathscr{M} \otimes \mathscr{M}_W) \text{-cycle-consistent}$

 $\rightarrow$  Hence W can be recognized by a DPA built on top of  $\mathscr{M}\otimes \mathscr{M}_W$  (relies on ordering cycles according to how good they are for winning)











### Corollary

#### Lifting theorem

If W and  $W^c$  are finite-memory-determined in one-player infinite games, then W and  $W^c$  are finite-memory-determined in two-player infinite games.

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- Further questions:
  - Different results when assuming finite branching?





### **Going further?**







▶ So far, nice general characterizations



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- However:
  - Memory bounds are not tight in general
  - Makes assumptions on the memory for the two players
- $\rightarrow$  Precise memory of the two players for  $\omega$ -regular objectives? (we will see it is non-trivial in general)

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  - $P_2$  requires just two states of memory:  $q_\epsilon$  and  $q_a$

# The example of Muller conditions

•  $\mathcal{F} \subseteq 2^C$  $W_{\mathcal{F}} = \{ w \in C^{\omega} \mid \{ c \in C \mid \exists^{\infty} i \text{ s.t. } w_i = c \} \in \mathcal{F} \}$ 

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#### Chromatic memory for $W_{\mathscr{F}}$

A memory structure  $\mathscr{M}$  suffices for  $P_1$  for  $W_{\mathscr{F}}$  if and only if  $W_{\mathscr{F}}$  is recognized by a deterministic Rabin automaton built on top of  $\mathscr{M}$  [Cas22]. It is NP-complete to decide whether there is a memory structure of size k that is sufficient to win a Muller condition.

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Half-positionality of W can be decided in PTIME

An objective W defined by a DBA is half-positional if and only if:

- 1. W is monotone;
- 2. W is progress consistent: if  $w_2$  is a progress after  $w_1$ , then  $w_1 w_2^{\omega}$  is winning;
- 3. W is recognized by a DBA built on top of its prefix classifier

## Regular safety and reachability objectives [BFRV22]



W = avoid the rightmost state

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It is NP-complete to decide whether there is a memory structure of size k that is sufficient to win a regular safety/reachability objective.

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If  $\mathcal{M}$  suffices to win for W in finite  $P_1$ -arenas, then  $\mathcal{M}$  suffices to win for W for  $P_1$  in (infinite) two-player arenas.

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# What about chaotic memory?

- Chaotic memory is more difficult to grasp
- In the previous example, only two memory states are sufficient (size of the largest antichain) [CFH14]







#### Conclusion



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Quite active area of research



[CCL22] Casares, Colcombet, Lehtinen.On the size of good-for-game Rabin automata and its link with the memory in Muller games (ICALP'22)

[Ohl22] Ohlmann. Characterizing positionality in games of infinite duration over infinite graphs (LICS'22)