Propositional Sequent Calculus

David Baelde

ENS Cachan, L3, 2018–2019

1 Intuitionistic sequent calculus

Definition 1.1. The sequent calculus proof system $LJ_0$ is given by the rules of fig. 1, which allow to derive sequents of the same form as those of $NJ_0$.

Proposition 1.2. A sequent $\Gamma \vdash \phi$ is derivable in $LJ_0$ iff it is derivable in $NJ_0$.

Proof. Each rule of a system can be simulated by a combination of (possibly admissible) rules of the other system. $\square$

2 Classical sequent calculus

One way of obtaining classical sequent calculus from its intuitionistic counterpart is to allowing more than one formula to the right of a sequent, and consider structural rules on that part of sequents as well. This allows, for example, the following kind of derivation:

\[
\begin{align*}
\Gamma &\vdash \phi, \psi \\
\Gamma &\vdash \phi, \phi \lor \psi \\
\Gamma &\vdash \phi \lor \psi, \phi \lor \psi \\
\Gamma &\vdash \phi \lor \psi
\end{align*}
\]

Rather than following strictly this style, we give in fig. 2 a presentation of classical sequent calculus that does not have any structural rule, which makes it particularly interesting for proof search. In the resulting system, the above example derivation is an instance of the right-introduction rule for disjunction.

Definition 2.1. A classical sequent $\Gamma \vdash \Delta$ is built from two multisets of formulas of $\mathcal{F}(P)$. The sequent $\phi_1, \ldots, \phi_n \vdash \psi_1, \ldots, \psi_m$ should be read as "the conjunction of the $\phi_i$ implies the disjunction of the $\psi_j$".

Remark: with out focus on proof search, sets would be more natural, but we keep multisets for uniformity.

Definition 2.2. The rules of $LK_0$ are given in Figure 2.

Note that the rules for negation can be derived from those for implication, following the of usual reading $\neg \phi$ as $\phi \Rightarrow \bot$. We also note that structural rules and cut are missing; we will comment on this after the next theorem.
Logical group

\[
\begin{align*}
\Gamma, \bot & \vdash \phi & \downarrow_L \\
\Gamma & \vdash \top & \downarrow_R
\end{align*}
\]

\[
\begin{align*}
\Gamma, \phi_1, \phi_2 & \vdash \psi & \land_L \\
\Gamma & \vdash \phi_1, \phi_2 & \land_R
\end{align*}
\]

\[
\begin{align*}
\Gamma, \phi_1 & \vdash \psi & \Gamma, \phi_2 & \vdash \psi & \lor_L \\
\Gamma & \vdash \phi_1 \lor \phi_2 & \lor_R
\end{align*}
\]

\[
\begin{align*}
\Gamma & \vdash \phi_1 & \Gamma, \phi_2 & \vdash \psi & \Rightarrow_L \\
\Gamma, \phi_1 \Rightarrow & \phi_2 & \vdash \psi & \Rightarrow_R
\end{align*}
\]

Identity group

\[
\begin{align*}
\Gamma, \phi & \vdash \phi & \text{axiom} \\
\Gamma & \vdash \psi & \Gamma, \psi & \vdash \phi & \text{cut}
\end{align*}
\]

Structural group

\[
\begin{align*}
\Gamma, \phi, \phi & \vdash \psi & \text{contraction} \\
\Gamma & \vdash \psi & \Gamma, \phi & \vdash \psi & \text{weakening}
\end{align*}
\]

Figure 1: Inference rules for L\textsubscript{J0}
\[ \Gamma, \phi \vdash \phi, \Delta \]

\[ \Gamma, \bot \vdash \Delta \quad \Gamma \vdash \top, \Delta \]

\[ \Gamma, \phi_1, \phi_2 \vdash \Delta \quad \Gamma \vdash \phi_1, \Delta \quad \Gamma \vdash \phi_2, \Delta \]

\[ \Gamma \vdash \phi_1 \land \phi_2 \]

\[ \Gamma, \phi_1 \land \phi_2 \vdash \Delta \]

\[ \Gamma, \phi_1 \lor \phi_2 \vdash \Delta \]

\[ \Gamma \vdash \phi_1 \lor \phi_2 \]

\[ \Gamma, \phi_1, \phi_2 \vdash \Delta \quad \Gamma \vdash \phi_1 \Rightarrow \phi_2 \]

\[ \Gamma, \phi_1 \vdash \phi_2 \]

\[ \Gamma \vdash \phi_1 \Rightarrow \phi_2 \]

\[ \Gamma \vdash \phi \]

\[ \Gamma, \neg \phi \vdash \Delta \]

\[ \Gamma, \neg \phi \vdash \Delta \]

Figure 2: Sequent calculus LK₀ for propositional classical logic

2.1 Soundness and completeness

Definition 2.3. A sequent \( \Gamma \vdash \Delta \) is said to be valid, noted \( \Gamma \models \Delta \) when, for all interpretation \( I : P \rightarrow \{0, 1\} \) such that \( I \models \Gamma \), there is some \( \phi \in \Delta \) such that \( I \models \phi \).

Theorem 2.4. The calculus LK₀ is sound and complete for propositional classical logic: \( \Gamma \vdash_{LK_0} \Delta \) iff \( \Gamma \models \Delta \).

Proof. Soundness amounts to check that each rule of LK₀ preserves validity: if the premises are valid then the conclusion is valid. In fact, in LK₀, the converse holds, which is rare: if the conclusion is valid, then so are the premises. Together with the fact that premises of logical rules contain less logical connectives than their conclusions, this allows to easily prove that each valid sequent has a derivation in LK₀, by induction on the number of connectives of the sequent.

Obviously, the above proof of completeness still holds if we add more rules. We could add the cut rule, expressed as follows:

\[ \Gamma \vdash \Delta, \phi \quad \phi, \Gamma \vdash \Delta \]

\[ \Gamma \vdash \Delta \]

It is easy to check that it is sound. The cut rule provides a form of indirect, intelligent reasoning that is hard to exploit in automated proofs but can lead to shorter proofs built by humans with insight.

Structural rules would be expressed as follows:

\[ \Gamma \vdash \Delta \quad \Gamma \vdash \Delta \]

\[ \Gamma, \phi \vdash \Delta \quad \Gamma, \phi \vdash \Delta \]

\[ \Gamma, \phi, \phi \vdash \Delta \quad \Gamma, \phi \vdash \phi, \Delta \]

\[ \Gamma \vdash \phi, \Delta \]

\[ \Gamma \vdash \phi, \Delta \]

3
Weakening can be useful to simplify proofs by removing unnecessary formulas — again, this only applies to proof built by smart humans, and a priori not to automatically constructed proofs. Contraction is quite useless in LK₀ as defined here. Both rules would make more sense (and may be necessary) in variants of the calculus where the contexts Γ and Δ would be split rather than shared between the several premises of some rules.

2.2 Symmetries of LK₀

The rules of LK₀ exhibit some striking symmetries. The ⊤ᵣ rule and the ⊥ₐᵰ rule are similar: ⊤ on the right of sequents is treated in the same way as ⊥ on the left. The same goes for conjunction and disjunction: the rules ∨ᵣ and ∧ₐᵰ are similar, taking place on opposite sides of the sequent; the same goes for ∧ᵣ and ∨ₐᵰ which both branch.

This symmetry is strongly related to the dualities exhibited by de Morgan laws, and more generally by negation elimination rules:

\[
\begin{align*}
\neg(\phi \land \psi) & \equiv \bot \\
\neg \top & \equiv \bot \\
\neg \top & \equiv \neg \bot \\
\phi \Rightarrow \psi & \equiv \neg \phi \lor \neg \psi \\

\end{align*}
\]

We recall that these rules allow to turn any formula into its negation normal form, where negation can only occur directly above propositional variables.

We can now observe our symmetries more concretely, by showing that if we replace one formula in a sequent according to one of the above laws, the structure of the proof can be adapted in a straightforward local fashion. For instance, see how we can adapt the proof structure following the law \(\phi \land \psi \equiv \bot \neg(\neg \phi \lor \neg \psi)\) applied on the left of a sequent:

\[
\begin{align*}
\Gamma, \phi, \psi & \vdash \Delta \\
\Gamma, \phi \land \psi & \vdash \Delta \\
\Gamma & \vdash \neg \phi, \neg \psi, \Delta \\
\Gamma, \neg(\neg \phi \lor \neg \psi) & \vdash \Delta \\

\end{align*}
\]

On the right of a sequent:

\[
\begin{align*}
\Gamma, \phi, \Delta & \vdash \psi, \Delta \\
\Gamma & \vdash \phi \land \psi, \Delta \\
\Gamma, \neg(\neg \phi \lor \neg \psi) & \vdash \Delta \\

\end{align*}
\]

Finally, we illustrate the effect of \(\phi \Rightarrow \psi \equiv \neg \phi \lor \psi\) on the left of a sequent:

\[
\begin{align*}
\Gamma, \phi & \vdash \Delta \\
\Gamma, \phi \Rightarrow \psi & \vdash \Delta \\
\Gamma & \vdash \neg \phi, \neg \psi, \Delta \\
\Gamma, \neg(\neg \phi \lor \neg \psi) & \vdash \Delta \\

\end{align*}
\]
These remarks are very useful to understand the design of LK₀, or memorize it: once you have one half of the rules, the other half can be automatically derived by symmetry. We can in fact make this more formal, by designing a one-sided variant of LK₀ in which \(\equiv\) is internalized and only one of the two symmetric halves of LK₀ is kept.

**Definition 2.5.** The one-sided classical sequent calculus has sequents of the form \(\vdash \Delta\) in which formulas are implicitly identified modulo \(\equiv\). It has only four rules:

\[
\begin{align*}
\vdash \top, \Delta & \quad \vdash \phi, \neg\phi, \Delta \\
\vdash \phi, \psi, \Delta & \quad \vdash \phi, \Delta, \psi, \Delta \\
\vdash \phi \lor \psi, \Delta & \quad \vdash \phi \land \psi, \Delta
\end{align*}
\]

**Proposition 2.6.** We have \(\phi_1, \ldots, \phi_n \vdash_{LK} \Delta\) iff \(\vdash \Delta, \neg\phi_1, \ldots, \neg\phi_n\) is derivable in the one-sided variant.

**Proof.** Simple induction on the derivations, building on the above observations. \(\square\)

Note here that the simplification of LK₀ into its one-sided variant comes at the cost of considering formulas up to the equivalence relation \(\equiv\). This is acceptable because the equivalence is simple and can be computed very easily. Doing the same with the full logical equivalence would not be acceptable: it would yield to a trivial deduction system with only one rule for deriving \(\top\), but checking proofs would take exponential time.