## Complexité avancée - TD 8

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We recall the definition of the Arthur-Merlin hierarchy.

**Definition 1** An Arthur and Merlin triplet is the data of  $(M, \mathcal{A}, D)$  where M is a Merlin function, that is a function with the size of the output polynomial in the size of the input, possibly not computable, a randomized Turing machine  $\mathcal{A}$  running in polynomial time and a language  $D \in \mathsf{P}$ . Then, for all  $w \in \{\mathsf{A},\mathsf{M}\}^*$ , let us denote by k the number of times  $\mathsf{A}$  appears in the word w. We consider the following algorithm induced by the word w (with n = |w| and  $r_1, \ldots, r_k$  k random tapes of size polynomial in n).

 $prot_{w}(M; x, r_{1}, ..., r_{k}):$  imp = x i = 0for j = 1, ..., n:  $if w_{j} = A \text{ then } (i = i+1, q_{j} = A(imp, r_{i}); imp = imp \ \# r_{i} \ \# q_{j})$   $else (y_{j} = M(imp); imp := imp \ \# y_{j})$ 

accept if  $(imp \in D)$ , else reject

We denote  $prot[\mathcal{A}, M]_D(x, r_1, \dots, r_k) = \top$  if the previous algorithm accepts, otherwise  $prot[\mathcal{A}, M]_D(x, r_1, \dots, r_k) = \bot$ .

Now,  $\mathsf{AM}[f]$  for a proper function f denotes the class of languages L such that for any polynom q, there exists an Arthur and Merlin triplet  $(M, \mathcal{A}, D)$  such that for any xof size n, letting  $w \in \{\mathsf{A}, \mathsf{M}\}^{f(n)}$ :

- 1. Completeness: if  $x \in L$  then  $Pr[prot_w[\mathcal{A}, M]_D(x, r_1, \dots, r_k) = \top] \geq 1 1/2^{q(n)}$
- 2. Soundness: if  $x \notin L$  then for any Merlin's function M',  $Pr[prot_w[\mathcal{A}, M']_D(x, r_1, \ldots, r_k) =$  $\perp \geq 1 - 1/2^{q(n)}$

**Exercise 1** Another way to see  $\mathbf{M}\mathbf{A}$  and  $\mathbf{A}\mathbf{M}$ 

Prove the following with a definition of the Arthur-Merlin hierarchy with a bound on the probability set to 2/3 and 1/3:

• A language  $L \in \mathbf{AM}$  if and only if there exists a language  $D \in \mathsf{P}$  and a polynom p such that:

$$\begin{array}{l} -x \in L \Rightarrow Pr_{r \in \{0,1\}^{p(|x|)}}[\exists y \in \{0,1\}^{p(|x|)}, \ (x,r,y) \in D] \geq 2/3 \\ -x \notin L \Rightarrow Pr_{r \in \{0,1\}^{p(|x|)}}[\exists y \in \{0,1\}^{p(|x|)}, \ (x,r,y) \in D] \leq 1/3 \end{array}$$

• A language  $L \in \mathbf{MA}$  if and only if there exists a language  $D \in \mathsf{P}$  and a polynom p such that:

 $-x \in L \Rightarrow \exists y \in \{0,1\}^{p(|x|)}, \ Pr_{r \in \{0,1\}^{p(|x|)}}[(x,r,y) \in D] \ge 2/3$ 

$$- \ x \notin L \Rightarrow \forall y \in \{0,1\}^{p(|x|)}, \ Pr_{r \in \{0,1\}^{p(|x|)}}[(x,r,y) \in D] \le 1/3$$

Exercise 2 Arthur-Merlin protocols

Prove the following statements, directly from definition of the Arthur-Merlin hierarchy:

- $\mathbf{M} = \mathsf{NP};$
- $\mathbf{A} = \mathsf{BPP};$
- $NP^{BPP} \subseteq MA;$
- $\mathbf{AM} \subset \mathsf{BPP}^{\mathsf{NP}}$ .

Exercise 3 Collapse of the Arthur-Merlin hierarchy

Recall that, for each  $w \in \{A, M\}^*$ , the class **w** is the class of languages recognized by Arthur-Merlin games with protocol w.

- (a) Without using any result about the collapse of the Arthur-Merlin hierarchy, prove that for all  $w_0, w_1, w_2 \in \{\mathbf{A}, \mathbf{M}\}^*$ , we have  $\mathbf{w_1} \subseteq \mathbf{w_0 w_1 w_2}$ .
- (b) Now assume that for all  $w \in \{A, M\}^*$ , one has  $\mathbf{w} \subseteq \mathbf{AM}$ . Prove the following statement: For all  $w \in \{\mathbf{A}, \mathbf{M}\}^*$  such that w has a strict alternation of symbols, and |w| > 2, we have  $\mathbf{w} = \mathbf{AM}$ .

## Exercise 4 The BP operator

We say that a language B reduces to language C under a randomized polynomial time reduction, denoted  $B \leq_r C$ , if there is a probabilistic polynomial-time Turing machine  $\mathcal{M}$  such that for every x,  $Pr[\mathcal{M}(x) \in C \Leftrightarrow x \in B] \geq \frac{2}{3}$ .

Recall the definition of  $\mathsf{BP} \cdot \mathcal{C}$ :  $L \in \mathsf{BP} \cdot \mathcal{C}$  iff there exists a probabilistic Turing machine A running in polynomial time and a language  $D \in \mathcal{C}$  s.t. for all input x:

- if  $x \in L$  then  $Pr[A(x,r) \in D] \geq \frac{2}{3}$
- if  $x \notin L$  then  $Pr[A(x,r) \notin D] \ge \frac{2}{3}$
- 1. Show that  $\mathsf{BP} \cdot \mathcal{C} = \{L \mid L \leq_r L', \text{ for some } L' \in \mathcal{C}\}$
- 2. Show that  $co(BP \cdot C) = BP \cdot co(C)$  and if  $C \subseteq C'$ , then  $BP \cdot C \subseteq BP \cdot C'$
- 3. Show that BPP is closed under randomized polynomial time reduction.
- 4. Give a criterion on  $\mathcal{C}$  so that:  $\mathsf{BP} \cdot (\mathsf{BP} \cdot \mathcal{C}) = \mathsf{BP} \cdot \mathcal{C}$ .

## The class $\mathsf{BP} \cdot \mathsf{NP}$

- 1. Show that  $\mathsf{BP} \cdot \mathsf{P} = \mathsf{BPP}$
- 2. Recall the proof that  $\mathsf{BP} \cdot \mathsf{NP} = \mathbf{AM}$
- 3. Show that  $\mathsf{BP} \cdot \mathsf{NP} = \{L \mid L \leq_r \mathsf{SAT}\}\$
- 4. Show that  $\mathsf{BP} \cdot \mathsf{NP} \subseteq \Sigma_3^P$  (with a direct proof)

5. (bonus) Show that if  $\overline{3SAT} \leq_r 3SAT$  then PH collapses to the third level.

Exercise 5 The PP class

The first 3 questions were already there in the last TD. Only question 4 is new.

The class  $\mathsf{PP}$  is the class of languages L for which there exists a polynomial time probabilistic Turing machine M such that:

- if  $x \in L$  then  $Pr[M(x,r) \text{ accepts }] > \frac{1}{2}$
- if  $x \notin L$  then  $Pr[M(x,r) \text{ accepts }] \leq \frac{1}{2}$

Also define  $PP_{<}$  as the class of languages L for which there exists a polynomial time probabilistic Turing machine M such that:

- if  $x \in L$  then  $Pr[M(x,r) \text{ accepts }] > \frac{1}{2}$
- if  $x \notin L$  then  $Pr[M(x,r) \text{ accepts }] < \frac{1}{2}$
- 1. Show that  $\mathsf{BPP} \subseteq \mathsf{PP}$  and  $\mathsf{NP} \subseteq \mathsf{PP}$ ;
- 2. Show that  $PP = PP_{<}$  and that PP is closed under complement;
- 3. Consider the decision problem MAJSAT:
  - (a) Input: a boolean formula  $\phi$  on n variables
  - (b) Output: the (strict) majority of the  $2^n$  valuations satisfy  $\phi$ .

Show that  $MAJSAT \in PP$ . In fact, MAJSAT is PP-complete.

One may also consider the decision problem MAXSAT:

- (a) Input: a boolean formula  $\phi$  on n variables, a number K
- (b) Output: more than K valuations satisfy  $\phi$ .

Show that MAXSAT is also PP-complete (to prove that  $MAXSAT \in PP$  one may reduce MAXSAT to MAJSAT).

4. Show that  $\mathbf{MA} \subseteq \mathsf{PP}$ .