Synthesis of Switching Rules for Ensuring Reachability Properties of Sampled Linear Systems

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Abstract. We consider here systems with piecewise linear dynamics that are periodically sampled with a given period $\tau$. At each sampling time, the mode of the system, i.e., the parameters of the linear dynamics, can be switched, according to a switching rule. The problem is to find a switching rule that guarantees the system to still be in a given area $V$ at the next sampling time, and so on indefinitely. In this paper, we will consider two approaches: the indirect one that abstracts the system under the form of a discrete event system, and the direct one that works on the continuous state space.

Our methods basically rely on previous works, but we specialize them to a simplified context (linearity, periodic switching instants, absence of control input), which is motivated by the features of a focused case study: a DC-DC boost converter built by SATIE laboratory at ENS Cachan. Our enhanced methods allow us to treat successfully this real-life example.

1 Introduction

We are interested here in finding rules for switching the modes of (piecewise) linear systems in order to make the variables of the system stay within the limits of given area $V$. The systems that we consider are periodically sampled with a given period $\tau$. Between two sampling times, the variables follow a certain system of linear differential equations, corresponding to a mode among several other ones. (We will assume that the set of modes is $\{1, \ldots, m\}$). At each sampling time, the mode of the system can be switched. The problem is to find a switching rule that selects a mode ensuring that the system will still be in $V$ at the next sampling time, and so on indefinitely.

Note that, here, we do not impose that the systems always lies within $V$ between two sampling times, only at sampling times: if the system goes out of $V$ between two sampling times, then, due to continuity reasons and because of the “small” size of $\tau$, it will still stay within the close neighborhood of $V$, and we assume that such a small deviation is acceptable for the system. This makes the problem simpler than the one considered, e.g. in [2], where the system is forced to always stay within $V$.

Note also that the problem here is simpler than the one considered in [11], because, here, only the switching rule has to be determined, since the control
input is fixed. (In [11], the dynamics is of the form $\dot{z}(t) = Ax(t) + Bu(t)$, where $u(t)$ is not constant, but an input to be synthesized.)

Finally, our problem is much simplified by the fact that, as in [6], the switching instants can only occur at times of the form $i\tau$ with $i \in \mathbb{N}$.

As noted in [2], there are two approaches for solving this kind of problems:
- the indirect approach reduces first the system, via abstraction, into a discrete event system (typically, a finite-state automaton); this is done in, e.g., [6]. One can thus identify cycles in the graph of the abstract system, thus inferring possible patterns of modes that enforces the system to stay forever within $V$.
- the direct approach works directly on the continuous state space; this is done, e.g., in [2]. One can thus infer a controllable subspace $V'$ of $V$, within which the existence of a switching rule allowing to stay forever within $V'$ is guaranteed (see, e.g., [11, 8]).

Often, in the indirect approach, the switching rule can be computed off line (under, e.g., the form of a repeated pattern of modes), while the switching rule has to be computed on line in the direct approach.

Our methods basically rely on previous works, but we specialize them to the simplified context (linearity, periodic switching instants, absence of control input), which is motivated by the features of a focused case study: a DC-DC boost converter built by SATIE laboratory at ENS Cachan. Our enhanced methods allow us to treat successfully this real-life example.

2 Indirect Approach: Approximately Bisimular Methods

2.1 Sampled Switched Systems

In this paper, we consider a subclass of hybrid systems [7], called “switched systems” in [6].

Definition 1. A switched system $\Sigma$ is a quadruple $(\mathbb{R}^n, P, \mathcal{P}, F)$ where:
- $\mathbb{R}^n$ is the state space
- $P = \{1, \ldots, m\}$ is a finite set of modes,
- $\mathcal{P}$ is a subset of $\mathcal{S}(\mathbb{R}^+, P)$ which denotes the set of piecewise constant functions from $\mathbb{R}^+$ to $P$, continuous from the right and with a finite number of discontinuities on every bounded interval of $\mathbb{R}_0^+$
- $F = \{f_p \mid p \in P\}$ is a collection of vector fields indexed by $P$.

For all $p \in P$, we denote by $\Sigma_p$ the continuous subsystem of $\Sigma$ defined by the differential equation:
$$\dot{x}(t) = f_p(x(t)).$$

A switching signal of $\Sigma$ is a function $p \in \mathcal{P}$, the discontinuities of $p$ are called switching times. A piecewise $C^1$ function $x : \mathbb{R}_0^+ \rightarrow \mathbb{R}^n$ is said to be a trajectory of $\Sigma$ if it is continuous and there exists a switching signal $p \in \mathcal{P}$ such that, at each $t \in \mathbb{R}_0^+$, $x$ is continuously differentiable and satisfies:
$$\dot{x}(t) = f_{p(t)}(x(t)).$$

We will use $x(t, x, p)$ to denote the point reached at time $t \in \mathbb{R}_0^+$ from the initial condition $x$ under the switching signal $p$. Let us remark that a trajectory of $\Sigma_p$ is a trajectory of $\Sigma$ associated with the constant signal $p(t) = p$, for all $t \in \mathbb{R}_0^+$. 

In this paper, we focus on the case of linear switched systems: for all $\mu \in \mathbb{R}$, the function $f_\mu$ is defined by $f_\mu(x) = A_\mu x + b_\mu$, where $A_\mu$ is a $(n \times n)$-matrix of constant elements $(a_{i,j})_\mu$ and $b_\mu$ is a $n$-vector of constant elements $(b_k)_\mu$.

In the following, as in [6], we will work with trajectories of duration $\tau$ for some chosen $\tau \in \mathbb{R}^+$, called “time sampling parameter”. This can be seen as a sampling process. Particularly, we suppose that switching instants can only occur at times of the form $i\tau$ with $i \in \mathbb{N}$. In the following, we will consider transition systems that describe trajectories of duration $\tau$, for some given time sampling parameter $\tau \in \mathbb{R}^+$.

**Definition 2.** Let $\Sigma = (\mathbb{R}^n, P, \mathcal{P}, F)$ be a switched system and $\tau \in \mathbb{R}^+$ a time sampling parameter. The $\tau$-sampled transition system associated to $\Sigma$, denoted by $\bar{T}_\tau(\Sigma)$, is the transition system $(Q, \rightarrow^\tau)$ defined by:

- the set of states is $Q = \mathbb{R}^n$
- the transition relation is given by

$$x \rightarrow^\tau x' \text{ iff } x(\tau, x, p) = x'$$

Let us define: $\text{Post}_\tau(X) = \{x' \mid x \rightarrow^\tau \text{ } x' \text{ for some } x \in X\}$, and $\text{Pre}_\tau(X) = \{x' \mid x' \rightarrow^\tau \text{ } x \text{ for some } x \in X\}$.

**Example 1.** This example is a boost DC-DC converter with one switching cell (see Figure 1) that is taken from [6] (see also, e.g., [3, 5, 10]). The boost converter has two operation modes depending on the position of the switching cell. The state of the system is $x(t) = [i(t), v_c(t)]^T$ where $i(t)$ is the inductor current and $v_c(t)$ the capacitor voltage. The dynamics associated with both modes are of the form $\dot{x}(t) = A_\mu x(t) + b_\mu$ ($\mu = 1, 2$) with

$$A_1 = \begin{pmatrix} -\frac{r_c}{x} & 0 \\ \frac{1}{x_c} & -\frac{1}{x_c r_0 + r_c} \end{pmatrix} \quad b_1 = \begin{pmatrix} \frac{v_s}{x} \\ 0 \end{pmatrix}$$

$$A_2 = \begin{pmatrix} -\frac{1}{x_c} (\frac{r_0}{x_c} + \frac{r_0 + r_c}{x_c}) & -\frac{1}{x_c r_0 + r_c} \\ \frac{1}{x_c r_0 + r_c} & -\frac{1}{x_c r_0 + r_c} \end{pmatrix} \quad b_2 = \begin{pmatrix} 0 \\ \frac{v_s}{x} \end{pmatrix}$$

It is clear that the boost converter is an example of a switched system. We will use the numerical values of [6]: $x_c = 70$, $x_l = 3$, $r_c = 0.005$, $r_l = 0.05$, $r_0 = 1$, $v_s = 1$. The goal of the boost converter is to regulate the output voltage across the load $r_0$. This control problem is usually reformulated as a current reference scheme. Then, the goal is to keep the inductor current $i(t)$ around a reference value $i_l^{ref}$. This can be done, for instance, by synthesizing a controller that keeps the state of the switched system in an invariant set $\mathcal{I}$ centered around the reference value.

An example of switching rule is illustrated on Figure 2. This rule is periodic of period $Td$: the mode is 2 on $[0, \frac{Td}{4}]$ and 1 on $[\frac{Td}{4}, Td]$.

### 2.2 Approximate bisimulation

In [6], the authors propose a method for abstracting a switched system under the form of a discrete symbolic model, that is equivalent to the original one, under
Fig. 1. Electric scheme of the boost DC-DC converter (1 cell)

Fig. 2. A switching rule for the 1-cell boost DC-DC converter on one period of length $Td$ (here, $\tau = \frac{Td}{4}$, and the pattern of the switching rule is (2.1.1.1))

certain Lyapunov-based stability conditions. They use an euclidian metric $\| \cdot \|$, and define the approximation of the set of states $\mathbb{R}^n$ is as follows:

$$[\mathbb{R}^n]_\eta = \{ x \in \mathbb{R}^n \mid x_i = k_i \frac{2\eta}{\sqrt{n}}, k_i \in \mathbb{Z}, i = 1, \ldots, n \},$$

where $\eta \in \mathbb{R}^+$ is a state space discretization parameter. The transition relation $T_\tau(\Sigma)$ is approximated as follows: Let $q \in [\mathbb{R}^n]_\eta$ and $q_e = x(\tau, q, p)$ such that $q \rightarrow_{T_\tau(\Sigma_p)} q_e$ in the real system, let $q' \in [\mathbb{R}^n]_\eta$ with $\| q_e - q' \| < \eta$. Then we have $q \rightarrow_{T_{\tau,\eta}(\Sigma_p)} q'$ for the approximated transition relation. The approximate transition system $T_{\tau,\eta}(\Sigma)$ is defined as follows:

**Definition 3.** The system $T_{\tau,\eta}(\Sigma)$ is the transition system $(Q, \rightarrow_p)_{\tau,\eta}$ defined by:

- the set of states is $Q = [\mathbb{R}^n]_\eta$
- the transition relation is given by

$$q \rightarrow_p q' \text{ iff } \| x(\tau, q, p) - q' \| \leq \eta$$

where $\| \cdot \|$ is any metric on $\mathbb{R}^n$.

The notion of “approximate bisimilarity” between systems $T_\tau(\Sigma)$ and $T_{\tau,\eta}(\Sigma)$ is defined as follows:

**Definition 4.** Systems $T_\tau(\Sigma)$ and $T_{\tau,\eta}(\Sigma)$ are $\varepsilon$-bisimilar if:

1. $(\| x - q \| \leq \varepsilon \land q \rightarrow_p q' \Rightarrow \| x' - q' \| \leq \varepsilon)$ for some $x' = x(\tau, q, p)$ (i.e. for some $x' : x \rightarrow_p x'$), and
2. \( \|x - q\| \leq \varepsilon \land x \to^{\tau} x' \) \( \Rightarrow \|x' - q'\| \leq \varepsilon \)
for some \( q' \in [\mathbb{R}^n]_\eta : \|x(\tau, q, p) - q'\| \leq \eta \) (i.e. for some \( q' : q \to^{\tau, \eta} q' \)).

The following theorem is given in [6].

**Theorem 1.** Consider a switched system \( \Sigma = (\mathbb{R}^n, P, \mathcal{P}, F) \) with \( P = \mathcal{S}(\mathbb{R}^+, P) \), a desired precision \( \varepsilon \) and a time sampling value \( \tau \). Under certain Lyapunov-based stabilization conditions, there exists a space sampling value \( \eta \) such that the transition systems \( T_{\tau}(\Sigma) \) and \( T_{\tau, \eta}(\Sigma) \) are approximately bisimilar with precision \( \varepsilon \).

One can guarantee an arbitrary precision \( \varepsilon \) by choosing an appropriate \( \eta \); there exists an explicit algebraic relation between \( \varepsilon \) and \( \eta \). Under certain conditions (stability of \( T_{\tau}(\Sigma) \)), the symbolic model \( T_{\tau, \eta}(\Sigma) \) has a finite number of states. One can then use standard techniques of model checking in order to synthesize a safe switching rule on \( T_{\tau, \eta}(\Sigma) \) (e.g., letting the system always in the safe area), see e.g. [1, 9]. The switching rule on \( T_{\tau, \eta}(\Sigma) \) can be also used to enforce the real system \( T_{\tau}(\Sigma) \) to behave correctly.

**Example 2.** This method is applied on the boost example of 1 in [6] for the following inputs: \( \tau = 0.5, V \) corresponding to \( i_1 \in [1.3, 1.7] \) and \( v_c \in [5.7, 5.8], \varepsilon = 3.0, \eta = 1/(40\sqrt{2}) \). For the sake of self-containment, their results are given in Appendix A.

### 2.3 Simplification for the Case of Linear Dynamics

By focusing on linear dynamics, we are allowed to simplify the more general method of [6] as follows:

1. We are using the infinity norm in order to remove the overlapping of two adjacent bowls of radius \( \eta \) (reducing it to a set with a norm 0). This is done to prevent non-determinism.

2. The computation of Lyapunov functions are not necessary in our particular case but can be done by simply computing the infinite sum of a geometric serie to ensure the \( \varepsilon \)-bisimulation. Stability criterion relies simply on the negative reality of eigenvalues of matrices \( A_i \) involved in the linear differential equations of the system. The proof of \( \varepsilon \)-bisimilarity is based on the fact that \( \beta_\varepsilon \varepsilon + \eta \leq \varepsilon \) (which is true for some \( \eta \) when \( \beta_\varepsilon < 1 \)) (See Appendix B).

3. Previously, for every point of the discretized space \([\mathbb{R}^n]_\eta = \{ x \in \mathbb{R}^n \mid x_i = k * (2\eta) k \in \mathbb{Z} \} \), we had to compute its image. Due to the presence of the exponential of a matrix, this could be very costly. By using the linearity of the system, we can compute the same results for a fraction of the initial cost. This is explained in Appendix C.

**Example 3.** Our simplified method is applied on the boost converter of Example 1 with the same inputs as in Example 2: \( \tau = 0.5, V \) corresponding to \( i_1 \in [3, 3.4] \) and \( v_c \in [1.5, 1.8], \varepsilon = 3.0, \eta = 1/40 \).\(^1\) See Figure 3 for one of the connected component of the full graph. Each cycle in the graph corresponds to a periodic control of the converter which ensures that the electric variables lie inside the

\(^1\) The values used are not the same as the ones used by the authors of [6] due to a rescaling done in [6]
predefined $V$ up to $\varepsilon$. For example, we consider the cycle going through the vertices: 159, 243, 173, 257, 187, 271, 201, 285, 215, 299, 229, 159. This corresponds to the periodic mode control of pattern 12121212122. The result of a simulation under this periodic switching rule is given in Figure 4 (see also Figure 11 in Appendix D). The box $V$ is delimited by the red lines. One can see that the system largely exceeds the limits of $V$ (but stays inside the $\varepsilon$-approximation).

![Synthesized finite-state automaton for 1-cell converter with $\eta = \frac{1}{40}$ and $V$ corresponding to $u \in [3, 3.4]$ and $v_c \in [1.5, 1.8]$](image)

**Fig. 3.** Synthesized finite-state automaton for 1-cell converter with $\eta = \frac{1}{40}$ and $V$ corresponding to $u \in [3, 3.4]$ and $v_c \in [1.5, 1.8]$

### 3 Direct Approach: Inference of Controllable Subspace

The *direct* approach works directly on the continuous state space; this is done, e.g., in [2]. One can thus infer a *controllable* subspace $V'$ of $V$, within which the existence of a switching rule allowing to stay forever within $V'$ is guaranteed (see, e.g., [11, 8]). We present here a simplified direct method that exploits the simple features of our framework: linearity, absence of perturbation $u$, periodicity of the switching instants.

Consider a box $V \subseteq \mathbb{R}^n$ and a time sampling value $\tau$. The following Algorithm 1 computes a set of controllable polyhedra. Intuitively, after the $k^{th}$ iteration of the loop, $\text{Control}_i$ is a set of states satisfying the following property: there exists a sequence of modes $\sigma$ of length $k$ starting with mode $i$ such that $\sigma$ applied to any state of $\text{Control}_i$ leaves the system stay within $V$; alternatively, after the $k^{th}$ iteration, $\text{Uncontrol}$ is a set of states for which, for all sequence $\sigma$, there exists a prefix $\sigma'$ which makes the system go outside $V$. Note that the termination of
Fig. 4. Projected simulation with $i_t$ on the abscissa, and $v_c$ on the ordinates of 1-cell converter for switching rule (12121212122)*, the box $V = [3.3.4] \times [1.5.1.8]$ is drawn in red ($\varepsilon = 2.6$).

the procedure is not guaranteed due to the fact that there are infinitely many polyhedral sets.

**Algorithm 1**: Synthesis of controllable subspace

- **input**: A switched system $\Sigma$ with $m$ modes
- **input**: A time sampling value $\tau$
- **input**: A box $V \subset \mathbb{R}^n$
- **output**: A controllable subspace $V' = \{\text{Control}_i\}_{i=1..m}$

1. $\text{Uncontrol} := V$
2. for $i = 1..m$
3. \[ \text{Control}_i := V \]
4. repeat
5. \[ \text{Uncontrol} := \text{Uncontrol}_{\text{new}} \]
6. for $i = 1..m$
7. \[ \text{Control}_i := \text{Control}_i \setminus \text{Pre}_i(\text{Uncontrol}) \]
8. \[ \text{Uncontrol}_{\text{new}} := \text{Uncontrol} \setminus \{\text{Control}_i\}_{i=1..m} \]
9. until $\text{Uncontrol}_{\text{new}} = \text{Uncontrol}$
10. return $V' = \{\text{Control}_i\}_{i=1,...,m}$

The correctness of Algorithm 1 relies on the following fact:

**Theorem 2.** If Algorithm 1 terminates, then, for all $x \in \bigcup_{i=1}^{m} \text{Control}_i$, we have: $\text{Post}_j(x) \in \bigcup_{i=1}^{m} \text{Control}_i$ for some $1 \leq j \leq m$.

In other words, the output set $V'$ of controllable polyhedra is invariant. Let us point out that the system may temporarily go out of $V$ between two sampling instants.

It immediately follows from Theorem 2 that, at every sampling time $i\tau$, any trajectory starting from $V' \subset V$, is controllable: there exists a mode $j$ that ensures that the trajectory will be still in $V'$ at time $(i+1)\tau$. 
Note that, unlike the indirect method, the appropriate mode cannot be pre-computed, but has to be found on line. On the other hand, the system lies exactly within $V' \subset V$ at each sampling time (instead of lying within the $\varepsilon$-closeness of $V$ using the indirect method).

Algorithm 1 involves the computation of the $Pre$-image of (union of) convex polyhedra. We have (see Appendix E for a proof):

**Lemma 1.** Let $\tau \in \mathbb{R}$ and $S$ a convex set of $\mathbb{R}^n$ and $i$ a mode of $\Sigma$, then $Pre_{i}(S)$ is a convex set of $\mathbb{R}^n$.

From this Lemma, we can compute the $Pre$-image of any convex polyhedron by simply computing the $Pre$-image of its vertices.

Unfortunately, Algorithm 1 also involves the computation of union, complementation, and test of equality of polyhedra, that are operations known to be very expensive. To overcome this problem, one can approximate all the manipulated objects using the notion of griddy polyhedra (see [2, 4]), i.e., sets that can be written as unions of closed unit hypercubes with integer vertices. The price to be paid is an underapproximation of the controllability subspace, but this kind of compromise seems unavoidable, as pointed out in [2].

**Example 4.** To illustrate this approach, we are computing a control for the boost DC-DC converter with one cell, see Example 1 for a description of the system. The resulting control presented in Figure 5 has been obtained for the following parameters: $V$ corresponding to $i_l \in [3.0, 3.4]$ and $v_c \in [1.5, 1.8]$, $\tau = 0.5$ and $x_0 = (3.01, 1.79)$. The Figure 5 can be decomposed into 4 parts:

- Two big vertical polyhedras. The left one represents the zone controllable with mode 1, the right one with mode 2.
- Two small horizontal polyhedra (upper right and lower left) are the uncontrollable zones of $V$.\(^2\)

A trajectory starting from point $x_0 = (3.01, 1.79)$ belonging to the controllable subset, and using an on-line computation of the switching rule, has been depicted on Figure 5. (See also Figure 12 in Appendix F, for a simulation of $v_c$ and $i_l$ during time). One can see that the trajectory always stays within $V$ (not only at the sampling instants).

### 4 Application to a 3-cells DC-DC Boost Converter

#### 4.1 Model

Our method is scalable to bigger systems as we illustrate with the boost DC-DC converter with 3 cells. This is a real-life system built by the laboratory SATIE of ENS Cachan. See Figure 13 in Appendix F for a picture of the system.

The boost DC-DC converter with 3 cells relies on the same principle as the one with one cell. An advantage of this model is its robustness: even if one switching cell is damaged, the system is still controllable with the restricted set of modes that remain available. This system is naturally more complex: There

\(^2\) They are delimited by vertices: (3, 1.5); (3.1092, 1.5); (3.1110, 1.5107); (3.0000, 1.5107) and (3.2611, 1.7897); (3.4, 1.788); (3.4, 1.8); (3.2611, 1.8).
The system satisfies the following equations:

\[
U \begin{pmatrix}
\sigma_1 \\
\sigma_2 \\
\sigma_3 \\
0
\end{pmatrix} + \begin{pmatrix}
-2r & 0 & 0 & -1 \\
0 & -2r & 0 & -1 \\
0 & 0 & -2r & -1 \\
1 & 1 & 1 & -1/R
\end{pmatrix} \begin{pmatrix}
x_1 \\
x_2 \\
x_3 \\
x_4
\end{pmatrix} = \begin{pmatrix}
2L & -M & -M & 0 \\
-M & 2L & -M & 0 \\
-M & -M & 2L & 0 \\
0 & 0 & 0 & C
\end{pmatrix} \frac{d}{dt} \begin{pmatrix}
x_1 \\
x_2 \\
x_3 \\
x_4
\end{pmatrix}
\]
Fig. 7. Switching rule for the 3-cells boost DC-DC converter on one period of length $T_d$ (here, $\alpha = \frac{1}{2}$, $\tau = \frac{T_d}{6}$, $\sigma_1 = (1.0^5)$, $\sigma_2 = (0.2.1.0^3)$, $\sigma_3 = (0.4.1.0)$, and the pattern of the corresponding switching rule is (2.1.3.1.5.1))

That can be rewritten to fit our framework as:

$$\dot{X} = M_{LC}^{-1} M_S X + B_\sigma$$

with

$$M_{LC} = \begin{pmatrix} 2L & -M & -M & 0 \\ -M & 2L & -M & 0 \\ -M & -M & 2L & 0 \\ 0 & 0 & 0 & C \end{pmatrix}, \quad M_S = \begin{pmatrix} -2r & 0 & 0 & -1 \\ 0 & -2r & 0 & -1 \\ 0 & 0 & -2r & -1 \\ 1 & 1 & 1 & -1/R \end{pmatrix}, \quad B_\sigma = U M_{LC}^{-1} \begin{pmatrix} \sigma_1 \\ \sigma_2 \\ \sigma_3 \\ 0 \end{pmatrix}$$

where $U$ is the input voltage (here $U = 100$).

### 4.2 Indirect Method

Here are the parameters that we used: $\eta = 1/5$ which corresponds to $\varepsilon = 21.6$, $\alpha = \frac{1}{2}$, $T_d = 1/10000$, $\tau = 1/60000$. $V$ is defined by: $[5.3, 5.9] \times [5.3, 5.9] \times [5.3, 5.9] \times [15.5, 16.5]$. Over a period $T_d = 6\tau$, the switching rule (see Figure 7) corresponds to: 100000 for $\sigma_1$, 001000 for $\sigma_2$ and 000010 for $\sigma_3$, which can be represented by the global pattern (2.1.3.1.5.1).$^3$ The abstract system for box $V$ corresponds to a big graph composed of many repeated pattern: a small part of the full graph is given in figure 14 of Appendix F. A typical cycle can be seen through states $290, 311, 332, 333, 332, 311, 290$ this corresponds to the pattern modes 444121. The construction of the full graph (including some optimizations, like the deletion of vertices from which every rule leads to a deadend) took less than 2 minutes.

From this graph, we extracted several cycles that correspond to different switching rules. We have simulated the system starting from point $x_0 = (5.4, 5.4, 5.4, 1.6)$ for such various rules. The result of one simulation for one of them (viz., (444121))$^*$ is given in figure 15 of Appendix F. We can see that, under all these controls, the system goes out of the initial $V$. However we can check that the system stays within the $\varepsilon$-overapproximation of $V$ with $\varepsilon = 21.6$. Let us point out incidentally that such an $\varepsilon$ is much too gross to guarantee a realistic precision.

$^3$ For example, the fifth component of the pattern is 5 because the fifth element of $\sigma_1, \sigma_2, \sigma_3$ is (001), which corresponds to 5 in binary coding (after addition of 1).
Rather than presenting the results of the indirect approach with a better precision (using a finer \(\eta\)-grid), we present henceforth the results obtained with the direct approach.

4.3 Direct Method

For \(V = [4, 7] \times [4, 7] \times [4, 7] \times [15, 17], \tau = 1/60000\), we can extract a controllable subspace \(V' \subset V\). A simulation of the system starting from \(x_0 \in V'\) (viz., \(x_0 = (5, 5, 5, 16)\)) is presented in Fig. 8 (see also Fig. 9 for a projected simulation). We can check on the figure that all the simulation lies within \(V\).

![Fig. 8. Simulation of 3-cells converter starting from \(x_0 = (5, 5, 5, 16)\) in \(V = [4, 7] \times [4, 7] \times [4, 7] \times [15, 17]\) (from top to bottom: \(x_1, x_2, x_3, x_4\) in function of time)](image)

4.4 Control on failure

We have also experimented the methods in a case of failure of one switching cell of the 3-cells boost converter: we have supposed that the cell 1 is stuck on position open \(\sigma_1 = 0\), which means that only 4 of the 8 modes are still available. The description of our experiments is beyond the scope of this paper. Let us just point out that we were able to find a switching rule for this downgraded context using the direct method, but not with the indirect approach.

5 Final Remarks

We have explained how to improve two methods (the direct and indirect ones) for synthesizing control of a piecewise linear system by exploiting the special features of a framework met in the case of a real-case example. Our experiments show that the advantage of the indirect method is to allow the user to precompute a periodic control rule at the price of a certain loss of precision. On the other
Fig. 9. Various projections on plans \((x_i, x_j)\) (for \(i, j \in \{1, ..., 4\}\)) of simulations of the 3-cells boost converter starting from \(x_0 = (5, 5, 5, 16)\) in \(V = [4, 7] \times [4, 7] \times [4, 7] \times [15, 17]\)

hand, the direct method relies on an on-line computation of the switching rule, but allows us to satisfy exact reachability invariance properties. Furthermore, the direct method seems to be able to treat more easily limit cases where the system works in a downgraded configuration due to a failure of one its components.

References


![Synthesized controller for the abstract model (dark gray: mode 1, light gray: mode 2, medium gray: both modes are acceptable), figure from [6]](image)

**B Stability Criterion for the $\varepsilon$-Bisimulation**

Stability criterion relies simply on the negative reality of eigenvalues of matrices $A_i$ involved in the linear differential equations of the system (This implies: $\|f_\tau(x) - f_\tau(y)\| \leq \beta_\tau \|x - y\|$ with $\beta_\tau = e^{\lambda_\tau} < 1$). In our linear framework, the proof of $\varepsilon$-bisimilarity is based on the fact that $\beta_\tau \varepsilon + \eta \leq \varepsilon$ (which is true for some $\eta$ when $\beta_\tau < 1$). We have indeed:

1. $\left(\|x - q\| \leq \varepsilon \land q \rightarrow_{r,s} q'\right) \Rightarrow \quad \|x' - q'\| \leq \|x' - f_\tau q\| + \|f_\tau q - q'\| \leq \beta_\tau \varepsilon + \eta \leq \varepsilon$

   for some $x' = f_\tau x$ (i.e. $x' : x \rightarrow_{r,s} x'$)

2. $\left(\|x - q\| \leq \varepsilon \land x \rightarrow_{r,s} x'\right) \Rightarrow \quad \|x' - q'\| \leq \|x' - f_\tau q\| + \|f_\tau q - q'\| \leq \beta_\tau \varepsilon + \eta \leq \varepsilon$

   for some $q' \in F_{r,s}(q)$ (i.e. $q' : q \rightarrow_{r,s} q'$)

**C Efficient Computation of $Post$**

The efficient computation relies on the following property:
Lemma 2. Let \((e_i)_{i=1..n}\) the basis vectors \(\mathbb{R}^n\) and \(x_0\) a point of \(\mathbb{R}^n\). Let \(x_1 = x_0 + \sum_{i=1}^n \alpha_i e_i\), then:

\[
Post(x_1) = Post(x_0) + \sum_{i=1}^n \alpha_i e^{A^\tau} e_i
\]

Let \(x_0\) be a point for which we compute \(Post_m(x_0)\), the point reached from \(x_0\), after a time elapse of \(\tau\) and in mode \(m\), for every mode \(m\). We know that

\[
Post_m(x_0) = e^{A_m \tau}(x_0 + A_m^{-1} b_m) - A_m^{-1} b_m.
\]

\(\forall x_1 \in [V]\), \(x_1 = x_0 + \sum_{i=1}^n \alpha_i \eta e_i\), where the \(e_i\) are the basis vectors, and \(\alpha_i \in \mathbb{Z}\).

\[
Post_m(x_1) = e^{A_{m'} \tau}(x_1 + A_{m'}^{-1} b_m) - A_{m'}^{-1} b_m
\]

\[
Post_m(x_1) = e^{A_m \tau}(x_0 + \sum_{i=1}^n \alpha_i \eta e_i + A_m^{-1} b_m) - A_m^{-1} b_m
\]

\[
Post_m(x_1) = e^{A_m \tau}(x_0 + A_m^{-1} b_m) - A_m^{-1} b_m + \sum_{i=1}^n \alpha_i \eta e^{A_m \tau} e_i
\]

\[
Post_m(x_1) = Post_m(x_0) + \eta \sum_{i=1}^n \alpha_i e^{A_m \tau} e_i
\]

D Simulation of the 1-cell boost converter starting from point \(x_0 = (3.01, 1.79)\) using the periodic pattern \((12121212122)\)

Fig. 11. Simulation of the 1-cell boost converter starting from point \(x_0 = (3.01, 1.79)\) using the periodic pattern \((12121212122)\)^\(\ast\). Above: simulation of \(i_t\) during time; Below: simulation of \(v_c\)
E Proof of Lemma 1

In the following, every linear differential equation is of the form $x' = Ax + b$ with $A \in GL(\mathbb{R}^n)$, $x$ the variables vector and $b \in \mathbb{R}^n$.

It can be easily shown that $\text{Post}(x_0)$ can be put under the explicit form $e^{A\tau}(x_0 + A^{-1}b) - A^{-1}b$. This allows us to prove the following proposition:

**Proposition 1.** The image of a segment $S$ of $\mathbb{R}^n$ by Post is a segment defined by the images of the endpoints of $S$.

**Proof** Let $x_0$ and $x_1$ be two end points of $S$. We have:

$$S = \{ x \in \mathbb{R}^n | x = \alpha x_0 + \beta x_1, \alpha, \beta \in \mathbb{R} \alpha + \beta = 1 \}$$

Let us show that for $x \in S$, we have $\text{Post}(x) \in [\text{Post}(x_0), \text{Post}(x_1)]$. We have: $x \in S \Rightarrow x = \alpha x_0 + \beta x_1$ for some $\alpha$ and $\beta$ with $\alpha + \beta = 1$. Hence:

$$\text{Post}(x) = e^{A\tau}(x + A^{-1}b) - A^{-1}b$$

$$\text{Post}(x) = e^{A\tau}(\alpha x_0 + \beta x_1 + A^{-1}b) - A^{-1}b$$

$$\text{Post}(x) = e^{A\tau}(\alpha x_0 + \beta x_1 + \alpha A^{-1}b + \beta A^{-1}b) - \alpha A^{-1}b - \beta A^{-1}b$$

$$\text{Post}(x) = e^{A\tau}(\alpha x_0 + \alpha A^{-1}b) + e^{A\tau}(\beta x_1 + \beta A^{-1}b) - \alpha A^{-1}b - \beta A^{-1}b$$

$$\text{Post}(x) = \alpha \text{Post}(x_0) + \beta \text{Post}(x_1) \quad \square$$

This proposition extends to Lemma 1 in a straightforward manner.

F Figures

![Simulation of the 1-cell boost converter starting from point $x_0 = (3.01, 1.79)$ that belongs to the controllable subspace of $[3.0, 3.4] \times [1.5, 1.8]$. Above: simulation of $v_c$ during time; below: simulation of $v_l$](image-url)
Fig. 13. 3-cells converter built by laboratory SATIE

Fig. 14. A part of the abstract graph synthesized for the 3-cell converter of the 3-cells boost converter using the indirect method
Fig. 15. Simulation of the 3-cells Converter under Switching Rule (444121)